#### Arizona State University, DC, March 13, 2020

# Human-Centered Artificial Intelligence: Reliable, Safe & Trustworthy

Ben Shneiderman @benbendc

Founding Director (1983-2000), Human-Computer Interaction Lab Professor, Department of Computer Science

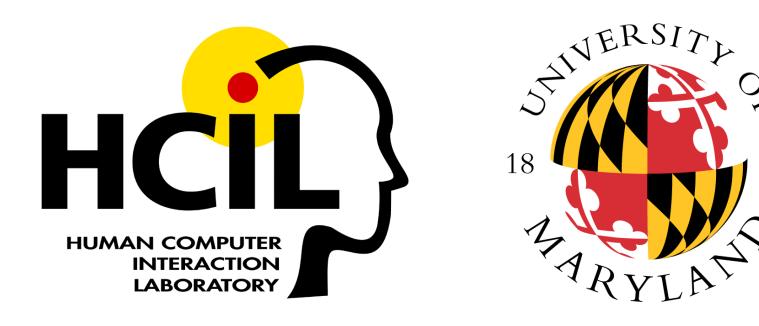
Member, National Academy of Engineering







Photo: BK Adams



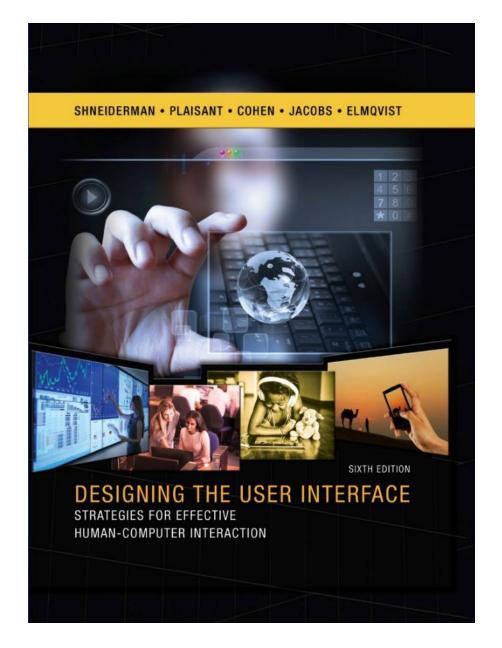
Interdisciplinary research community

- Computer Science & Info Studies
- Psych, Socio, Educ, Jour & MITH

hcil.umd.edu vimeo.com/72440805

#### **Design Theories**

Direct manipulation
Menus, speech, search
Social Media
Information Visualization



www.cs.umd.edu/hcil/DTUI6

Sixth Edition: 2016

#### Web links

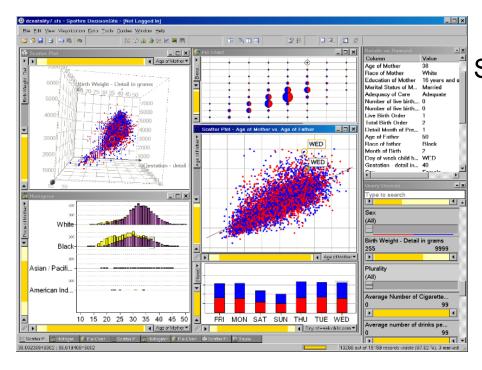
The University of Maryland, College Park (often referred to as the University of Maryland, Maryland, UM, UMD, UMCP, or College Park) is a public research university<sup>[10]</sup> located in the city of College Park in Prince George's County, Maryland, approximately 4 miles (6.4 km) from the northeast border of Washington, D.C. Founded in 1856, the university is the flagship institution of the University System of Maryland. With a fall 2010 enrollment of more than 37,000 students, over 100 undergraduate majors, and 120 graduate programs,

#### Tiny touchscreen keyboards



#### Photo tagging

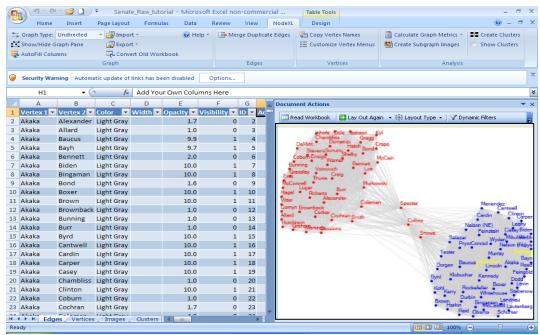




#### Spotfire

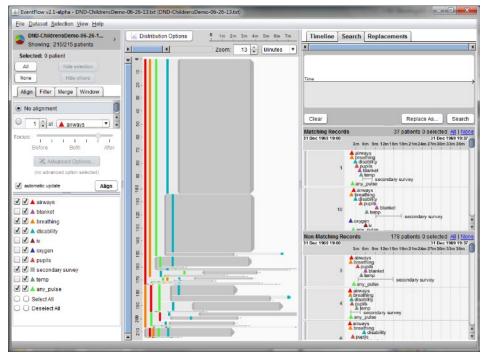
#### Treemaps FinViz





NodeXL

**EventFlow** 





# Rationalism vs Empiricism

Rationalism: logical perfectability of human endeavors Aristotle, Descartes, Spinoza & Kant

**Empiricism**: need to continuously refine our beliefs based on our experiences *Leonardo, Galileo, Locke & Hume* 

# Rationalism vs Empiricism

Rationalism: logical perfectability of human endeavors

Aristotle, Descartes, Spinoza & Kant ... Ronald Fisher

**Empiricism**: need to continuously refine our beliefs based on our experiences

Leonardo, Galileo, Locke & Hume ... John Tukey

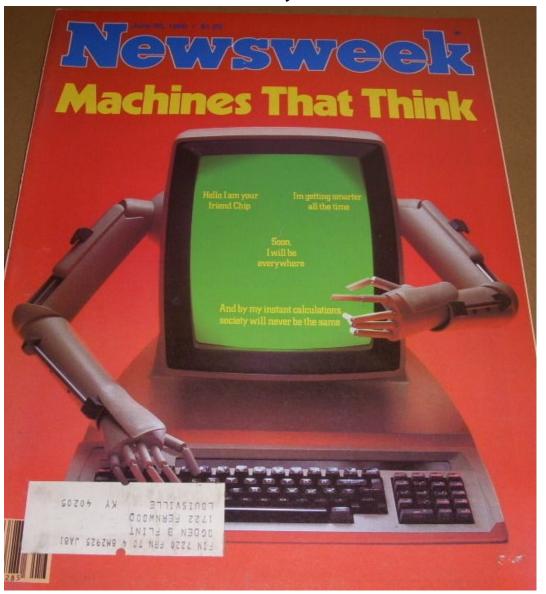
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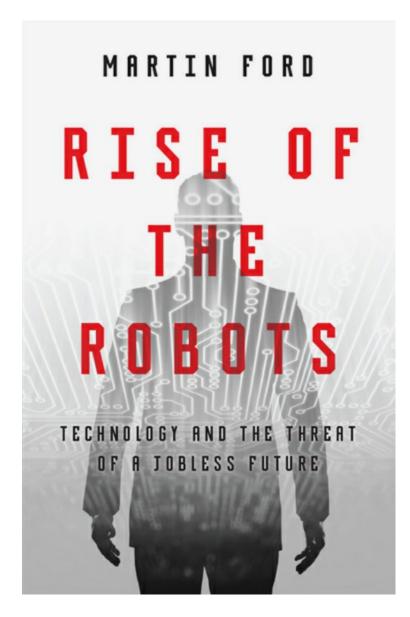
Leonardo, Galileo, Locke & Hume ... HCI

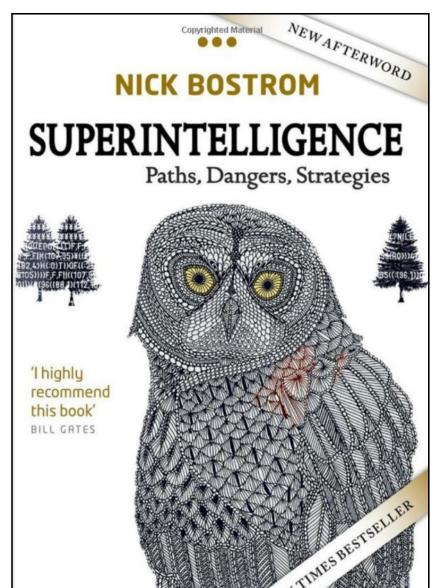
# Machines That Think June 30, 1980

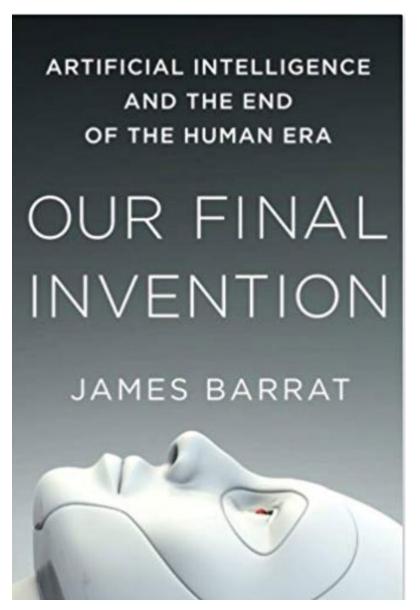


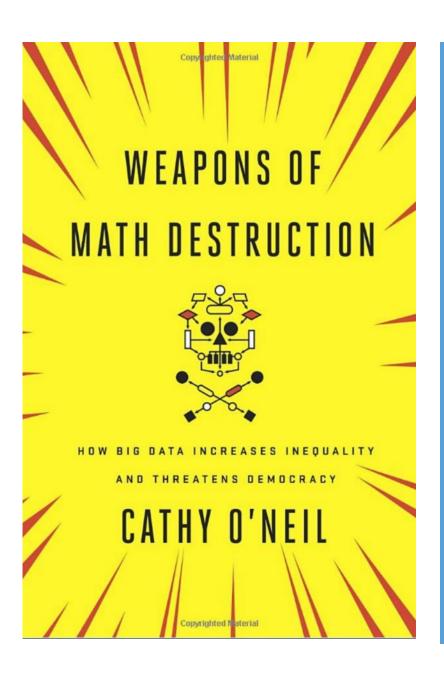
#### Can Machines Think? March 25, 1996







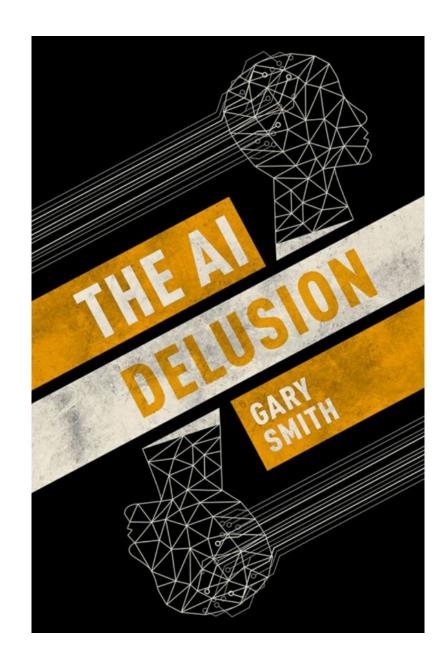






# REBOOTING AT Building Artificial Intelligence We Can Trust

GARY MARCUS and ERNEST DAVIS



### Principled Artificial Intelligence: Governance

#### BERKMAN KLEIN CENTER

FOR INTERNET & SOCIETY AT HARVARD UNIVERSITY

Summarizes
36 leading reports
on Al Governance

- Privacy
- Accountability
- Safety & security
- Transparency & explainability
- Fairness & non-discrimination
- Human control of technology
- Professional responsibility
- Promotion of human values
- International human rights

## **HCI Pride: Serving 6 Billion Users**

Mobile, desktop, web, cloud

Diverse users: include everyone

Diverse applications: real problems, users, data

Diverse interfaces: theories, principles, guidelines

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Diverse interfaces: theories, principles, guidelines

#### **Apple Design Guidelines**

#### **User Control**

... people - not apps - are in control

#### **Flexibility**

... (give) users complete, fine-grained control over their work

# Al design principles, which I want to change

- Humanoid robots
- Excessive automation

- Insufficient feedback
- Hidden features
- Restrictions on creativity
- Limits on collaboration
- Missing audit trails

Amplify, Augment, Enhance & Empower People

#### Amplify, Augment, Enhance & Empower People

→ 1000-fold improvements in capabilities

Information Photography

Search Navigation

Email & Text Business Formation

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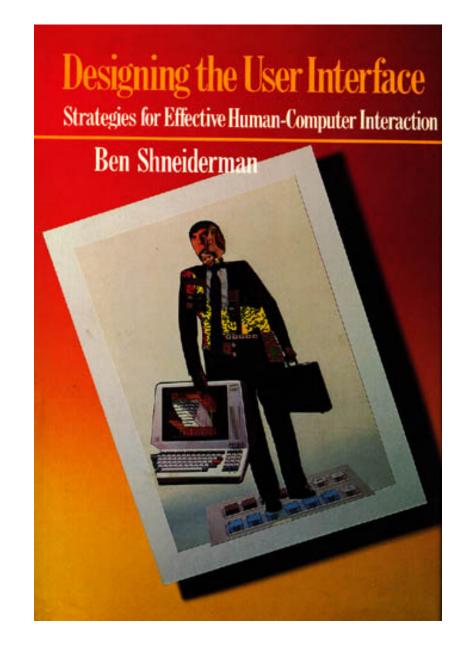
Search Navigation

Email & Text Business Formation

- → RST: Reliable, Safe & Trustworthy
- → More people, more creative, more often
- → Self-efficacy & societal benefits

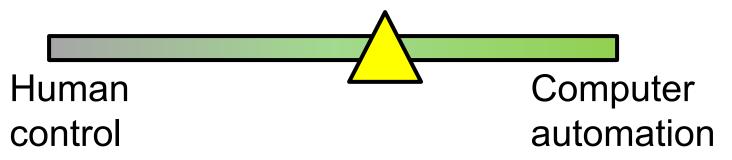


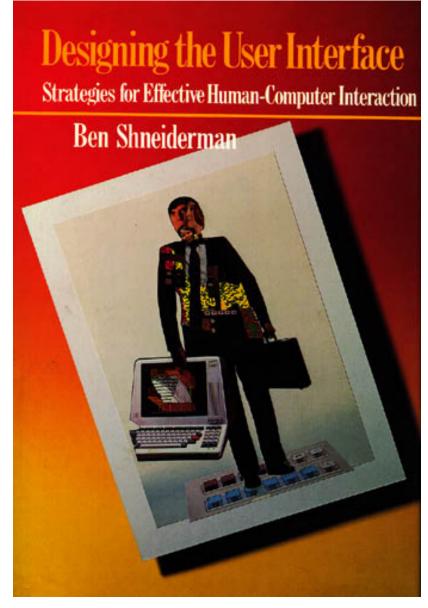
Balancing automation & human control



First Edition: 1986

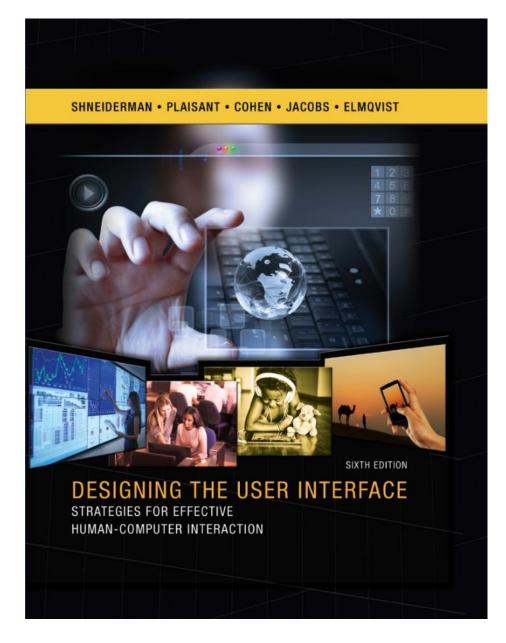
Balancing automation & human control





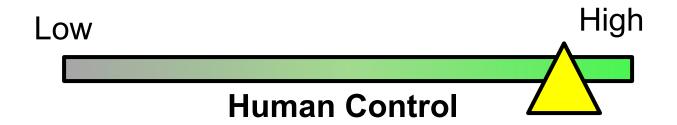
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Ensuring human control while increasing automation

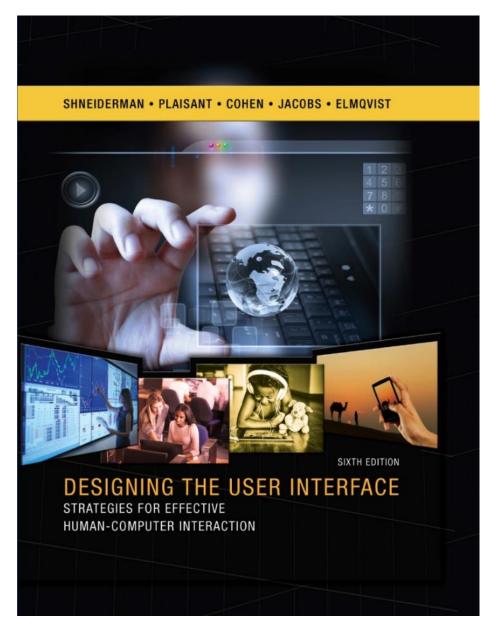


Sixth Edition: 2016

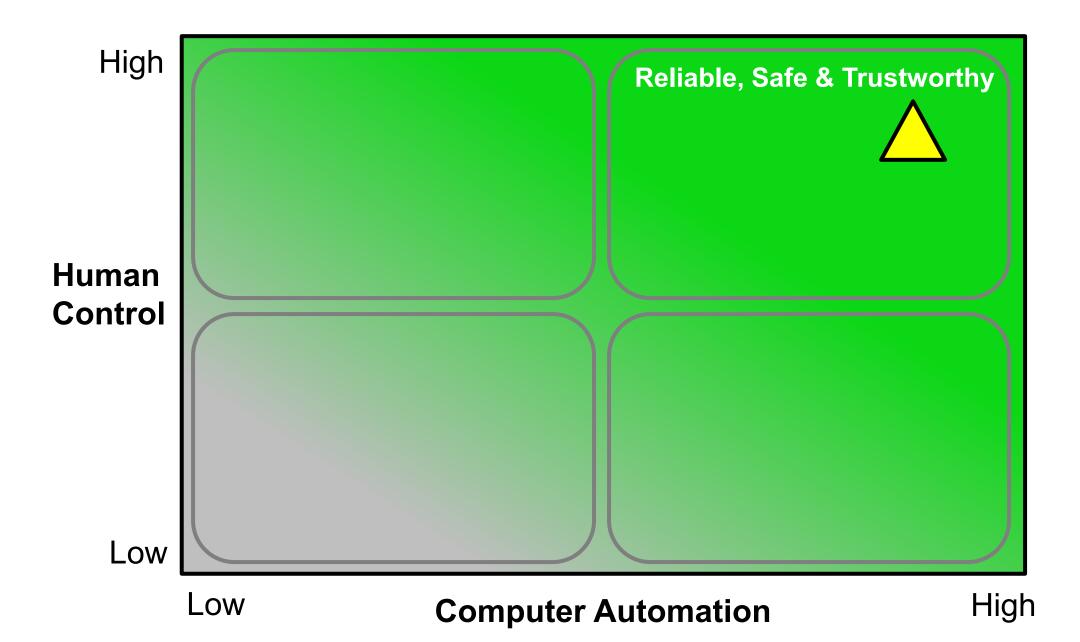
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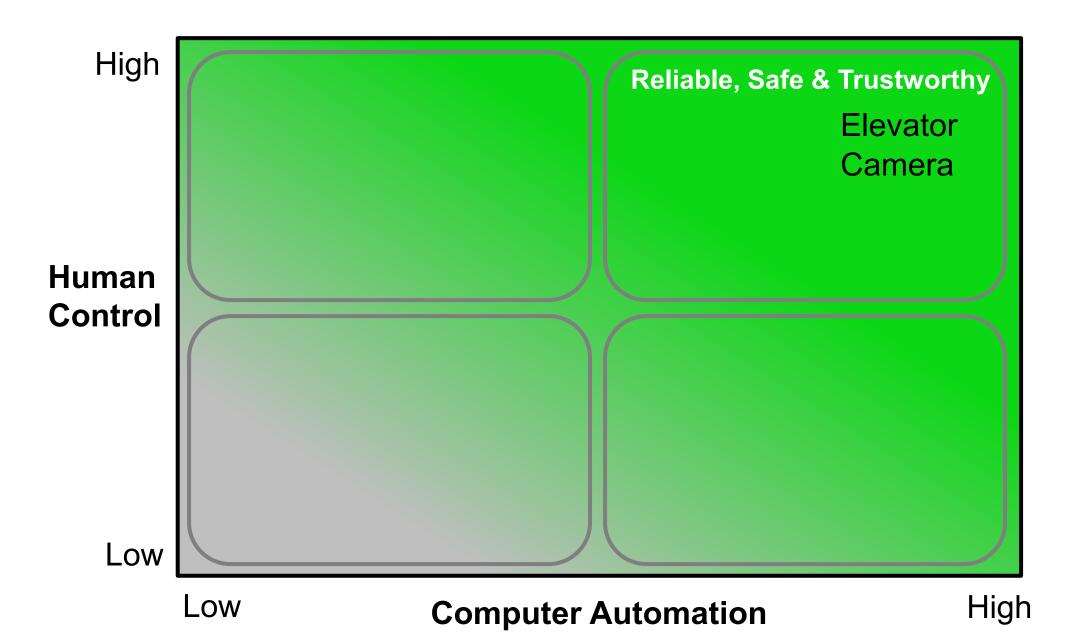


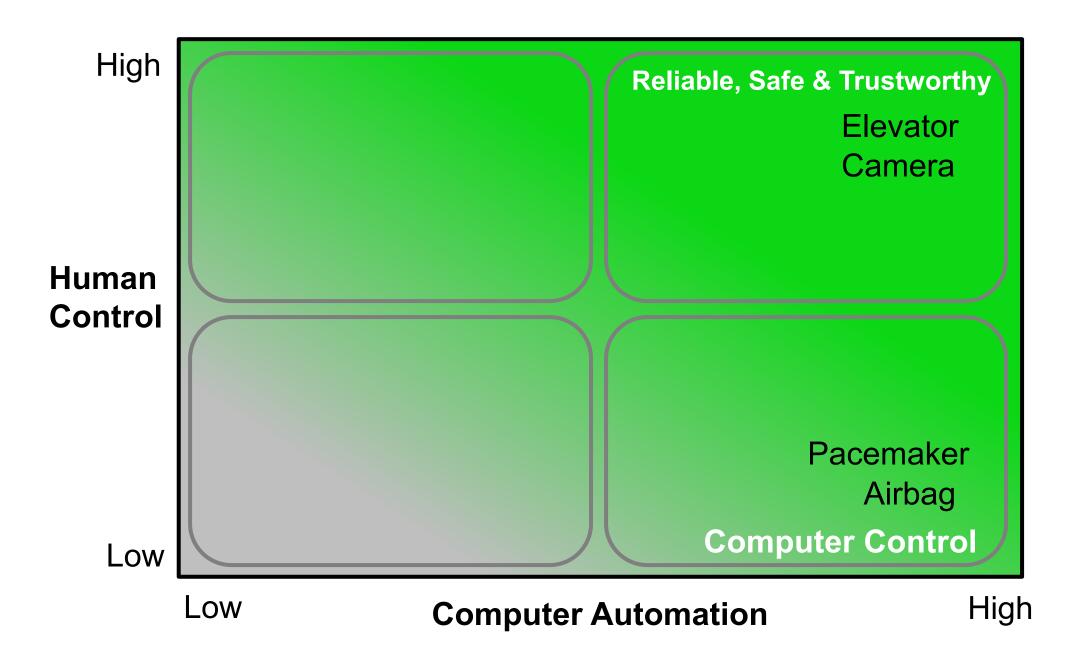


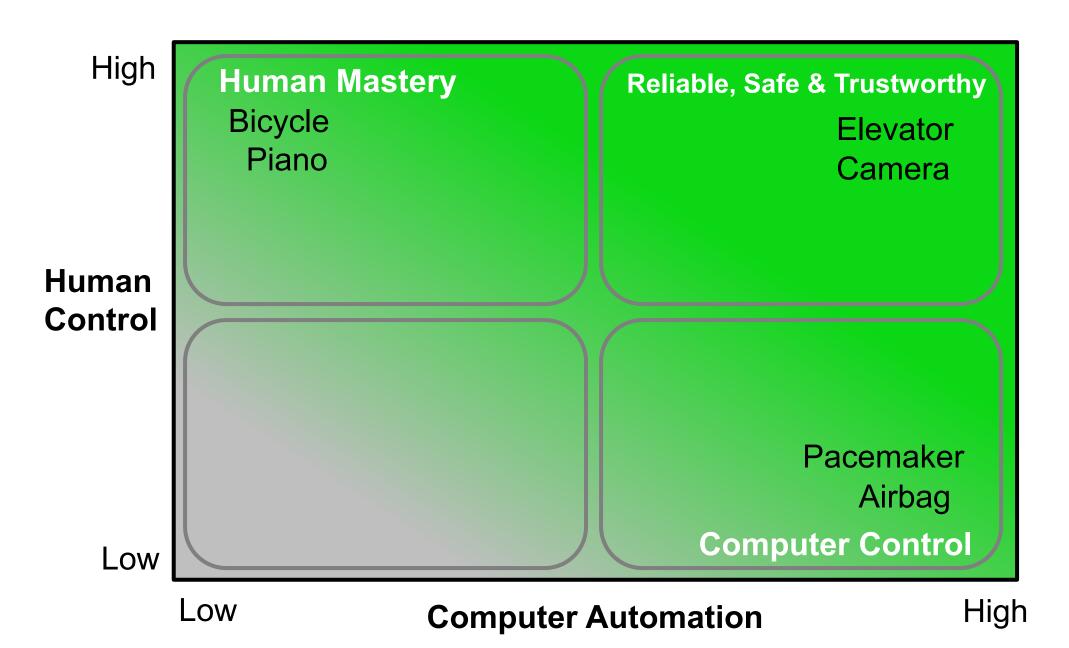


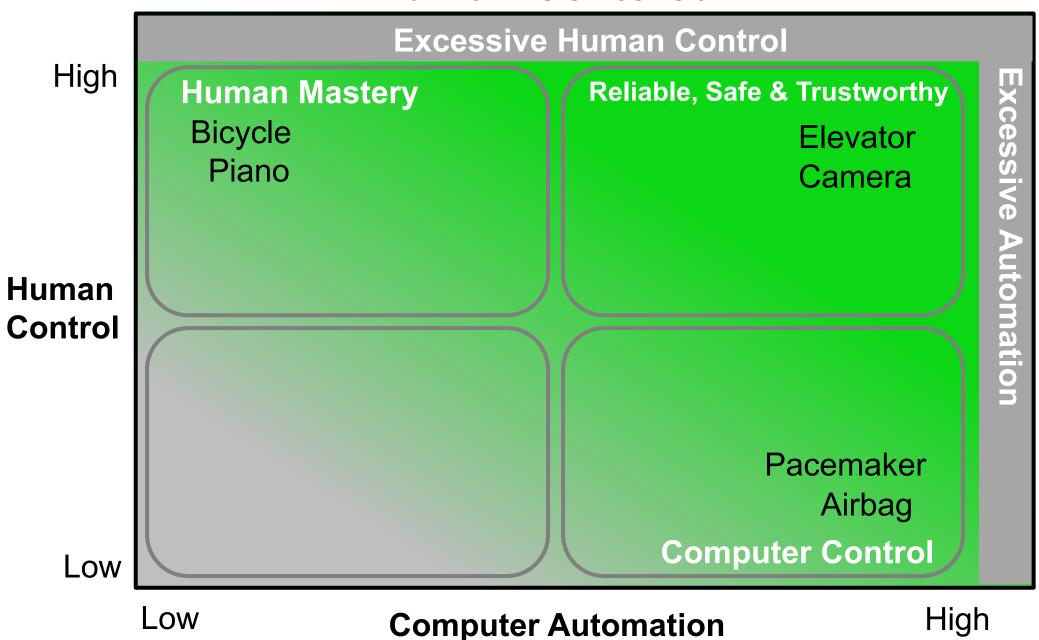
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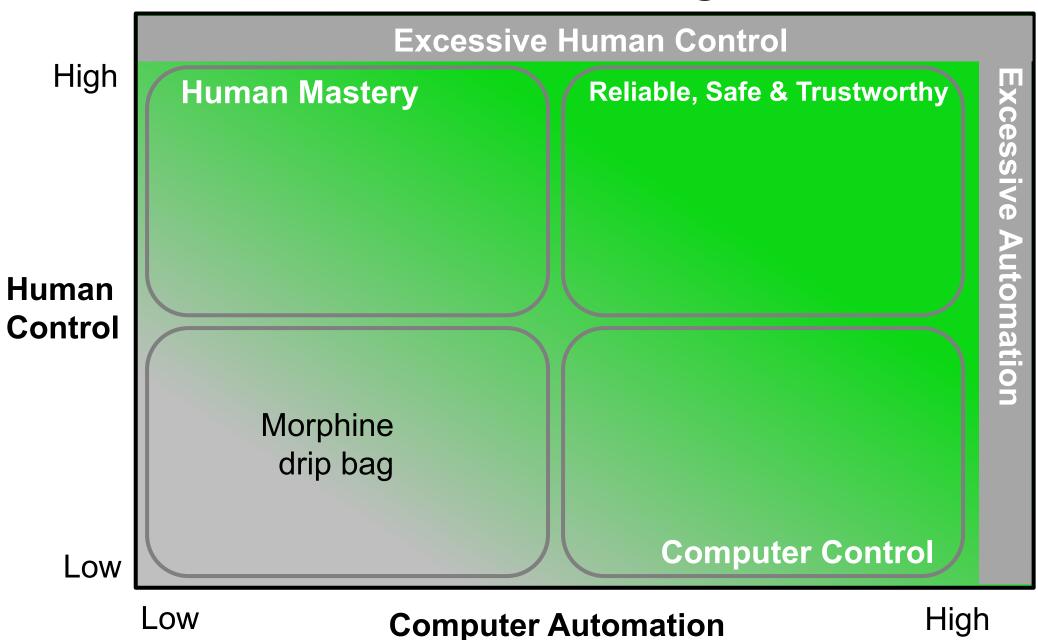


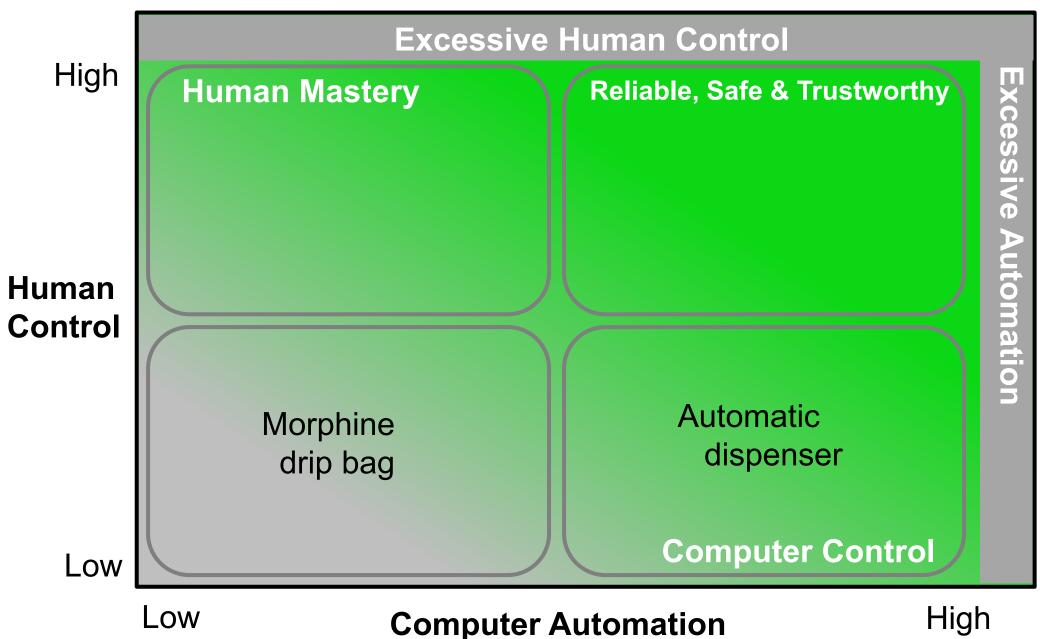


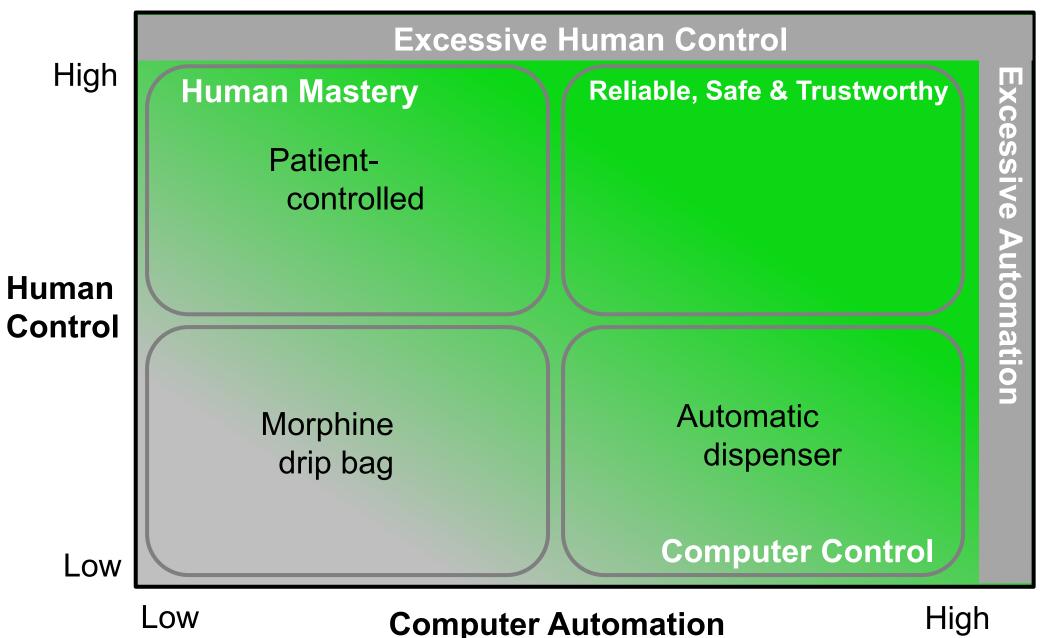




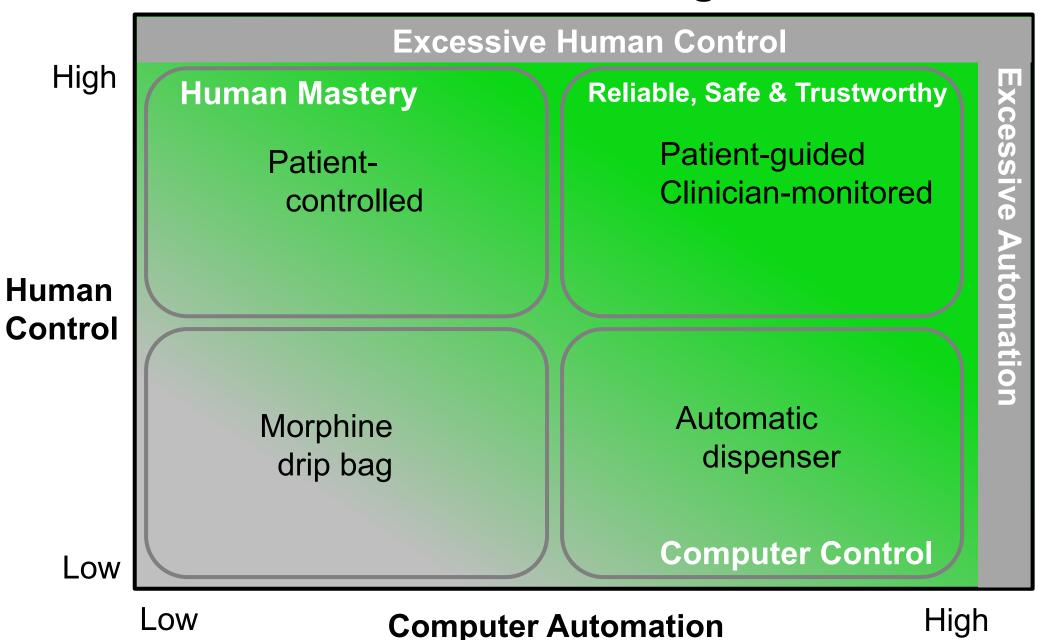






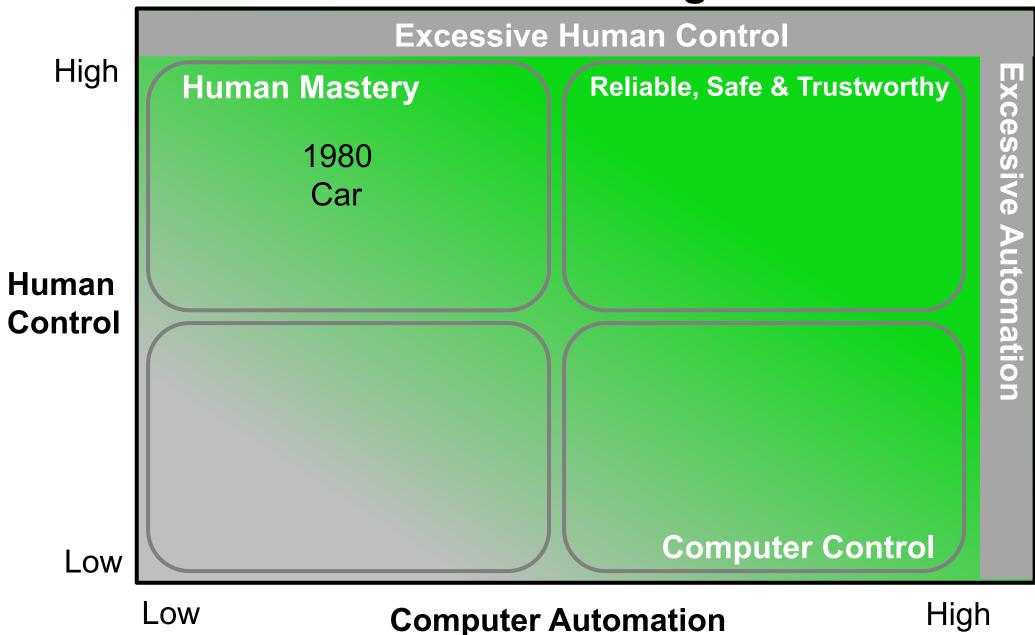


High

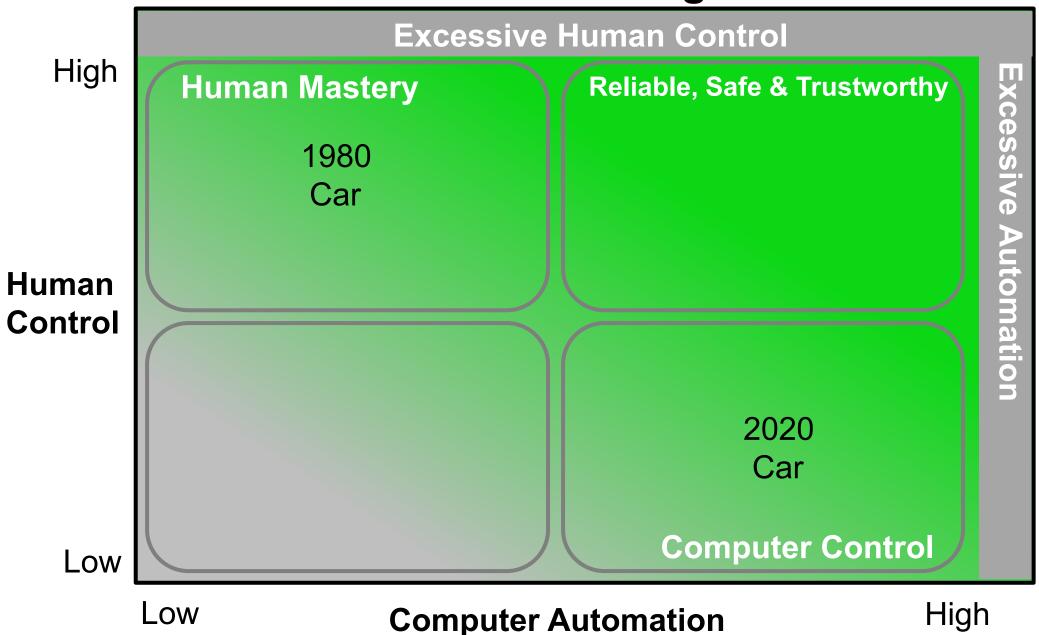


High

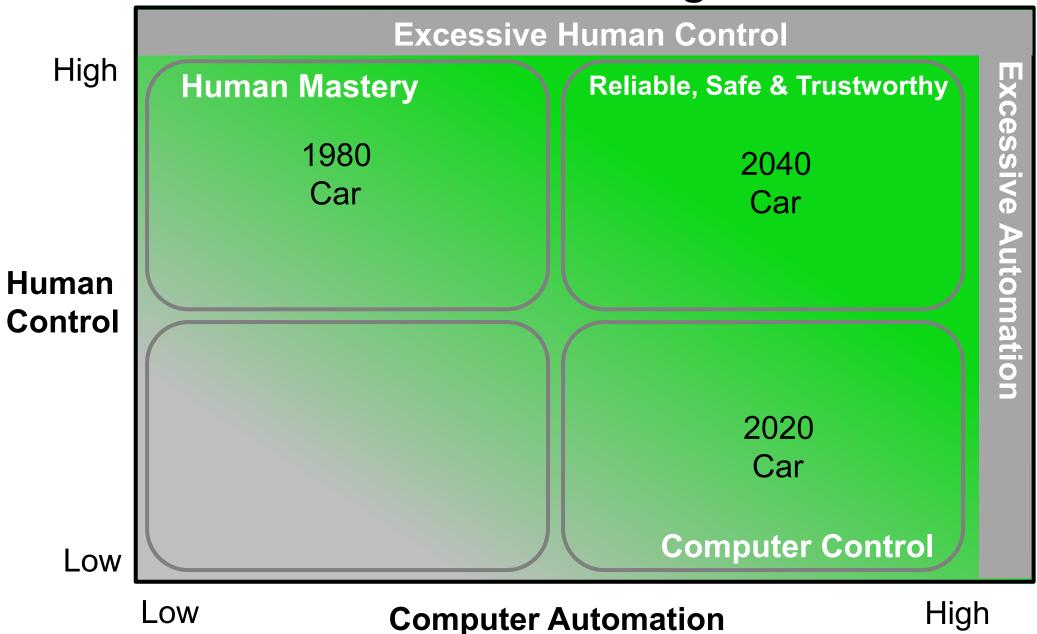
#### Car control designs



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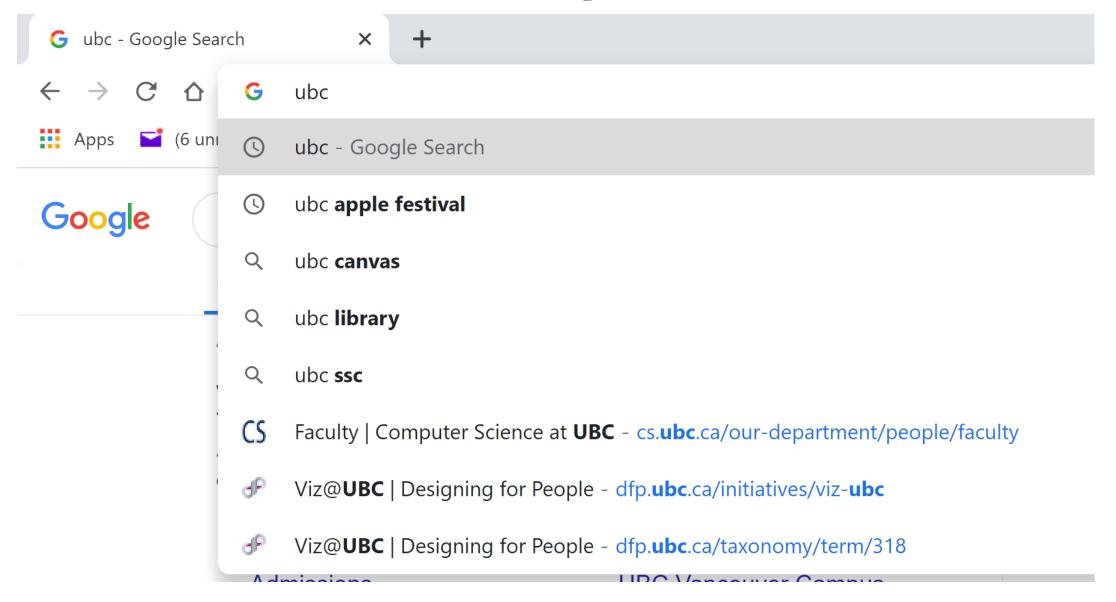


## **Summary of Design Strategies**

Goal: High levels of human control & computer automation

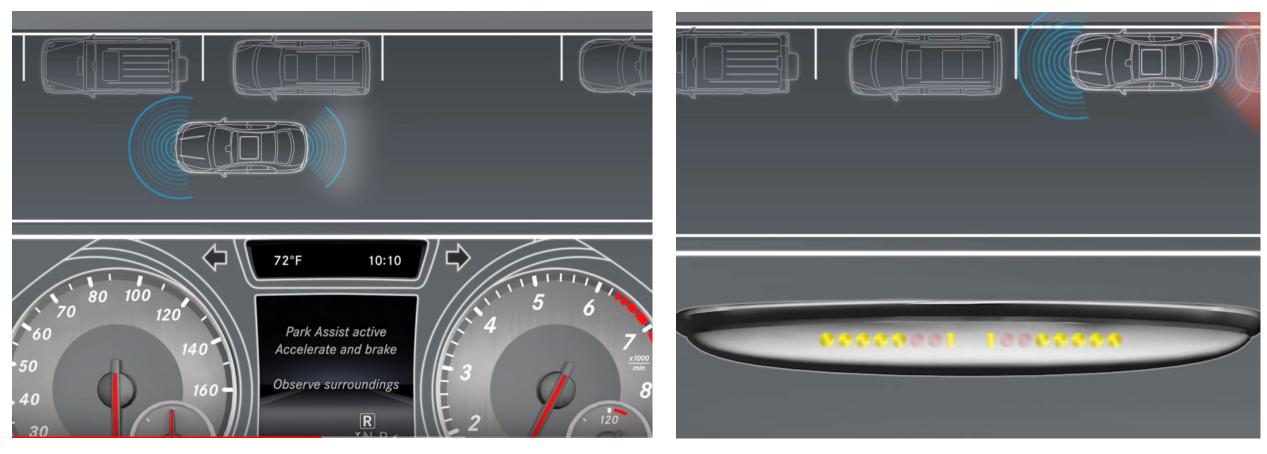
- → RST: Reliable, Safe & Trustworthy
- → Comprehensible, predictable & controllable
- → Continuous display of status
- → Informative feedback

## Predictive Search Keeps Users in Control



### Mercedes-Benz Parking Assist

#### **Contextually Rich Informative Feedback**



https://youtu.be/NXIMjL39c2Q



# Agency plus automation: Designing artificial intelligence into interactive systems

Jeffrey Heer<sup>a,1</sup>

<sup>a</sup>Paul G. Allen School of Computer Science & Engineering, University of Washington, Seattle, WA 98195-2350

Edited by Ben Shneiderman, University of Maryland, College Park, MD, and accepted by Editorial Board Member Eva Tardos October 15, 2018 (received for review May 22, 2018)

Much contemporary rhetoric regards the prospects and pitfalls of using artificial intelligence techniques to automate an increasing

of such systems may come to overly rely on computational suggestions, leading to a potential loss of critical engagement

to productively employ AI methods while ensuring that people remain in control: unconstrained in pursuing complex goals and exercising domain expertise



Accountability, Liability, Fairness, Transparency, Explainability & Interpretability

Accountability, Liability, Fairness, Transparency, Explainability & Interpretability

Design systems using reliable strategies

- ✓ Audit trails to review failures & near misses
- ✓ Benchmark tests for verification & validation
- ✓ Continuous improvement & process monitoring
- ✓ Data quality & bias testing
- ✓ Explainable user interfaces

Accountability, Liability, Fairness, Transparency, Explainability & Interpretability

Seek culture of safety by open management strategies:

- ✓ Leadership commitment to safety
- ✓ Open discussion of failures & near misses
- ✓ Internal oversight boards for problems & future plans
- ✓ Public reports of failures & future plans

Accountability, Liability, Fairness, Transparency, Explainability & Interpretability

Develop trustworthy processes for independent oversight

- ✓ Government regulation
- ✓ Insurance companies require standards
- ✓ Accounting firms audit systems
- ✓ Voluntary industry plans: Partnership on Al
- ✓ Professional societies promote education & ethics

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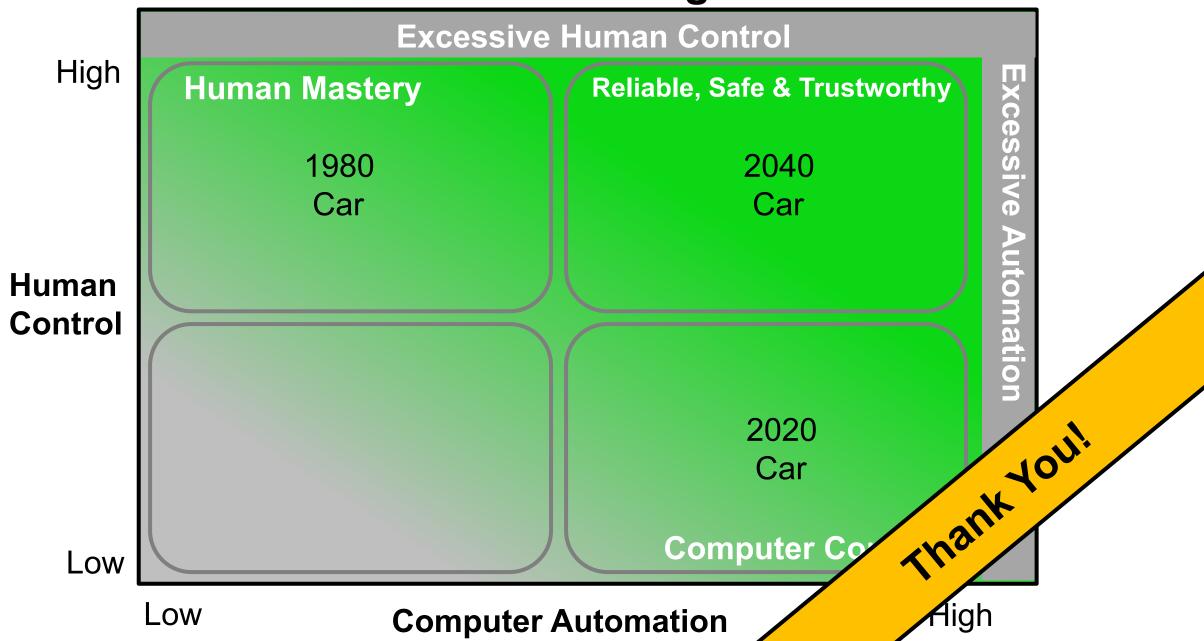
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HCIL 37<sup>th</sup> Annual Symposium: May 28, 2020

