BILL AND NATHAN START RECORDING

Context Free Languages

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Most prog langs are Context Free Languages

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However, this is not quite true. PL people - discuss!

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Our interest in CFL's is:

1) Languages that require a LARGE NFA but a SMALL CFG.

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- 2) Closure properties of CFLs.

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- 3) CFL's are all in P (poly time).

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- 3) CFL's are all in P (poly time).
- 4) Which languages are **not** context free?

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- 1) Languages that require a LARGE NFA but a SMALL CFG.
- 2) Closure properties of CFLs.
- 3) CFL's are all in P (poly time).
- 4) Which languages are not context free?
- 5) Languages that are CFL but not Regular.

Examples of Context Free Grammars

$$S \rightarrow aSb$$

 $S \rightarrow e$

The set of all strings **Generated** is

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Note *L* is context free lang that is not regular.

Context Free Grammar for $\{a^{2n}b^n : n \in \mathbb{N}\}$

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Context Free Grammar for $\{a^m b^n : m > n\}$

DISCUSS

Context Free Grammar for $\{a^mb^n : m > n\}$

DISCUSS $S \to AT$ $T \to aTb$ $T \to e$ $A \to Aa$ $A \to a$

Context Free Grammars

Def A **Context Free Grammar** is a tuple $G = (N, \Sigma, R, S)$

- ► *N* is a finite set of **nonterminals**.
- $ightharpoonup \Sigma$ is a finite **alphabet**. Note $\Sigma \cap N = \emptyset$.
- ▶ $R \subseteq N \times (N \cup \Sigma)^*$ and are called **Rules**.
- $ightharpoonup S \in N$, the start symbol.

If A is non-terminal then the CFG gives us gives us rules like:

- ightharpoonup A
 ightharpoonup AB
- ightharpoonup A
 ightarrow a

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For any string of **terminals and non-terminals** α , $A \Rightarrow \alpha$ means that, starting from A, some combination of the rules produces α .

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- $ightharpoonup A \Rightarrow a$
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Then, if w is string of **non-terminals only**, we define L(G) by:

$$L(G) = \{ w \in \Sigma^* \mid S \Rightarrow w \}$$

Number of a's = Number of b's

ls

$$L = \{ w \mid \#_a(w) = \#_b(w) \}$$

context free?

Let G be the CFG $S \rightarrow aSb$ $S \rightarrow bSa$ $S \rightarrow SS$ $S \rightarrow e$

```
Let G be the CFG S 	oup aSb S 	oup bSa S 	oup bSa S 	oup e Thm L(G) = \{w \mid \#_a(w) = \#_b(w)\}.
```

Let *G* be the CFG

$$S \rightarrow aSb$$

$$S \rightarrow SS$$

$$S \rightarrow e$$

Thm
$$L(G) = \{ w \mid \#_a(w) = \#_b(w) \}.$$

Note This Theorem is **not obvious**. Deserves a proof!

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Let G be the CFG
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$$S \rightarrow SS$$

$$S \rightarrow e$$

Thm
$$L(G) = \{ w \mid \#_a(w) = \#_b(w) \}.$$

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Contrast

Let G be the CFG

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Never proved a DFA recognized language we claimed it did.

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Gasarch's Principle Never prove an obvious Theorem.

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Never proved a DFA recognized language we claimed it did.

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(Exception: a course on foundations. I proved x + y = y + x.)

Deserves a Proof But...

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Note Proof is messy.

Solution The proof is on the slides, but I won't go over it, and you don't need to know it for a HW or Exam.

$$L(G) \subseteq \{ w \mid \#_a(w) = \#_b(w) \}$$

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Thm $L(G) \subseteq \{w \mid \#_a(w) = \#_b(w)\}$. We prove something stronger.

Let $L(G)' = \{\alpha \in \{S, a, b\}^* : S \Rightarrow \alpha\}$ (Note that we allow S in α .)

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Case 1 $S \Rightarrow \alpha' S \alpha'' \rightarrow \alpha' a S b \alpha$. By IH $\#_a(\alpha' S \alpha'') = \#_b(\alpha' S \alpha'')$.

 $\#_{a}(\alpha' \mathsf{a} \mathsf{Sb} \alpha'') = \#_{b}(\alpha' \mathsf{S} \alpha'') + 1.$

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Hence

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Case 2 Other cases for last step similar.



$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

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Let G be the CFG $S \rightarrow aSb \mid bSa \mid SS \mid e$ Thm $\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$. This is not obvious!

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We must show that **every** w with $\#_a(w) = \#_b(w)$ can be generated.

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We use induction on |w|.

Base Case |w| = 0. So w = e. Can be generated by $S \rightarrow e$.

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We use induction on |w|.

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Ind Hyp If $|w'| \le n-1$ and $\#_a(w') = \#_b(w')$ then $w' \in L(G)$.

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Ind Step Let w be such that $\#_a(w) = \#_b(w)$.

Case 1 w = aw'b. Then $w' \in L(G)$. By IH $S \Rightarrow w'$.

 $S \rightarrow aSb \Rightarrow aw'b$.

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 $S \rightarrow aSb \Rightarrow aw'b$.

Case 2 w = bw'a. Similar.

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

Thm $\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$.

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Case 3 w = aw'a. This is first NON-OBVIOUS part!



$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

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DISCUSS!

We use induction on |w|.

Base Case |w| = 0. So w = e. Can be generated by $S \to e$.

Ind Hyp If $|w'| \le n-1$ and $\#_a(w') = \#_b(w')$ then $w' \in L(G)$.

Ind Step Let w be such that $\#_a(w) = \#_b(w)$.

Case 1 w = aw'b. Then $w' \in L(G)$. By IH $S \Rightarrow w'$.

 $S \rightarrow aSb \Rightarrow aw'b$.

Case 2 w = bw'a. Similar.

Case 3 w = aw'a. This is first NON-OBVIOUS part! Next Slide.

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

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Case 3 w = aw'a. Let $w = a\sigma_2 \cdots \sigma_{n-1}a$. Look at prefixes of w:

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

Let G be the CFG $S oup aSb \mid bSa \mid SS \mid e$ Case 3 W = aw'a. Let $W = a\sigma_2 \cdots \sigma_{n-1}a$. Look at prefixes of W:

a: $\#_a(a) > \#_b(a)$

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

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$$G$$
 be the CFG $S oup aSb \mid bSa \mid SS \mid e$ Case $S oup aSb \mid bSa \mid SS \mid e$ Case $S oup aSb \mid bSa \mid SS \mid e$ Let $S oup aSb \mid bSa \mid SS \mid e$ Dro all $S oup aSb \mid bSa \mid SSb \mid e$ For all $S oup aSb \mid SBb \mid$

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

 $\#_a(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2} - 1$ $\#_b(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2}$

Let
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$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

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$$\#_a(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2} - 1$$

$$\#_b(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2}$$

Hence

$$\{w \mid \#_a(w) = \#_b(w)\} \subseteq L(G)$$

Let
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Case 3 w = aw'a. Let $w = a\sigma_2 \cdots \sigma_{n-1}a$. Look at prefixes of w: a: $\#_a(a) > \#_b(a)$

For all
$$2 < i < n-1$$
, EITHER

$$\#_a(a\sigma_2\cdots\sigma_i)=\#_a(a\sigma_2\cdots\sigma_{i-1})+1.$$

OR

$$\#_b(a\sigma_2\cdots\sigma_i)=\#_b(a\sigma_2\cdots\sigma_{i-1})+1.$$

But NOT both.

$$#_a(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2} - 1$$

$$#_b(a\sigma_2\cdots\sigma_{n-1}) = \frac{n}{2}$$

Hence

$$\#_a(a\sigma_2\cdots\sigma_{n-1})<\#_b(a\sigma_2\cdots\sigma_{n-1})$$

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So w = w'w'' where w, w' \in L(G). Since |w'| < |w| and
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S \Rightarrow w' and S \Rightarrow w''.
```

Recap

```
1) a: \#_a(a) > \#_b(a)
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So
S \rightarrow SS \Rightarrow w'w'' = w
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We will not be proving Langs NOT CFL.

CLOSURE PROPERTIES AND REG CFL

Closure Properties: PROVE or DISPROVE

If L_1, L_2 are Context Free Languages then

- 1. IS $L_1 \cup L_2$ is a context free Lang?
- 2. IS $L_1 \cap L_2$ is a context free Lang?
- 3. IS $L_1 \cdot L_2$ is a context free Lang?
- 4. IS $\overline{L_1}$ is a context free Lang?
- 5. IS L_1^* is a context free Lang?

DISCUSS

 L_1 is CFL via CFG (N_1, Σ, R_1, S_1) . L_2 is CFL via CFG (N_2, Σ, R_2, S_2) .

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Note We assume $N_1 \cap N_2 = \emptyset$.

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This is true for 3 languages or 4 languages or 98 languages.

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No, because:

- ▶ $L_1 = \{ab\}$ is regular.
- $ightharpoonup L_k = \{a^k b^k\}$ is regular.
- ▶ $L_1 \cup L_2 \cup \cdots = \{a^n b^n : n \in \mathbb{N}\}$ is not regular.

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What about for CFLs?

- $ightharpoonup L_1 = \{abc\}$ is a CFL.
- $ightharpoonup L_k = \{a^k b^k c^k\}$ is a CFL.
- ▶ We will see later that $\bigcup_{i=1}^{\infty} L_i = \{a^n b^n c^n : n \in \mathbb{N}\}$ is not CFL.

NOT TRUE: $a^nb^nc^* \cap a^*b^nc^n = a^nb^nc^n$.

 L_1 is CFL via CFG (N_1, Σ, R_1, S_1) . L_2 is CFL via CFG (N_2, Σ, R_2, S_2) .

 $\begin{array}{l} \textit{L}_1 \text{ is CFL via CFG } (\textit{N}_1, \Sigma, \textit{R}_1, \textit{S}_1). \\ \textit{L}_2 \text{ is CFL via CFG } (\textit{N}_2, \Sigma, \textit{R}_2, \textit{S}_2). \\ \\ \text{The following CFG generates } \textit{L}_1 \cdot \textit{L}_2. \\ \textit{L}_1 \cdot \textit{L}_2 \text{ is CFL via CFG } (\textit{N}, \Sigma, \textit{R}, \textit{S}) \text{ where} \end{array}$

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$L \ \mathsf{CFL} \to \overline{L} \ \mathsf{CFL}$

FALSE. Let

$$L = \overline{\{a^n b^n c^n : n \in \mathbb{N}\}}$$

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FALSE.

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$$L = \overline{\{a^n b^n c^n : n \in \mathbb{N}\}}$$

This is a CFL. This will be a HW.

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REG contained in CFL

Thm If L is regular then L is CFL. DISCUSS

REG contained in CFL

For every **regex** α , $L(\alpha)$ is a CFL.

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Prove by ind on the length of α .

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Case 1 $\alpha = \beta_1 \cup \beta_2$. By IH $L(\beta_1)$ and $L(\beta_2)$ are CFL's. By closure under \cup , $L(\alpha)$ is CFL.

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Case 2 $\alpha = \beta_1 \cdot \beta_2$. By IH $L(\beta_1)$ and $L(\beta_2)$ are CFL's. By closure under \cdot , $L(\alpha)$ is CFL.

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Case 3 $\alpha = \beta^*$. By IH $L(\beta)$ is CFL. By closure under *, $L(\alpha)$ is CFL.

Examples of CFL's and Size of CFG's

How big is a CFL for the language $\{aaaaaaaaa\}$ (there are 8 a's).

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S o aaaaaaaa

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This does not seem quite right.

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Next slide has a standard form for CFL's that make size make sense.

Def CFG *G* is in **Chomsky Normal Form** if the rules are all of the following form:

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- 3) $S \rightarrow e$ (where S is the start state).

Recall the CFG:

S o aaaaaaaa

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DISCUSS TO FIND A CHOMSKY NORMAL FORM CFG FOR {aaaaaaaa}.

Recall the CFG: $S \rightarrow aaaaaaaa$

Recall the CFG:

S
ightarrow aaaaaaaa

Chomsky Normal form CFG that generates same lang:

 $S \rightarrow AA$

Recall the CFG:

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Recall the CFG:

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Chomsky Normal form CFG that generates same lang:

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We measure the size of a Chomsky Normal Form CFG by the number of rules.

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S o aaaaaaaa

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So {aaaaaaaa} has a Chomsky Normal Form CFG of size 4.

We say that $\{a^8\}$ has a CNF CFG of size 4.

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```

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- 1) Size 8
- 2) Size 5

The answer is 5. Next slide.

 $S \rightarrow AA$

 $S \rightarrow AA$ $A \rightarrow BB$

 $S \rightarrow AA$

 $A \rightarrow BB$

 $B \to CC$

 $S \rightarrow AA$

 $A \rightarrow BB$

 $B \rightarrow CC$

 $C \to DD$

 $S \rightarrow AA$

 $A \rightarrow BB$

 $B \rightarrow CC$

 $C \rightarrow DD$

D o a

 $S \rightarrow AA$

 $A \rightarrow BB$

 $B \rightarrow CC$

 $C \rightarrow DD$

 $D \rightarrow a$

What to do if n is not a power of 2. HW.

$$L = \{a\}^n$$

Upshot

For $L_n = \{a^n\}$:

- ▶ Any DFA or NFA that recognizes L_n has $n + \Omega(1)$ states.
- ▶ There is a CFG that generates L_n with $O(\log n)$ rules.

Our Old Friend
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Our Old Friend $L = \{a, b\}^* a \{a, b\}^n$

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- 2) We have an NFA of size n + 2. There is no NFA of size n since then there would be a DFA of size $2^n < 2^{n+1}$.
- 3) DISCUSS for getting a CFG of size $\ll n$.

$$L = L_1 \cdot L_2$$
 where

```
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S \rightarrow AS \mid BS \mid a
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B \rightarrow b
L_2 = \{a, b\}^n. A \lg(n) + 3 rule Chomsky Normal Form CFG.
S \rightarrow S_1 S_1
S_1 \rightarrow S_2 S_2
S_{\lg(n)+1} \to S_{\lg(n)} S_{\lg(n)}
S_{\lg(n)} \rightarrow a \mid b
Note We are assuming n is a power of 2.
```

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- 3) CFG of size $\Theta(\lg(n))$.

Any CFG can be Put Into Chomsky Normal Form

Recall the CFG for $\{a^mb^n: m>n\}$. We put it into Chomsky Normal Form.

- 1) $S \rightarrow AT$
- 2) $T \rightarrow aTb$
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New nonterminals [aT], [b], [a]. Replace $T \rightarrow aTb$ with:

$$T \rightarrow [aT][b]$$

 $[aT] \rightarrow [a]T$
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 $[b] \rightarrow b$.
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Repeat the process with the other rules.

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- 3) Recall: DFA's are Recognizers, Regex are Generators.
 CFG's are Generators. There is a Recognizer equivalent to it:
 PDAs

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Deterministic CFG's are **defined** by DPDA's where are DFAs with a stack.

The proof that PDA-recognizers and CFG-generators are equivalent is messy so we won't be doing it. We won't deal with PDA's in this course at all.