Deterministic Finite Automata (DFA)

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Three Examples

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Standard Conventions

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Standard Conventions

1. The state that has an arrow pointing to it (from nowhere, not from another state) is the **start** state.

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Standard Conventions

1. The state that has an arrow pointing to it (from nowhere, not from another state) is the **start** state.

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2. The states that are circled are **final states**. If the machine ends up there, then the string is accepted.

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What is the language?



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Odd number of *a*'s followed by an even number of *b*'s, but at least two.

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What is the language?



What is the language? Messy



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Third Example without Garbage State

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Third Example without Garbage State



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What is the language?

Short Detour

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Short Detour

Modular Arithmetic

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• $x \equiv y \pmod{N}$ if and only if N divides x - y.

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x ≡ y (mod N) if and only if N divides x - y.
 25 ≡ 35 (mod 10).

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▶ $100 \equiv 2 \pmod{7}$ since $100 = 7 \times 14 + 2$.

Modular Arithmetic II: Convention

Common usage:

$$100 \equiv 2 \pmod{7}$$

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Modular Arithmetic II: Convention

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Commonly if we are in mod n we have a large number on the left and then a number between 0 and n-1 on the right.

When dealing with mod n we assume the entire universe is $\{0, 1, \ldots, n-1\}$.

 \equiv is mod 26 for this slide. (This slide is from CMSC456.)

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1. Addition: x + y is easy: wrap around. E.g., $20 + 10 \equiv 30 \equiv 4$. Only use the number 30 as an intermediary value on the way to the real answer.

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$$20 \times 10 \equiv -6 \times 10 \equiv -2 \times 30 \equiv -2 \times 4 \equiv -8 \equiv 18.$$

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4. Division: Next Slide.

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= is mod 26 for this slide. $\frac{1}{3} = x \text{ where } 0 \le x \le 25.$ Pedantic: $\frac{1}{y}$ is the number such that $y \times \frac{1}{y} \equiv 1.$ $\frac{1}{3} \equiv 9 \text{ since } 9 \times 3 = 27 \equiv 1.$ Shortcut: there is an algorithm that finds $\frac{1}{y}$ quickly. We will NOT study the algorithm later.

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 $\frac{1}{2} \equiv x$ where $0 \le x \le 25$. Think about it.

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 $\frac{1}{2} \equiv x$ where $0 \le x \le 25$. Think about it. No such x exists.

Fact: A number y has an inverse mod 26 if y and 26 have no common factors. Numbers that have an inverse mod 26:

 $\{1, 3, 5, 7, 9, 11, 15, 17, 19, 21, 23, 25\}$

End of Detour

End of Detour

Another Example

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$\{w: \#_a(w) \equiv 1 \pmod{2} \land \#_b(w) \equiv 2 \pmod{3}\}$

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 $\{w: \#_a(w) \equiv 1 \pmod{2} \land \#_b(w) \equiv 2 \pmod{3}\}$



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A DFA-classifier does not ACCEPT and REJECT. It classifies.

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A DFA-classifier does not ACCEPT and REJECT. It classifies. If w is fed to the DFA in the last slide, the resulting state is

 $(\#_a(w) \pmod{2}, \#_b(w) \pmod{3})$

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The first DFA accepted (1, 2)-strings and rejected the rest.

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 $(\#_a(w) \pmod{2}, \#_b(w) \pmod{3})$

The first DFA **accepted** (1, 2)-strings and **rejected** the rest. The second DFA **classifies** strings without judgment.

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Short Detour

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Short Detour

Alphabets, Strings, and Languages

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Def An **alphabet** Σ is a set of letters (or characters).

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For Examples 1 and 2: $\Sigma = \{a, b\}$.

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• For Example 3:
$$\Sigma = \{A, ..., Z, \#, .\}$$
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$$\begin{split} & \boldsymbol{\Sigma}^2 = \boldsymbol{\Sigma}\boldsymbol{\Sigma} = \{\sigma_1\sigma_2 : \sigma_1 \in \boldsymbol{\Sigma} \land \sigma_2 \in \boldsymbol{\Sigma}\}. \\ & \boldsymbol{\Sigma}^3 = \boldsymbol{\Sigma}\boldsymbol{\Sigma}\boldsymbol{\Sigma} = \{\sigma_1\sigma_2\sigma_3 : \sigma_1 \in \boldsymbol{\Sigma} \land \sigma_2 \in \boldsymbol{\Sigma} \land \sigma_3 \in \boldsymbol{\Sigma}\}. \end{split}$$

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Alphabets and Strings

Def An **alphabet** Σ is a set of letters (or characters).

- For Examples 1 and 2: $\Sigma = \{a, b\}$.
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Def A **string** or **word** is a sequence of symbols from an alphabet Σ .

- Σ² = ΣΣ = {σ₁σ₂ : σ₁ ∈ Σ ∧ σ₂ ∈ Σ}.
 Σ³ = ΣΣΣ = {σ₁σ₂σ₃ : σ₁ ∈ Σ ∧ σ₂ ∈ Σ ∧ σ₃ ∈ Σ}.
 Σⁱ = {σ₁ ··· σ_i : σ₁, ..., σ_i ∈ Σ}
 i = 1 case is just Σ¹ = Σ.
 i = 0 case is just Σ⁰ = {e} (the empty string).
- ▶ Notation Kleene star: $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \cdots$ is the set of all strings over the alphabet Σ (including *e*).

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End of Detour

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End of Detour

Start of Transition Tables

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Transition Table:





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Transition Table:

• States:
$$\{q_1, q_2, q_3, q_4, q_5\}$$



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Transition Table:

States: {q₁, q₂, q₃, q₄, q₅}
 Alphabet: {a, b}



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Transition Table:

- States: $\{q_1, q_2, q_3, q_4, q_5\}$
- ► Alphabet: {*a*, *b*}
- Start state: q₁



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- Final states: $\{q_2, q_4\}$



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Transition function

		а	b
	q_1	q_2	q_5
	q_2	q_1	<i>q</i> ₃
	q 3	q_5	q_4
	q_4	q_5	q 3
• • • •	q_5	_ q 5	<i>q</i> 5

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Def A **DFA** *M* is a 5-tuple $(Q, \Sigma, \delta, s, F)$ where:

- 1. Q is a finite set of **states**.
- 2. Σ is a finite **alphabet**.
- 3. $\delta: Q \times \Sigma \rightarrow Q$ is the transition function.

- 4. $s \in Q$ is the start state.
- 5. $F \subseteq Q$ is the set of **final states**.

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- 5. $F \subseteq Q$ is the set of **final states**.

Informally DFA M accepts w if when M is run on w it ends up in a final state.

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Def A **DFA** *M* is a 5-tuple $(Q, \Sigma, \delta, s, F)$ where:

- 1. Q is a finite set of **states**.
- 2. Σ is a finite **alphabet**.
- 3. $\delta: Q \times \Sigma \rightarrow Q$ is the transition function.
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Informally DFA M accepts w if when M is run on w it ends up in a final state.

Formally

Def If *M* is a DFA and $w \in \Sigma^*$ is a word of length *n*, then *M* accepts *w* if there is a sequence of states $r_0, r_1, r_2, \ldots, r_n$ such that $r_0 = s$, $r_i = \delta(r_{i-1}, x_i)$ for $1 \le i \le n$, and $r_n \in F$.

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Def Language $L \subseteq \Sigma^*$ is **regular** if there exists a DFA *M* such that L(M) = L.

Computer Implementation of DFAs

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Recall Second Example Transition Table:

- States: $\{q_1, q_2, q_3, q_4, q_5\}$
- ► Alphabet: {*a*, *b*}
- Start state: q1
- Final states: $\{q_2, q_4\}$



	а	b
q_1	q_2	q_5
q_2	q_1	q_3
<i>q</i> ₃	q_5	q_4
q_4	q_5	q 3
q_5	q_5	q_5

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Recall Second Example Transition Table:

- States: $\{q_1, q_2, q_3, q_4, q_5\}$
- ► Alphabet: {*a*, *b*}
- Start state: q₁
- Final states: $\{q_2, q_4\}$

Implementation of Transition Table:

- ▶ States: {1, 2, 3, 4, 5}
- ► Alphabet: {1,2}
- Start state: 1
- ▶ Final states: {2,4}

Transition function

	а	b
q_1	q_2	q_5
q_2	q_1	q 3
q_3	q_5	q_4
q_4	q_5	q 3
q_5	q_5	q_5

Transition function



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Linear time!

Transition function

	а	b
q_1	q_2	q_5
q_2	q_1	q 3
q_3	q_5	q_4
q_4	q_5	q 3
q_5	q_5	q_5

Transition function

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Finite state automata are essentially graphs. Same rules apply:

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Finite state automata are essentially graphs. Same rules apply:

Diagrams are good for people to understand if the DFAs are small.

Finite state automata are essentially graphs. Same rules apply:

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 Transition tables are good for algorithms and formal proofs.