More on Hilbert's Tenth Problem

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Hilbert's 10th problem (in modern language) Give an algorithm that will, given $p(x_1, \ldots, x_n) \in \mathbb{Z}[x_1, \ldots, x_n]$ determine if there exists $a_1, \ldots, a_n \in \mathbb{Z}$ such that $p(a_1, \ldots, a_n) = 0$.

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Thm There is no such algorithm.

The proof consists of



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1. Show that many sets can be expressed using polynomials.

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2. Show that HALT can be expressed using polynomials.

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2. Show that HALT can be expressed using polynomials.

We will discuss expressing sets using polynomials.

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Def A is **Diophantine (Dio)** if there exists a polynomial $p(x_1, \ldots, x_n) \in \mathbb{Z}[x_1, \ldots, x_n]$ such that

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The definitions are equivalent.

We use the first one on slides. We may use second on HW.

$$\{x : x \equiv 0 \pmod{3}\} = \{x : (\exists y) [(x \ge 0) \land (x - 3y = 0)]\}$$

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Let A, B be Dio Sets.



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$$A \cup B =$$

$$\{x : (\exists y_1, ..., y_n, z_1, ..., z_n)$$

$$[(x \ge 0) \land (p_A(y_1, ..., y_n, x) p_B(z_1, ..., z_n, x) = 0)]\}.$$

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$$= \{x: (\exists y_1, y_2) [(x \ge 0) \land ((x - y_1^2)^2 + (x - 3y_2)^2 = 0)] \}.$$

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Dio Sets are Closed Under Intersection

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$$[(x \ge 0) \land (p_A(y_1, ..., y_n, x)^2 + p_B(z_1, ..., z_n, x)^2 = 0)]\}.$$

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COMP is a Dio Sets

 COMP is the set of composites. We show this is Dio.

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$$\text{COMP} = \{ x : (\exists y_1, y_2) [(x \ge 0) \land ((y_1 + 2)(y_2 + 2) - x = 0)] \}.$$

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//www.cs.umd.edu/~gasarch/BLOGPAPERS/BurkesMax.pdf

 $\operatorname{NOTPOW2}$ is the set of numbers that are NOT powers of two. We show this is Dio

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Next Slide

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Note I reviewed the book here:

https://www.cs.umd.edu/~gasarch/bookrev/44-4.pdf

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Back to the Proof

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Hilbert wanted to (in modern language) show there was an algorithm that would do the following.

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Example (∀x, y, z ∈ ℕ)(∀n ∈ ℕ, n ≥ 3)[xⁿ + yⁿ ≠ zⁿ] Thats Fermat's last theorem.
Example Domain is set of continuous functions from ℝ to ℝ. (∀f)[(f(0) < 0 ∧ f(1) > 0) → (∃0 < z < 1)[f(z) = 0]] This is the intermediate value theorem.

2. Output if the statement is TRUE or FALSE.

H10 AS AN UNDEC THEORY

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1. Need a language to make mathematical statements.

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- 1. Need a language to make mathematical statements.
- 2. Need to know the domain of discourse for variables.

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The original proof was much harder.

"Powerful Enough"

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What about weak languages?



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1. In this set of slides we will show a theory that is undecidable.

- 2. We will then state it as Godel would have.
- 3. Later we will look at theories that are decidable.

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1. A Formula allows variables to not be quantified over. A Formula is neither true or false. Example: $(\exists x)[x + y = 7]$.

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- 2. A **Sentence** has all variables quantified over. Example: $(\forall y)(\exists x)[x + y = 7]$. So a Sentence is either true or false.

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We formulate H10 undecidable in these terms. Consider the following language.

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- 4. Constants: ..., -3, -2, -1, 0, 1, 2, 3, ...

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- 4. Constants: ..., -3, -2, -1, 0, 1, 2, 3, ...
- 5. The symbols +, \times , and =.

Examples of Formulas and Sentences

Formula

 $x^{2} + 3y - 10xy + z^{3} = 0$ NONE of x, y, X are quantified over, so its a formula. Formula $(\exists x)[x^{2} + 3y - 10xy + z^{3} = 0]$ There is a var not quantified over.

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Sentence

 $(\exists x, y, z)[x^2 + 3y - 10xy + z^3 = 0]$ ALL of the vars are quantified over.
Atomic Formulas

An Atomic Formula is:



Atomic Formulas

An Atomic Formula is:

1. For any polynomial $p(x_1, \ldots, x_n) \in \mathbb{Z}[x_1, \ldots, x_n]$

$$p(x_1,\ldots,x_n)=0$$

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is an Atomic Formula.

A H10 Formula is:

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- 2. If ϕ_1 , ϕ_2 are H10 Formulas then so are 2.1 $\phi_1 \wedge \phi_2$,

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- 1. Any Atomic Formula is a H10 Formula.
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 - 2.1 $\phi_1 \land \phi_2$, 2.2 $\phi_1 \lor \phi_2$ 2.3 $\neg \phi_1$
- 3. If $\phi(x_1, \ldots, x_n)$ is a H10 Formula then so is $(\exists x_i)[\phi(x_1, \ldots, x_n)]$

Is the following problem decidable?



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lnput ϕ , a sentence in H10.

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Since H10 is undecidable, this problem is NOT decidable.

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In fact, H10 restricted to just \exists -statements is undecidable.

H10 Implies Godel's Inc Theorem

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Unlike many comments about math in the popular press this one is true.

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In the popular press Godel's Inc Theorem is quoted as: There are statements in Math that are TRUE but not PROVABLE

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However, we need to state Godel's inc Thm more carefully.

Def Peano Arithmetic (PA) is the following set of axioms and rules of inference

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We are busy people so we are not going to bother with the particular axioms of PA. We will note that (1) PA has +, \times , (2) PA allows the use of induction, (3) PA uses domain \mathbb{N} though can be extended to \mathbb{Z} , and (4) Virtually every thm in Number Theory can be derived in PA.

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Godel showed that there is a statement ϕ such that

- 1. ϕ is TRUE.
- 2. ϕ cannot be derived from PA.

Def Peano Arithmetic (PA) is the following set of axioms and rules of inference

We are busy people so we are not going to bother with the particular axioms of PA. We will note that (1) PA has +, \times , (2) PA allows the use of induction, (3) PA uses domain \mathbb{N} though can be extended to \mathbb{Z} , and (4) Virtually every thm in Number Theory can be derived in PA.

Godel showed that there is a statement ϕ such that

- **1**. ϕ is TRUE.
- 2. ϕ cannot be derived from PA.

This is impressive since almost all of number theory can be derived in PA.

Whats so Special about Peano Arithmetic?

Godel's technique applies to any (with caveats) system that has + and $\times.$ So its not really about PA.

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1. Input
$$p(x_1, \ldots, x_n)$$
. So we are asking if $(\exists a_1, \ldots, a_n)[p(a_1, \ldots, a_n) = 0]$ is TRUE.

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 - 2.1 Find all statements that can be derived in PA using $\leq s$ steps. 2.2 If one of them is $(\exists x_1, \ldots, x_n)[p(x_1, \ldots, x_n) = 0]$ then output YES and halt.

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Since we are assuming **every** true statement is derivable in PA, then this algorithm must terminate and correctly determine if $p(x_1, \ldots, x_n)$ has an integer solution.
H10 undecidable implies Godel's Inc. Theorem

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Since we are assuming **every** true statement is derivable in PA, then this algorithm must terminate and correctly determine if $p(x_1, ..., x_n)$ has an integer solution. Contradiction!

Variants of H10

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- 3. There is are many d, n for which this is unknown.
- 4. Resolving the ones that are unknown seems hard.

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- 4. $\mathbb{D} = \mathbb{R}$. **Decidable** . Tarski-Seidenberg (1974)
- 5. $\mathbb{D} = \mathbb{C}$. **Decidable** but trivial: always true.
- 6. Other domains: Mostly unknown.