BILL AND NATHAN, RECORD LECTURE!!!!

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BILL RECORD LECTURE!!!

Graph Isomorphism Is Probably Not NPC

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We show a different reason why GI NPC is unlikely.

An Interactive Protocol for \overline{GI}

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4) Bob sends H_1, \ldots, H_n to Alice. This is a challenge!

Alice and Bob are both looking at G₁, G₂ both on *n* vertices.
Bob flips a coin *n* times get a seq b₁ · · · b_n.
For 1 ≤ i ≤ n Bob rand permutes vertices of G_{bi} to get H_i.
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Alice sends an *n* bit string c₁ ··· c_n.
b₁ ··· b_n = c₁ ··· c_n → Bob accepts, else Bob rejects.
Easy to show
(G₁, G₂) ∈ GI → Alice can send the correct string.

1) Alice and Bob are both looking at G_1, G_2 both on *n* vertices. 2) Bob flips a coin *n* times get a seg $b_1 \cdots b_n$. 3) For $1 \le i \le n$ Bob rand permutes vertices of G_{b_i} to get H_i . 4) Bob sends H_1, \ldots, H_n to Alice. This is a challenge! $(G_1, G_2) \in \mathrm{GI} \to \mathrm{Alice} \mathrm{can} \mathrm{tell} H_i \simeq G_{b_i}$ $(G_1, G_2) \notin \overline{\mathrm{GI}} \to \mathsf{Alice}$ is clueless. Uninformed guess possible. 5) Alice sends an *n* bit string $c_1 \cdots c_n$. 6) $b_1 \cdots b_n = c_1 \cdots c_n \rightarrow \text{Bob accepts, else Bob rejects.}$ Easy to show $(G_1, G_2) \in \overline{\mathrm{GI}} \to \operatorname{Alice} \operatorname{can} \operatorname{send} \operatorname{the} \operatorname{correct} \operatorname{string}$. $(G_1, G_2) \notin \overline{\mathrm{GI}} \to \operatorname{Prob} \operatorname{Alice}$ sends the correct string is $\frac{1}{2n}$.

An Interactive Protocol for *GI* With Public Coins Set Up

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1) Why called Arthur-Merlin? King Arthur gives Merlin a challenge openly, and Merlin the wizard (all powerful) responds.

2) We will show $\overline{GI} \in AM$. We then show that this implies something unlikely happens. We discuss this in more detail later.

Notation Henceforth G_1 , G_2 will be the pair of graphs Merlin and Arthur are looking at, and n will be the number of vertices on them.

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Notation S_n is the set of ALL permutations of $\{1, \ldots, n\}$. Let $\sigma \in S_n$. Then $\sigma(G) = (V, E')$ where

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Fact (Do examples on whiteboard.) If $\sigma \in S_n$ then $G \simeq \sigma(G)$. If $\sigma \in AUT(G)$ then $G = \sigma(G)$.

How Big is $\{\sigma(G) : \sigma \in S_n\}$?

Consider the set:

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Goto Breakout Rooms and look at some simple graphs and try to derive it.

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Lem
$$|\{\sigma(G) : \sigma \in S_n\}| = \frac{n!}{AUT(G)}$$
.
Proof on next slide.

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Hence every graph appears exactly |AUT(G)| times. The result follows.

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Let G, G_1, G_2 be graphs. 1) $Y(G) = \{(H, \sigma) : G \simeq H \land \sigma \in AUT(G)\}.$ How big is Y(G)? $\frac{n!}{|AUT(G)|}$ choices for H, |AUT(G)| choices for σ . Hence |Y(G)| = n!.2) $Y(G_1, G_2) = Y(G_1) \cup Y(G_2).$ $|Y(G_1, G_2)| = \begin{cases} n! & \text{if } G_1 \simeq G_2\\ 2n! & \text{if } G_1 \neq G_2 \end{cases}$ (1)

n! vs 2n! is a size diff, but not a big enough one.

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3) Let $X(G_1, G_2) = Y(G_1, G_2) \times \cdots \times Y(G_1, G_2)$ (*n* times).

$$|X(G_1, G_2)| = \begin{cases} (n!)^n & \text{if } G_1 \simeq G_2 \\ 2^n (n!)^n & \text{if } G_1 \not\simeq G_2 \end{cases}$$
(2)

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Just do the proof for each $(H_i, \sigma_i) \in Y(G_1, G_2)$, $\sigma_i \in \mathbb{R}$

Restate Merlin's Goal

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$$G_1 \simeq G_2 \rightarrow |X(G_1, G_2)| = (n!)^n$$
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We use same math from the rand reduction of SAT to SAT_1 .

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How can Merlin convince Arthur that X is big? Discuss Remember- we are computer scientists!

We can use Hash Functions!

We use same math from the rand reduction of SAT to SAT_1 . We'll get to that later, we have other things to attend to now.

How to represent the elements in $X(G_1, G_2)$? How long is that representation?

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- 4. Every element in $X(G_1, G_2)$ takes $\Theta(n(n^2)) = \Theta(n^3)$ bits to represent.

An Interactive Protocol for *GI* With Private Coins: Hash Functions

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Convention about Random Matrices

Let $k, n \in \mathbb{N}$. Let $X \subseteq \{0, 1\}^n$. Assume $0^n \notin X$.

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Pick a random $k \times n$ 0-1 valued matrix M (all arith is mod 2).

$$S = |\{x \in X : M(x) = 0^k\}|.$$

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Output S.

Then

- 1. $E(S) = 2^{-k}|X|$
- 2. $Var(S) \leq 2^{-k}|X|$.

Note E(S) and Var(S) do not depends on *n*, just on *k* and |X|.

Notation

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1) *N* will be the length of the encoding of elements of $X(G_1, G_2)$.

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2) Recall $N = \Theta(n^3)$.

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- 5) Rand Var will be: Pick a rand $k \times N$ 0-1 matrix M, output

$$S = |\{z \in X(G_1, G_2) : M(z) = 0^k\}|.$$

If $\textit{G}_1\simeq\textit{G}_2$ then



If $G_1 \simeq G_2$ then 1) $|X(G_1, G_2)| = (n!)^n$.



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If $G_1 \simeq G_2$ then 1) $|X(G_1, G_2)| = (n!)^n$. 2) $E(S) = (n!)^n/2^k$. 3) $Var(S) \le (n!)^n/2^k$.

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We pick k such that $2^k = (n!)^n$, so $k = \Theta(n^2 \log n)$.

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Plug in $2^{k} = (n!)^{n}$

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If $G_1 \simeq G_2$ then 1) $|X(G_1, G_2)| = (n!)^n$. 2) E(S) = 1

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Final Protocol for $\overline{\mathrm{GI}}\in\mathrm{AM}$

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1. Input(G_1, G_2). (Mer and Art see this.) N, k as above. Both poly in n.

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- 3. Mer sends Art $z_1, \ldots, z_n \in \{0, 1\}^N$ and $(\forall i)$ proof that $z_i \in X(G_1, G_2)$. Mer intent is to prove to Art that $(\forall i)[z_i \in X(G_1, G_2) \land M(z_i) = 0^k]$.

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- 4. $(\forall i)$ Art tries to verify $z_i \in X(G_1, G_2) \land M(z_i) = 0^k$. If for any *i* either of these fails then output NO. Else output YES.

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As shown in prior slide:

$$G_1 \simeq G_2 \rightarrow \text{Prob Merlin can send } z_1, \dots, z_n \text{ is } \leq \frac{1}{2^n}.$$

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 $\overline{\mathrm{GI}} \in \mathrm{AM}$ So What?

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Recall that the original goal was to get If GI is NPC then something unlikely happens If GI is NPC then, since $\overline{GI} \in AM$, TAUT $\in AM$. Does TAUT $\in AM$ imply P = NP? No. Does TAUT $\in AM$ imply NP = co-NP? No. To state what TAUT $\in AM$ implies, we need more definitions.

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Reviewing NP

Recall

 $A \in \operatorname{NP}$ if there exists poly p and set $B \in \operatorname{P}$ such that

$$A = \{x : (\exists y, |y| \le p(|x|)[(x, y) \in B]\}.$$

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- 1) There are very few natural problems naturally in Σ_2 or Π_2 .
- 2) Can define Σ_3, Π_3 . The hierarchy is called Poly Hierarchy

- 3) $\Sigma_1 \subseteq \Sigma_2 \cdots$. Thought to be proper.
- 4) $\Pi_1 \subseteq \Pi_2 \cdots$. Thought to be proper.
- 5) $\Sigma_i \subseteq \Pi_{i+1}$. Thought to be proper.

If $\overline{\mathrm{GI}}$ is NPC then ...

1) From TAUT \in AM can show that $\Sigma_3 = \Pi_3$.

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1) From $\mathrm{TAUT} \in \mathrm{AM}$ can show that $\Sigma_3 = \Pi_3.$

2) From $\mathrm{TAUT}\in\mathrm{AM}$ can show that $\Sigma_2=\Pi_2$ (this takes more effort).

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Most people thing that the poly hierarchy is proper and hence that $\Sigma_2\neq\Pi_2$ and hence that ${\rm GI}$ is not NPC.

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I am not going to do these proofs. I have shown you the interesting algorithmic aspects of the problem, which is enough for this course.

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My Prediction

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My Prediction

1. P vs NP will be resolved in the year 2525.



My Prediction

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2. We still won't know the status of GI.