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- 1) **VV** ∈ P.
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    VV ∈ P.
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The answer is 3.
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If VV \in P then SAT is in randomized poly time (RP).
```

シック 一郎 (中国)・(田)・(日)

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Consider the following matrix of polynomials:

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$$M(x) = \begin{pmatrix} x & x-1 & 3x+4\\ 17x^2 + x - 1 & x^2 + 17 & -12x^2 + 4x - 3\\ x^3 + x^2 - 5 & x^3 + x^2 + x - 77 & x^3 - 84x^2 + 8x - 100 \end{pmatrix}$$

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Is the Det of the above matrix 0? I do not know but I doubt it.

# A Useful Rand Alg for DETPOLYZERO

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is NOT in DETPOLYZERO since Det is

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## **DETPOLYZERO** is in RP

Here is a rand algorithm for DETPOLYZERO.



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**Note** In the above algorithm, we use "mod p" so that the intermediate values do not get so large.

#### If $DET(M(x)) \neq 0$ then DET(M(x)) is a poly of degree $\leq dn$ .

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## If $DET(M(x)) \neq 0$ then DET(M(x)) is a poly of degree $\leq dn$ . View DET(M(x)) as a poly in mod p. It has $\leq dn$ roots mod p.

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$$rac{dn}{d^2n^2} = rac{1}{dn} \leq rac{1}{n}$$
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Lets say we had a Rand Alg for A with Prob of error  $\leq \frac{1}{4}$ .

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Can we get the probability of being right higher? Discuss

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**Moral** If have 1-sided error and Prob of error < 1 then can iterate to get error very small.

**Def** A set A is in **Randomized Polynomial Time (RP)** if there exists a randomized algorithm ALG that runs in poly time such that:

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 DETPOLYZERO is one of them.

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3) Very few problems in  $\operatorname{RP}$  that are not known to be in  $\operatorname{P}.$ 

DETPOLYZERO is one of them.

4) RP is thought to be feasible.

 $\text{PRIMES} \in \text{RP}.$ 



#### $\mathbf{PRIMES} \in \mathbf{RP}.$

1. This was an early example of a problem in RP. (A 1967 paper sort-of has it, but a 1977 paper has it, and the algorithm actually used is 1980.)

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2. This result may have motivated the definition of  $\operatorname{RP}$ .

 $PRIMES \in RP.$ 

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5. There are reasons to think P = RP.

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 $x \notin A \rightarrow (\forall i)[y_i \notin B]$  hence Rand Alg says NO.

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We want to **map** this set to a much **smaller set**. How do computer scientists map large sets to small sets? Discuss Hash Functions!

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# **Hash Functions**

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## Hash Functions: Motivation

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If a set is **large** then a randomly chosen hash function will likely map some element to  $0^k$ .

If a set is **small** then a randomly chosen hash function is unlikely to map some element to  $0^k$ .

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- If S is a random variable then E(S) is its expected value and Var(S) is its variance. It is known that Var(S) = E((S E(S))<sup>2</sup>) = E(S<sup>2</sup>) E(S)<sup>2</sup>.

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**Convention** Whenever we have a 0-1 valued matrix apply to a vector we do all of the calculations mod 2.

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**Note** E(S) and Var(S) do not depends on *n*, just on *k* and |X|.

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## **Proof of Lemma:** $R_x R_y$

We now compute  $E(R_x R_y)$ .

$$E(R_x R_y) = \Pr(M(x) = 1 \land M(y) = 1) = \frac{1}{2^k} \times \frac{1}{2^k} = \frac{1}{4^k}.$$

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$$E(S) = E(\sum_{x \in X} R_x) = \sum_{x \in X} E(R_x) = \frac{1}{2^k} |X|.$$

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Recall that  $Var(S) = E(S^2) - (E(S))^2.$ 

$$\begin{split} \mathbf{E}(\mathbf{S}^2) &= \mathbf{E}((\sum_{\mathbf{x}\in\mathbf{X}}\mathbf{R}_{\mathbf{x}})(\sum_{\mathbf{y}\in\mathbf{X}}\mathbf{R}_{\mathbf{y}})); \\ &= \sum_{x\in X}\sum_{y\in X}E(R_xR_y); \\ &= \sum_{x\in X}E(R_x^2) + \sum_{x\neq y}E(R_xR_y); \\ &= \sum_{x\in X}\frac{1}{2^k} + \sum_{x\neq y}\frac{1}{4^k}; \\ &= \frac{1}{2^k}|\mathbf{X}| + \frac{1}{4^k}|\mathbf{X}|(|\mathbf{X}| - \mathbf{1}); \end{split}$$

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Reca

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$$X = \{x \in X : M(x) = 0^k\}.$$

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#### **Def** Let $\ell \in \mathbb{N}$ . Then $SAT_{\ell}$ is

 $\{\phi: 1 \le \#(\phi) \le \ell\}.$ 



#### Plan

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1) SAT  $\leq_r$  SAT<sub>12</sub>. (Why 12? We'll see later.)

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1) SAT  $\leq_r$  SAT<sub>12</sub>. (Why 12? We'll see later.) 2) SAT<sub>12</sub>  $\leq_r$  SAT<sub>1</sub>. (Not Quite- this reduction will only be correct if the input comes from the first reduction.)

## **Chebyshev's inequality**

#### If S is any random variable and a > 0 then

$$\Pr(|S - E(S)| \ge a) < \frac{Var(S)}{a^2}.$$

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Intuitively this is saying that the probability that S is far away from E(S) is small, and how small depends on Var(S).

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Chebyshev proved it so we don't have to :-)

#### Recall

**Def** Let A and B be two sets. We say that  $A \leq_r B$  if there exists fast Rand Alg ALG and poly q:

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# $\mathrm{SAT} \leq_r \mathrm{SAT}_{12}$

Here is the randomized reduction.



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## $SAT \leq_r SAT_{12}$

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5. Output the Boolean formula  $\psi(\vec{x}) = \phi(x) \wedge (M(x) = 0^k)$ . Clearly if  $\phi \notin \text{SAT}$  then  $\psi \notin \text{SAT}_{12}$ . Need that if  $\phi \in \text{SAT}$  then  $\Pr(1 \le \#\psi \le 12) \ge \frac{1}{2n}$ .

If k is assigned to 0 at random then



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$$\Pr(k=0)=\frac{1}{n}\geq \frac{1}{2n}.$$

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That is all we need to show!

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X is the set of sat assignments of  $\phi$ .  $0^n \notin X$ .  $2^m < |X| \le 2^{m+1}$ .

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Hence

$$2^{-(m-2)+m} < E(S) \le 2^{-(m-2)+m+1},$$

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so

$$4 < E(S) \leq 8$$

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and

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Recap:

 $4 < E(S) \le 8$ Var(S) < 8.

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and

$$2^m < \# \phi \leq 2^{m+1}$$
 and  $k = m-2$ 

Recap:

$$4 < E(S) \leq 8$$
 and  $Var(S) < 8.$  Want  $\Pr(|S| \notin \{1, \dots, 12\}) \leq rac{1}{2}.$ 

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Want  $\Pr(|S| \notin \{1, \dots, 12\}) \leq \frac{1}{2}$ . By Chebyshev's inequality

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Since  $4 < E(S) \le 8$  this yields

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Since  $4 < E(S) \le 8$  this yields  $\Pr(S \in \{1, ..., 12\}) > 1 - \frac{1}{2} = \frac{1}{2}$ .  $\begin{array}{l} \operatorname{SAT}_{12} \leq_r \operatorname{SAT}_1 \\ \text{Not Quite} \end{array}$ 

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**Recall** that we have a reduction that maps  $\phi$  to  $\psi$  such that

$$\phi \in \text{SAT} \quad \to \Pr(\psi \in \text{SAT}_{12}) \ge \frac{1}{2n} \\ \phi \notin \text{SAT} \quad \to \psi \notin \text{SAT} \text{ hence } \psi \notin \text{SAT}_{12}$$

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Let  $\psi$  be the output of this reduction. Then (with high prob)

 $\#\psi\in\{0,\ldots,12\}.$ 

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Compose the two prob reductions to get  $SAT \leq_r SAT_{1} \leq_r \ldots \leq_r SAT_{1} \leq_r SAT_{1} \leq_r \ldots \leq_r SAT_{$ 

 $X_1$  will be a vector of *n* variables.

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#### The Reduction We Need
1. Input( $\psi$ ). (Can assume  $\#\psi \in \{0, \dots, 12\}$ .)



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2. Pick a random  $m \in \{1, ..., 12\}$ .

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Analysis on next slide.

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Hence  $\#(\zeta) = 1$ Prob that m = i is  $\frac{1}{12}$ . **Case 2**  $\phi \notin SAT$ . Then clearly  $\zeta \notin SAT$ . We are done!

1) We defined  $A \leq_r B$ . This definition is key since if  $x \in A$  only demand that the prob  $y \in B$  be bounded below by  $\frac{1}{q(n)}$ .

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- 5) By Lemma, if  $SAT_1 \in P$  then  $SAT \in RP$ .
- 6) One can modify to get: if  $SAT_1 \in RP$  then  $SAT \in RP$ .

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