

whether the last integral (in which $g \geq 0$) is convergent or divergent ($= \infty$). On the other hand, the definition of $g(t)$ shows that, in the present case, (5) reduces to

$$G(x) = b_0(1 - \cos \frac{1}{2}x)/x + \sum_{n=1}^{\infty} b_n [\cos (n - \frac{1}{2})x - \cos (n + \frac{1}{2})x]/x.$$

Since the bracket on the right is identical with $2 \sin \frac{1}{2}x \sin nx$, it is seen from (2) that

$$G(x) = b_0(1 - \cos \frac{1}{2}x)/x + f(x)(\sin \frac{1}{2}x)/(\frac{1}{2}x).$$

It follows therefore from (6) that, since $1 - \cos \frac{1}{2}x \sim \frac{1}{8}x^2$,

$$\frac{f(x)}{x} \rightarrow \int_0^{\infty} tg(t) dt - \frac{1}{8}b_0,$$

as $x \rightarrow 0$. This proves (4), since, by the definition of $g(t)$,

$$\int_0^{\infty} tg(t) dt = b_0 \int_0^{\frac{1}{2}} t dt + \sum_{n=1}^{\infty} b_n \int_{n-\frac{1}{2}}^{n+\frac{1}{2}} t dt = \frac{1}{8}b_0 + \sum_{n=1}^{\infty} nb_n.$$

References.

1. G. H. Hardy and W. W. Rogosinski, *Fourier Series* (Cambridge Tracts No. 38, 1944).
2. P. Hartman and A. Wintner, "On the behavior of Fourier sine transforms near the origin", *Proc. American Math. Soc.*, 2 (1951), 398-400.
3. J. Karamata and M. Tomić, "Considérations géométriques relatives aux polynômes et séries trigonométriques", *Publications de l'Institut Math., Académie Serbe des Sciences*, 2 (1948), 156-175.

The Johns Hopkins University,
U.S.A.

ON CERTAIN SETS OF INTEGERS

K. F. ROTH*.

1. A set of positive integers u_1, u_2, \dots will be called an \mathcal{A} -set if no three of the numbers are in arithmetic progression, so that $u_h + u_k = 2u_l$ only if $h = k = l$. Let $A(x)$ denote the greatest number of integers that can be selected from $1, 2, \dots, x$ to form an \mathcal{A} -set. We write $a(x) = x^{-1}A(x)$. In a recent note† I proved that $a(x) \rightarrow 0$ as $x \rightarrow \infty$, a result which had been conjectured for many years‡. The purpose of the present paper, which

* Received 9 April, 1952; read 17 April, 1952.

† Klaus Roth, *Comptes Rendus*, 234 (1952), 388-390.

‡ For the literature of the problem, see a note by R. Salem and D. C. Spencer, *Nieuw Archief voor Wiskunde*, 23 (1950), 133-143.

will be self-contained, is partly to give a more detailed account of the method, and partly to prove a more precise result, namely

$$a(x) = O\left(\frac{1}{\log \log x}\right). \tag{1}$$

I would like to thank Prof. Davenport for suggesting simplifications of the method, which are incorporated both in the *Comptes Rendus* note and in the present paper.

2. The following obvious remarks will be important later.

$A(x)$ is also the greatest number of integers that can be selected from x consecutive terms of an arithmetic progression to form an \mathcal{A} -set. For if au_1+b, au_2+b, \dots form an \mathcal{A} -set then so do u_1, u_2, \dots , and conversely.

Further, for any two positive integers x and y we have

$$A(x+y) \leq A(x) + A(y).$$

Thus

$$A(xy) \leq x A(y), \quad A(x) \leq A\left\{\left(\left[\frac{x}{y}\right] + 1\right)y\right\} \leq \frac{x+y}{y} A(y);$$

so that

$$a(xy) \leq a(y), \tag{2}$$

$$a(x) \leq (1+yx^{-1})a(y). \tag{3}$$

Finally we have the trivial inequality

$$x^{-1} \leq a(x) \leq 1. \tag{4}$$

Throughout the paper, small Latin letters (other than c, e, h) denote positive integers. h denotes any integer. c_1, c_2, \dots are positive absolute constants. The constants implied by the O notation are absolute.

3. Let u_1, u_2, \dots, u_U be any \mathcal{A} -set selected from $1, 2, \dots, M$. In this section we investigate the exponential sum

$$S = \sum_{k=1}^U e(\alpha u_k) \quad [e(\theta) = e^{2\pi i \theta}],$$

where α is a real number. For each α there exist h, q such that

$$\alpha = \frac{h}{q} + \beta, \quad (h, q) = 1, \quad q \leq M^\dagger, \quad q|\beta| \leq M^{-\dagger}. \tag{5}$$

Suppose $m < M$, and put

$$S' = a(m)q^{-1} \left(\sum_{r=1}^q e\left(\frac{rh}{q}\right) \right) \left(\sum_{n=1}^M e(\beta n) \right),$$

(so that $S' = 0$ if $q > 1$). We prove that

$$|S - S'| < Ma(m) - U + O(mM^{\frac{1}{2}}). \tag{6}$$

To prove this we start from the relation

$$S = \frac{1}{mq} \sum_{r=1}^q \sum_{n=1}^M \sum_{\substack{n \leq u_k < n+mq \\ u_k \equiv r \pmod{q}}} e(\alpha u_k) + O(mq). \tag{7}$$

This relation is obvious on noting that for given u_k, m, q there are exactly mq integers n , satisfying

$$n \leq u_k < n + mq,$$

and that these integers n also satisfy $1 \leq n \leq M$ provided that

$$mq \leq u_k < M - mq.$$

Thus the coefficient of $e(\alpha u_k)$ on the right-hand side of (7) is unity, except when $u_k < mq$ or $M - mq \leq u_k \leq M$; these terms being compensated by the error term.

In the inner sum on the right-hand side of (7), we have

$$e(\alpha u_k) = e\left(\frac{r\hbar}{q}\right) e(\beta n) + O(mq|\beta|).$$

The number of terms in this inner sum is at most $A(m)$, by a remark of the previous section, and is therefore $A(m) - D(n, m, q, r)$, where $D \geq 0$. Hence

$$S = S' - \frac{1}{mq} \sum_{r=1}^q e\left(\frac{r\hbar}{q}\right) \sum_{n=1}^M e(\beta n) D(n, m, q, r) + O(mq) + O(Mmq|\beta|).$$

If we put $\beta = 0$ and $\hbar = 0$ (legitimate since we have not yet used $(\hbar, q) = 1$), we obtain

$$U = Ma(m) - \frac{1}{mq} \sum_{r=1}^q \sum_{n=1}^M D(n, m, q, r) + O(mq).$$

Using this as an estimate for

$$\sum_{r=1}^q \sum_{n=1}^M D(n, m, q, r)$$

in the preceding relation, we obtain (6), on noting that $q \leq M^{\frac{1}{2}}, q|\beta| \leq M^{-\frac{1}{2}}$.

4. In this section we use an adaptation of the Hardy-Littlewood method to obtain a functional inequality for the function $a(x)$.

Let m be an even integer, $2N = m^2$, and let now u_1, u_2, \dots, u_V be a maximal \mathcal{A} -set selected from $1, 2, \dots, 2N$, so that $U = A(2N)$. Let $2v_1, 2v_2, \dots, 2v_V$ be the even integers among the u_k . We note that

$$U = 2Na(2N) \tag{8}$$

and, by (2),

$$U \leq 2Na(m), \quad V \leq A(N) \leq Na(m). \tag{9}$$

Further, since the number of odd integers among the u_k does not exceed $A(N)$, we have, by (2),

$$V \geq A(2N) - A(N) \geq 2Na(2N) - Na(m). \tag{10}$$

We define

$$f_1(\alpha) = \sum_{k=1}^U e(\alpha u_k), \quad f_2(\alpha) = \sum_{k=1}^V e(\alpha v_k);$$

$$F_1(\alpha) = a(m) \sum_{n=1}^{2N} e(\alpha n), \quad F_2(\alpha) = a(m) \sum_{n=1}^N e(\alpha n).$$

In view of (9), we have

$$f_r(\alpha) = O(Na(m)), \quad F_r(\alpha) = O(Na(m)); \quad r = 1, 2. \tag{11}$$

We now show that, for any α ,

$$f_r(\alpha) - F_r(\alpha) = O(N\{a(m) - a(2N)\} + N^2); \quad r = 1, 2. \tag{12}$$

If $q = 1$ in (5), this follows at once from (6) (with $M = 2N$ or $M = N$ according as $r = 1$ or 2) in view of (8) and (10). On the other hand, if it is impossible to choose $q = 1$ in (5) [so that $S' = 0$ in (6)], (6) (with $M = 2N$ or N) will imply (12) provided that

$$F_r(\alpha) = O(N^2).$$

This inequality is in fact satisfied, since for any α ,

$$\sum_{n=1}^M e(\alpha n) = O(\|\alpha\|^{-1}), \tag{13}$$

where $\|\alpha\|$ denotes the distance of α from the nearest integer, and $\|\alpha\| > M^{-1}$ if it is impossible to choose $q = 1$ in (5).

Further, using the inequality

$$|f_1 f_2^2 - F_1 F_2^2| = |f_1(f_2^2 - F_2^2) + F_2^2(f_1 - F_1)|$$

$$\leq |f_1(f_2 + F_2)(f_2 - F_2)| + |F_2^2(f_1 - F_1)|,$$

we obtain, by (11) and (12),

$$f_1(\alpha) f_2^2(-\alpha) - F_1(\alpha) F_2^2(-\alpha) = O(\{Na(m)\}^2 (N\{a(m) - a(2N)\} + N^2)). \tag{14}$$

Finally, by (12) and (13), if $0 < \eta < \alpha < 1 - \eta$ we have

$$f_1(\alpha) = O(a(m)\eta^{-1} + N\{a(m) - a(2N)\} + N^2). \tag{15}$$

The fact that u_1, u_2, \dots, u_U form an \mathcal{A} -set implies that $u_h = v_k + v_l$ if and only if $k = l$ and $u_h = 2v_k$. This can be expressed, following the method of Hardy and Littlewood, by

$$\int_{-\eta}^{1-\eta} f_1(\alpha) f_2^2(-\alpha) d\alpha = V \leq Na(m). \tag{16}$$

From now on we shall suppose that $\eta = \eta(m)$ satisfies

$$0 < \eta < \frac{1}{2}. \tag{17}$$

Since
$$\int_0^1 |f_2(\alpha)|^2 d\alpha = V \leq Na(m),$$

we have, by (15),

$$\int_{\eta}^{1-\eta} f_1(\alpha) f_2^2(-\alpha) d\alpha = O\left(\{a(m)\eta^{-1} + N(a(m) - a(2N)) + N^{\frac{1}{2}}\} Na(m)\right). \tag{18}$$

Further, by (14), we have

$$\begin{aligned} &\int_{-\eta}^{\eta} f_1(\alpha) f_2^2(-\alpha) d\alpha \\ &= \int_{-\eta}^{\eta} F_1(\alpha) F_2^2(-\alpha) d\alpha + O\left(\eta\{Na(m)\}^2 (N\{a(m) - a(2N)\} + N^{\frac{1}{2}})\right). \end{aligned} \tag{19}$$

Finally, by (13) and (17), we have

$$\int_{-\eta}^{\eta} F_1(\alpha) F_2^2(-\alpha) d\alpha = \int_{-\frac{1}{2}}^{\frac{1}{2}} F_1(\alpha) F_2^2(-\alpha) d\alpha + O(a^3(m)\eta^{-2}). \tag{20}$$

Now the integral on the right represents $a^3(m)$ times the number of solutions of $n = n' + n''$ in integers n, n', n'' satisfying $n \leq 2N, n' \leq N, n'' \leq N$. This number is N^2 . Thus, collecting together the results (16), (18), (19), (20), we obtain

$$\begin{aligned} a^2(m) &= \{N^2 a(m)\}^{-1} \int_{-\frac{1}{2}}^{\frac{1}{2}} F_1(\alpha) F_2^2(-\alpha) d\alpha \\ &= O\left(a^2(m) N^{-2} \eta^{-2} + \{\eta Na(m) + 1\} \{a(m) - a(2N) + N^{-\frac{1}{2}}\} + a(m) N^{-1} \eta^{-1}\right). \end{aligned}$$

Hence writing
$$\delta = (N\eta)^{-1}, \tag{21}$$

we obtain, noting that $2N = m^4$,

$$a^2(m) < c_1 \{a(m)\delta + a^2(m)\delta^2 + (\delta^{-1}a(m) + 1)(a(m) - a(m^4) + m^{-1})\}. \tag{22}$$

Here $\delta = \delta(m)$ is subject only to the restriction implied by (17).

We now write

$$m = 2^{4x}, \quad b(x) = a(m),$$

where x is any positive integer, so that (22) becomes

$$b^2(x) < c_1 \left\{ b(x) \delta + b^2(x) \delta^2 + (\delta^{-1} b(x) + 1) (b(x) - b(x+1) + 2^{-4^x}) \right\}. \quad (23)$$

5. In this section we shall deduce (1) from (2), (3), (4) and (23).

We assume $c_1 > 1$ (c_1 can be so chosen), and write $\delta = (2c_1)^{-1} b(x)$. Then, noting that $b(x) \leq 1$ by (4), we have

$$c_1 \{ b(x) \delta + b^2(x) \delta^2 \} \leq b^2(x) \left\{ \frac{1}{2} + \frac{1}{4c_1} \right\} < \frac{3}{4} b^2(x).$$

Further, by (21) and (4)

$$\eta = N^{-1} \delta^{-1} = c_2 m^{-4} \{ a(m) \}^{-1} < c_2 m^{-3},$$

so that (17) is satisfied for large x . Thus (23) implies

$$b^2(x) < c_3 (b(x) - b(x+1) + 2^{-4^x}) \text{ for } x > c_4. \quad (24)$$

Now $b(x)$ is a decreasing function, by (2), and hence

$$Pb^2(2P) \leq \sum_{x=P}^{2P-1} b^2(x) < c_5 (b(P) - b(2P) + \frac{4c_5}{2P}) \quad (25)$$

for all integers $P > c_4$.

Hence, if $P > c_4$ and $2Pb(2P) > 4c_5$, we have

$$2Pb(2P) < \frac{1}{4c_5} \{ 2Pb(2P) \}^2 < P \left\{ b(P) - b(2P) + \frac{4c_5}{2P} \right\} < Pb(P).$$

This clearly implies (by a backward induction) that if $c_4 < 2^t < 2^t$, then

$$2^t b(2^t) \leq \max(4c_5, 2^t b(2^t)),$$

so that

$$b(2^t) = O(2^{-t});$$

and hence, since $b(x)$ is a decreasing function,

$$b(x) = O(x^{-1}). \quad (26)$$

Finally, corresponding to any large integer y we may choose x to satisfy

$$2^{4^x} < y \leq 2^{4^{x+1}}.$$

Then, by (3), we have

$$a(y) \leq 2a(2^{4^x}) = 2b(x),$$

so that (26) implies (1).

University College,
London.