

# CSMC 417

## Computer Networks

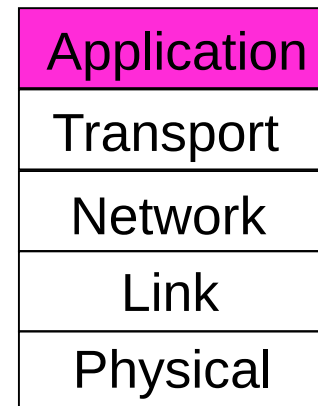
### Prof. Ashok K Agrawala

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Set 8

# The Application Layer

# The Application Layer

Uses transport services to  
build distributed  
applications



# Application-Layer Protocols

- Network applications run on end systems
  - They depend on the network to provide a service
  - ... but cannot run software on the network elements
- Network applications run on multiple machines
  - Different end systems communicate with each other
  - Software is often written by multiple parties
- Leading to a need to explicitly define a protocol
  - Types of messages (e.g., requests and responses)
  - Message syntax (e.g., fields, and how to delineate)
  - Semantics of the fields (i.e., meaning of the information)
  - Rules for when and how a process sends messages

# Application vs. Application-Layer Protocols

- Application-layer protocol is just one piece
  - Defining how the end hosts communicate
- Example: World Wide Web
  - HyperText Transfer Protocol is the protocol
  - But the Web includes other components, such as document formats (HTML), Web browsers, servers, ...
- Example: electronic mail
  - Simple Mail Transfer Protocol (SMTP) is the protocol
  - But e-mail includes other components, such as mail servers, user mailboxes, mail readers

# Protocols Tailored to the Application

- Telnet: interacting with account on remote machine
  - Client simply relays user keystrokes to the server
  - ... and server simply relays any output to the client
  - TCP connection persists for duration of the login session
  - Network Virtual Terminal format for transmitting ASCII data, and control information (e.g., End-of-Line delimiter)
- FTP: copying files between accounts
  - Client connects to remote machine, “logs in, and issues commands for transferring files to/from the account
  - ... and server responds to commands and transfers files
  - Separate TCP connections for control and data
  - Control connection uses same NVT format as Telnet

# Protocols Tailored to the Application

- SMTP: sending e-mail to a remote mail server
  - Sending mail server transmits e-mail message to a mail server running on a remote machine
  - Each server in the path adds its identifier to the message
  - Single TCP connection for control and data
  - SMTP replaced the earlier use of FTP for e-mail
- HTTP: satisfying requests based on a global URL
  - Client sends a request with method, URL, and meta-data
  - ... and the server applies the request to the resource and returns the response, including meta-data
  - Single TCP connection for control and data

# Comparing the Protocols

- Commands and replies
  - Telnet sends commands in binary, whereas the other protocols are text based
  - Many of the protocols have similar request methods and response codes
- Data types
  - Telnet, FTP, and SMTP transmit text data in standard U.S. 7-bit ASCII
  - FTP also supports transfer of data in binary form
  - SMTP uses MIME standard for sending non-text data
  - HTTP incorporates some key aspects of MIME (e.g., classification of data formats)

# Comparing the Protocols (Continued)

- Transport
  - Telnet, FTP, SMTP, and HTTP all depend on reliable transport protocol
  - Telnet, SMTP, and HTTP use a single TCP connection
  - ... but FTP has separate control and data connections
- State
  - In Telnet, FTP, and SMTP, the server retains information about the session with the client
  - E.g., FTP server remembers client's current directory
  - In contrast, HTTP servers are stateless

# Reflecting on Application-Layer Protocols

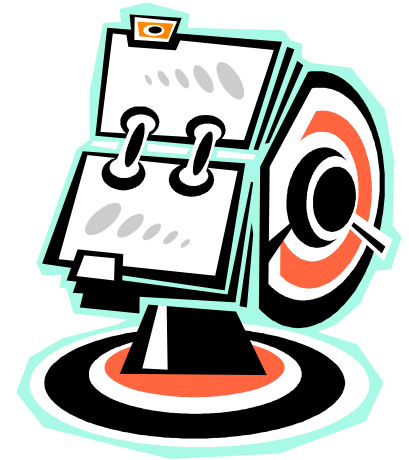
- Protocols are tailored to the applications
  - Each protocol is customized to a specific need
- Protocols have many key similarities
  - Each new protocol was influenced by the previous ones
  - New protocols commonly borrow from the older ones
- Protocols depend on same underlying substrate
  - Ordered reliable stream of bytes (i.e., TCP)
  - Domain Name System (DNS)
- Relevance of the protocol standards process
  - Important for interoperability across implementations
  - Yet, not necessary if same party writes all of the software
  - ...which is increasingly common (e.g., P2P software)



# Domain Name System (DNS)

# Topics

- Computer science concepts underlying DNS
  - Indirection: names in place of addresses
  - Hierarchy: in names, addresses, and servers
  - Caching: of mappings from names to/from addresses
- Inner-workings of DNS
  - DNS resolvers and servers
  - Iterative and recursive queries
  - TTL-based caching
- Web and DNS
  - Influence of DNS queries on Web performance
  - Server selection and load balancing



# DNS – Domain Name System

The DNS resolves high-level human readable names for computers to low-level IP addresses

- DNS name space »
- Domain Resource records »
- Name servers »

# Host Names vs. IP addresses

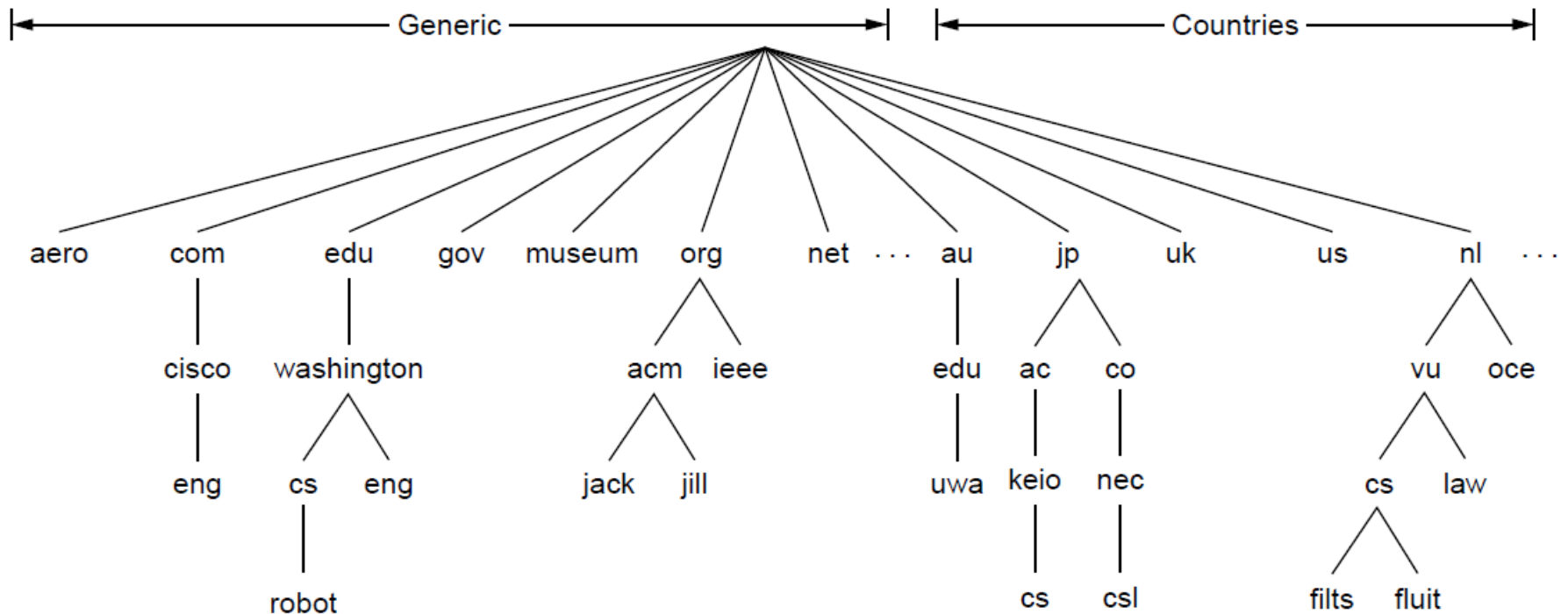
- Host names
  - Mnemonic name appreciated by humans
  - Variable length, alpha-numeric characters
  - Provide little (if any) information about location
  - Examples: `www.cnn.com` and `ftp.eurocom.fr`
- IP addresses
  - Numerical address appreciated by routers
  - Fixed length, binary number
  - Hierarchical, related to host location
  - Examples: `64.236.16.20` and `193.30.227.161`

# Separating Naming and Addressing

- Names are easier to remember
  - `www.cnn.com` vs. `64.236.16.20`
- Addresses can change underneath
  - Move `www.cnn.com` to `64.236.16.20`
  - E.g., renumbering when changing providers
- Name could map to multiple IP addresses
  - `www.cnn.com` to multiple replicas of the Web site
- Map to different addresses in different places
  - Address of a nearby copy of the Web site
  - E.g., to reduce latency, or return different content
- Multiple names for the same address
  - E.g., aliases like `ee.mit.edu` and `cs.mit.edu`

# The DNS Name Space (1)

DNS namespace is hierarchical from the root down



The computer *robot.cs.washington.edu*

# The DNS Name Space (2)

Generic top-level domains are controlled by ICANN who appoints registrars to run them

This one was controversial



| Domain | Intended use                | Start date | Restricted? |
|--------|-----------------------------|------------|-------------|
| com    | Commercial                  | 1985       | No          |
| edu    | Educational institutions    | 1985       | Yes         |
| gov    | Government                  | 1985       | Yes         |
| int    | International organizations | 1988       | Yes         |
| mil    | Military                    | 1985       | Yes         |
| net    | Network providers           | 1985       | No          |
| org    | Non-profit organizations    | 1985       | No          |
| aero   | Air transport               | 2001       | Yes         |
| biz    | Businesses                  | 2001       | No          |
| coop   | Cooperatives                | 2001       | Yes         |
| info   | Informational               | 2002       | No          |
| museum | Museums                     | 2002       | Yes         |
| name   | People                      | 2002       | No          |
| pro    | Professionals               | 2002       | Yes         |
| cat    | Catalan                     | 2005       | Yes         |
| jobs   | Employment                  | 2005       | Yes         |
| mobi   | Mobile devices              | 2005       | Yes         |
| tel    | Contact details             | 2005       | Yes         |
| travel | Travel industry             | 2005       | Yes         |
| xxx    | Sex industry                | 2010       | No          |

# Resource Record

Domain Name   Time-to-live   Class   Type   Value

- Domain Name – Domain to which this record applies
- Time-To-Live – Indication of how stable the record is – 86400 – seconds/day
- Class – For Internet information it is always IN
- Type –
- Value -

# DNS Resource Records

DNS: distributed db storing resource records (RR)

RR format: (name, value, type, ttl)

- Type=A

- name is hostname
- value is IP address

- Type=NS

- **name** is domain (e.g. foo.com)
- **value** is hostname of authoritative name server for this domain

- Type=CNAME

- name is alias name for some “canonical” (the real) name  
www.ibm.com is really  
servereast.backup2.ibm.com
- value is canonical name

- Type=MX

- value is name of mailserver associated with name

# Domain Resource Records (1)

The key resource records in the namespace are IP addresses (A/AAAA) and name servers (NS), but there are others too (e.g.,

| Type  | Meaning                 | Value                                    |
|-------|-------------------------|------------------------------------------|
| SOA   | Start of authority      | Parameters for this zone                 |
| A     | IPv4 address of a host  | 32-Bit integer                           |
| AAAA  | IPv6 address of a host  | 128-Bit integer                          |
| MX    | Mail exchange           | Priority, domain willing to accept email |
| NS    | Name server             | Name of a server for this domain         |
| CNAME | Canonical name          | Domain name                              |
| PTR   | Pointer                 | Alias for an IP address                  |
| SPF   | Sender policy framework | Text encoding of mail sending policy     |
| SRV   | Service                 | Host that provides it                    |
| TXT   | Text                    | Descriptive ASCII text                   |

# Domain Resource Records (2)

; Authoritative data for cs.vu.nl

|           |       |    |     |                                         |
|-----------|-------|----|-----|-----------------------------------------|
| cs.vu.nl. | 86400 | IN | SOA | star boss (9527,7200,7200,241920,86400) |
| cs.vu.nl. | 86400 | IN | MX  | 1 zephyr                                |
| cs.vu.nl. | 86400 | IN | MX  | 2 top                                   |
| cs.vu.nl. | 86400 | IN | NS  | star                                    |

← Name server

|        |       |    |       |                 |
|--------|-------|----|-------|-----------------|
| star   | 86400 | IN | A     | 130.37.56.205   |
| zephyr | 86400 | IN | A     | 130.37.20.10    |
| top    | 86400 | IN | A     | 130.37.20.11    |
| www    | 86400 | IN | CNAME | star.cs.vu.nl   |
| ftp    | 86400 | IN | CNAME | zephyr.cs.vu.nl |

← IP addresses of computers

|       |       |    |    |                |
|-------|-------|----|----|----------------|
| flits | 86400 | IN | A  | 130.37.16.112  |
| flits | 86400 | IN | A  | 192.31.231.165 |
| flits | 86400 | IN | MX | 1 flits        |
| flits | 86400 | IN | MX | 2 zephyr       |
| flits | 86400 | IN | MX | 3 top          |

|         |  |    |    |               |
|---------|--|----|----|---------------|
| rowboat |  | IN | A  | 130.37.56.201 |
|         |  | IN | MX | 1 rowboat     |
|         |  | IN | MX | 2 zephyr      |

← Mail gateways

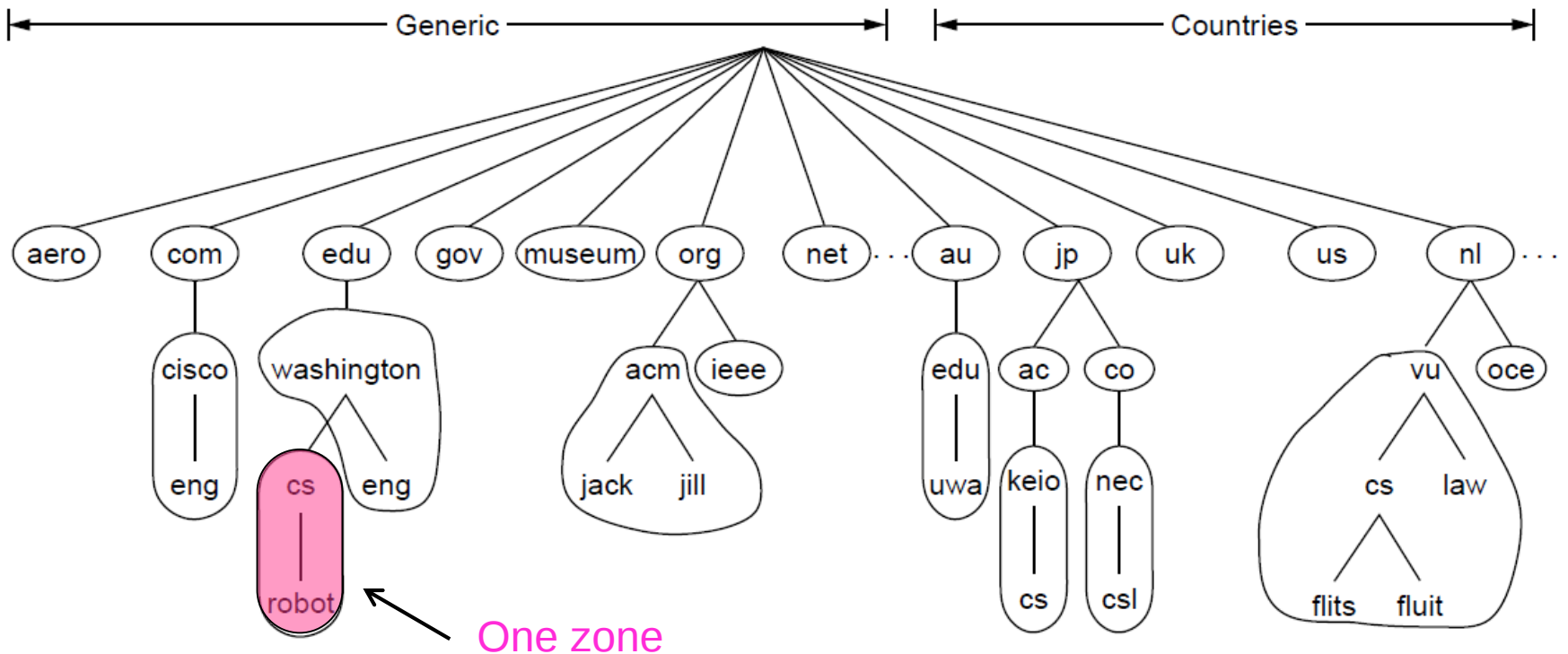
|               |  |    |   |              |
|---------------|--|----|---|--------------|
| little-sister |  | IN | A | 130.37.62.23 |
|---------------|--|----|---|--------------|

|          |  |    |   |                |
|----------|--|----|---|----------------|
| laserjet |  | IN | A | 192.31.231.216 |
|----------|--|----|---|----------------|

- A portion of a possible DNS database for cs.vu.nl.

# Name Servers (1)

Name servers contain data for portions of the name space called zones (circled).



# Name Servers (2)

Finding the IP address for a given hostname is called resolution and is done with the DNS protocol.

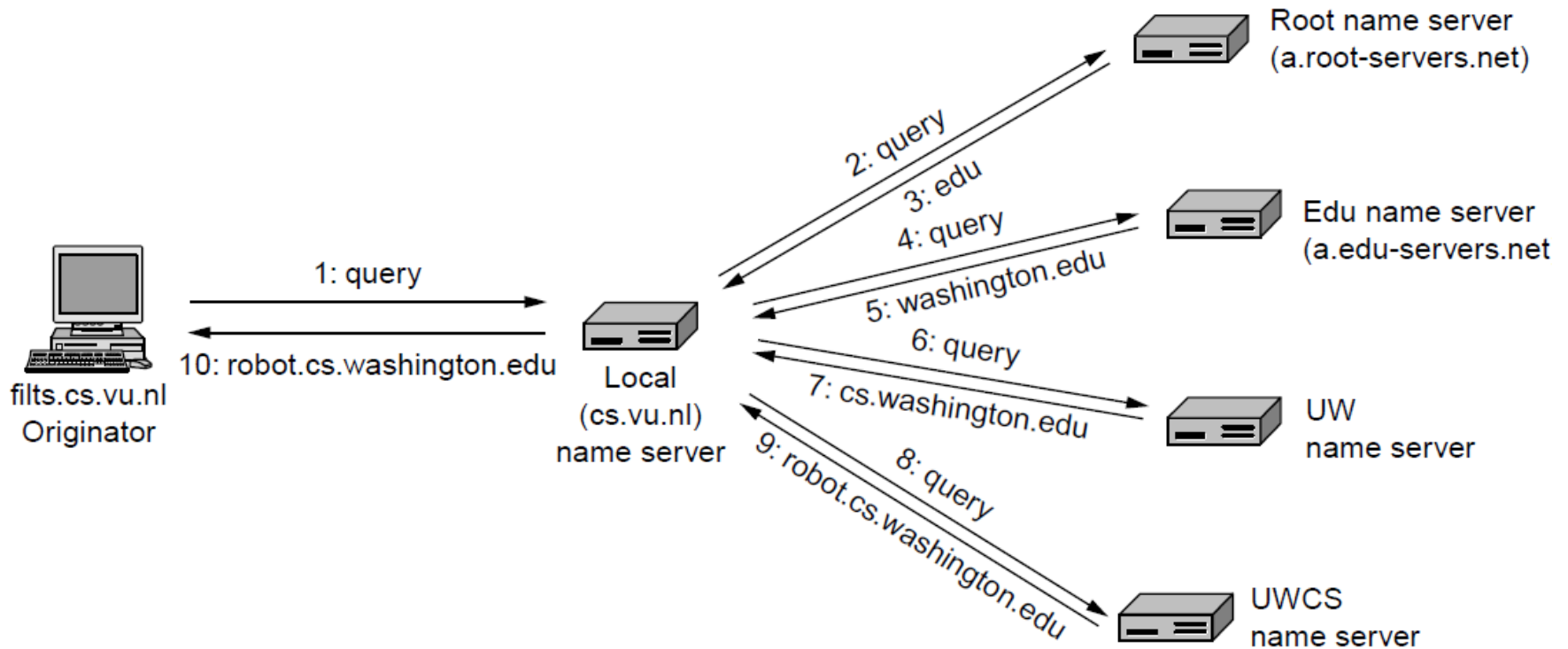
Resolution:

- Computer requests local name server to resolve
- Local name server asks the root name server
- Root returns the name server for a lower zone
- Continue down zones until name server can answer

DNS protocol:

- Runs on UDP port 53, retransmits lost messages
- Caches name server answers for better performance

# Name Servers (3)



Example of a computer looking up the IP for a name

# Strawman Solution: Local File

- Original name to address mapping
  - Flat namespace
  - /etc/hosts
  - SRI kept main copy
  - Downloaded regularly
- Count of hosts was increasing: moving from a machine per domain to machine per user
  - Many more downloads
  - Many more updates

## Strawman Solution #2: Central Server

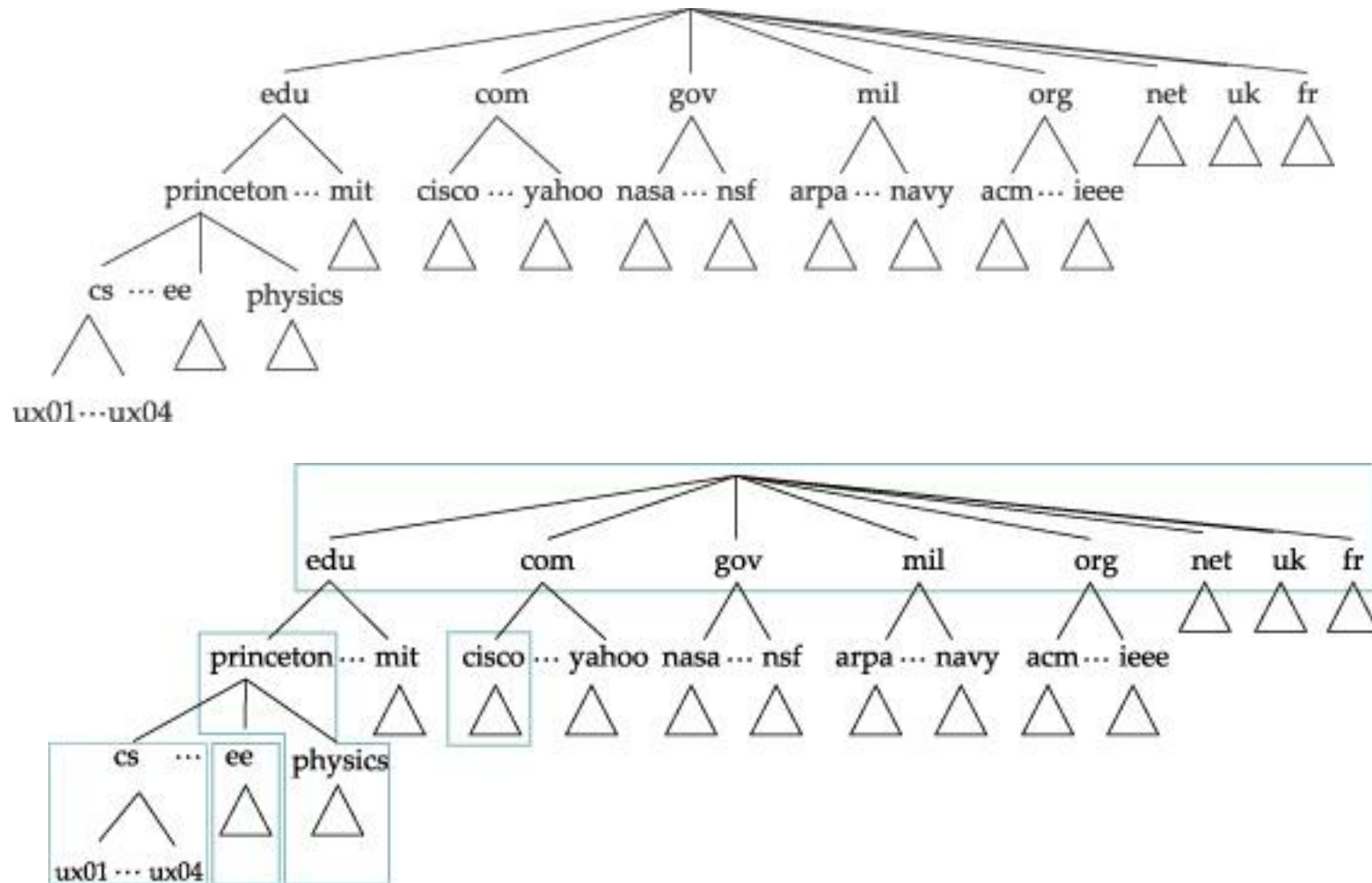
- Central server
  - One place where all mappings are stored
  - All queries go to the central server
- Many practical problems
  - Single point of failure
  - High traffic volume
  - Distant centralized database
  - Single point of update
  - Does not scale

**Need a distributed, hierarchical collection of servers**

# Domain Name System (DNS)

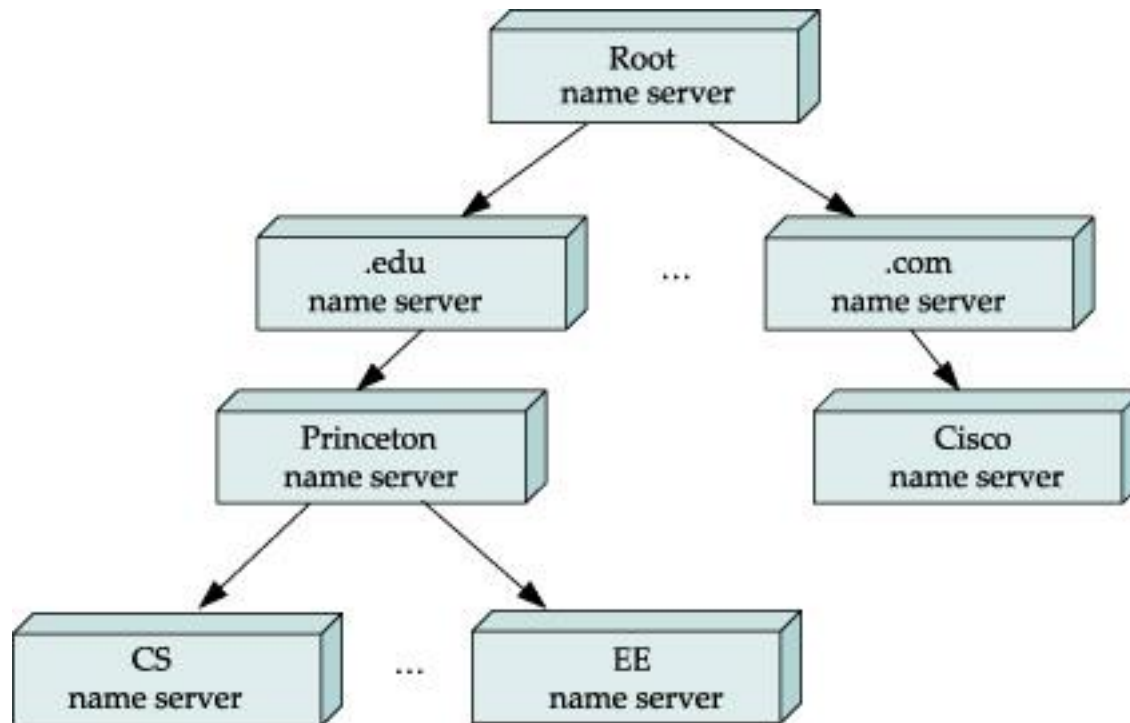
- Properties of DNS
  - Hierarchical name space divided into zones
  - Distributed over a collection of DNS servers
- Hierarchy of DNS servers
  - Root servers
  - Top-level domain (TLD) servers
  - Authoritative DNS servers
- Performing the translations
  - Local DNS servers
  - Resolver software

# Domain Hierarchy



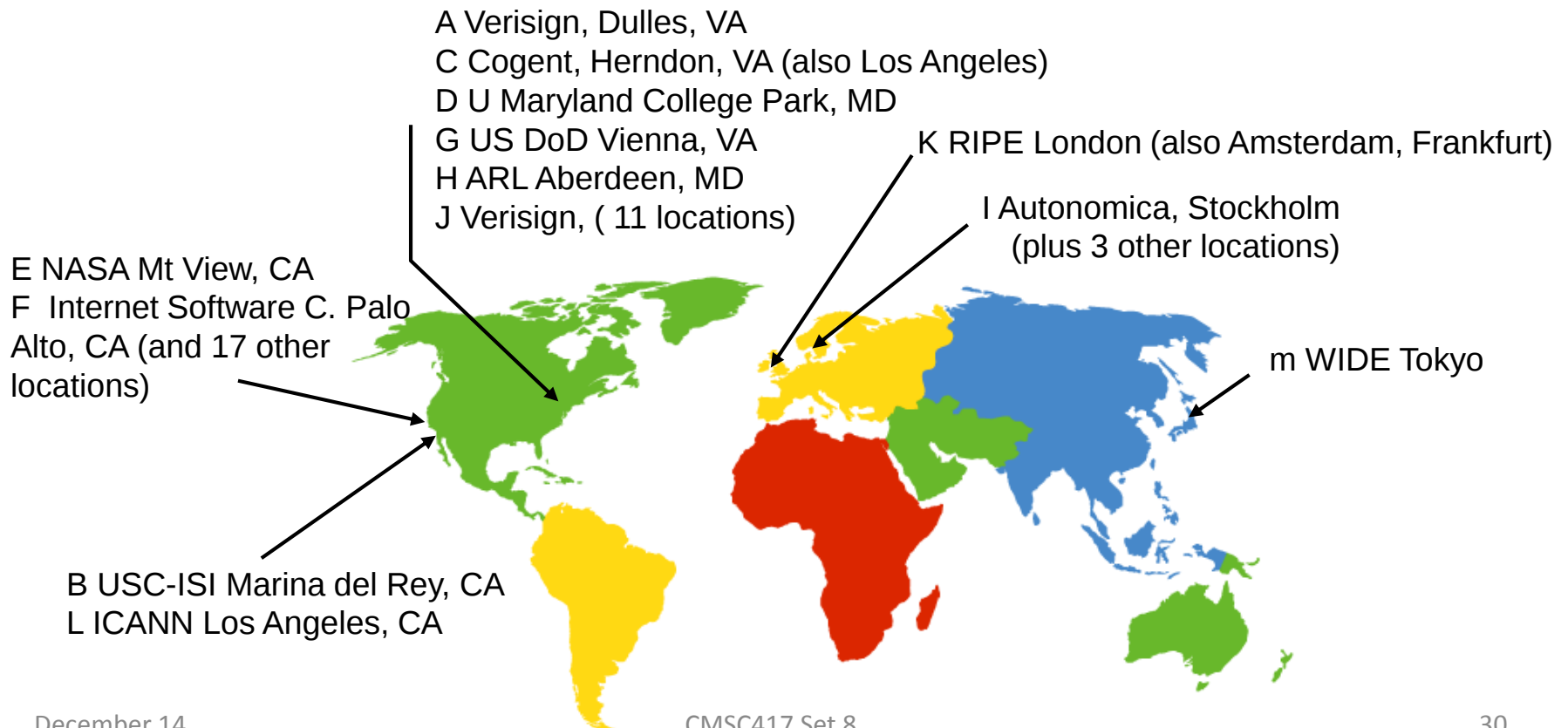
Organized in zones – unit of implementation of DNS

# Hierarchy of Name Servers



# DNS Root Servers

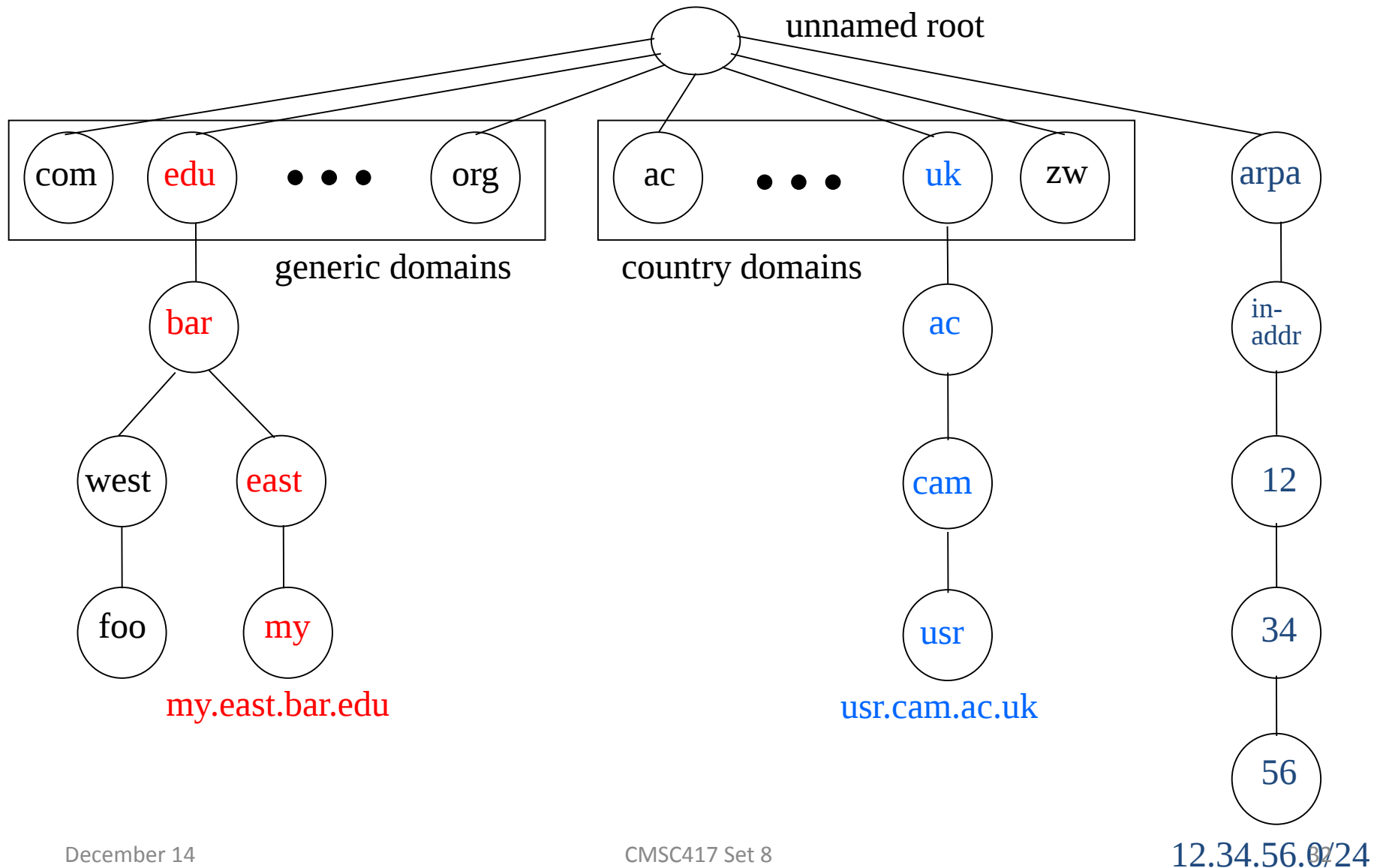
- 13 root servers (see <http://www.root-servers.org/>)
- Labeled A through M



# TLD and Authoritative DNS Servers

- Top-level domain (TLD) servers
  - Generic domains (e.g., com, org, edu)
  - Country domains (e.g., uk, fr, ca, jp)
  - Typically managed professionally
    - Network Solutions maintains servers for “com”
    - Educause maintains servers for “edu”
- Authoritative DNS servers
  - Provide public records for hosts at an organization
  - For the organization’s servers (e.g., Web and mail)
  - Can be maintained locally or by a service provider

# Distributed Hierarchical Database



# Using DNS

- Local DNS server (“default name server”)
  - Usually near the end hosts who use it
  - Local hosts configured with local server (e.g., `/etc/resolv.conf`) or learn the server via DHCP
- Client application
  - Extract server name (e.g., from the URL)
  - Do *gethostbyname()* to trigger resolver code
- Server application
  - Extract client IP address from socket
  - Optional *gethostbyaddr()* to translate into name

# DNS Caching

- Performing all these queries take time
  - And all this before the actual communication takes place
  - E.g., 1-second latency before starting Web download
- Caching can substantially reduce overhead
  - The top-level servers very rarely change
  - Popular sites (e.g., [www.cnn.com](http://www.cnn.com)) visited often
  - Local DNS server often has the information cached
- How DNS caching works
  - DNS servers cache responses to queries
  - Responses include a “time to live” (TTL) field
  - Server deletes the cached entry after TTL expires

# Negative Caching

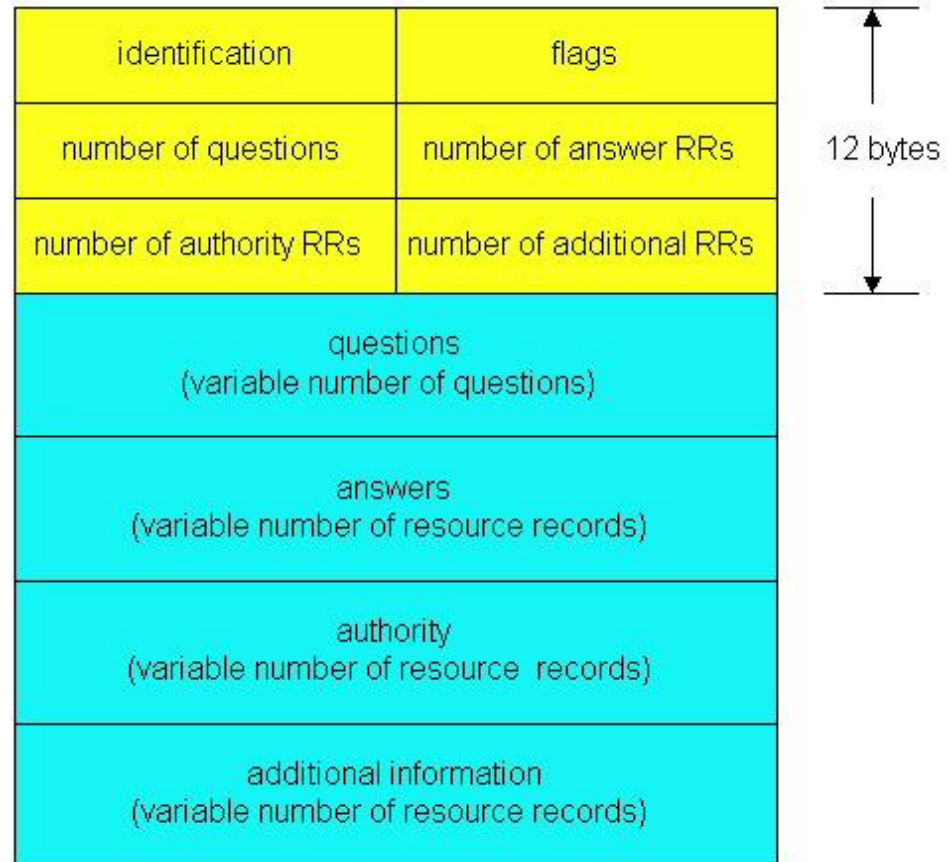
- Remember things that don't work
  - Misspellings like [www.cnn.comm](http://www.cnn.comm) and [www.cnnn.com](http://www.cnnn.com)
  - These can take a long time to fail the first time
  - Good to remember that they don't work
  - ... so the failure takes less time the next time around

# DNS Protocol

DNS protocol : *query* and *reply* messages, both with same *message format*

## Message header

- **Identification**: 16 bit # for query, reply to query uses same #
- **Flags**:
  - Query or reply
  - Recursion desired
  - Recursion available
  - Reply is authoritative



# Reliability

- DNS servers are replicated
  - Name service available if at least one replica is up
  - Queries can be load balanced between replicas
- UDP used for queries
  - Need reliability: must implement this on top of UDP
- Try alternate servers on timeout
  - Exponential backoff when retrying same server
- Same identifier for all queries
  - Don't care which server responds

# Inserting Resource Records into DNS

- Example: just created startup “FooBar”
- Register foobar.com at Network Solutions
  - Provide registrar with names and IP addresses of your authoritative name server (primary and secondary)
  - Registrar inserts two RRs into the com TLD server:
    - (foobar.com, dns1.foobar.com, NS)
    - (dns1.foobar.com, 212.212.212.1, A)
- Put in authoritative server dns1.foobar.com
  - Type A record for www.foobar.com
  - Type MX record for foobar.com



# DNS and the Web

# DNS Query in Web Download

- User types or clicks on a URL
  - E.g., `http://www.cnn.com/2006/leadstory.html`
- Browser extracts the site name
  - E.g., `www.cnn.com`
- Browser calls `gethostbyname()` to learn IP address
  - Triggers resolver code to query the local DNS server
- Eventually, the resolver gets a reply
  - Resolver returns the IP address to the browser
- Then, the browser contacts the Web server
  - Creates and connects socket, and sends HTTP request

# Multiple DNS Queries

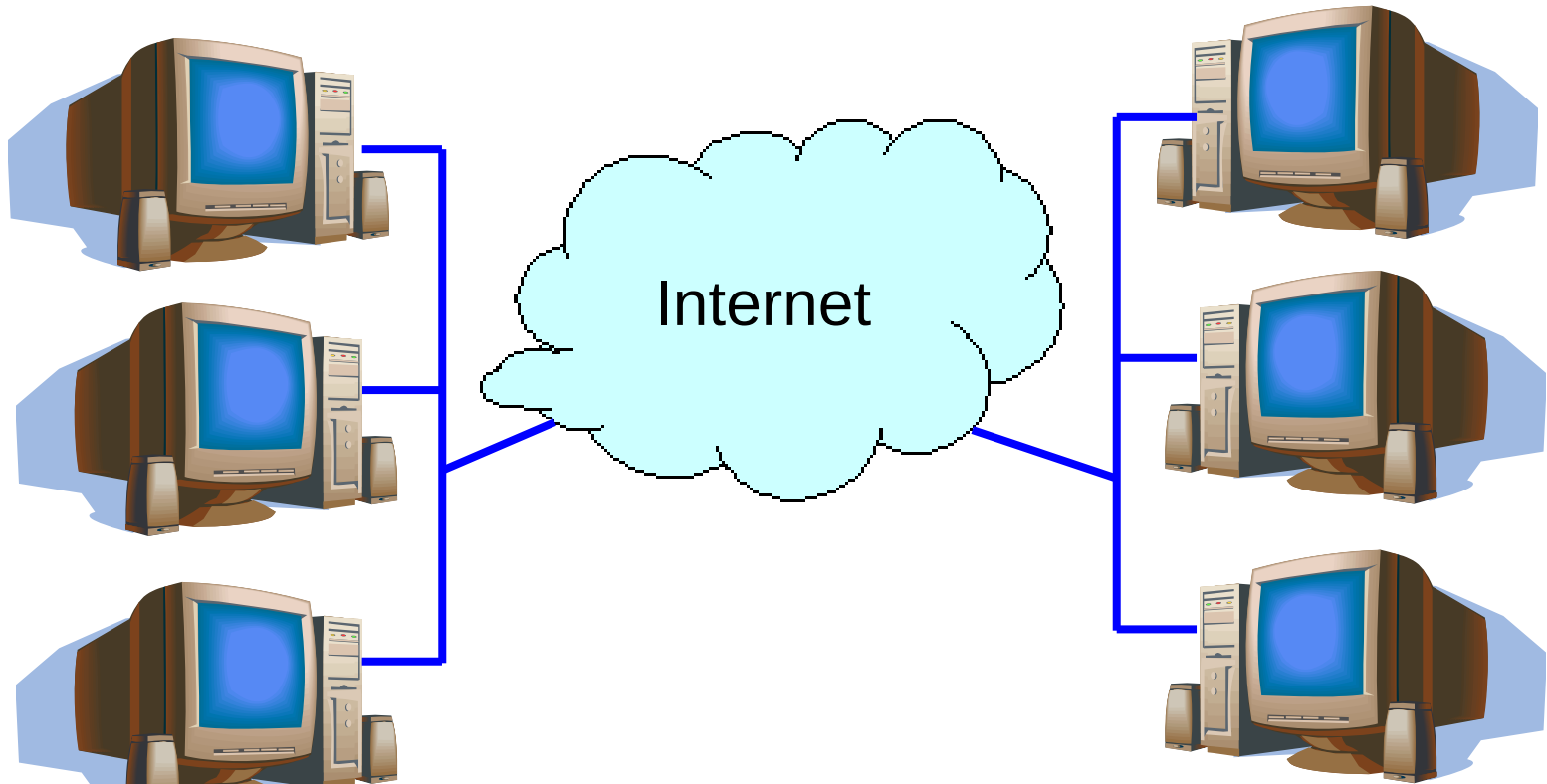
- Often a Web page has embedded objects
  - E.g., HTML file with embedded images
- Each embedded object has its own URL
  - ... and potentially lives on a different Web server
  - E.g., <http://www.myimages.com/image1.jpg>
- Browser downloads embedded objects
  - Usually done automatically, unless configured otherwise
  - Requires learning the address for [www.myimages.com](http://www.myimages.com)

# When are DNS Queries Unnecessary?

- Browser is configured to use a proxy
  - E.g., browser sends all HTTP requests through a proxy
  - Then, the proxy takes care of issuing the DNS request
- Requested Web resource is locally cached
  - E.g., cache has `http://www.cnn.com/2006/leadstory.html`
  - No need to fetch the resource, so no need to query
- Browser recently queried for this host name
  - E.g., user recently visited `http://www.cnn.com/`
  - So, the browser already called *gethostbyname()*
  - ... and may be locally caching the resulting IP address

# Web Server Replicas

- Popular Web sites can be easily overloaded
  - Web site often runs on multiple server machines



# Directing Web Clients to Replicas

- Simple approach: different names
  - `www1.cnn.com`, `www2.cnn.com`, `www3.cnn.com`
  - But, this requires users to select specific replicas
- More elegant approach: different IP addresses
  - Single name (e.g., `www.cnn.com`), multiple addresses
  - E.g., `64.236.16.20`, `64.236.16.52`, `64.236.16.84`, ...
- Authoritative DNS server returns many addresses
  - And the local DNS server selects one address
  - Authoritative server may vary the order of addresses

# Clever Load Balancing Schemes

- Selecting the “best” IP address to return
  - Based on server performance
  - Based on geographic proximity
  - Based on network load
  - ...
- Example policies
  - Round-robin scheduling to balance server load
  - U.S. queries get one address, Europe another
  - Tracking the current load on each of the replicas

# Challenge: What About DNS Caching?

- Problem: DNS caching
  - What if performance properties change?
  - Web clients still learning old “best” Web server
  - ... until the cached information expires
- Solution: Small Time-to-Live values
  - Setting artificially small TTL values
  - ... so replicas picked based on fresh information
- Disadvantages: abuse of DNS?
  - Many more DNS request/response messages
  - Longer latency in initiating the Web requests

# Electronic Mail

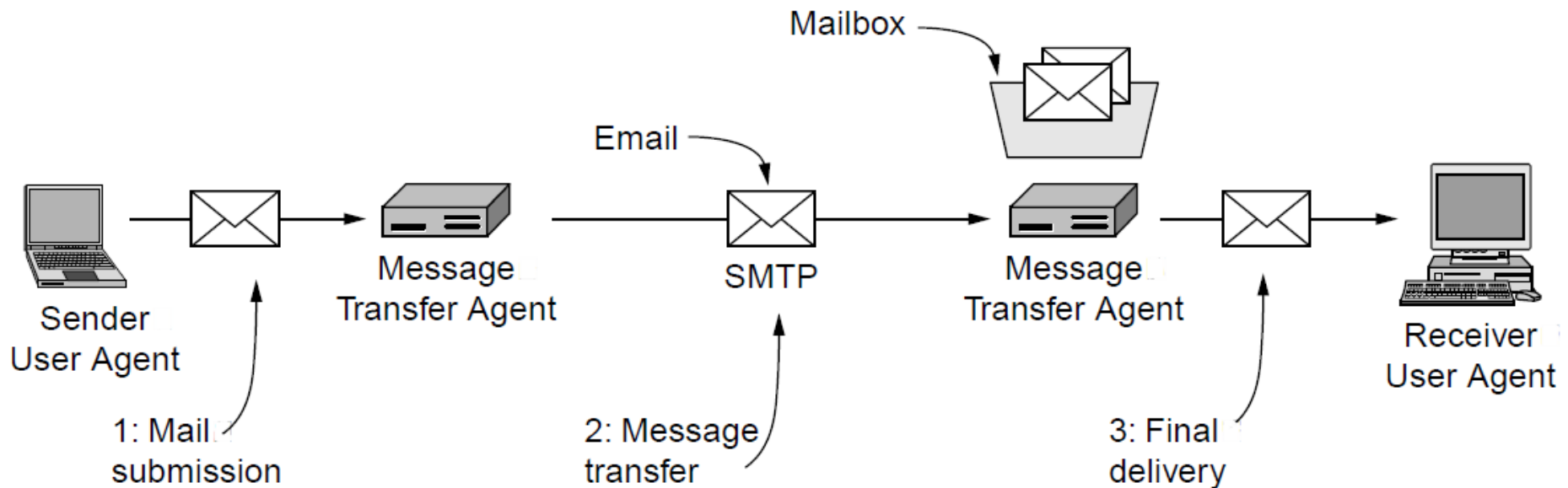
# Architecture and Services

## Basic functions

- Composition
- Transfer
- Reporting
- Displaying
- Disposition

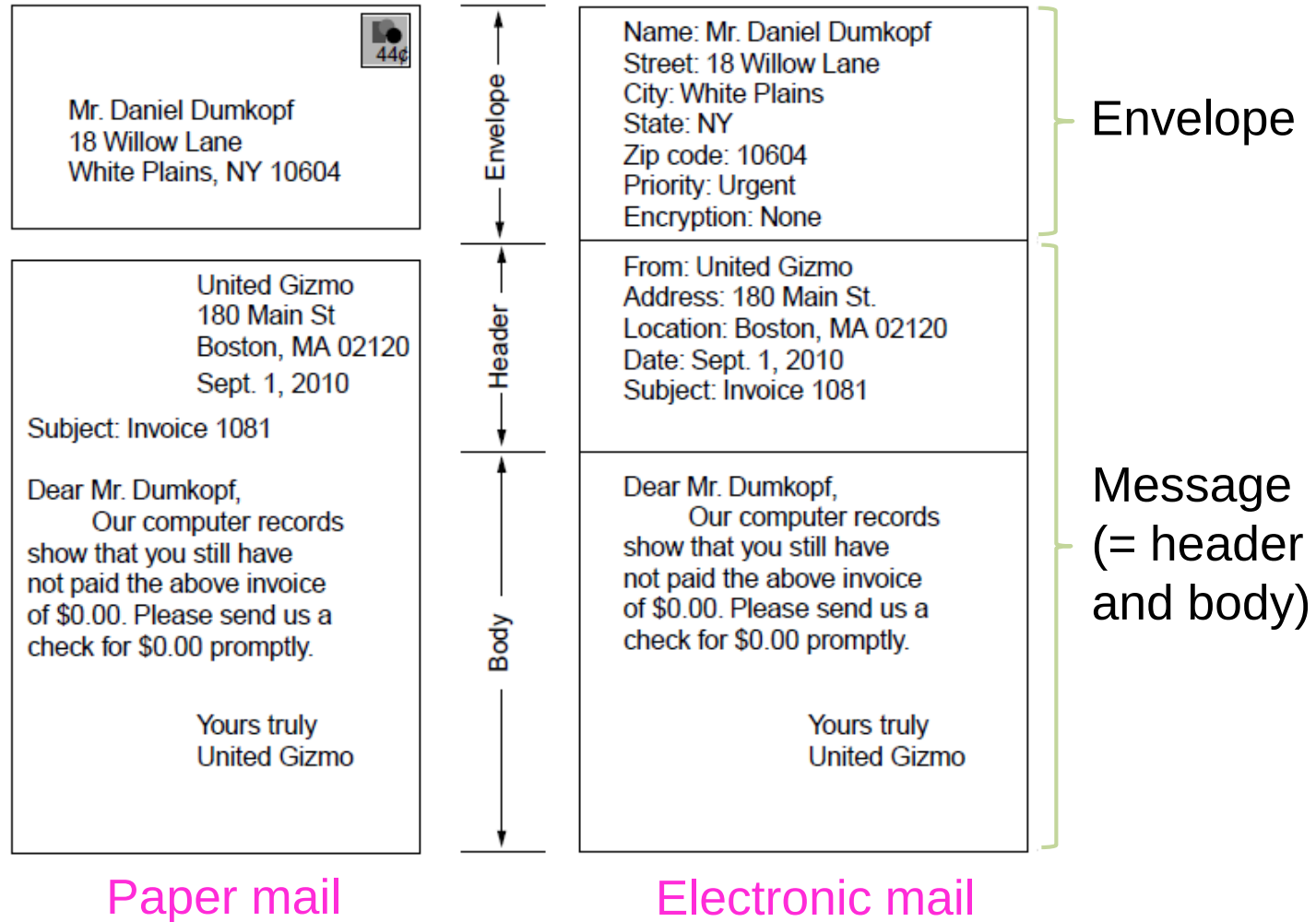
# Architecture and Services (1)

- The key components and steps (numbered) to send email

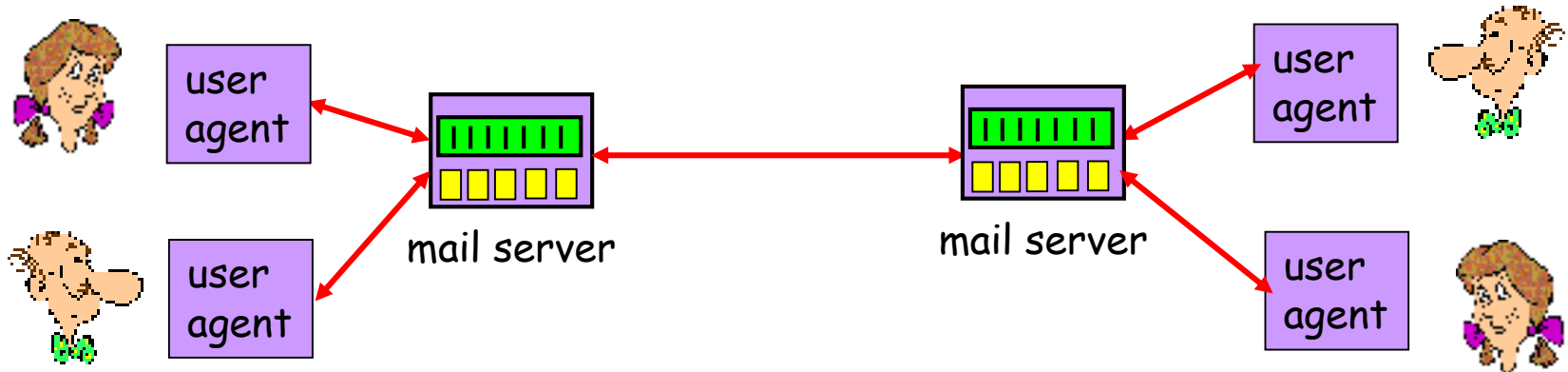


Architecture of the email system

# Architecture and Services (2)



# Mail Servers and User Agents



- Mail servers
  - Always on and always accessible
  - Transferring e-mail to and from other servers
- User agents
  - Sometimes on and sometimes accessible
  - Intuitive interface for the user

# The User Agent

Message folders

| Mail Folders |
|--------------|
| All items    |
| Inbox        |
| Networks     |
| Travel       |
| Junk Mail    |

Message summary

| From        |   | Subject                  | Received |
|-------------|---|--------------------------|----------|
| trudy       | ✉ | Not all Trudys are nasty | Today    |
| Andy        | 📎 | Material on RFID privacy | Today    |
| djw         | ! | Have you seen this?      | Mar 4    |
| Amy N. Wong |   | Request for information  | Mar 3    |
| guido       |   | Re: Paper acceptance     | Mar 3    |
| lazowska    |   | More on that             | Mar 2    |
| lazowska    | 📎 | New report out           | Mar 2    |
| ...         |   | ...                      | ...      |

Search 🔍

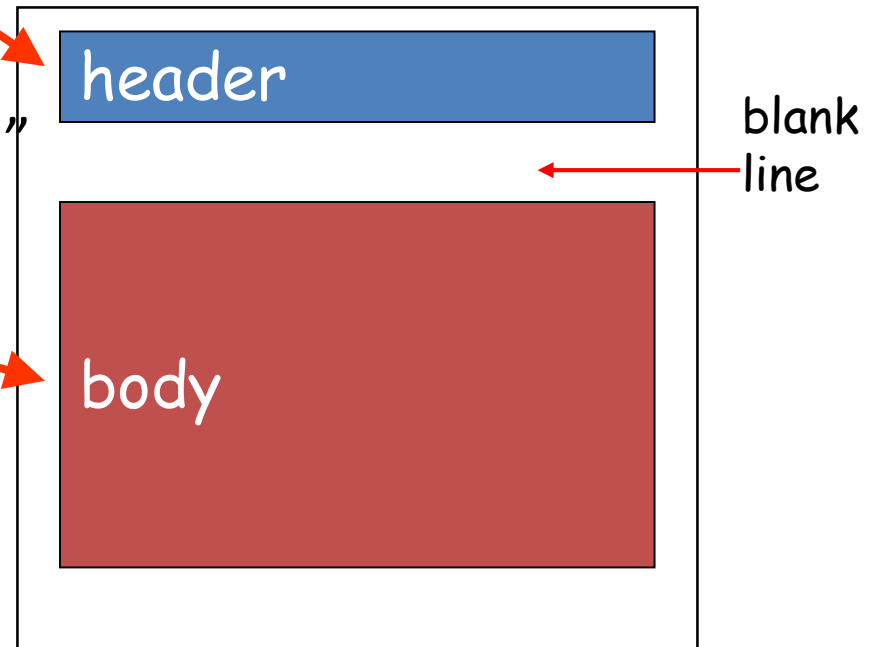
Mailbox search

| A. Student                                                                                                                            | Graduate studies? | Mar 1 |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------|-------|
| Dear Professor,<br>I recently completed my undergraduate studies with distinction at an excellent university. I will be visiting your |                   |       |
| ...                                                                                                                                   | ...               |       |

Message

# E-Mail Message

- E-mail messages have two parts
  - A header, in 7-bit U.S. ASCII text
  - A body, also represented in 7-bit U.S. ASCII text
- Header
  - Lines with “type: value”
  - “To: agrawala@cs.umd.edu”
  - “Subject: Go Terps!”
- Body
  - The text message
  - No particular structure or meaning



# E-Mail Message Format (RFC 822)

- E-mail messages have two parts
  - A header, in 7-bit U.S. ASCII text
  - A body, also represented in 7-bit U.S. ASCII text
- Header
  - Series of lines ending in carriage return and line feed
  - Each line contains a type and value, separated by “:”
  - E.g., “To: jrex@princeton.edu” and “Subject: Go Tigers”
  - Additional blank line before the body begins
- Body
  - Series of text lines with no additional structure/meaning
  - Conventions arose over time (e.g., e-mail signatures)

# Message Formats (1)

Header fields related to message transport;  
headers are readable ASCII text

| Header       | Meaning                                           |
|--------------|---------------------------------------------------|
| To:          | Email address(es) of primary recipient(s)         |
| Cc:          | Email address(es) of secondary recipient(s)       |
| Bcc:         | Email address(es) for blind carbon copies         |
| From:        | Person or people who created the message          |
| Sender:      | Email address of the actual sender                |
| Received:    | Line added by each transfer agent along the route |
| Return-Path: | Can be used to identify a path back to the sender |

# Message Formats (2)

## Other header fields useful for user agents

| Header       | Meaning                                               |
|--------------|-------------------------------------------------------|
| Date:        | The date and time the message was sent                |
| Reply-To:    | Email address to which replies should be sent         |
| Message-Id:  | Unique number for referencing this message later      |
| In-Reply-To: | Message-Id of the message to which this is a reply    |
| References:  | Other relevant Message-Ids                            |
| Keywords:    | User-chosen keywords                                  |
| Subject:     | Short summary of the message for the one-line display |

# Message Formats (3)

MIME header fields used to describe what content is in the body of the message

| Header                     | Meaning                                              |
|----------------------------|------------------------------------------------------|
| MIME-Version:              | Identifies the MIME version                          |
| Content-Description:       | Human-readable string telling what is in the message |
| Content-Id:                | Unique identifier                                    |
| Content-Transfer-Encoding: | How the body is wrapped for transmission             |
| Content-Type:              | Type and format of the content                       |

# Message Formats (5)

Putting it all  
together: a  
multipart  
message  
containing  
HTML and  
audio  
alternatives

One part  
(HTML)

Another  
(audio)

From: alice@cs.washington.edu  
To: bob@ee.uwa.edu.au  
MIME-Version: 1.0  
Message-Id: <0704760941.AA00747@cs.washington.edu>  
Content-Type: multipart/alternative; boundary=qwertyuiopasdfghjklzxcvbnm  
Subject: Earth orbits sun integral number of times

This is the preamble. The user agent ignores it. Have a nice day.

--qwertyuiopasdfghjklzxcvbnm  
Content-Type: text/html

<p>Happy birthday to you<br>  
Happy birthday to you<br>  
Happy birthday dear <b> Bob </b><br>  
Happy birthday to you</p>

--qwertyuiopasdfghjklzxcvbnm  
Content-Type: message/external-body;  
access-type="anon-ftp";  
site="bicycle.cs.washington.edu";  
directory="pub";  
name="birthday.snd"

content-type: audio/basic  
content-transfer-encoding: base64  
--qwertyuiopasdfghjklzxcvbnm--

# Multipurpose Internet Mail Extensions

- Additional headers to describe the message body
  - MIME-Version: the version of MIME being used
  - Content-Type: the type of data contained in the message
  - Content-Transfer-Encoding: how the data are encoded
- Definitions for a set of content types and subtypes
  - E.g., image with subtypes gif and jpeg
  - E.g., text with subtypes plain, html, and richtext
  - E.g., application with subtypes postscript and msword
  - E.g., multipart for messages with multiple data types
- A way to encode the data in ASCII format
  - Base64 encoding, as in uuencode/uudecode

# MIME – Multipurpose Internet Mail Extensions

Problems with international languages:

- Languages with accents (French, German).
- Languages in non-Latin alphabets (Hebrew, Russian).
- Languages without alphabets (Chinese, Japanese).
- Messages not containing text at all (audio or images).

# Message Formats (3)

| Header                     | Meaning                                              |
|----------------------------|------------------------------------------------------|
| MIME-Version:              | Identifies the MIME version                          |
| Content-Description:       | Human-readable string telling what is in the message |
| Content-Id:                | Unique identifier                                    |
| Content-Transfer-Encoding: | How the body is wrapped for transmission             |
| Content-Type:              | Type and format of the content                       |

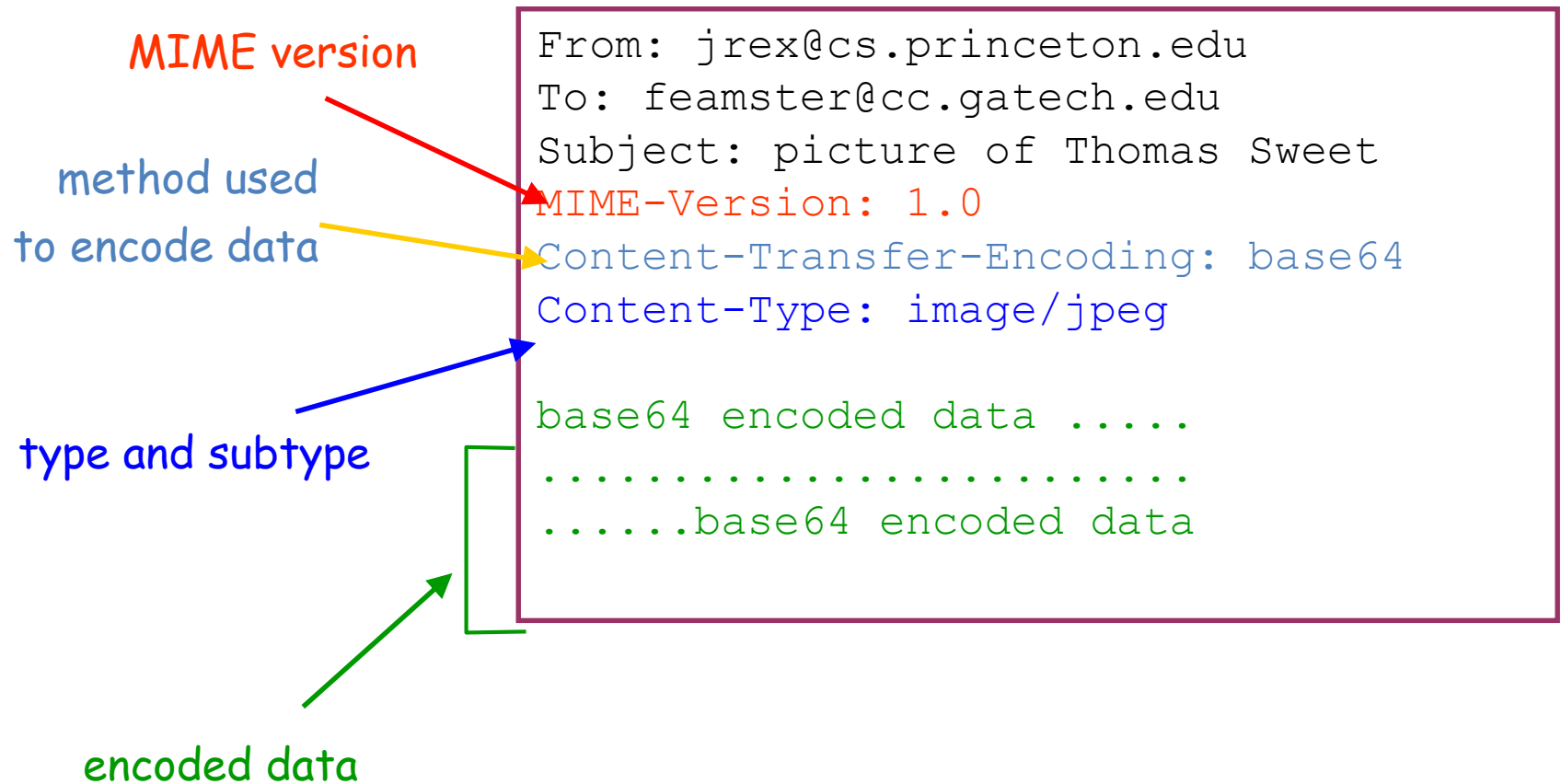
Message headers added by MIME  
Multipurpose Internet Mail Extensions.

# MIME (3)

The MIME types and subtypes defined in RFC 2045.

| Type        | Subtype       | Description                                 |
|-------------|---------------|---------------------------------------------|
| Text        | Plain         | Unformatted text                            |
|             | Enriched      | Text including simple formatting commands   |
| Image       | Gif           | Still picture in GIF format                 |
|             | Jpeg          | Still picture in JPEG format                |
| Audio       | Basic         | Audible sound                               |
| Video       | Mpeg          | Movie in MPEG format                        |
| Application | Octet-stream  | An uninterpreted byte sequence              |
|             | Postscript    | A printable document in PostScript          |
| Message     | Rfc822        | A MIME RFC 822 message                      |
|             | Partial       | Message has been split for transmission     |
|             | External-body | Message itself must be fetched over the net |
| Multipart   | Mixed         | Independent parts in the specified order    |
|             | Alternative   | Same message in different formats           |
|             | Parallel      | Parts must be viewed simultaneously         |
|             | Digest        | Each part is a complete RFC 822 message     |

# Example: E-Mail Message Using MIME



# Message Transfer (1)

Messages are transferred with SMTP (Simple Mail Transfer Protocol)

- Readable text commands
- Submission from user agent to MTA on port 587
- One MTA to the next MTA on port 25
- Other protocols for final delivery (IMAP, POP3)

# Message Transfer (2)

Sending a  
message:

- From Alice to Bob
- SMTP commands are marked [pink]

```
S: 220 ee.uwa.edu.au SMTP service ready
C: [HELO] abcd.com
S: 250 cs.washington.edu says hello to ee.uwa.edu.au
C: [MAIL] FROM: <alice@cs.washington.edu>
S: 250 sender ok
C: [RCPT] TO: <bob@ee.uwa.edu.au>
S: 250 recipient ok
C: [DATA]
S: 354 Send mail; end with "." on a line by itself
C: From: alice@cs.washington.edu
C: To: bob@ee.uwa.edu.au
C: MIME-Version: 1.0
C: Message-Id: <0704760941.AA00747@ee.uwa.edu.au>
C: Content-Type: multipart/alternative; boundary=qwertyuiopasdfghjklzxcvbnm
C: Subject: Earth orbits sun integral number of times
C:
C: This is the preamble. The user agent ignores it. Have a nice day.
C:
C: --qwertyuiopasdfghjklzxcvbnm
C: Content-Type: text/html
C:
C: <p>Happy birthday to you
C: Happy birthday to you
C: . . . (rest of message) . . .
C: --qwertyuiopasdfghjklzxcvbnm
C: .
S: 250 message accepted
C: [QUIT]
S: 221 ee.uwa.edu.au closing connection
```

# Message Transfer (3)

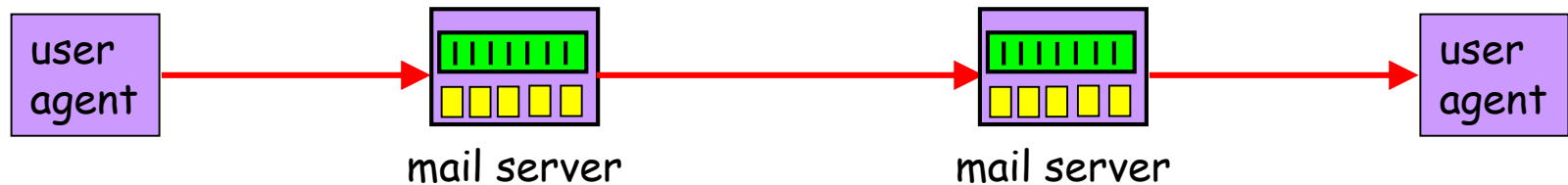
Common SMTP extensions (not in simple example)

| Keyword    | Description                                   |
|------------|-----------------------------------------------|
| AUTH       | Client authentication                         |
| BINARYMIME | Server accepts binary messages                |
| CHUNKING   | Server accepts large messages in chunks       |
| SIZE       | Check message size before trying to send      |
| STARTTLS   | Switch to secure transport (TLS; see Chap. 8) |
| UTF8SMTP   | Internationalized addresses                   |

# E-Mail Addresses

- Components of an e-mail address
  - Local mailbox (e.g., jrex or bob.flower)
  - Domain name (e.g., cs.princeton.edu)
- Domain name is not necessarily the mail server
  - Mail server may have longer/cryptic name
    - E.g., cs.princeton.edu vs. mail.cs.princeton.edu
  - Multiple servers may exist to tolerate failures
    - E.g., cnn.com vs. atlmail3.turner.com and nycmail2.turner.com
- Identifying the mail server for a domain
  - DNS query asking for MX records (Mail eXchange)
    - E.g., nslookup -q=mx cs.princeton.edu
  - Then, a regular DNS query to learn the IP address

# SMTP Store-and-Forward Protocol



- Messages sent through a series of servers
  - A server stores incoming messages in a queue
  - ... to await attempts to transmit them to the next hop
- If the next hop is not reachable
  - The server stores the message and tries again later
- Each hop adds its identity to the message
  - By adding a “Received” header with its identity
  - Helpful for diagnosing problems with e-mail

# Example With Received Header

Return-Path: <casado@cs.stanford.edu>

Received: from ribavirin.CS.Princeton.EDU (ribavirin.CS.Princeton.EDU [128.112.136.44])  
by newark.CS.Princeton.EDU (8.12.11/8.12.11) with SMTP id k04M5R7Y023164  
for <jrex@newark.CS.Princeton.EDU>; Wed, 4 Jan 2006 17:05:37 -0500 (EST)

Received: from bluebox.CS.Princeton.EDU ([128.112.136.38])  
by ribavirin.CS.Princeton.EDU (SMSSSMTP 4.1.0.19) with SMTP id M2006010417053607946  
for <jrex@newark.CS.Princeton.EDU>; Wed, 04 Jan 2006 17:05:36 -0500

Received: from smtp-roam.Stanford.EDU (smtp-roam.Stanford.EDU [171.64.10.152])  
by bluebox.CS.Princeton.EDU (8.12.11/8.12.11) with ESMTP id k04M5XNQ005204  
for <jrex@cs.princeton.edu>; Wed, 4 Jan 2006 17:05:35 -0500 (EST)

Received: from [192.168.1.101] (adsl-69-107-78-147.dsl.pltn13.pacbell.net [69.107.78.147])  
(authenticated bits=0)  
by smtp-roam.Stanford.EDU (8.12.11/8.12.11) with ESMTP id k04M5W92018875  
(version=TLSv1/SSLv3 cipher=DHE-RSA-AES256-SHA bits=256 verify=NOT);  
Wed, 4 Jan 2006 14:05:32 -0800

Message-ID: <43BC46AF.3030306@cs.stanford.edu>

Date: Wed, 04 Jan 2006 14:05:35 -0800

From: Martin Casado <casado@cs.stanford.edu>

User-Agent: Mozilla Thunderbird 1.0 (Windows/20041206)

MIME-Version: 1.0

To: jrex@CS.Princeton.EDU

CC: Martin Casado <casado@cs.stanford.edu>

Subject: Using VNS in Class

Content-Type: text/plain; charset=ISO-8859-1; format=flowed

Content-Transfer-Encoding: 7bit

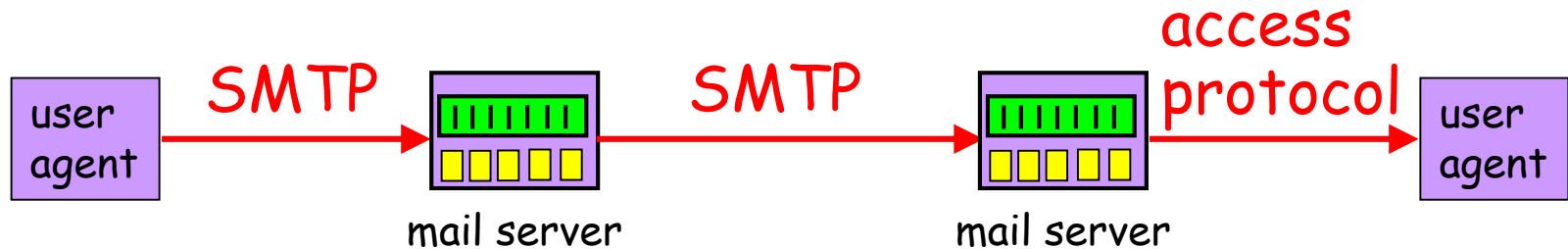
# Multiple Server Hops

- Typically at least two mail servers
  - Sending and receiving sides
- May be more
  - Separate servers for key functions
    - Spam filtering
    - Virus scanning
  - Servers that redirect the message
    - From `jrex@princeton.edu` to `jrex@cs.princeton.edu`
    - Messages to `princeton.edu` go through extra hops
  - Electronic mailing lists
    - Mail delivered to the mailing list's server
    - ... and then the list is expanded to each recipient

# Electronic Mailing Lists

- Community of users reachable by one address
  - Allows groups of people to receive the messages
- Exploders
  - Explode a single e-mail message into multiple messages
  - One copy of the message per recipient
- Handling bounced messages
  - Mail may bounce for several reasons
  - E.g., recipient mailbox does not exist; resource limits
- E-mail digests
  - Sending a group of mailing-list messages at once
  - Messages delimited by boundary strings
  - ... or transmitted using multiple/digest format

# Simple Mail Transfer Protocol



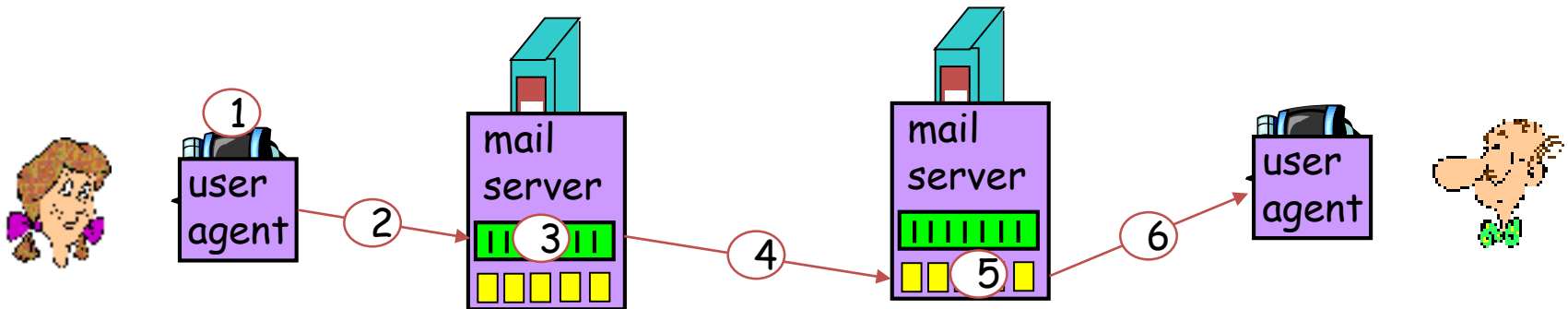
- Client-server protocol
  - Client is the sending mail server
  - Server is the receiving mail server
- Reliable data transfer
  - Built on top of TCP (on port 25)
- Push protocol
  - Sending server pushes the file to the receiving server
  - ... rather than waiting for the receiver to request it

# Simple Mail Transfer Protocol (Cont.)

- Command/response interaction
  - Commands: ASCII text
  - Response: three-digit status code and phrase
- Synchronous
  - Sender awaits response from a command
  - ... before issuing the next command
  - Though pipelining of commands was added later
- Three phases of transfer
  - Handshaking (greeting)
  - Transfer of messages
  - Closure

# Scenario: Alice Sends Message to Bob

- 1) Alice uses UA to compose message “to”  
`bob@someschool.edu`
- 2) Alice’s UA sends message to her mail server; message placed in message queue
- 3) Client side of SMTP opens TCP connection with Bob’s mail server
- 4) SMTP client sends Alice’s message over the TCP connection
- 5) Bob’s mail server places the message in Bob’s mailbox
- 6) Bob invokes his user agent to read message



# Sample SMTP interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

# Try SMTP For Yourself

- Running SMTP
  - Run “telnet servername 25” at UNIX prompt
  - See 220 reply from server
  - Enter HELO, MAIL FROM, RCPT TO, DATA commands
- Thinking about spoofing?
  - Very easy
  - Just forge the argument of the “FROM” command
  - ... leading to all sorts of problems with spam
- Spammers can be even more clever
  - E.g., using open SMTP servers to send e-mail
  - E.g., forging the “Received” header

# Retrieving E-Mail From the Server

- Server stores incoming e-mail by mailbox
  - Based on the “From” field in the message
- Users need to retrieve e-mail
  - Asynchronous from when the message was sent
  - With a way to view the message and reply
  - With a way to organize and store the messages
- In the olden days...
  - User logged on to the machine where mail was delivered
  - Users received e-mail on their main work machine

# Influence of PCs on E-Mail Retrieval

- Separate machine for personal use
  - Users did not want to log in to remote machines
- Resource limitations
  - Most PCs did not have enough resources to act as a full-fledged e-mail server
- Intermittent connectivity
  - PCs only sporadically connected to the network
  - ... due to dial-up connections, and shutting down of PC
  - Too unwieldy to have sending server keep trying
- Led to the creation of Post Office Protocol (POP)

# Post Office Protocol (POP)

- POP goals
  - Support users with intermittent network connectivity
  - Allow them to retrieve e-mail messages when connected
  - ... and view/manipulate messages when disconnected
- Typical user-agent interaction with a POP server
  - Connect to the server
  - Retrieve all e-mail messages
  - Store messages on the user's PCs as new messages
  - Delete the messages from the server
  - Disconnect from the server
- User agent still uses SMTP to send messages

# POP3 Protocol

## Authorization phase

- Client commands:
  - **user**: declare username
  - **pass**: password
- Server responses
  - **+OK**
  - **-ERR**



```
S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on
```

## Transaction phase, client:

- **list**: list message numbers
- **retr**: retrieve message by number
- **dele**: delete
- **quit**



```
C: list
S: 1 498
S: 2 912
S: .
C: retr 1
S: <message 1 contents>
S: .
C: dele 1
C: retr 2
S: <message 1 contents>
S: .
C: dele 2
C: quit
S: +OK POP3 server signing off
```

# POP3

Using POP3 to fetch  
three messages.

```
S: +OK POP3 server ready
C: USER carolyn
S: +OK
C: PASS vegetables
S: +OK login successful
C: LIST
S: 1 2505
S: 2 14302
S: 3 8122
S: .
C: RETR 1
S: (sends message 1)
C: DELE 1
C: RETR 2
S: (sends message 2)
C: DELE 2
C: RETR 3
S: (sends message 3)
C: DELE 3
C: QUIT
S: +OK POP3 server disconnecting
```

# Limitations of POP

- Does not handle multiple mailboxes easily
  - Designed to put user's incoming e-mail in one folder
- Not designed to keep messages on the server
  - Instead, designed to download messages to the client
- Poor handling of multiple-client access to mailbox
  - Increasingly important as users have home PC, work PC, laptop, cyber café computer, friend's machine, etc.
- High network bandwidth overhead
  - Transfers all of the e-mail messages, often well before they are read (and they might not be read at all!)

# Interactive Mail Access Protocol (IMAP)

- Supports connected and disconnected operation
  - Users can download message contents on demand
- Multiple clients can connect to mailbox at once
  - Detects changes made to the mailbox by other clients
  - Server keeps state about message (e.g., read, replied to)
- Access to MIME parts of messages & partial fetch
  - Clients can retrieve individual parts separately
  - E.g., text of a message without downloading attachments
- Multiple mailboxes on the server
  - Client can create, rename, and delete mailboxes
  - Client can move messages from one folder to another
- Server-side searches
  - Search on server before downloading messages

# Final Delivery (1)

User agent uses protocol like IMAP for final delivery

- Has commands to manipulate folders / messages [right]

Alternatively, a Web interface (with proprietary protocol) might be used

| Command      | Description                               |
|--------------|-------------------------------------------|
| CAPABILITY   | List server capabilities                  |
| STARTTLS     | Start secure transport (TLS; see Chap. 8) |
| LOGIN        | Log on to server                          |
| AUTHENTICATE | Log on with other method                  |
| SELECT       | Select a folder                           |
| EXAMINE      | Select a read-only folder                 |
| CREATE       | Create a folder                           |
| DELETE       | Delete a folder                           |
| RENAME       | Rename a folder                           |
| SUBSCRIBE    | Add folder to active set                  |
| LIST         | List the available folders                |
| LSUB         | List the active folders                   |
| STATUS       | Get the status of a folder                |
| APPEND       | Add a message to a folder                 |
| CHECK        | Get a checkpoint of a folder              |
| FETCH        | Get messages from a folder                |
| SEARCH       | Find messages in a folder                 |
| STORE        | Alter message flags                       |
| COPY         | Make a copy of a message in a folder      |
| EXPUNGE      | Remove messages flagged for deletion      |
| UID          | Issue commands using unique identifiers   |
| NOOP         | Do nothing                                |
| CLOSE        | Remove flagged messages and close folder  |
| LOGOUT       | Log out and close connection              |

# IMAP

## A comparison of POP3 and IMAP.

| Feature                        | POP3      | IMAP             |
|--------------------------------|-----------|------------------|
| Where is protocol defined?     | RFC 1939  | RFC 2060         |
| Which TCP port is used?        | 110       | 143              |
| Where is e-mail stored?        | User's PC | Server           |
| Where is e-mail read?          | Off-line  | On-line          |
| Connect time required?         | Little    | Much             |
| Use of server resources?       | Minimal   | Extensive        |
| Multiple mailboxes?            | No        | Yes              |
| Who backs up mailboxes?        | User      | ISP              |
| Good for mobile users?         | No        | Yes              |
| User control over downloading? | Little    | Great            |
| Partial message downloads?     | No        | Yes              |
| Are disk quotas a problem?     | No        | Could be in time |
| Simple to implement?           | Yes       | No               |
| Widespread support?            | Yes       | Growing          |

# Web-Based E-Mail

- User agent is an ordinary Web browser
  - User communicates with server via HTTP
  - E.g., Gmail, Yahoo mail, and Hotmail
- Reading e-mail
  - Web pages display the contents of folders
  - ... and allow users to download and view messages
  - “GET” request to retrieve the various Web pages
- Sending e-mail
  - User types the text into a form and submits to the server
  - “POST” request to upload data to the server
  - Server uses SMTP to deliver message to other servers
- Easy to send anonymous e-mail (e.g., spam)



# World Wide Web

# Web Topics

- Main ingredients of the Web
  - URL, HTML, and HTTP
- Key properties of HTTP
  - Request-response, stateless, and resource meta-data
- Web components
  - Clients, proxies, and servers
  - Caching vs. replication
- Interaction with underlying network protocols
  - DNS and TCP
  - TCP performance for short transfers
  - Parallel connections, persistent connections, pipelining

# Web History

- Before the 1970s-1980s
  - Internet used mainly by researchers and academics
  - Log in remote machines, transfer files, exchange e-mail
- Late 1980s and early 1990s
  - Initial proposal for the Web by Berners-Lee in 1989
  - Competing systems for searching/accessing documents
    - Gopher, Archie, WAIS (Wide Area Information Servers), ...
    - All eventually subsumed by the World Wide Web
- Growth of the Web in the 1990s
  - 1991: first Web browser and server
  - 1993: first version of Mosaic browser

# Enablers for Success of the Web

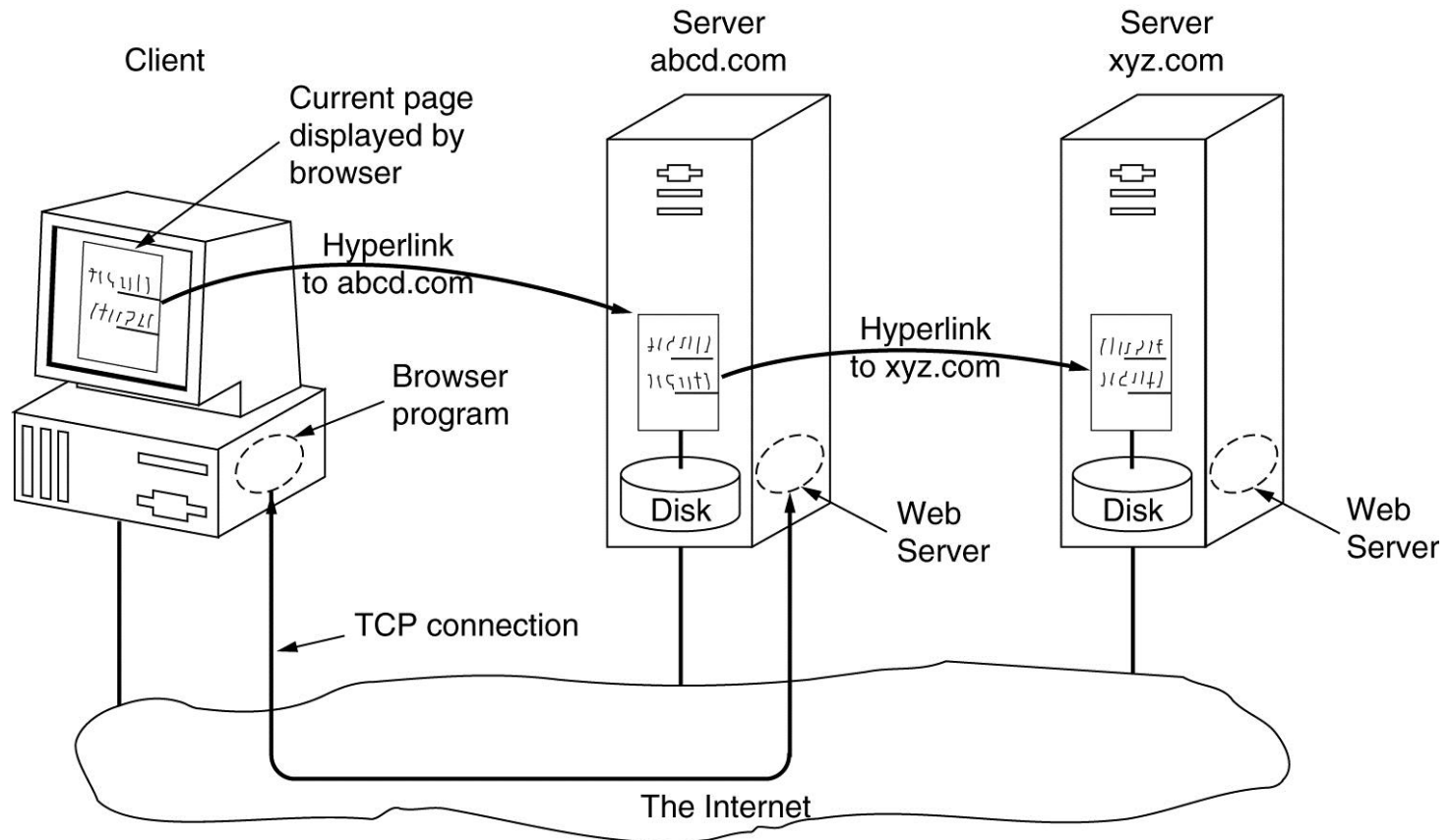
- Internet growth and commercialization
  - 1988: ARPANET gradually replaced by the NSFNET
  - Early 1990s: NSFNET begins to allow commercial traffic
- Personal computer
  - 1980s: Home computers with graphical user interfaces
  - 1990s: Power of PCs increases, and cost decreases
- Hypertext
  - 1945: Vannevar Bush's "As We May Think"
  - 1960s: Hypertext proposed, and the mouse invented
  - 1980s: Proposals for global hypertext publishing systems

# Web Components

- Clients
  - Send requests and receive responses
  - Browsers, spiders, and agents
- Servers
  - Receive requests and send responses
  - Store or generate the responses
- Proxies
  - Act as a server for the client, and a client to the server
  - Perform extra functions such as anonymization, logging, transcoding, blocking of access, caching, etc.

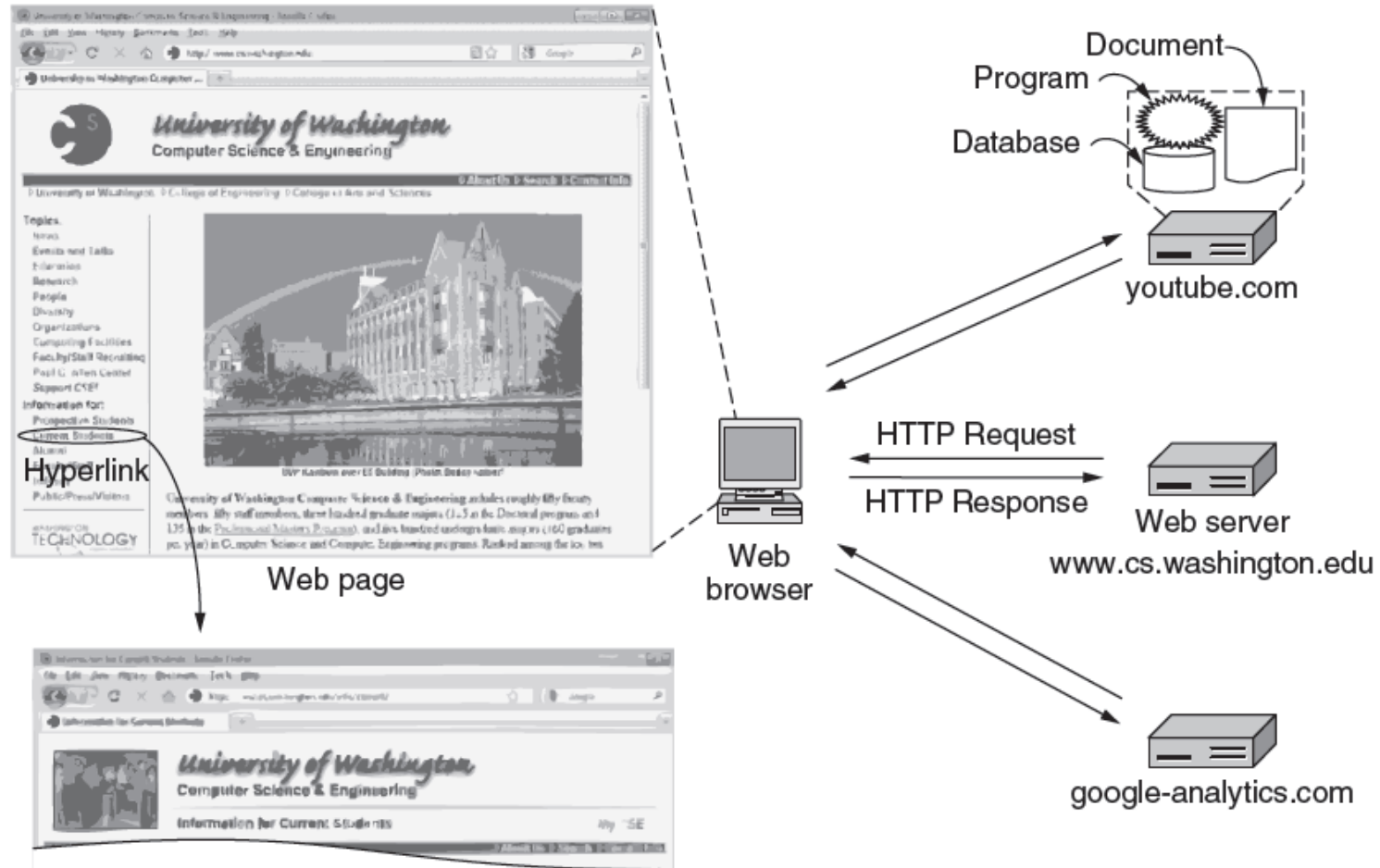
# Architectural Overview

The parts of the Web model.



# Architectural Overview (1)

- HTTP transfers pages from servers to browsers



# Architectural Overview (2)

Three questions had to be answered before a selected page could be displayed:

1. What is the page called?
2. Where is the page located?
3. How can the page be accessed?

# Architectural Overview (2)

- Pages are named with URLs (Uniform Resource Locators)
  - Example: <http://www.phdcomics.com/comics.php>  


Our  
focus →

| Name   | Used for                | Example                                                                               |
|--------|-------------------------|---------------------------------------------------------------------------------------|
| http   | Hypertext (HTML)        | <a href="http://www.ee.uwa.edu/~rob/">http://www.ee.uwa.edu/~rob/</a>                 |
| https  | Hypertext with security | <a href="https://www.bank.com/accounts/">https://www.bank.com/accounts/</a>           |
| ftp    | FTP                     | <a href="ftp://ftp.cs.vu.nl/pub/minix/README">ftp://ftp.cs.vu.nl/pub/minix/README</a> |
| file   | Local file              | <a href="file:///usr/suzanne/prog.c">file:///usr/suzanne/prog.c</a>                   |
| mailto | Sending email           | <a href="mailto:JohnUser@acm.org">mailto:JohnUser@acm.org</a>                         |
| rtsp   | Streaming media         | <a href="rtsp://youtube.com/montypython.mpg">rtsp://youtube.com/montypython.mpg</a>   |
| sip    | Multimedia calls        | <a href="sip:eve@adversary.com">sip:eve@adversary.com</a>                             |
| about  | Browser information     | <a href="about:plugins">about:plugins</a>                                             |

Common URL protocols

# Architectural Overview (3)

Steps a client (browser) takes to follow a hyperlink:

- Determine the protocol (HTTP)
- Ask DNS for the IP address of server
- Make a TCP connection to server
- Send request for the page; server sends it back
- Fetch other URLs as needed to display the page
- Close idle TCP connections

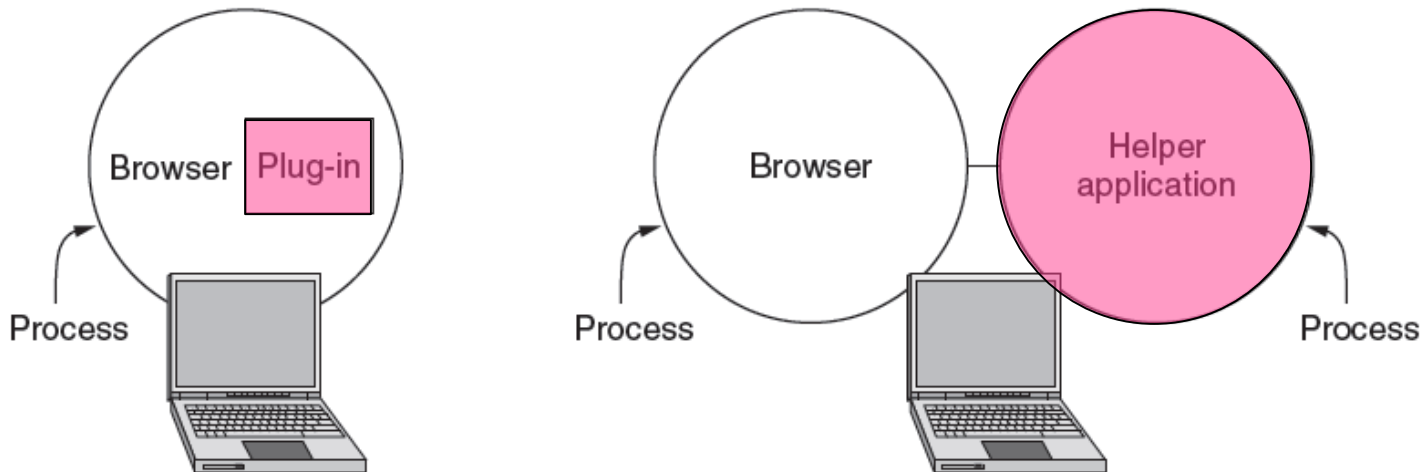
Steps a server takes to serve pages:

- Accept a TCP connection from client
- Get page request and map it to a resource (e.g., file name)
- Get the resource (e.g., file from disk)
- Send contents of the resource to the client.
- Release idle TCP connections

# Architectural Overview (4)

Content type is identified by MIME types

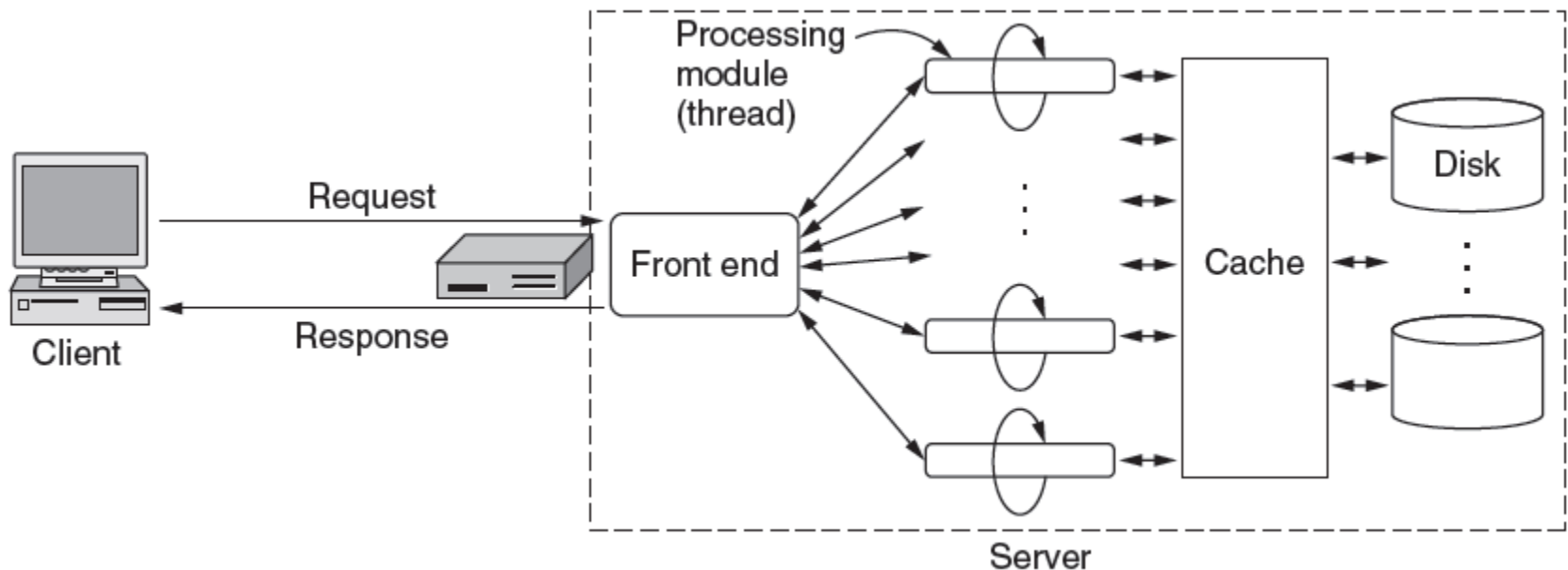
- Browser takes the appropriate action to display
- Plug-ins / helper apps extend browser for new types



# Architectural Overview (5)

To scale performance, Web servers can use:

- Caching, multiple threads, and a front end



# Architectural Overview (6)

Steps server performs in main loop

1. Accept a TCP connection from client
2. Get path to page, name of file requested.
3. Get the file (from disk).
4. Send contents of the file to the client.
5. Release the TCP connection.

# Architectural Overview (6)

Server steps, revisited:

- Resolve name of Web page requested
- Perform access control on the Web page
- Check the cache
- Fetch requested page from disk or run program
- Determine the rest of the response
- Return the response to the client
- Make an entry in the server log

# Architectural Overview (7)

Cookies support stateful client/server interactions

- Server sends cookies (state) with page response
- Client stores cookies across page fetches
- Client sends cookies back to server with requests

| Domain          | Path | Content                      | Expires        | Secure |
|-----------------|------|------------------------------|----------------|--------|
| toms-casino.com | /    | CustomerID=297793521         | 15-10-10 17:00 | Yes    |
| jills-store.com | /    | Cart=1-00501;1-07031;2-13721 | 11-1-11 14:22  | No     |
| aportal.com     | /    | Prefs=Stk:CSCO+ORCL;Spt:Jets | 31-12-20 23:59 | No     |
| sneaky.com      | /    | UserID=4627239101            | 31-12-19 23:59 | No     |

Examples of cookies

# Web Browser

- Generating HTTP requests
  - User types URL, clicks a hyperlink, or selects bookmark
  - User clicks “reload”, or “submit” on a Web page
  - Automatic downloading of embedded images
- Layout of response
  - Parsing HTML and rendering the Web page
  - Invoking helper applications (e.g., Acrobat, PowerPoint)
- Maintaining a cache
  - Storing recently-viewed objects
  - Checking that cached objects are fresh

# Typical Web Transaction (Continued)

- Browser parses the HTTP response message
  - Extract the URL for each embedded image
  - Create new TCP connections and send new requests
  - Render the Web page, including the images
- Opportunities for caching in the browser
  - HTML file
  - Each embedded image
  - IP address of the Web site

# Web Server

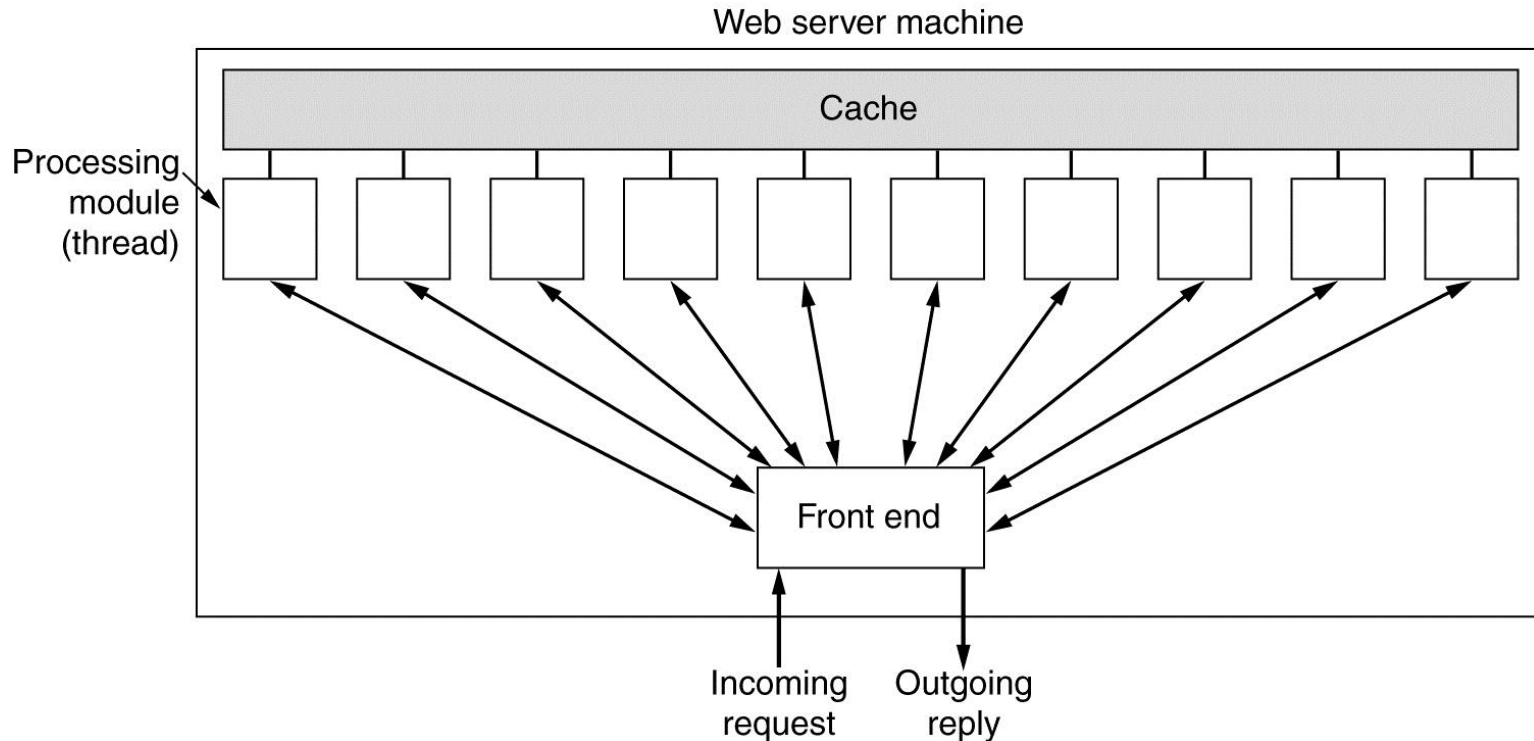
- Web site vs. Web server
  - Web site: collections of Web pages associated with a particular host name
  - Web server: program that satisfies client requests for Web resources
- Handling a client request
  - Accept the TCP connection
  - Read and parse the HTTP request message
  - Translate the URL to a filename
  - Determine whether the request is authorized
  - Generate and transmit the response

# Web Server: Generating a Response

- Returning a file
  - URL corresponds to a file (e.g., /www/index.html)
  - ... and the server returns the file as the response
  - ... along with the HTTP response header
- Returning meta-data with no body
  - Example: client requests object “if-modified-since”
  - Server checks if the object has been modified
  - ... and simply returns a “HTTP/1.1 304 Not Modified”
- Dynamically-generated responses
  - URL corresponds to a program the server needs to run
  - Server runs the program and sends the output to client

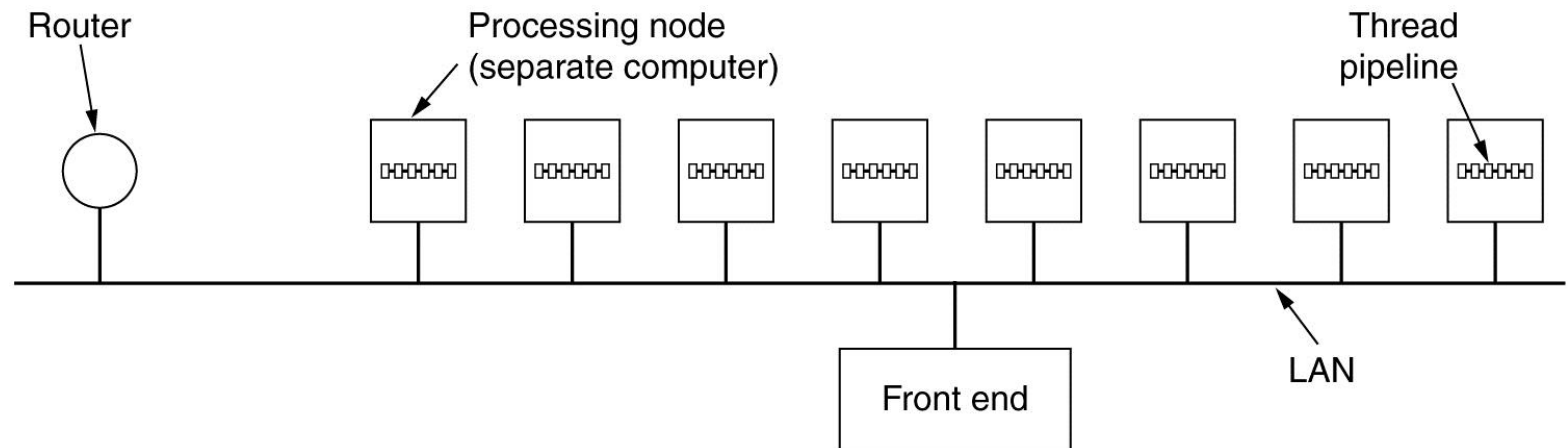
# The Server Side

A multithreaded Web server with a front end and processing modules.

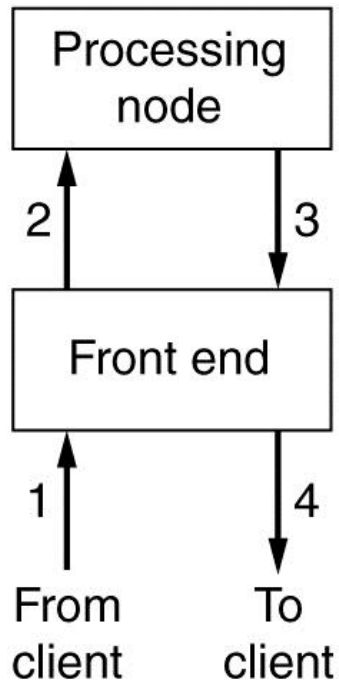


# The Server Side (2)

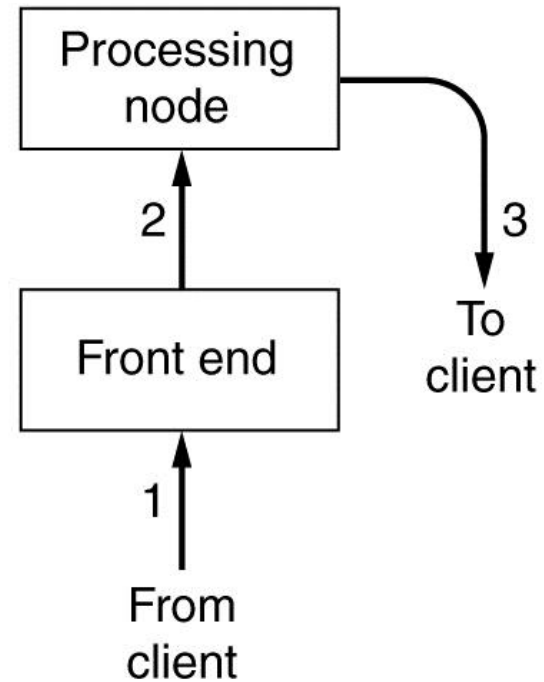
A server farm.



# The Server Side (3)



(a)



(b)

(a) Normal request-reply message sequence.

(b) Sequence when TCP handoff is used.

# Hosting: Multiple Sites Per Machine

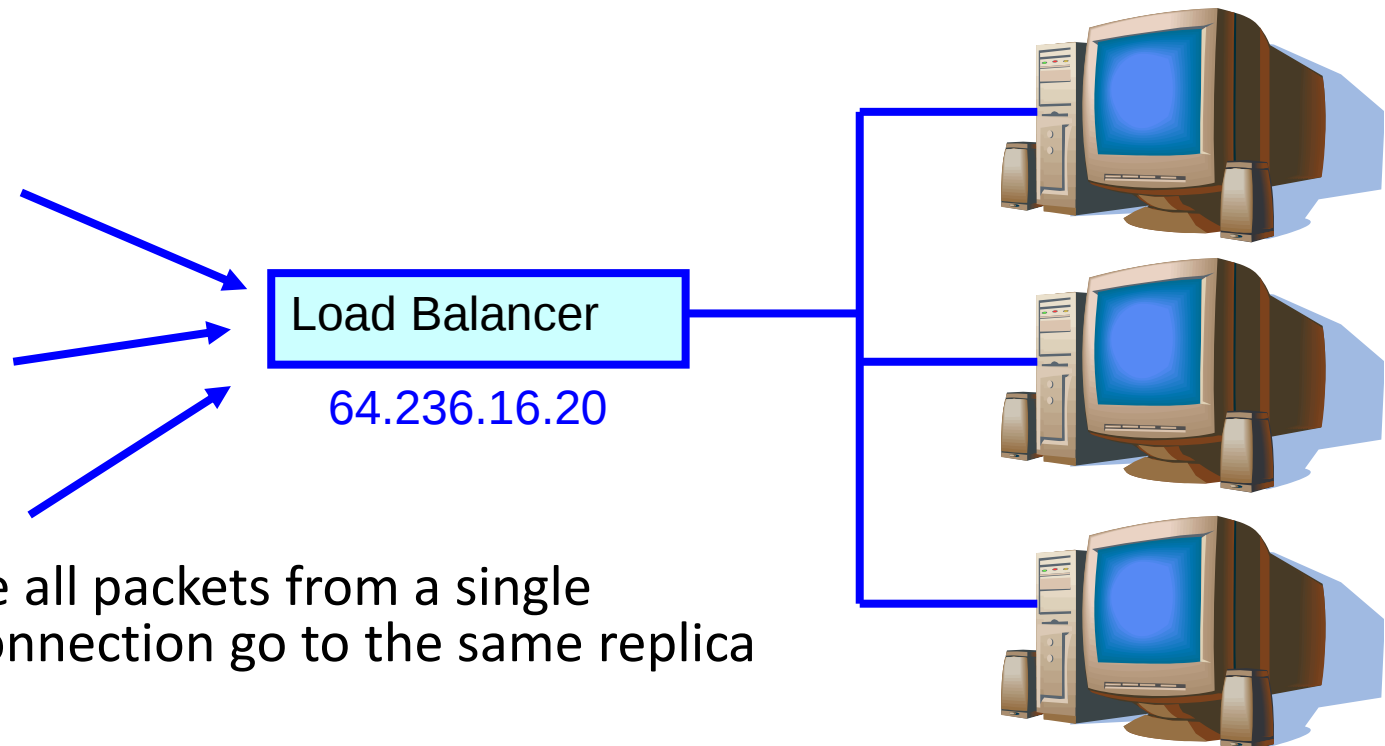
- Multiple Web sites on a single machine
  - Hosting company runs the Web server on behalf of multiple sites (e.g., `www.foo.com` and `www.bar.com`)
- Problem: returning the correct content
  - `www.foo.com/index.html` vs. `www.bar.com/index.html`
  - How to differentiate when both are on same machine?
- Solution #1: multiple servers on the same machine
  - Run multiple Web servers on the machine
  - Have a separate IP address for each server
- Solution #2: include site name in the HTTP request
  - Run a single Web server with a single IP address
  - ... and include “Host” header (e.g., “Host: `www.foo.com`”)

# Hosting: Multiple Machines Per Site

- Replicating a popular Web site
  - Running on multiple machines to handle the load
  - ... and to place content closer to the clients
- Problem: directing client to a particular replica
  - To balance load across the server replicas
  - To pair clients with nearby servers
- Solution #1: manual selection by clients
  - Each replica has its own site name
  - A Web page lists the replicas (e.g., by name, location)
  - ... and asks clients to click on a hyperlink to pick

# Hosting: Multiple Machines Per Site

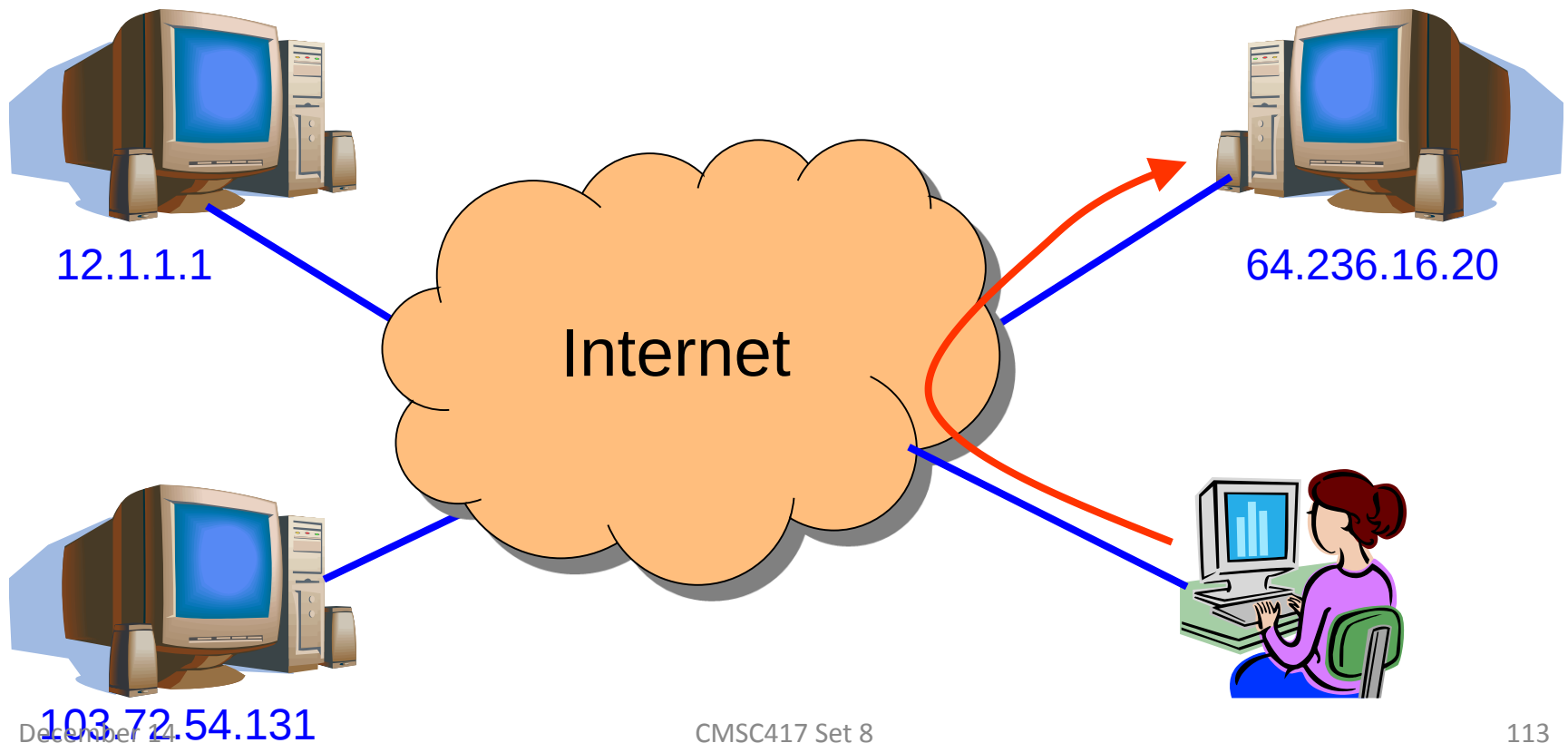
- Solution #2: single IP address, multiple machines
  - Same name and IP address for all of the replicas
  - Run multiple machines behind a single IP address



- Ensure all packets from a single TCP connection go to the same replica

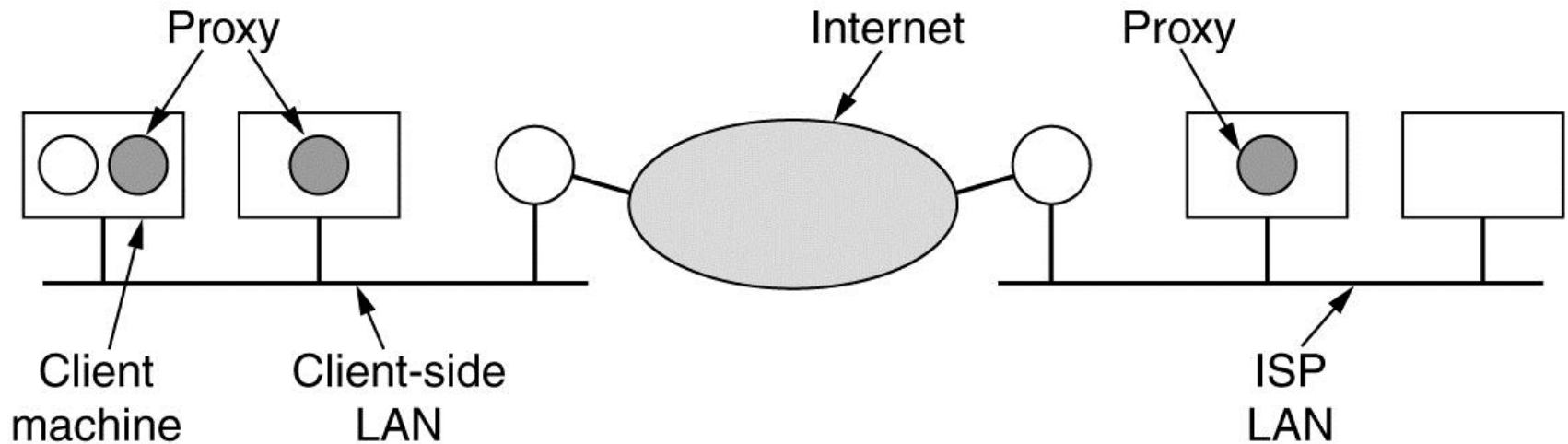
# Hosting: Multiple Machines Per Site

- Solution #3: multiple addresses, multiple machines
  - Same name but different addresses for all of the replicas
  - Configure DNS server to return different addresses



# Caching

Hierarchical caching with three proxies.



# Caching vs. Replication

- Motivations for moving content close to users
  - Reduce latency for the user
  - Reduce load on the network and the server
  - Reduce cost for transferring data on the network
- Caching
  - Replicating the content “on demand” after a request
  - Storing the response message locally for future use
  - May need to verify if the response has changed
  - ... and some responses are not cacheable
- Replication
  - Planned replication of the content in multiple locations
  - Updating of resources is handled outside of HTTP
  - Can replicate scripts that create dynamic responses

# Caching vs. Replication (Continued)

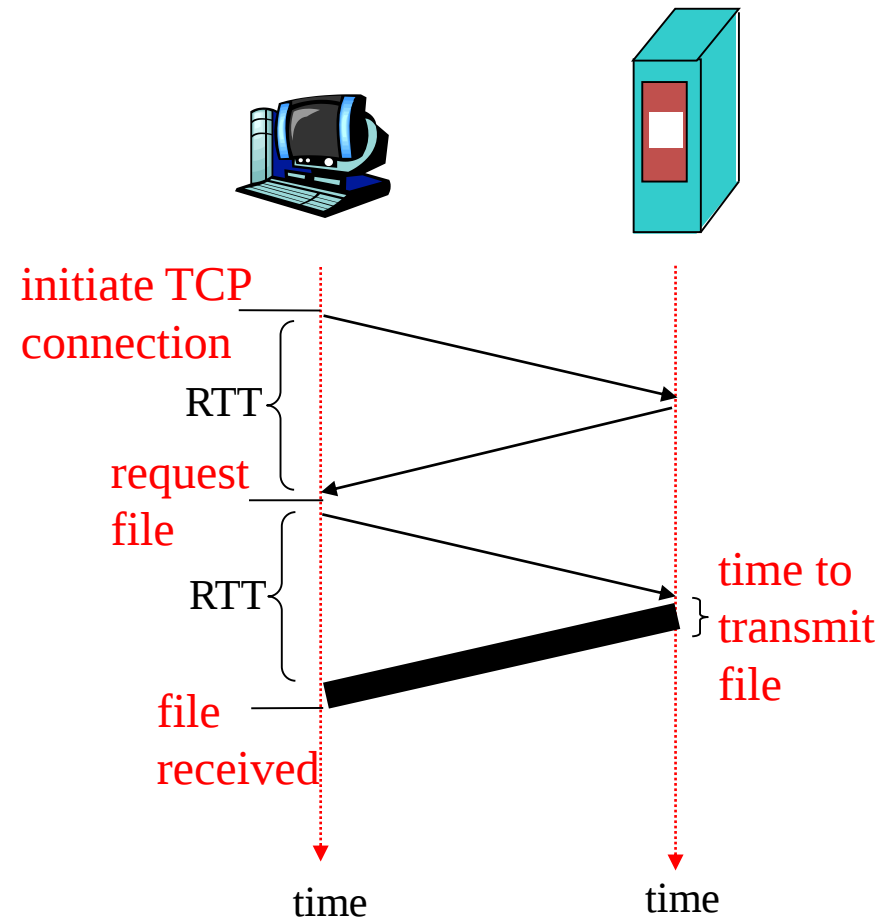
- Caching initially viewed as very important in HTTP
  - Many additions to HTTP to support caching
  - ... and, in particular, cache validation
- Deployment of caching proxies in the 1990s
  - Service providers and enterprises deployed proxies
  - ... to cache content across a community of users
  - Though, sometimes the gains weren't very dramatic
- Then, content distribution networks emerged
  - Companies (like Akamai) that replicate Web sites
  - Host all (or part) of a Web site for a content provider
  - Place replicas all over the world on many machines

# TCP Interaction: Multiple Transfers

- Most Web pages have multiple objects
  - E.g., HTML file and multiple embedded images
- Serializing the transfers is not efficient
  - Sending the images one at a time introduces delay
  - Cannot start retrieving second images until first arrives
- Parallel connections
  - Browser opens multiple TCP connections (e.g., 4)
  - ... and retrieves a single image on each connection
- Performance trade-offs
  - Multiple downloads sharing the same network links
  - Unfairness to other traffic traversing the links

# TCP Interaction: Short Transfers

- Most HTTP transfers are short
  - Very small request message (e.g., a few hundred bytes)
  - Small response message (e.g., a few kilobytes)
- TCP overhead may be big
  - Three-way handshake to establish connection
  - Four-way handshake to tear down the connection



# TCP Interaction: Short Transfers

- Round-trip time estimation
  - Very large at the start of a connection (e.g., 3 seconds)
  - Leads to latency in detecting lost packets
- Congestion window
  - Small value at beginning of connection (e.g., 1 MSS)
  - May not reach a high value before transfer is done
- Timeout vs. triple-duplicate ACK
  - Two main ways of detecting packet loss
  - Timeout is slow, and triple-duplicate ACK is fast
  - However, triple-dup-ACK requires many packets in flight
  - ... which doesn't happen for very short transfers

# TCP Interaction: Persistent Connections

- Handle multiple transfers per connection
  - Maintain the TCP connection across multiple requests
  - Either the client or server can tear down the connection
  - Added to HTTP after the Web became very popular
- Performance advantages
  - Avoid overhead of connection set-up and tear-down
  - Allow TCP to learn a more accurate RTT estimate
  - Allow the TCP congestion window to increase
- Further enhancement: pipelining
  - Send multiple requests one after the other
  - ... before receiving the first response

# Key Ideas

- Key ideas underlying the Web
  - Uniform Resource Identifier (URI)
  - HyperText Markup Language (HTML)
  - HyperText Transfer Protocol (HTTP)
  - Browser helper applications based on content type
- Main Web components
  - Clients, proxies, and servers
- Dependence on underlying Internet protocols
  - DNS and TCP

# Main Components: URL

- Uniform Resource Identifier (URI)
  - Denotes a resource independent of its location or value
  - A pointer to a “black box” that accepts request methods
- Formatted string
  - Protocol for communicating with server (e.g., http)
  - Name of the server (e.g., www.foo.com)
  - Name of the resource (e.g., coolpic.gif)
- Name (URN), Locator (URL), and Identifier (URI)
  - URN: globally unique name, like an ISBN # for a book
  - URI: identifier representing the contents of the book
  - URL: location of the book

# URLs – Uniform Resource Locaters

Some common URLs.

| Name   | Used for         | Example                                                                                       |
|--------|------------------|-----------------------------------------------------------------------------------------------|
| http   | Hypertext (HTML) | <a href="http://www.cs.vu.nl/~ast/">http://www.cs.vu.nl/~ast/</a>                             |
| ftp    | FTP              | <a href="ftp://ftp.cs.vu.nl/pub/minix/README">ftp://ftp.cs.vu.nl/pub/minix/README</a>         |
| file   | Local file       | <a href="file:///usr/suzanne/prog.c">file:///usr/suzanne/prog.c</a>                           |
| news   | Newsgroup        | <a href="news:comp.os.minix">news:comp.os.minix</a>                                           |
| news   | News article     | <a href="news:AA0134223112@cs.utah.edu">news:AA0134223112@cs.utah.edu</a>                     |
| gopher | Gopher           | <a href="gopher://gopher.tc.umn.edu/11/Libraries">gopher://gopher.tc.umn.edu/11/Libraries</a> |
| mailto | Sending e-mail   | <a href="mailto:JohnUser@acm.org">mailto:JohnUser@acm.org</a>                                 |
| telnet | Remote login     | <a href="telnet://www.w3.org:80">telnet://www.w3.org:80</a>                                   |

# Main Components: HTML

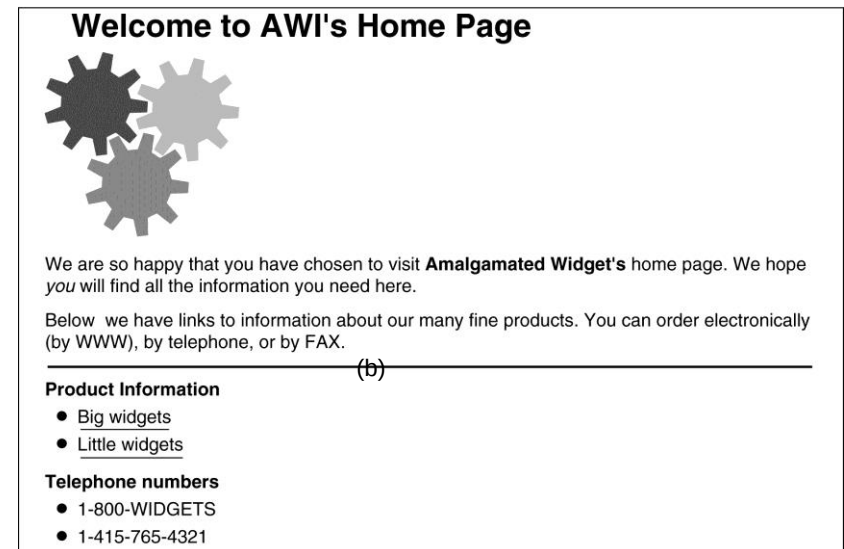
- HyperText Markup Language (HTML)
  - Representation of hypertext documents in ASCII format
  - Format text, reference images, embed hyperlinks
  - Interpreted by Web browsers when rendering a page
- Straight-forward and easy to learn
  - Simplest HTML document is a plain text file
    - Easy to add formatting, references, bullets, etc.
  - Automatically generated by authoring programs
    - Tools to aid users in creating HTML files
- Web page
  - Base HTML file referenced objects (e.g., images)
  - Each object has its own URL

# HTML – HyperText Markup Language

(a) The HTML for a sample Web page. (b) The formatted page.

```
<html>
<head><title> AMALGAMATED WIDGET, INC. </title> </head>
<body> <h1> Welcome to AWI's Home Page</h1>
 <br>
We are so happy that you have chosen to visit <b> Amalgamated Widget's </b>
home page. We hope<i> you </i> will find all the information you need here.
<p>Below we have links to information about our many fine products.
You can order electronically (by WWW), by telephone, or by fax.</p>
<hr>
<h2> Product information </h2>
<ul>
<li> <a href="http://widget.com/products/big"> Big widgets</a>
<li> <a href="http://widget.com/products/little"> Little widgets </a>
</ul>
<h2> Telephone numbers</h2>
<ul>
<li> By telephone: 1-800-WIDGETS
<li> By fax: 1-415-765-4321
</ul>
</body>
</html>
```

(a)



(b)

# HTML (2)

| Tag                              | Description                                   |
|----------------------------------|-----------------------------------------------|
| <html> ... </html>               | Declares the Web page to be written in HTML   |
| <head> ... </head>               | Delimits the page's head                      |
| <title> ... </title>             | Defines the title (not displayed on the page) |
| <body> ... </body>               | Delimits the page's body                      |
| <h <i>n</i> > ... </h <i>n</i> > | Delimits a level <i>n</i> heading             |
| <b> ... </b>                     | Set ... in boldface                           |
| <i> ... </i>                     | Set ... in italics                            |
| <center> ... </center>           | Center ... on the page horizontally           |
| <ul> ... </ul>                   | Brackets an unordered (bulleted) list         |
| <ol> ... </ol>                   | Brackets a numbered list                      |
| <li>                             | Starts a list item (there is no </li>)        |
| <br>                             | Forces a line break here                      |
| <p>                              | Starts a paragraph                            |
| <hr>                             | Inserts a Horizontal rule                     |
|                   | Displays an image here                        |
| <a href="..."> ... </a>          | Defines a hyperlink                           |

A selection of common HTML tags. some can have additional parameters.

# Forms

```
<html>
<head> <title> A sample page with a table </title> </head>
<body>
<table border=1 rules=all>
<caption> Some Differences between HTML Versions </caption>
<col align=left>
<col align=center>
<col align=center>
<col align=center>
<col align=center>
<tr> <th>Item <th>HTML 1.0 <th>HTML 2.0 <th>HTML 3.0 <th>HTML 4.0 </tr>
<tr> <th> Hyperlinks <td> x <td> x <td> x <td> x </tr>
<tr> <th> Images <td> x <td> x <td> x <td> x </tr>
<tr> <th> Lists <td> x <td> x <td> x <td> x </tr>
<tr> <th> Active Maps and Images <td> &nbsp; <td> x <td> x <td> x </tr>
<tr> <th> Forms <td> &nbsp; <td> x <td> x <td> x </tr>
<tr> <th> Equations <td> &nbsp; <td> &nbsp; <td> x <td> x </tr>
<tr> <th> Toolbars <td> &nbsp; <td> &nbsp; <td> x <td> x </tr>
<tr> <th> Tables <td> &nbsp; <td> &nbsp; <td> x <td> x </tr>
<tr> <th> Accessibility features <td> &nbsp; <td> &nbsp; <td> &nbsp; <td> x </tr>
<tr> <th> Object embedding <td> &nbsp; <td> &nbsp; <td> &nbsp; <td> x </tr>
<tr> <th> Scripting <td> &nbsp; <td> &nbsp; <td> &nbsp; <td> x </tr>
</table>
</body>
</html>
```

(a)

(a) An HTML table.

(b) A possible rendition of this table.

**Some Differences between HTML Versions**

| Item                   | HTML 1.0 | HTML 2.0 | HTML 3.0 | HTML 4.0 |
|------------------------|----------|----------|----------|----------|
| Hyperlinks             | x        | x        | x        | x        |
| Images                 | x        | x        | x        | x        |
| Lists                  | x        | x        | x        | x        |
| Active Maps and Images |          | x        | x        | x        |
| Forms                  |          | x        | x        | x        |
| Equations              |          |          | x        | x        |
| Toolbars               |          |          | x        | x        |
| Tables                 |          |          | x        | x        |
| Accessibility features |          |          |          | x        |
| Object embedding       |          |          |          | x        |
| Scripting              |          |          |          | x        |

(b)

# Forms (2)

(a) The HTML for an order form.

(b) The formatted page.

```
<html>
<head> <title> AWI CUSTOMER ORDERING FORM </title> </head>
<body>
<h1> Widget Order Form </h1>
<form ACTION="http://widget.com/cgi-bin/widgetorder" method=POST>
<p> Name <input name="customer" size=46> </p>
<p> Street Address <input name="address" size=40> </p>
<p> City <input name="city" size=20> State <input name="state" size =4>
Country <input name="country" size=10> </p>
<p> Credit card # <input name="cardno" size=10>
Expires <input name="expires" size=4>
M/C <input name="cc" type=radio value="mastercard">
VISA <input name="cc" type=radio value="visacard"> </p>
<p> Widget size Big <input name="product" type=radio value="expensive">
Little <input name="product" type=radio value="cheap">
Ship by express courier <input name="express" type=checkbox> </p>
<p><input type=submit value="submit order"> </p>
Thank you for ordering an AWI widget, the best widget money can buy!
</form>
</body>
</html>
```

(a)

### Widget Order Form

Name

Street address

City  State  Country

Credit card #  Expires  M/C ☐ Visa ☐

Widget size Big ☐ Little ☐ Ship by express courier ☐

Thank you for ordering an AWI widget, the best widget money can buy!

(b)

# Forms (3)

A possible response from the browser to the server with information filled in by the user.

```
customer=John+Doe&address=100+Main+St.&city=White+Plains&  
state=NY&country=USA&cardno=1234567890&expires=6/98&cc=mastercard&  
product=cheap&express=on
```

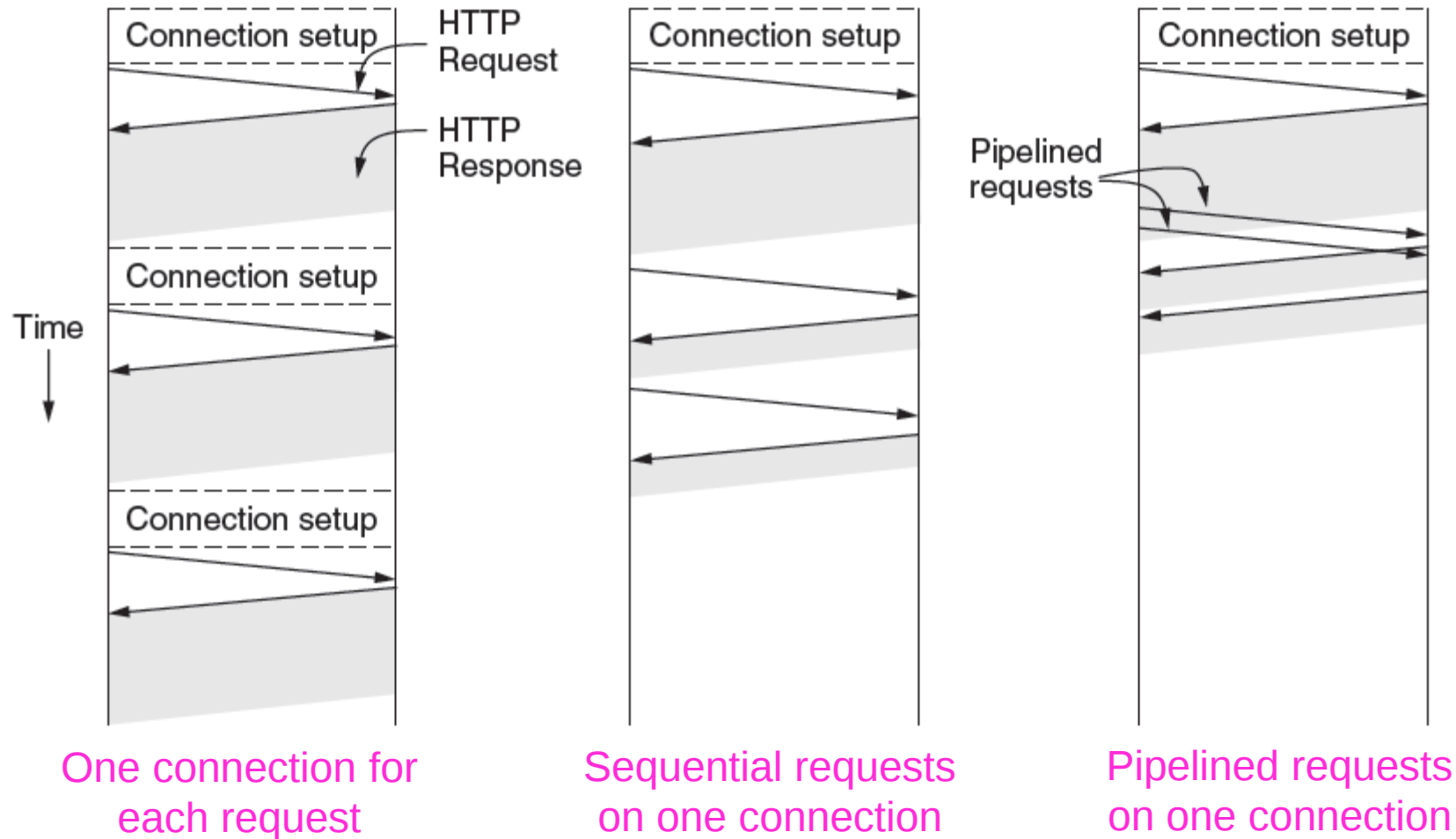
# HTTP (1)

HTTP (HyperText Transfer Protocol) is a request-response protocol that runs on top of TCP

- Fetches pages from server to client
- Server usually runs on port 80
- Headers are given in readable ASCII
- Content is described with MIME types
- Protocol has support for pipelining requests
- Protocol has support for caching

# HTTP (2)

- HTTP uses persistent connections to improve performance



# HTTP (3)

HTTP has several request methods.

|                                               | Method  | Description               |
|-----------------------------------------------|---------|---------------------------|
| Fetch a page →                                | GET     | Read a Web page           |
|                                               | HEAD    | Read a Web page's header  |
| Used to send input data to a server program → | POST    | Append to a Web page      |
|                                               | PUT     | Store a Web page          |
|                                               | DELETE  | Remove the Web page       |
|                                               | TRACE   | Echo the incoming request |
|                                               | CONNECT | Connect through a proxy   |
|                                               | OPTIONS | Query options for a page  |

# HTTP (4)

Response codes tell the client how the request fared:

| Code | Meaning      | Examples                                           |
|------|--------------|----------------------------------------------------|
| 1xx  | Information  | 100 = server agrees to handle client's request     |
| 2xx  | Success      | 200 = request succeeded; 204 = no content present  |
| 3xx  | Redirection  | 301 = page moved; 304 = cached page still valid    |
| 4xx  | Client error | 403 = forbidden page; 404 = page not found         |
| 5xx  | Server error | 500 = internal server error; 503 = try again later |

# HTTP (5)

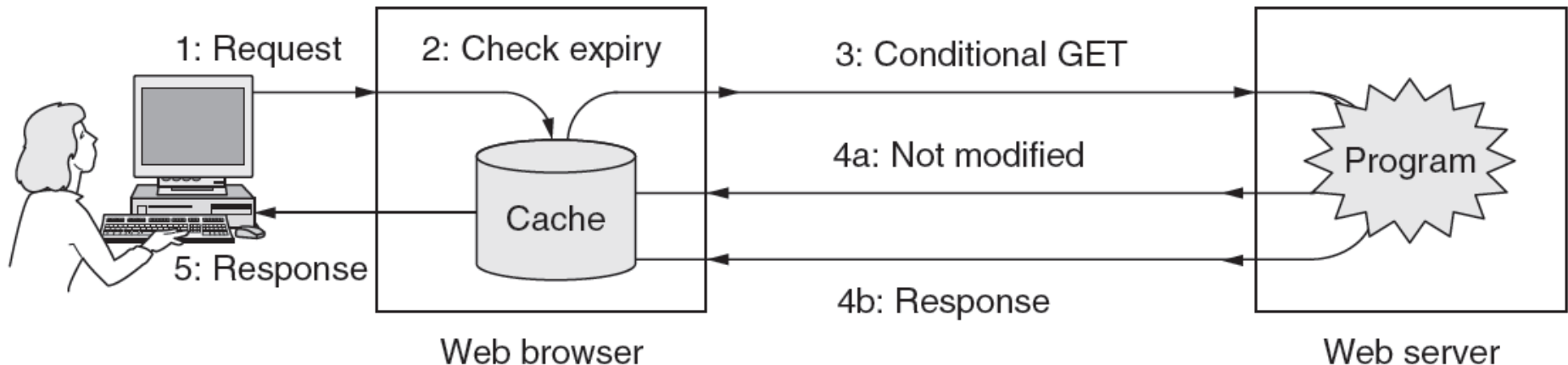
Many headers carry key information:

| Function                                  | Example Headers                                                                             |
|-------------------------------------------|---------------------------------------------------------------------------------------------|
| Browser capabilities<br>(client → server) | User-Agent, Accept, Accept-Charset, Accept-Encoding, Accept-Language                        |
| Caching related<br>(mixed directions)     | If-Modified-Since, If-None-Match, Date, Last-Modified, Expires, Cache-Control, ETag         |
| Browser context<br>(client → server)      | Cookie, Referer, Authorization, Host                                                        |
| Content delivery<br>(server → client)     | Content-Encoding, Content-Length, Content-Type, Content-Language, Content-Range, Set-Cookie |

# HTTP (6)

HTTP caching checks to see if the browser has a known fresh copy, and if not if the server has updated the page

- Uses a collection of headers for the checks
- Can include further levels of caching (e.g., proxy)



# Main Components: HTTP

- HyperText Transfer Protocol (HTTP)
  - Client-server protocol for transferring resources
  - Client sends request and server sends response
- Important properties of HTTP
  - Request-response protocol
  - Reliance on a global URI
  - Resource metadata
  - Statelessness
  - ASCII format

```
telnet www.cs.umd.edu 80
```

```
GET /~agrawala/ HTTP/1.1
```

```
Host: www.cs.umd.edu
```

# Example: HyperText Transfer Protocol

GET /courses/archive/fall2008/cmssc417/ HTTP/1.1

Host: www.cs.umd.edu

User-Agent: Mozilla/4.03

<CRLF>

Request

HTTP/1.1 200 OK

Date: Mon, Dec 3, 2008, 13:09:03 GMT

Server: Netscape-Enterprise/3.5.1

Last-Modified: Mon, Dec 1, 2008, 11:12:23 GMT

Content-Length: 21

<CRLF>

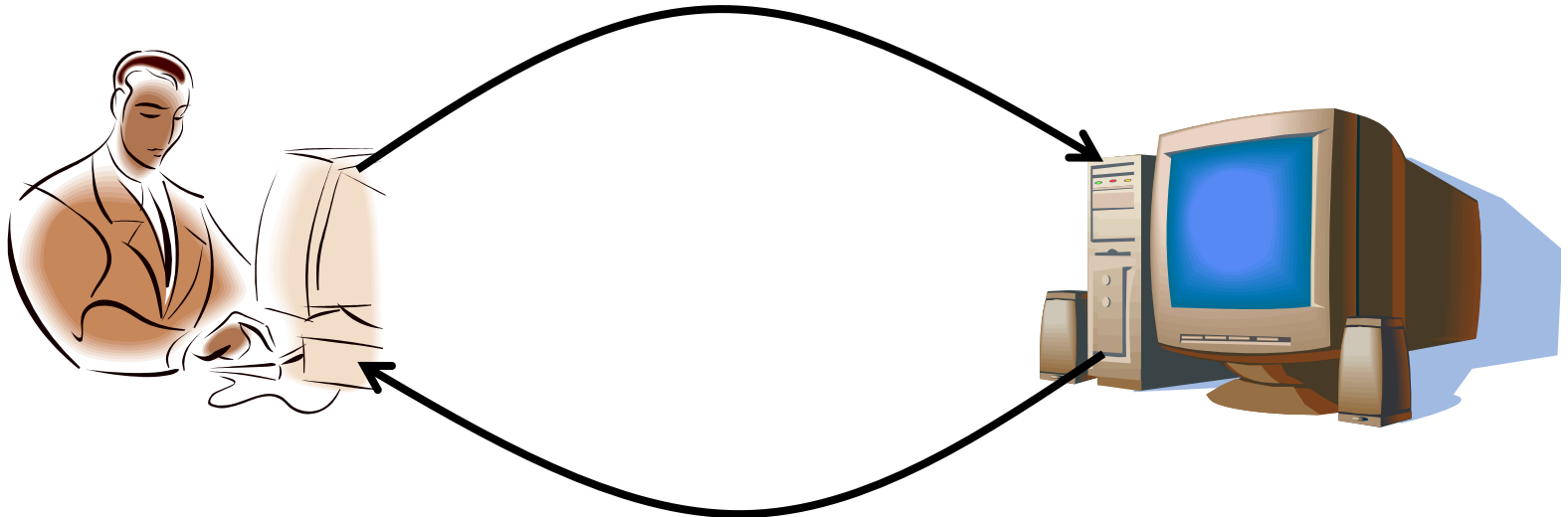
Site under construction

Response

# HTTP: Request-Response Protocol

- Client program
  - Running on end host
  - Requests service
  - E.g., Web browser
- Server program
  - Running on end host
  - Provides service
  - E.g., Web server

GET /index.html



"Site under construction"

# HTTP Request Message

- Request message sent by a client
  - Request line: method, resource, and protocol version
  - Request headers: provide information or modify request
  - Body: optional data (e.g., to “POST” data to the server)

request line  
(GET, POST,  
HEAD commands)

header  
lines

```
GET /somedir/page.html HTTP/1.1
Host: www.someschool.edu
User-agent: Mozilla/4.0
Connection: close
Accept-language:fr
```

Carriage return,  
line feed  
indicates end  
of message

(extra carriage return, line feed)

# Example: Conditional GET Request

- Fetch resource only if it has changed at the server  
GET /courses/archive/fall2008/cmssc417/ HTTP/1.1  
Host: www.cs.umd.edu  
User-Agent: Mozilla/4.03  
If-Modified-Since: Mon, Dec 1, 2008 11:12:23 GMT  
<CRLF>
- Server avoids wasting resources to send again
  - Server inspects the “last modified” time of the resource
  - ... and compares to the “if-modified-since” time
  - Returns “304 Not Modified” if resource has not changed
  - .... or a “200 OK” with the latest version otherwise

# HTTP Response Message

- Response message sent by a server
  - Status line: protocol version, status code, status phrase
  - Response headers: provide information
  - Body: optional data

status line  
(protocol  
status code  
status phrase)

header  
lines

data, e.g.,  
requested  
HTML file

```
HTTP/1.1 200 OK
Connection close
Date: Thu, 06 Aug 1998 12:00:15 GMT
Server: Apache/1.3.0 (Unix)
Last-Modified: Mon, 22 Jun 1998 .....
Content-Length: 6821
Content-Type: text/html

data data data data data ...
```

# HTTP Resource Meta-Data

- Meta-data
  - Information relating to a resource
  - ... but not part of the resource itself
- Example meta-data
  - Size of a resource
  - Type of the content
  - Last modification time
- Concept borrowed from e-mail protocols
  - Multipurpose Internet Mail Extensions (MIME)
  - Data format classification (e.g., Content-Type: text/html)
  - Enables browsers to automatically launch a viewer

# HTTP Message Headers

| Header           | Type     | Contents                                              |
|------------------|----------|-------------------------------------------------------|
| User-Agent       | Request  | Information about the browser and its platform        |
| Accept           | Request  | The type of pages the client can handle               |
| Accept-Charset   | Request  | The character sets that are acceptable to the client  |
| Accept-Encoding  | Request  | The page encodings the client can handle              |
| Accept-Language  | Request  | The natural languages the client can handle           |
| Host             | Request  | The server's DNS name                                 |
| Authorization    | Request  | A list of the client's credentials                    |
| Cookie           | Request  | Sends a previously set cookie back to the server      |
| Date             | Both     | Date and time the message was sent                    |
| Upgrade          | Both     | The protocol the sender wants to switch to            |
| Server           | Response | Information about the server                          |
| Content-Encoding | Response | How the content is encoded (e.g., gzip)               |
| Content-Language | Response | The natural language used in the page                 |
| Content-Length   | Response | The page's length in bytes                            |
| Content-Type     | Response | The page's MIME type                                  |
| Last-Modified    | Response | Time and date the page was last changed               |
| Location         | Response | A command to the client to send its request elsewhere |
| Accept-Ranges    | Response | The server will accept byte range requests            |
| Set-Cookie       | Response | The server wants the client to save a cookie          |

Some HTTP message headers.

# Stateless Protocol

- Stateless protocol
  - Each request-response exchange treated independently
  - Clients and servers not required to retain state
- Statelessness to improve scalability
  - Avoid need for the server to retain info across requests
  - Enable the server to handle a higher rate of requests
- However, some applications need state
  - To uniquely identify the user or store temporary info
  - E.g., personalize a Web page, compute profiles or access statistics by user, keep a shopping cart, etc.
  - Lead to the introduction of “cookies” in the mid 1990s

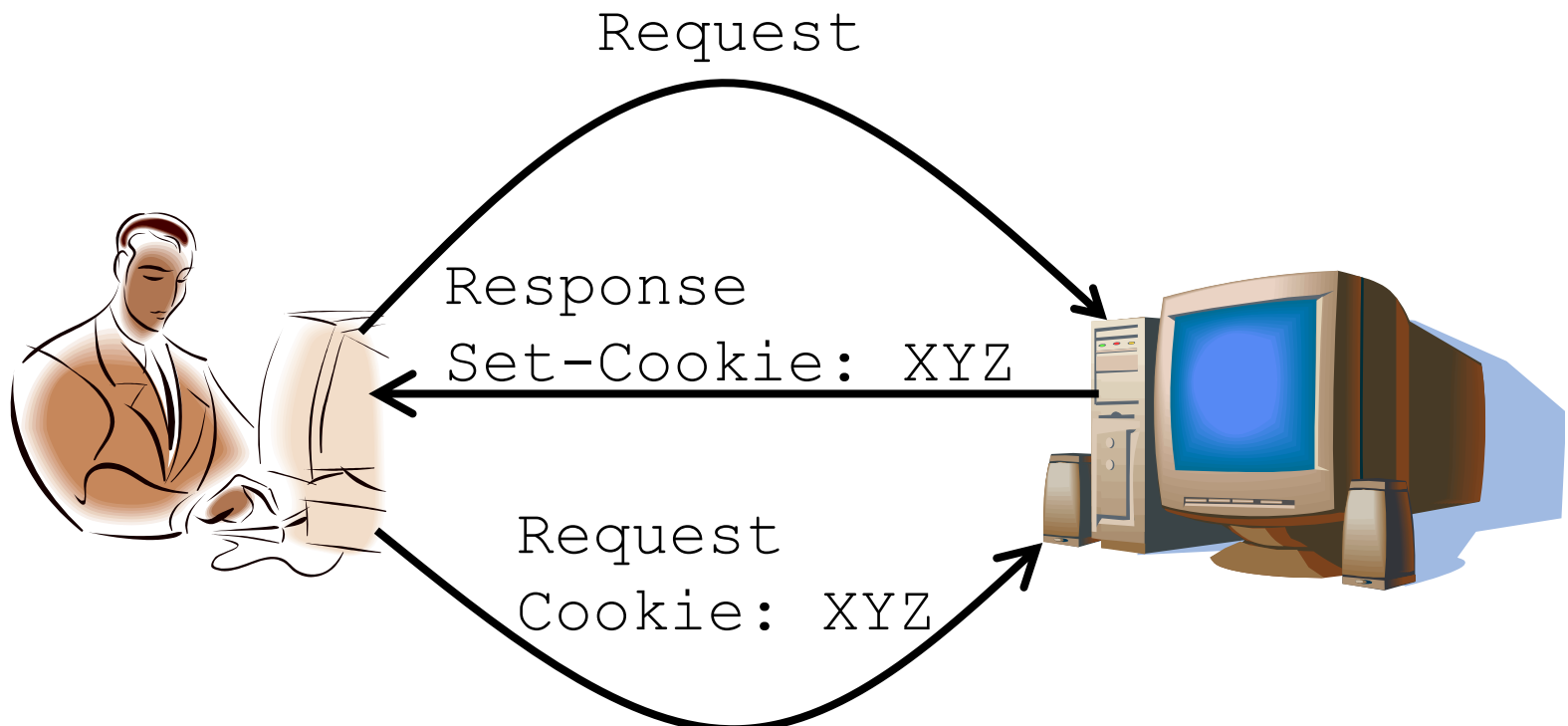
# Statelessness and Cookies

| Domain          | Path | Content                      | Expires        | Secure |
|-----------------|------|------------------------------|----------------|--------|
| toms-casino.com | /    | CustomerID=497793521         | 15-10-02 17:00 | Yes    |
| joes-store.com  | /    | Cart=1-00501;1-07031;2-13721 | 11-10-02 14:22 | No     |
| aportal.com     | /    | Prefs=Stk:SUNW+ORCL;Spt:Jets | 31-12-10 23:59 | No     |
| sneaky.com      | /    | UserID=3627239101            | 31-12-12 23:59 | No     |

Some examples of cookies.

# Cookies

- Cookie
  - Small state stored by client on behalf of server
  - Included in future requests to the server



# Cookies Examples

client

server

Cookie file  
ebay: 8734

usual http request msg  
usual http response +  
Set-cookie: 1678

server  
creates ID  
1678 for user

entry in backend  
database

Cookie file  
amazon: 1678  
ebay: 8734

usual http request msg  
cookie: 1678  
usual http response msg

cookie-  
specific  
action



access

access

one week later:

Cookie file  
amazon: 1678  
ebay: 8734

usual http request msg  
cookie: 1678  
usual http response msg

cookie-  
specific  
action

# XML and XSL

```
<?xml version="1.0" ?>
<?xml-stylesheet type="text/xsl" href="b5.xsl"?>

<book_list>
  <book>
    <title> Computer Networks, 4/e </title>
    <author> Andrew S. Tanenbaum </author>
    <year> 2003 </year>
  </book>
  <book>
    <title> Modern Operating Systems, 2/e </title>
    <author> Andrew S. Tanenbaum </author>
    <year> 2001 </year>
  </book>
  <book>
    <title> Structured Computer Organization, 4/e </title>
    <author> Andrew S. Tanenbaum </author>
    <year> 1999 </year>
  </book>
</book_list>
```

A simple Web  
page in XML.

# XML and XSL (2)

```
<?xml version='1.0'?>
<xsl:stylesheet xmlns:xsl="http://www.w3.org/1999/XSL/Transform" version="1.0">
<xsl:template match="/">

<html>
<body>

<table border="2">
  <tr>
    <th> Title</th>
    <th> Author</th>
    <th> Year </th>
  </tr>

  <xsl:for-each select="book_list/book">
    <tr>
      <td> <xsl:value-of select="title"/> </td>
      <td> <xsl:value-of select="author"/> </td>
      <td> <xsl:value-of select="year"/> </td>
    </tr>
  </xsl:for-each>
</table>

</body>
</html>
</xsl:template>
</xsl:stylesheet>
```

A style sheet  
in XSL.

# Static Web Pages (1)

Static Web pages are simply files

- Have the same contents for each viewing

Can be visually rich and interactive  
nonetheless:

- HTML that mixes text and images
- Forms that gather user input
- Style sheets that tailor presentation
- Vector graphics, videos, and more (over) . . .

# Static Web Pages (2)

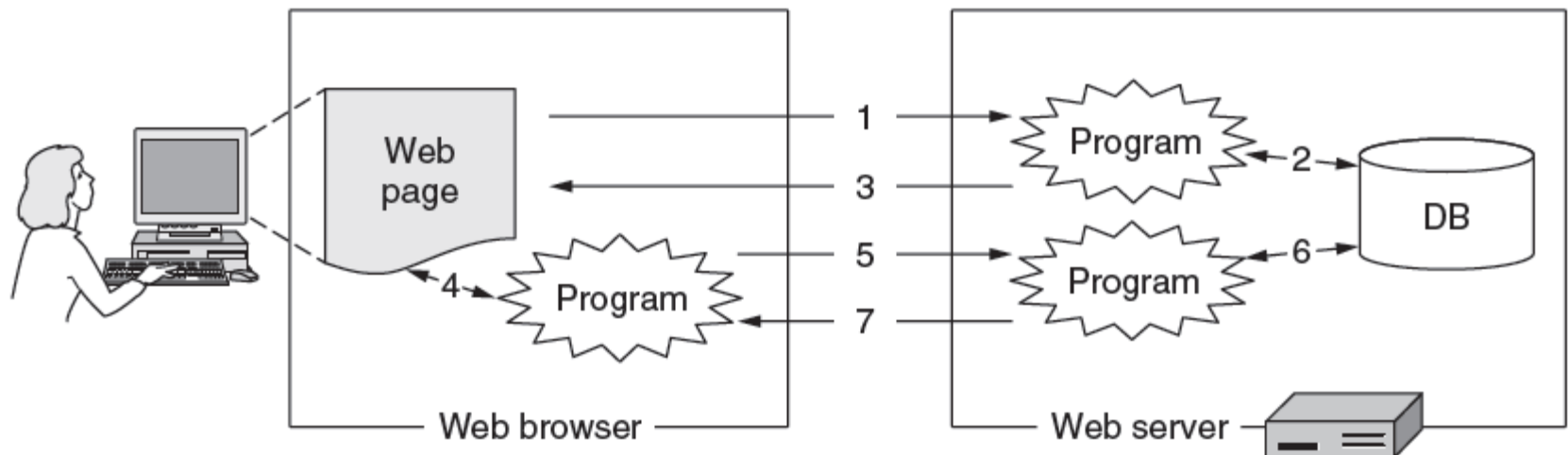
## Progression of features through HTML 5.0

| Item                   | HTML 1.0 | HTML 2.0 | HTML 3.0 | HTML 4.0 | HTML 5.0 |
|------------------------|----------|----------|----------|----------|----------|
| Hyperlinks             | X        | X        | X        | X        | X        |
| Images                 | X        | X        | X        | X        | X        |
| Lists                  | X        | X        | X        | X        | X        |
| Active maps & images   |          | X        | X        | X        | X        |
| Forms                  |          | X        | X        | X        | X        |
| Equations              |          |          | X        | X        | X        |
| Toolbars               |          |          | X        | X        | X        |
| Tables                 |          |          | X        | X        | X        |
| Accessibility features |          |          |          | X        | X        |
| Object embedding       |          |          |          | X        | X        |
| Style sheets           |          |          |          | X        | X        |
| Scripting              |          |          |          | X        | X        |
| Video and audio        |          |          |          |          | X        |
| Inline vector graphics |          |          |          |          | X        |
| XML representation     |          |          |          |          | X        |
| Background threads     |          |          |          |          | X        |
| Browser storage        |          |          |          |          | X        |
| Drawing canvas         |          |          |          |          | X        |

# Dynamic Pages & Web Applications (1)

Dynamic pages are generated by programs running at the server (with a database) and the client

- E.g., PHP at server, JavaScript at client



# Dynamic Pages & Web Applications (2)

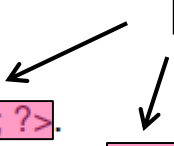
Web page that gets form input and calls a server program

```
<html>
<body>
<form action="action.php" method="post">
<p> Please enter your name: <input type="text" name="name"> </p>
<p> Please enter your age: <input type="text" name="age"> </p>
<input type="submit">
</form>
</body>
</html>
```

PHP server program that creates a custom Web page

```
<html>
<body>
<h1> Reply: </h1>
Hello <?php echo $name; ?>.
Prediction: next year you will be <?php echo $age + 1; ?>
</body>
</html>
```

PHP calls



Resulting Web page (for inputs “Barbara” and “32”)

```
<html>
<body>
<h1> Reply: </h1>
Hello Barbara.
Prediction: next year you will be 33
</body>
</html>
```

# Dynamic Pages & Web Applications (3)

JavaScript program  
produces result  
page in the browser

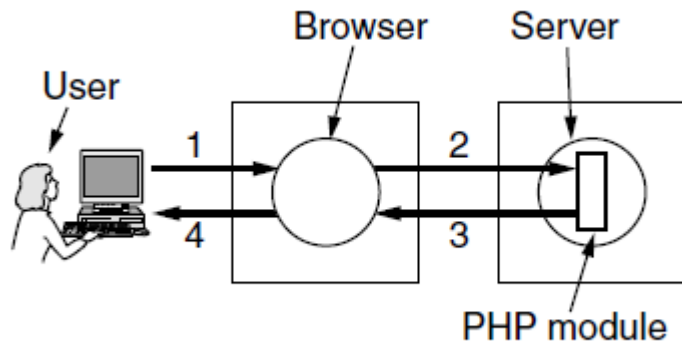
```
<html>
<head>
<script language="javascript" type="text/javascript">
function response(test_form) {
    var person = test_form.name.value;
    var years = eval(test_form.age.value) + 1;
    document.open();
    document.writeln("<html> <body>");
    document.writeln("Hello " + person + ".<br>");
    document.writeln("Prediction: next year you will be " + years + ".");
    document.writeln("</body> </html>");
    document.close();
}
</script>
</head>
```

First page with form,  
gets input and calls  
program above

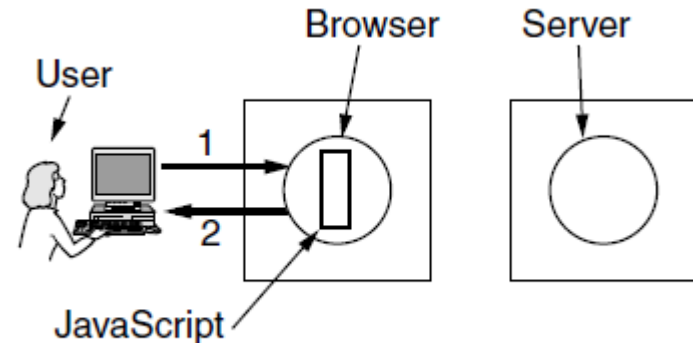
```
<body>
<form>
Please enter your name: <input type="text" name="name">
<p>
Please enter your age: <input type="text" name="age">
<p>
<input type="button" value="submit" onclick="response(this.form)">
</form>
</body>
</html>
```

# Dynamic Pages & Web Applications (4)

The difference between server and client programs



Server-side scripting with PHP



Client-side scripting with JavaScript

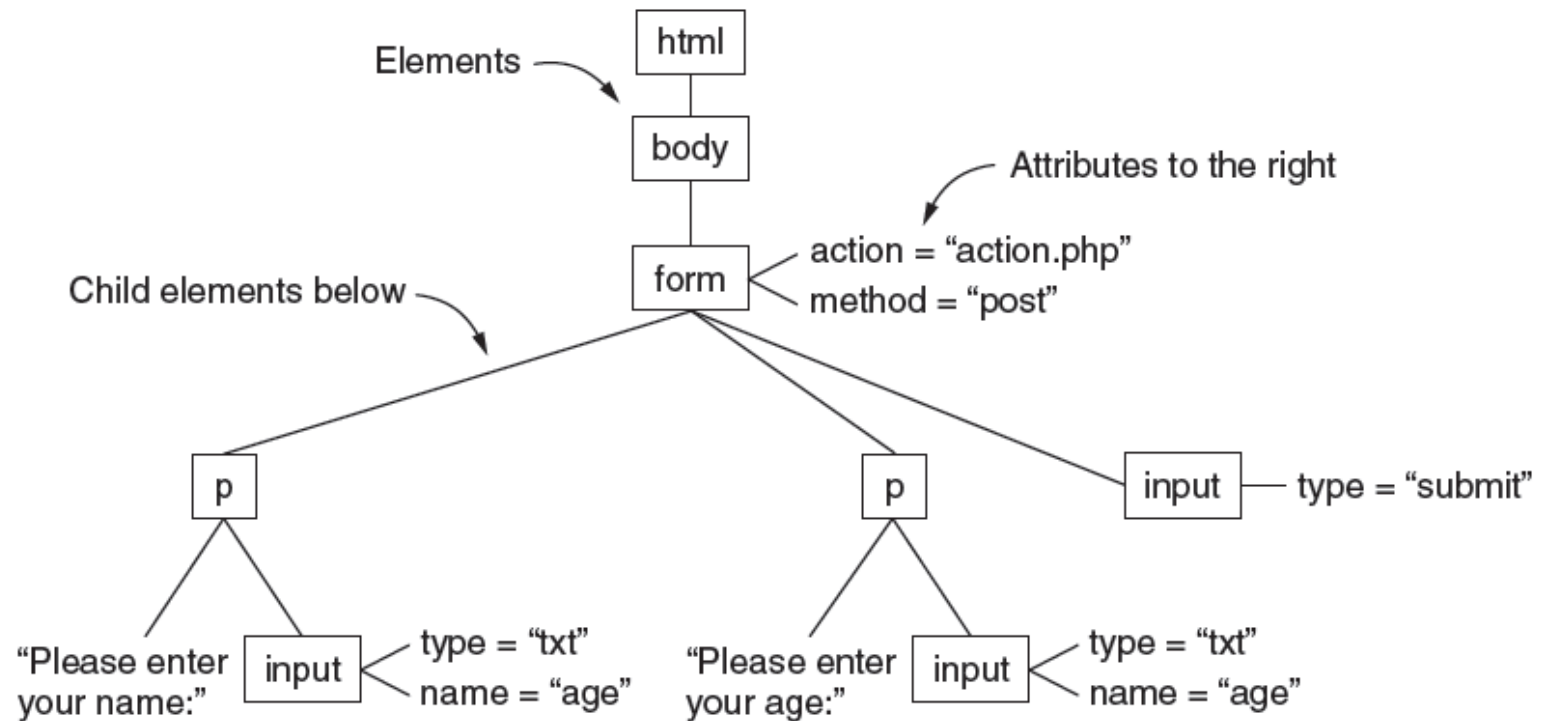
# Dynamic Pages & Web Applications (5)

Web applications use a set of technologies that work together, e.g. AJAX:

- HTML: present information as pages.
- DOM: change parts of pages while they are viewed.
- XML: let programs exchange data with the server.
- Asynchronous way to send and retrieve XML data.
- JavaScript as a language to bind all this together.

# Dynamic Pages & Web Applications (6)

The DOM (Document Object Model) tree represents Web pages as a structure that



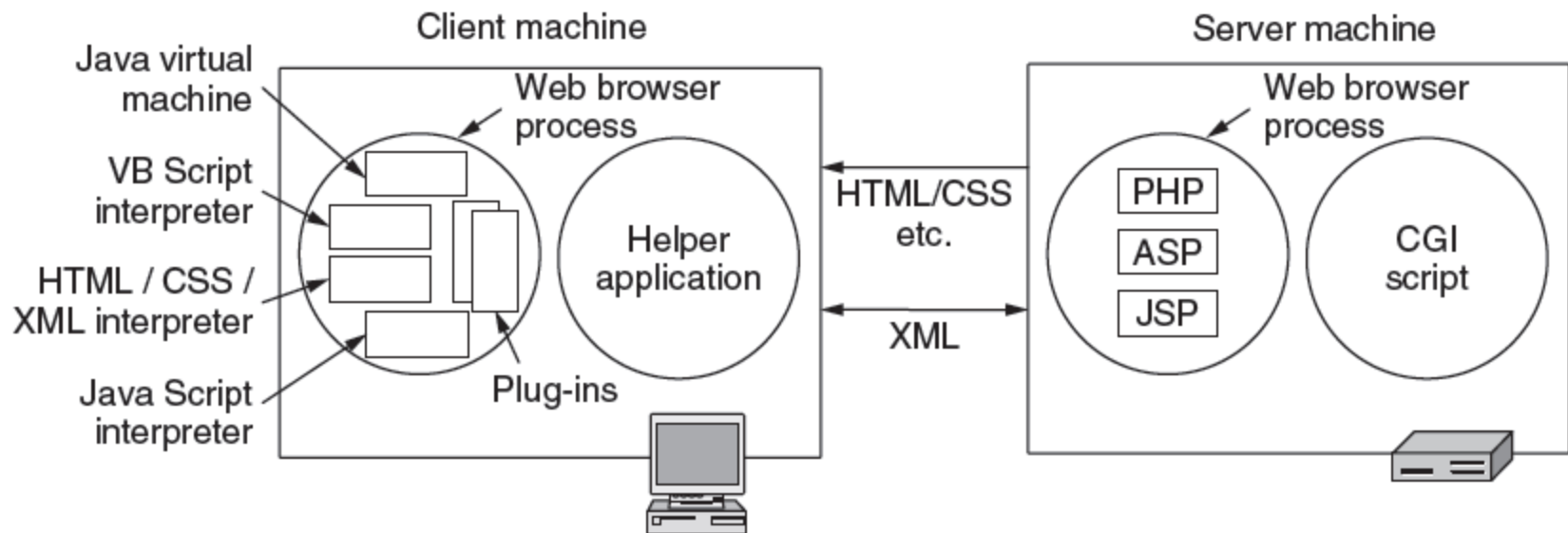
# Dynamic Pages & Web Applications (7)

XML captures document structure, not presentation like HTML. For example:

```
<?xml version="1.0" ?>
<book_list>
  <book>
    <title> Human Behavior and the Principle of Least Effort </title>
    <author> George Zipf </author>
    <year> 1949 </year>
  </book>
  <book>
    <title> The Mathematical Theory of Communication </title>
    <author> Claude E. Shannon </author>
    <author> Warren Weaver </author>
    <year> 1949 </year>
  </book>
  <book>
    <title> Nineteen Eighty-Four </title>
    <author> George Orwell </author>
    <year> 1949 </year>
  </book>
</book_list>
```

# Dynamic Pages & Web Applications (8)

Web applications use a set of technologies,  
revisited:



# The Mobile Web (1)

Difficulties for mobile phones browsing the web

1. Relatively small screens
2. Limited input capabilities, lengthy input.
3. Network bandwidth is limited
4. Connectivity may be intermittent.
5. Computing power is limited

# The Mobile Web (2)

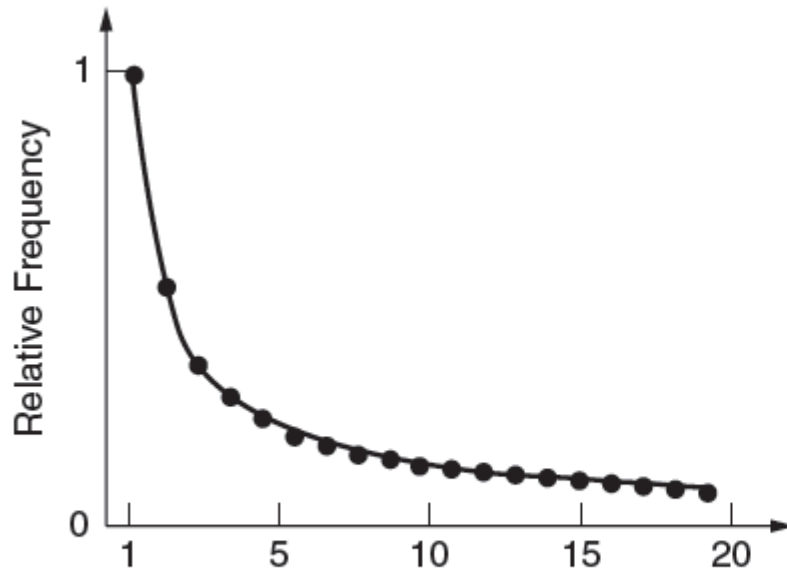
| Module           | Req.? | Function            | Example tags                                   |
|------------------|-------|---------------------|------------------------------------------------|
| Structure        | Yes   | Doc. structure      | body, head, html, title                        |
| Text             | Yes   | Information         | br, code, dfn, em, h <i>n</i> , kbd, p, strong |
| Hypertext        | Yes   | Hyperlinks          | a                                              |
| List             | Yes   | Itemized lists      | dl, dt, dd, ol, ul, li                         |
| Forms            | No    | Fill-in forms       | form, input, label, option, textarea           |
| Tables           | No    | Rectangular tables  | caption, table, td, th, tr                     |
| Image            | No    | Pictures            | img                                            |
| Object           | No    | Applets, maps, etc. | object, param                                  |
| Meta-information | No    | Extra info          | meta                                           |
| Link             | No    | Similar to <a>      | link                                           |
| Base             | No    | URL starting point  | base                                           |

The XHTML Basic modules and tags.

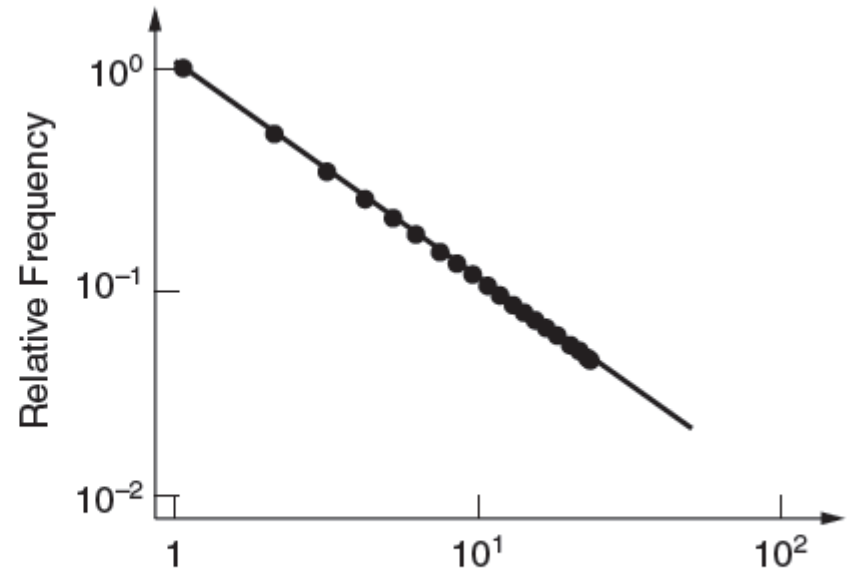
# Content Delivery

- Content and internet traffic
- Server farms and web proxies
- Content delivery networks
- Peer-to-peer networks

# Content and Internet Traffic



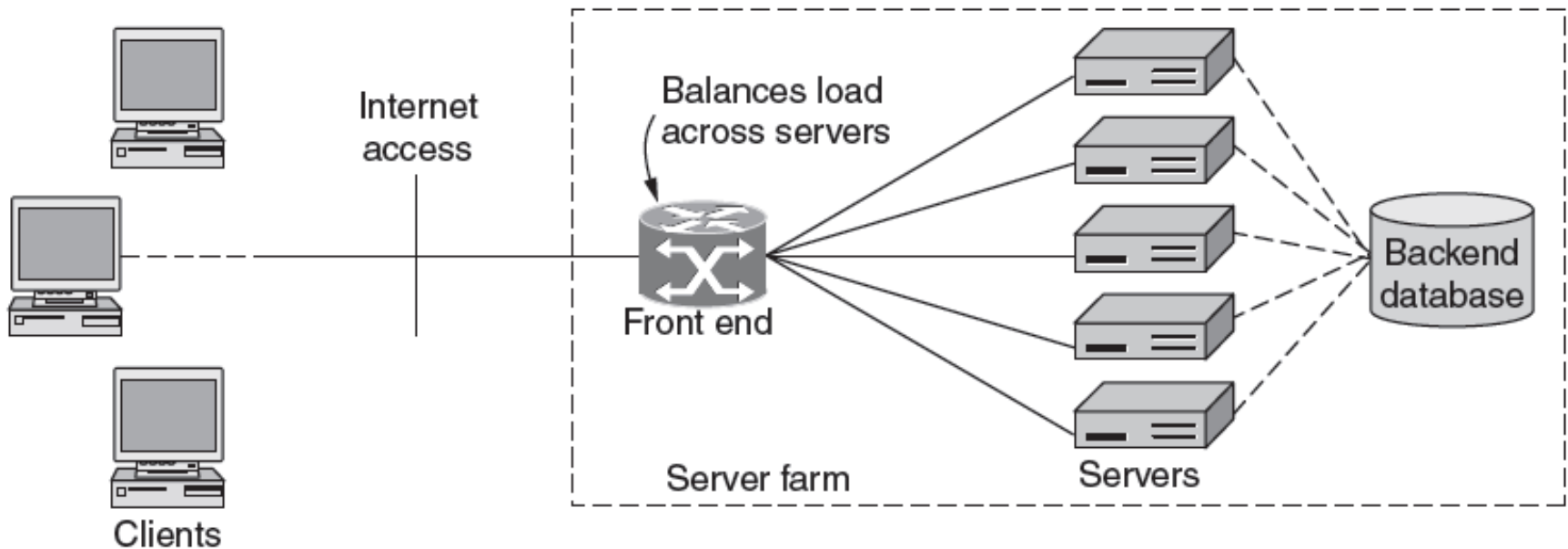
(a)



(b)

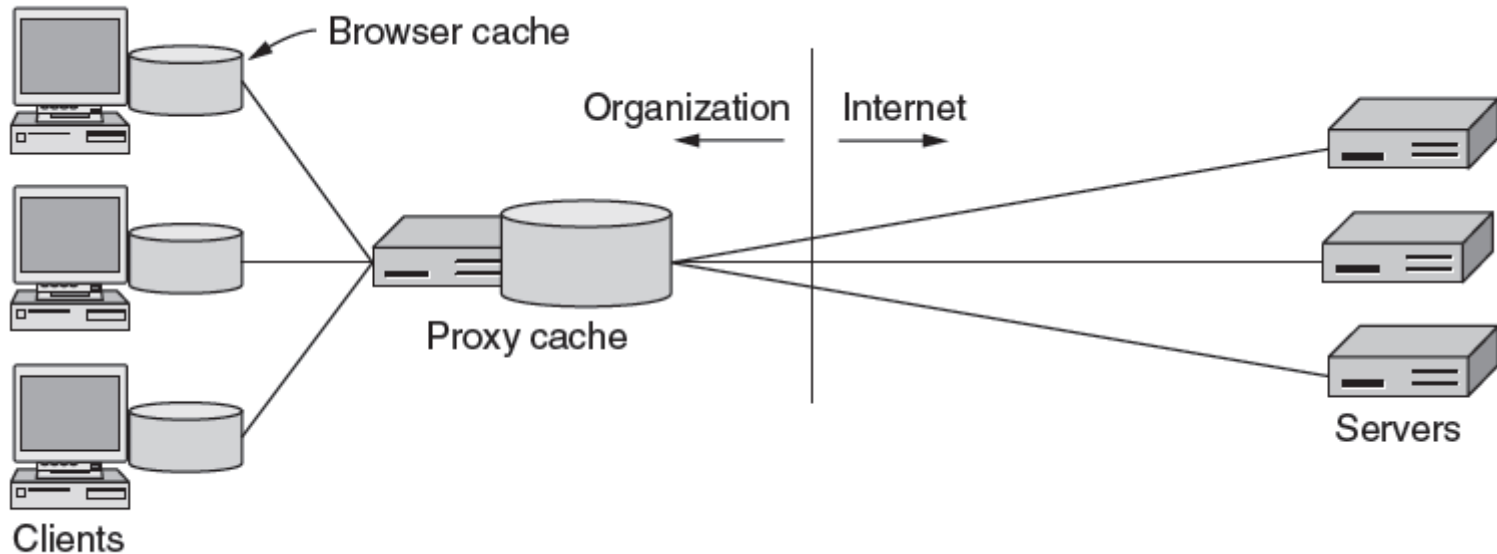
Zipf distribution (a) On a linear scale. (b) On a log-log scale.

# Server Farms and Web Proxies (1)



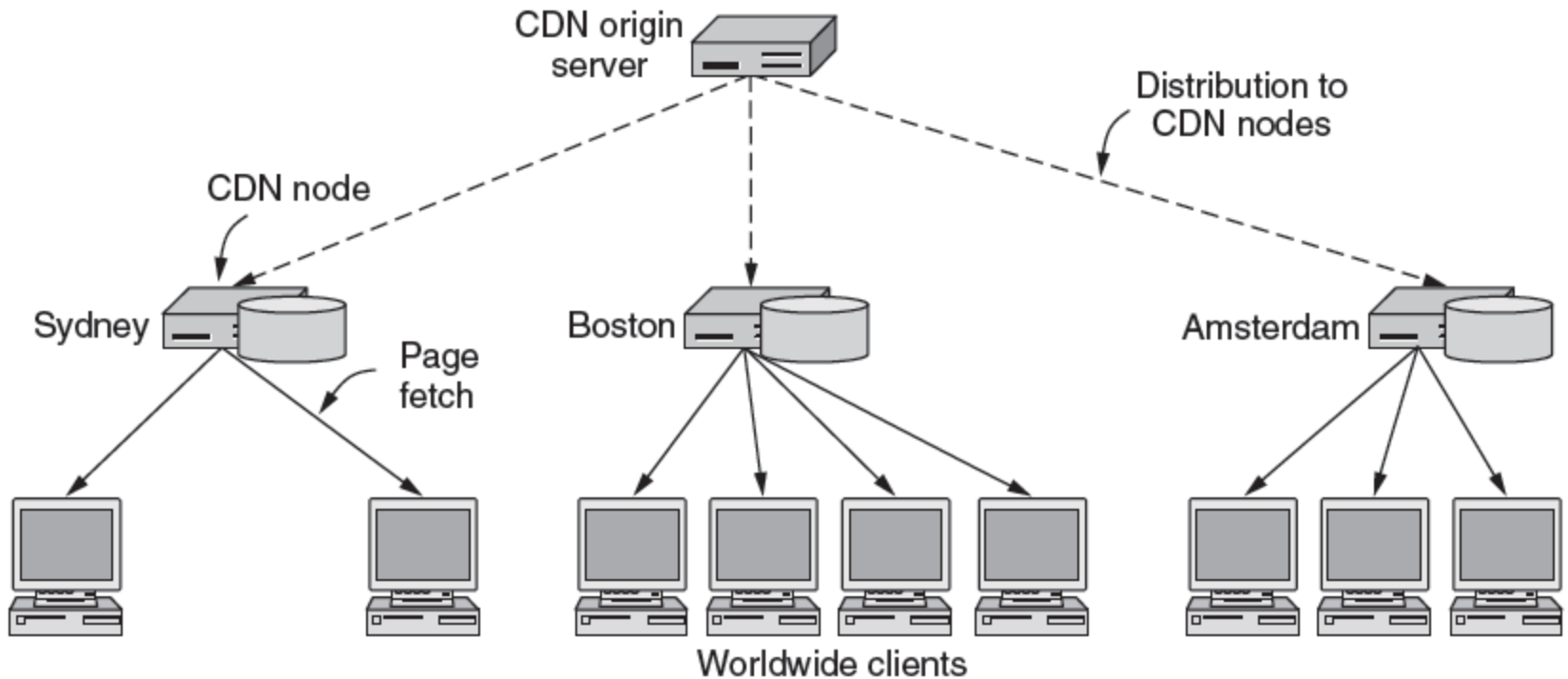
A server farm.

# Server Farms and Web Proxies (2)



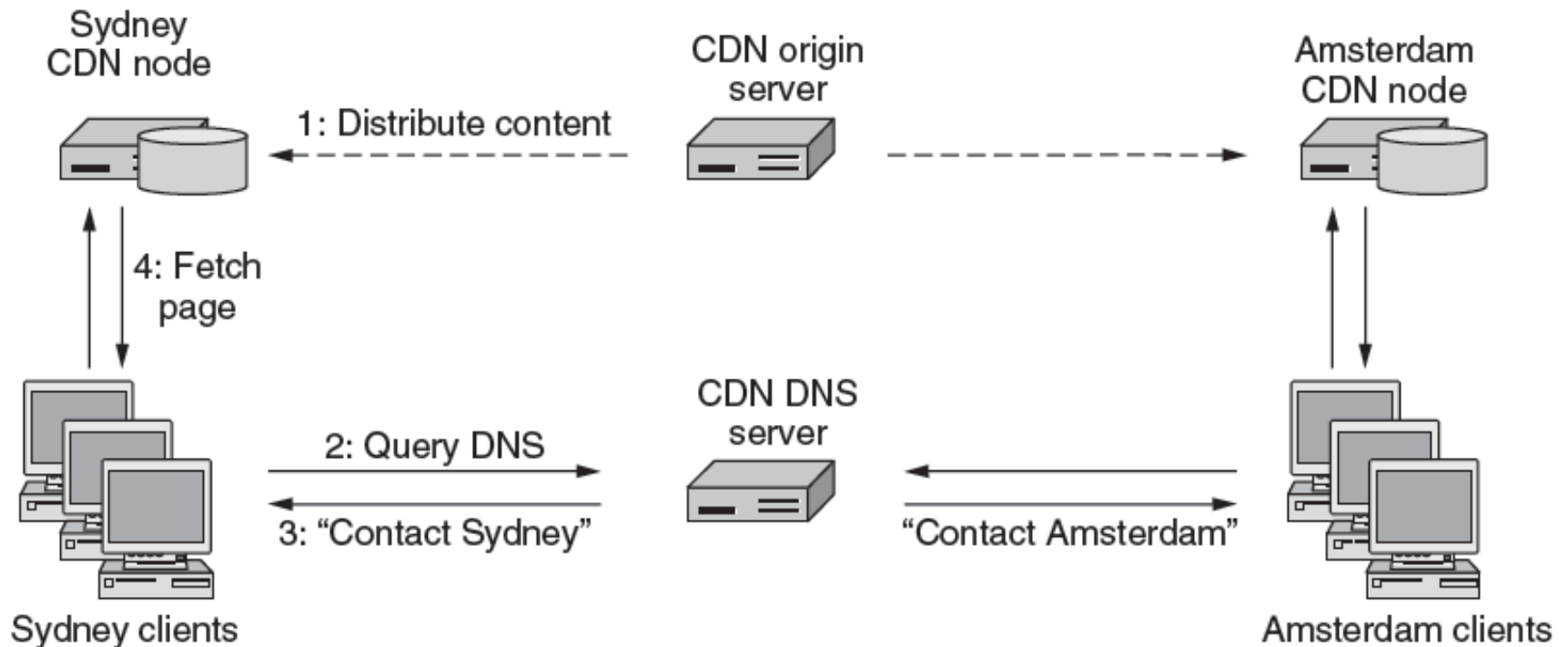
A proxy cache between Web browsers and Web servers.

# Content Delivery Networks (1)



CDN distribution tree.

# Content Delivery Networks (2)



Directing clients to nearby CDN nodes using DNS.

# Content Delivery Networks (3)

```
<html>
<head> <title> Fluffy Video </title> </head>
<body>
<h1> Fluffy Video's Product List </h1>
<p> Click below for free samples. </p>

<a href="koalas.mpg"> Koalas Today </a> <br>
<a href="kangaroos.mpg"> Funny Kangaroos </a> <br>
<a href="wombats.mpg"> Nice Wombats </a> <br>
</body>
</html>
```

(a)

```
<html>
<head> <title> Fluffy Video </title> </head>
<body>
<h1> Fluffy Video's Product List </h1>
<p> Click below for free samples. </p>

<a href="http://www.cdn.com/fluffyvideo/koalas.mpg"> Koalas Today </a> <br>
<a href="http://www.cdn.com/fluffyvideo/kangaroos.mpg"> Funny Kangaroos </a> <br>
<a href="http://www.cdn.com/fluffyvideo/wombats.mpg"> Nice Wombats </a> <br>
</body>
</html>
```

(b)

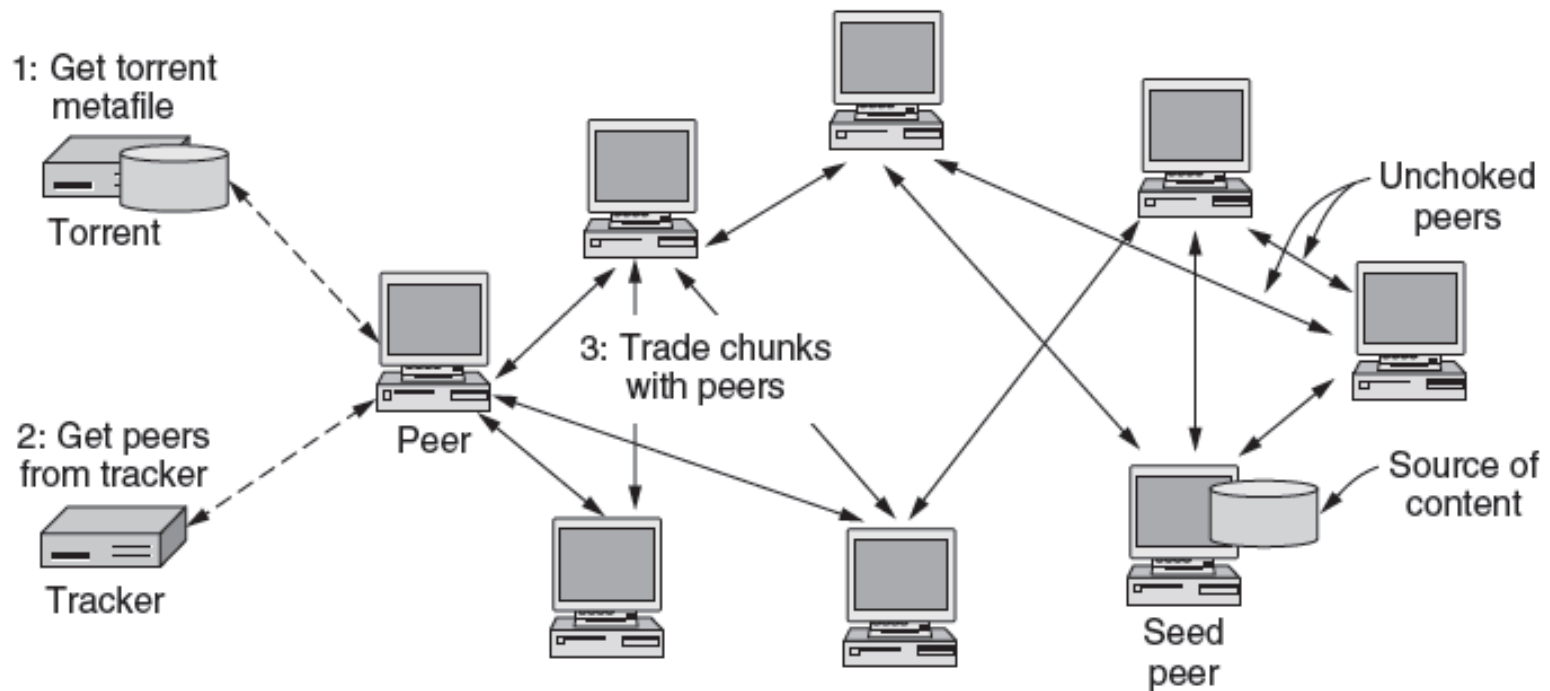
(a) Original Web page. (b) Same page after linking to the CDN

# Peer-to-Peer Networks (1)

Problems to be solved with BitTorrent sharing

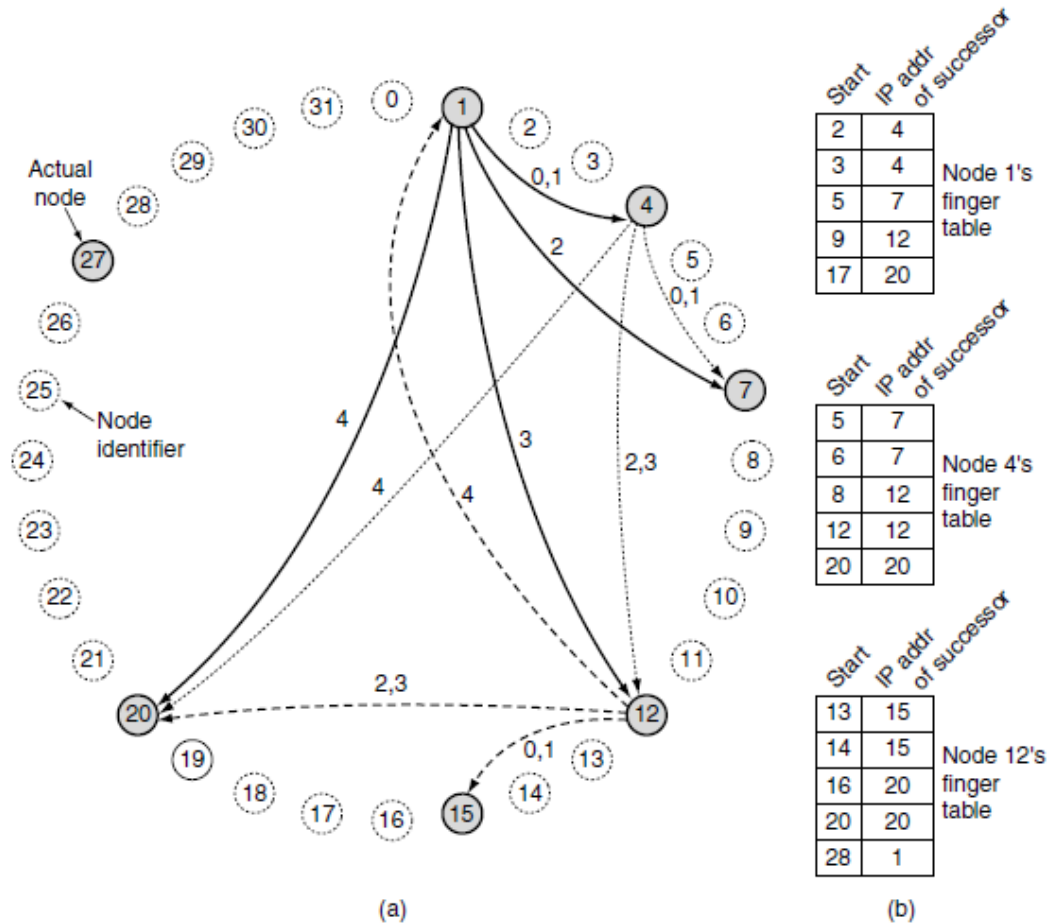
1. How does a peer find other peers
2. How is content replicated by peers to provide high-speed downloads
3. How do peers encourage each other to upload content to others

# Peer-to-Peer Networks (2)



BitTorrent.

# Peer-to-Peer Networks (3)



- (a) A set of 32 node identifiers arranged in a circle. The shaded ones correspond to actual machines. The arcs show the fingers from nodes 1, 4 and 12. The labels on the arcs are the table indices. (b) Examples of the finger tables.

# Content Delivery Networks

```
<html>
<head> <title> Furry Video </title> </head>
<body>
<h1> Furry Video's Product List </h1>
<p> Click below for free samples. </p>

<a href="bears.mpg"> Bears Today </a> <br>
<a href="bunnies.mpg"> Funny Bunnies </a> <br>
<a href="mice.mpg"> Nice Mice </a> <br>
</body>
</html>
```

(a)

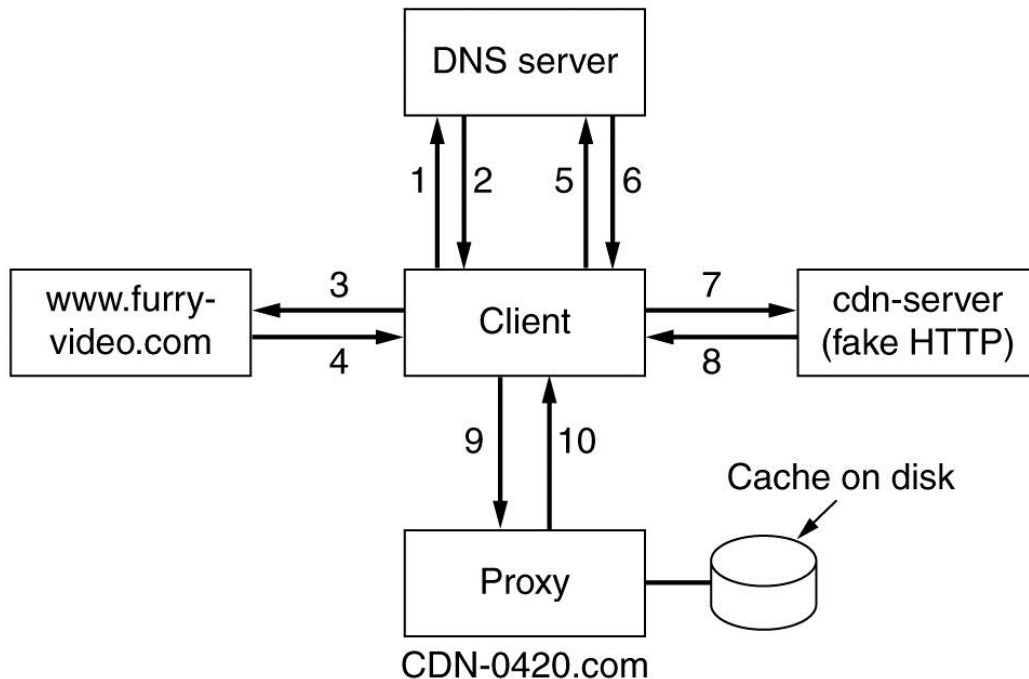
```
<html>
<head> <title> Furry Video </title> </head>
<body>
<h1> Furry Video's Product List </h1>
<p> Click below for free samples. </p>

<a href="http://cdn-server.com/furryvideo/bears.mpg"> Bears Today </a> <br>
<a href="http://cdn-server.com/furryvideo/bunnies.mpg"> Funny Bunnies </a> <br>
<a href="http://cdn-server.com/furryvideo/mice.mpg"> Nice Mice </a> <br>
</body>
</html>
```

(b)

**(a)** Original Web page.      **(b)** Same page after transformation.

# The Wireless Web



1. Look up `www.furryvideo.com`
2. Furry's IP address returned
3. Request HTML page from Furry
4. HTML page returned
5. After click, look up `cdn-server.com`
6. IP address of `cdn-server` returned
7. Ask `cdn-server` for `bears.mpg`
8. Client told to redirect to `CDN-0420.com`
9. Request `bears.mpg`
10. Cached file `bears.mpg` returned

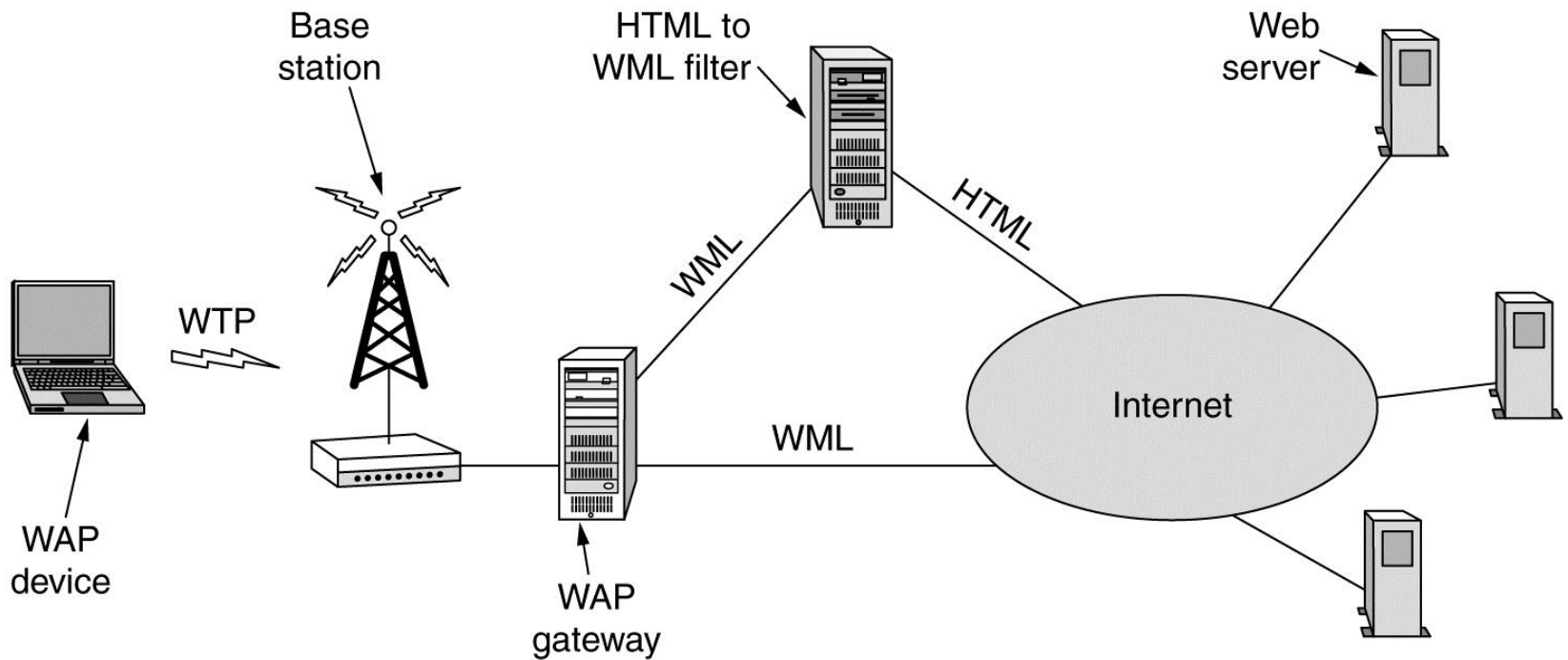
# WAP – The Wireless Application Protocol

The WAP protocol stack.

|                                              |
|----------------------------------------------|
| Wireless application environment (WAE)       |
| Wireless session protocol (WSP)              |
| Wireless transaction protocol (WTP)          |
| Wireless transport layer security (WTLS)     |
| Wireless datagram protocol (WDP)             |
| Bearer layer (GSM, CDMA, D-AMPS, GPRS, etc.) |

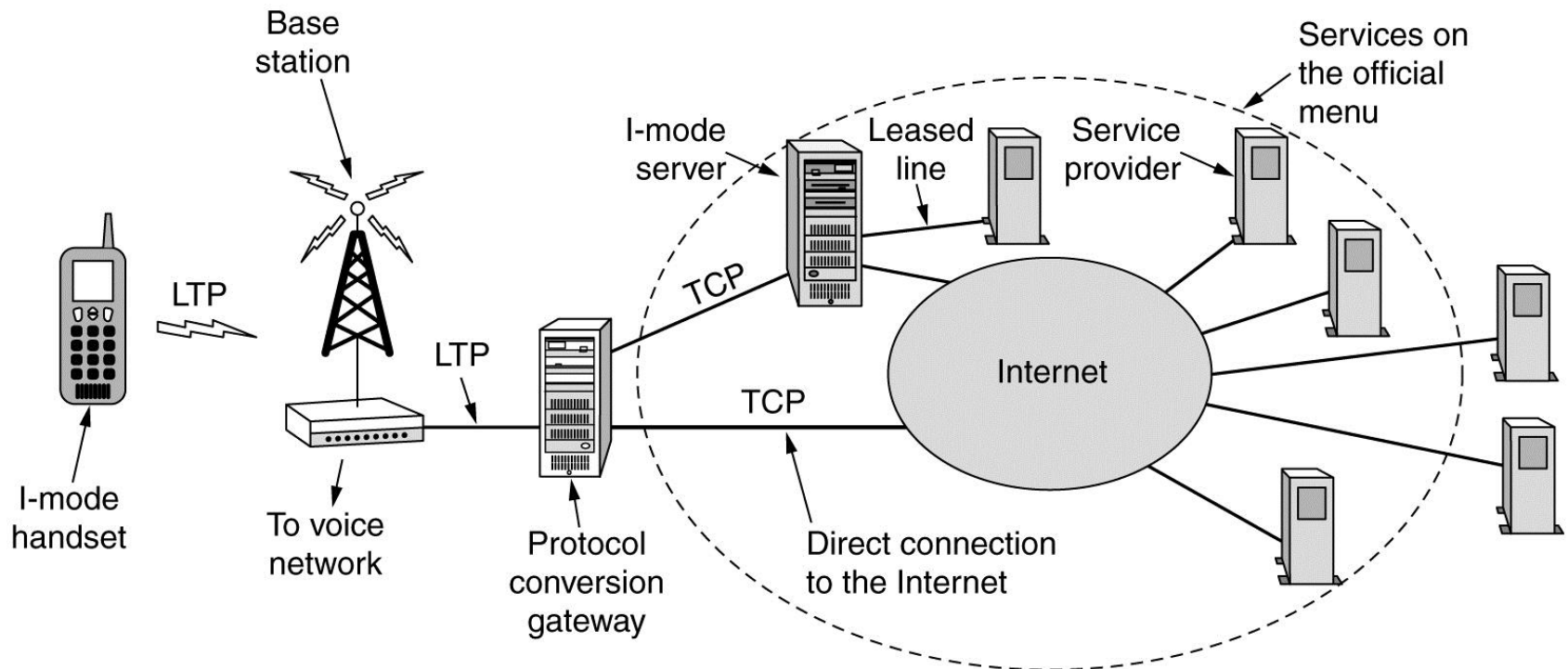
# WAP (2)

The WAP architecture.



# I-Mode

Structure of the i-mode data network showing the transport protocols.



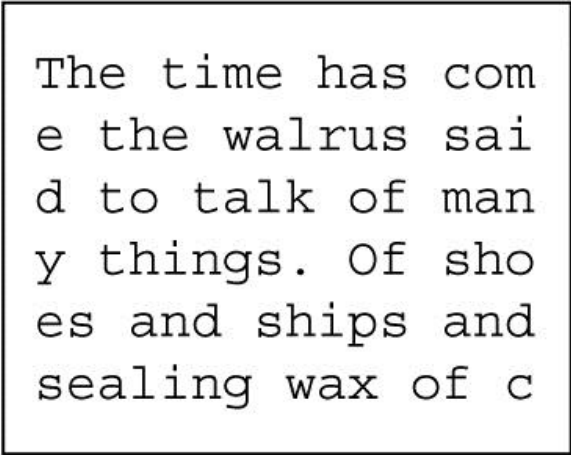
# I-Mode (2)

Structure of the i-mode software.

|                            |                   |      |
|----------------------------|-------------------|------|
| User interaction module    |                   |      |
| Plug-ins                   | cHTML interpreter | Java |
| Simple window manager      |                   |      |
| Network communication      |                   |      |
| Real-time operating system |                   |      |

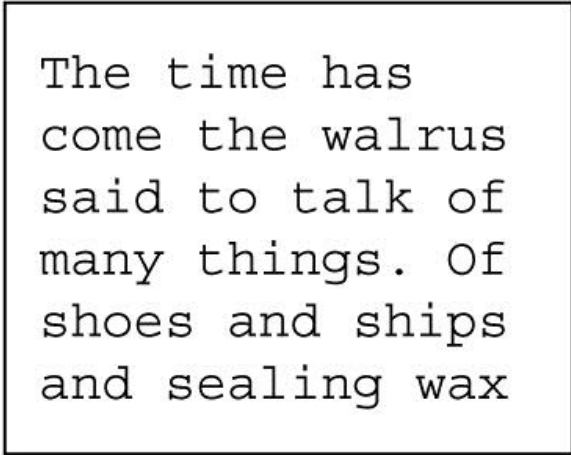
# I-Mode (3)

Lewis Carroll meets a 16 x 16 screen.



The time has com  
e the walrus sai  
d to talk of man  
y things. Of sho  
es and ships and  
sealing wax of c

(a)



The time has  
come the walrus  
said to talk of  
many things. Of  
shoes and ships  
and sealing wax

(b)

# I-Mode (4)

An example of cHTML file.

```
<html>
<body>
<h1> Select an option </h1>
<a href="messages.chtml" accesskey="1"> Check voicemail </a> <br>
<a href="mail.chtml" accesskey="2"> Check e-mail </a> <br>
<a href="games.chtml" accesskey="3"> Play a game </a>
</body>
</html>
```

# Second-Generation Wireless Web

A comparison of first-generation WAP and i-mode.

| Feature            | WAP                     | I-mode                 |
|--------------------|-------------------------|------------------------|
| What it is         | Protocol stack          | Service                |
| Device             | Handset, PDA, notebook  | Handset                |
| Access             | Dial up                 | Always on              |
| Underlying network | Circuit-switched        | Two: circuit + packet  |
| Data rate          | 9600 bps                | 9600 bps               |
| Screen             | Monochrome              | Color                  |
| Markup language    | WML (XML application)   | cHTML                  |
| Scripting language | WMLscript               | None                   |
| Usage charges      | Per minute              | Per packet             |
| Pay for shopping   | Credit card             | Phone bill             |
| Pictograms         | No                      | Yes                    |
| Standardization    | WAP forum open standard | NTT DoCoMo proprietary |
| Where used         | Europe, Japan           | Japan                  |
| Typical user       | Businessman             | Young woman            |

# Second-Generation Wireless Web (2)

New features of WAP 2.0.

- Push model as well as pull model.
- Support for integrating telephony into apps.
- Multimedia messaging.
- Inclusion of 264 pictograms.
- Interface to a storage device.
- Support for plug-ins in the browser.

# Second-Generation Wireless Web (3)

WAP 2.0 supports two protocol stacks.

| XHTML                  |                        |
|------------------------|------------------------|
| WSP                    | HTTP                   |
| WTP                    | TLS                    |
| WTLS                   | TCP                    |
| WDP                    | IP                     |
| Bearer layer           | Bearer layer           |
| WAP 1.0 protocol stack | WAP 2.0 protocol stack |

# Second-Generation Wireless Web (4)

The XHTML Basic modules and tags.

| Module           | Req.? | Function            | Example tags                                         |
|------------------|-------|---------------------|------------------------------------------------------|
| Structure        | yes   | Doc. structure      | body, head, html, title                              |
| Text             | yes   | Information         | br, code, dfn, em, <i>h<i>n</i></i> , kbd, p, strong |
| Hypertext        | yes   | Hyperlinks          | a                                                    |
| List             | yes   | Itemized lists      | dl, dt, dd, ol, ul, li                               |
| Forms            | No    | Fill-in forms       | form, input, label, option, textarea                 |
| Tables           | No    | Rectangular tables  | caption, table, td, th, tr                           |
| Image            | No    | Pictures            | img                                                  |
| Object           | No    | Applets, maps, etc. | object, param                                        |
| Meta-information | No    | Extra info          | meta                                                 |
| Link             | No    | Similar to <a>      | link                                                 |
| Base             | No    | URL starting point  | base                                                 |



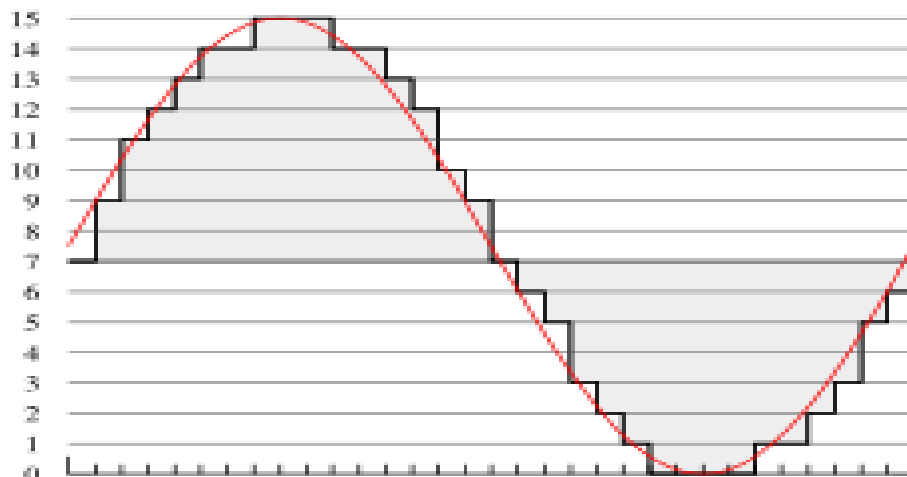
# Multimedia Networking

# Topics

- Digital audio and video
  - Sampling, quantizing, and compressing
- Multimedia applications
  - Streaming audio and video for playback
  - Live, interactive audio and video
- Multimedia transfers over a best-effort network
  - Tolerating packet loss, delay, and jitter
  - Forward error correction and playout buffers
- Improving the service the network offers
  - Marking, policing, scheduling, and admission control

# Digital Audio

- Sampling the analog signal
  - Sample at some fixed rate
  - Each sample is an arbitrary real number
- Quantizing each sample
  - Round each sample to one of a finite number of values
  - Represent each sample in a fixed number of bits



4 bit representation  
(values 0-15)

# Audio Examples

- Speech
  - Sampling rate: 8000 samples/second
  - Sample size: 8 bits per sample
  - Rate: 64 kbps



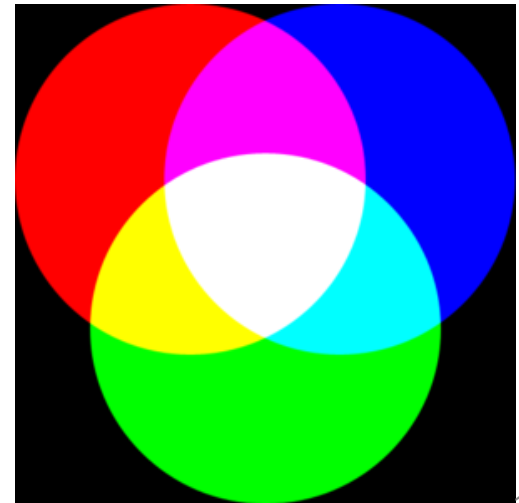
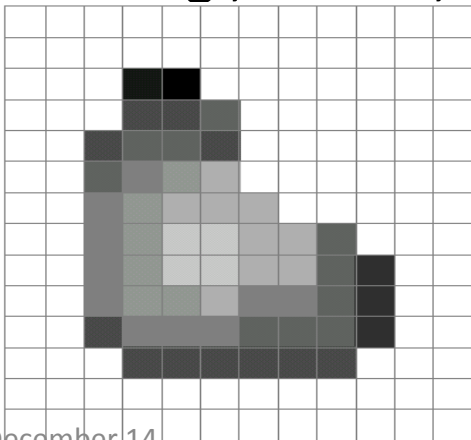
- Compact Disc (CD)
  - Sampling rate: 44,100 samples/second
  - Sample size: 16 bits per sample
  - Rate: 705.6 kbps for mono,  
1.411 Mbps for stereo

# Audio Compression

- Audio data requires too much bandwidth
  - Speech: 64 kbps is too high for a dial-up modem user
  - Stereo music: 1.411 Mbps exceeds most access rates
- Compression to reduce the size
  - Remove redundancy
  - Remove details that human tend not to perceive
- Example audio formats
  - Speech: GSM (13 kbps), G.729 (8 kbps), and G.723.3 (6.4 and 5.3 kbps)
  - Stereo music: MPEG 1 layer 3 (MP3) at 96 kbps, 128 kbps, and 160 kbps

# Digital Video

- Sampling the analog signal
  - Sample at some fixed rate (e.g., 24 or 30 times per sec)
  - Each sample is an image
- Quantizing each sample
  - Representing an image as an array of picture elements
  - Each pixel is a mixture of colors (red, green, and blue)
  - E.g., 24 bits, with 8 bits per color



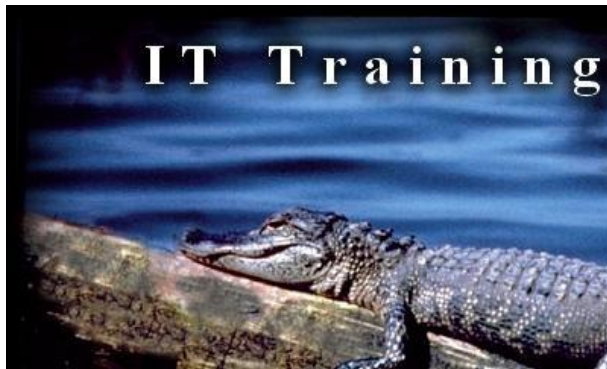


The  
2272 x 1704  
hand

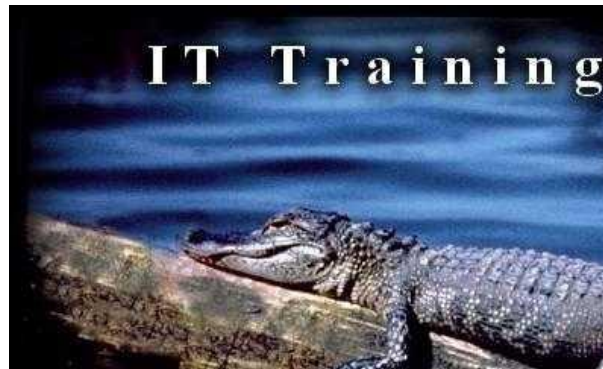
The  
320 x 240  
hand

# Video Compression: Within an Image

- Image compression
  - Exploit spatial redundancy (e.g., regions of same color)
  - Exploit aspects humans tend not to notice
- Common image compression formats
  - Joint Pictures Expert Group (JPEG)
  - Graphical Interchange Format (GIF)



Uncompressed: 167 KB



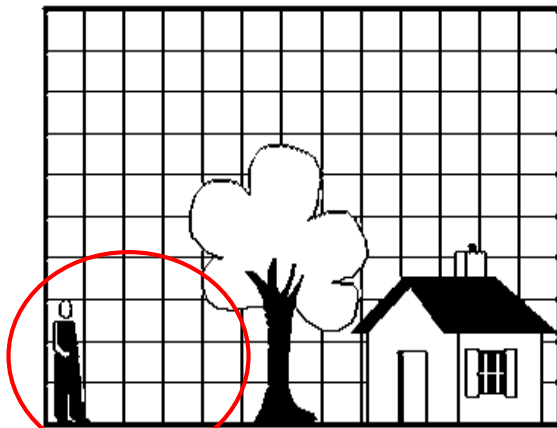
Good quality: 46 KB



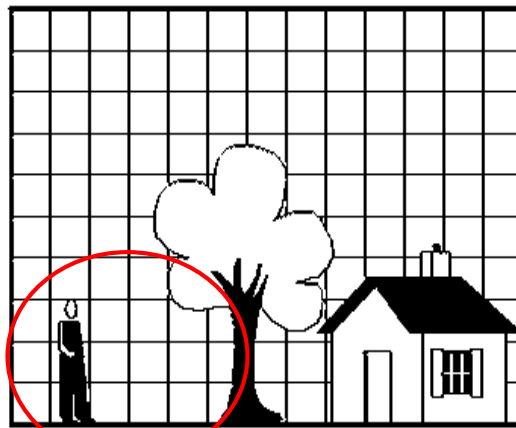
Poor quality: 9 KB

# Video Compression: Across Images

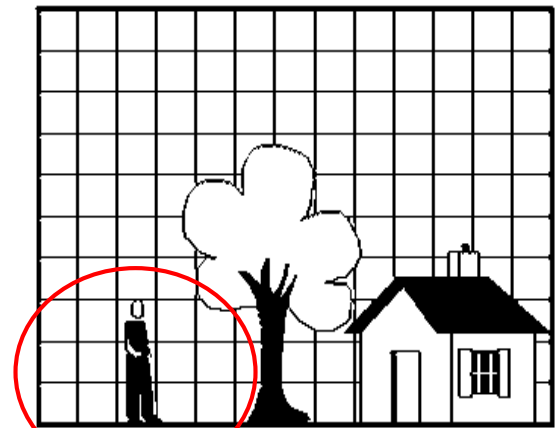
- Compression across images
  - Exploit temporal redundancy across images
- Common video compression formats
  - MPEG 1: CD-ROM quality video (1.5 Mbps)
  - MPEG 2: high-quality DVD video (3-6 Mbps)
  - Proprietary protocols like QuickTime and RealNetworks



December 14



CMSC417 Set 8

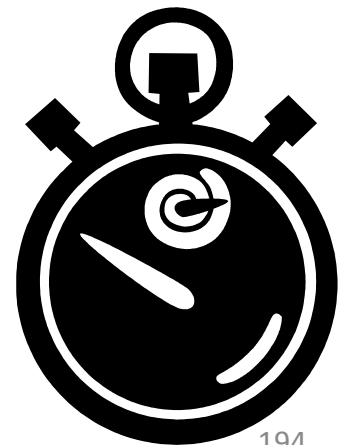


# Transferring Audio and Video Data

- Simplest case: just like any other file
  - Audio and video data stored in a file
  - File downloaded using conventional protocol
  - Playback does not overlap with data transfer
- A variety of more interesting scenarios
  - Live vs. pre-recorded content
  - Interactive vs. non-interactive
  - Single receiver vs. multiple receivers
- Examples
  - Streaming audio and video data from a server
  - Interactive audio in a phone call

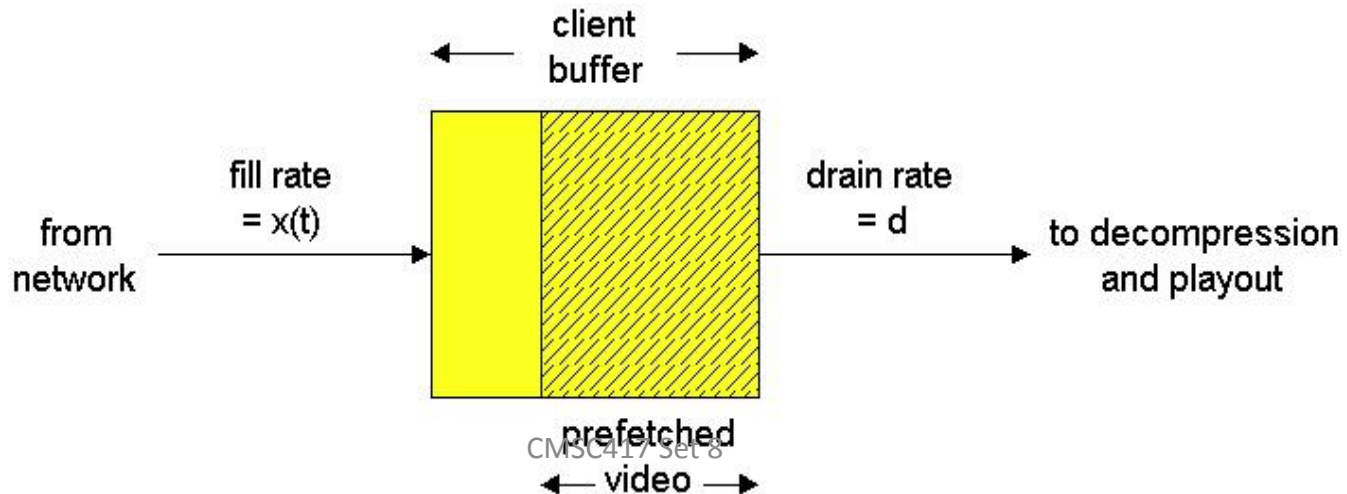
# Streaming Stored Audio and Video

- Client-server system
  - Server stores the audio and video files
  - Clients request files, play them as they download, and perform VCR-like functions (e.g., rewind and pause)
- Playing data at the right time
  - Server divides the data into segments
  - ... and labels each segment with timestamp or frame id
  - ... so the client knows when to play the data
- Avoiding starvation at the client
  - The data must arrive quickly enough
  - ... otherwise the client cannot keep playing

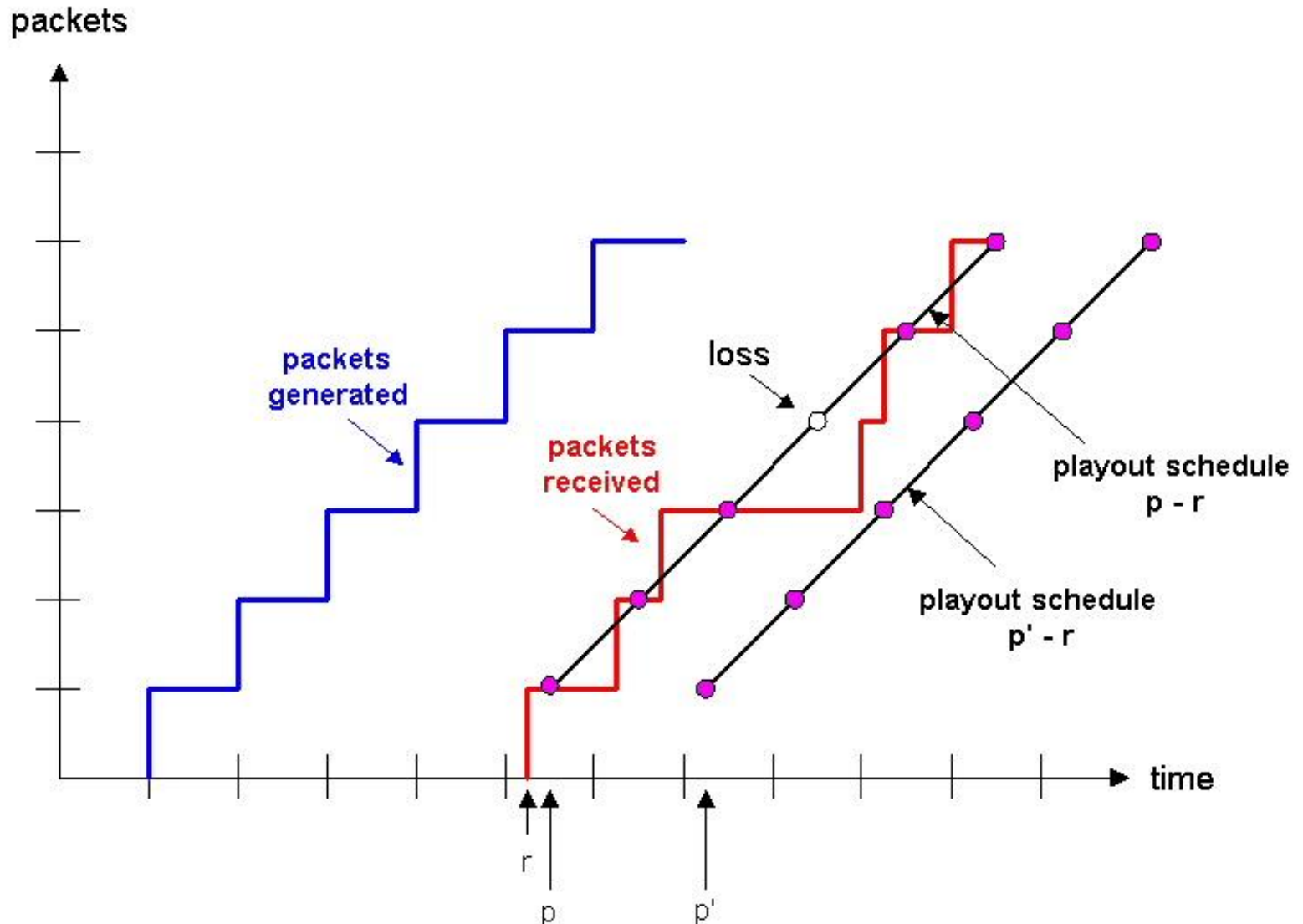


# Playout Buffer

- Client buffer
  - Store the data as it arrives from the server
  - Play data for the user in a continuous fashion
- Playout delay
  - Client typically waits a few seconds to start playing
  - ... to allow some data to build up in the buffer



# Influence of Playout Delay

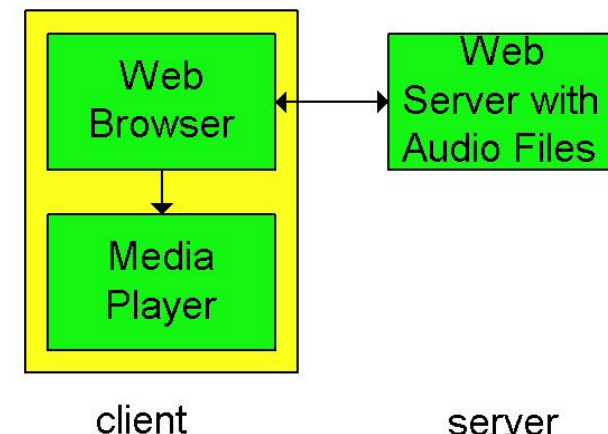


# Requirements for Data Transport

- Delay
  - Some small delay at the beginning is acceptable
  - E.g., start-up delays of a few seconds are okay
- Jitter
  - Variability of packet delay within the same packet stream
  - Client cannot tolerate high variation if the buffer starves
- Loss
  - Small amount of missing data does not disrupt playback
  - Retransmitting a lost packet might take too long anyway

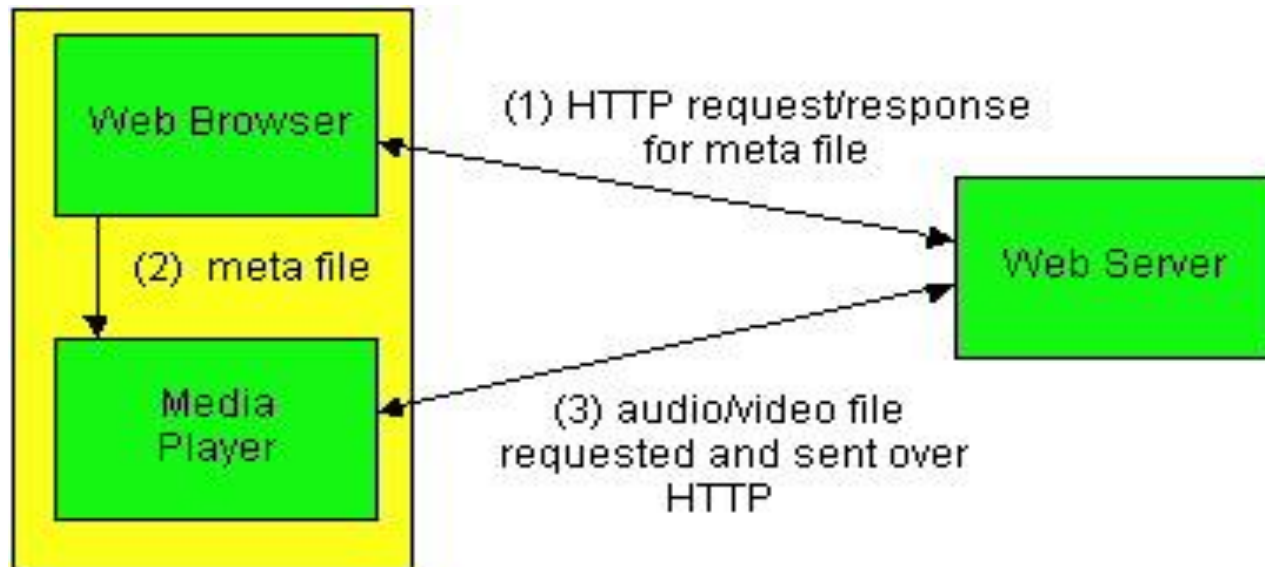
# Streaming From Web Servers

- Data stored in a file
  - Audio: an audio file
  - Video: interleaving of audio and images in a single file
- HTTP request-response
  - TCP connection between client and server
  - Client HTTP request and server HTTP response
- Client invokes the media player
  - Content-type indicates the encoding
  - Browser launches the media player
  - Media player then renders the file



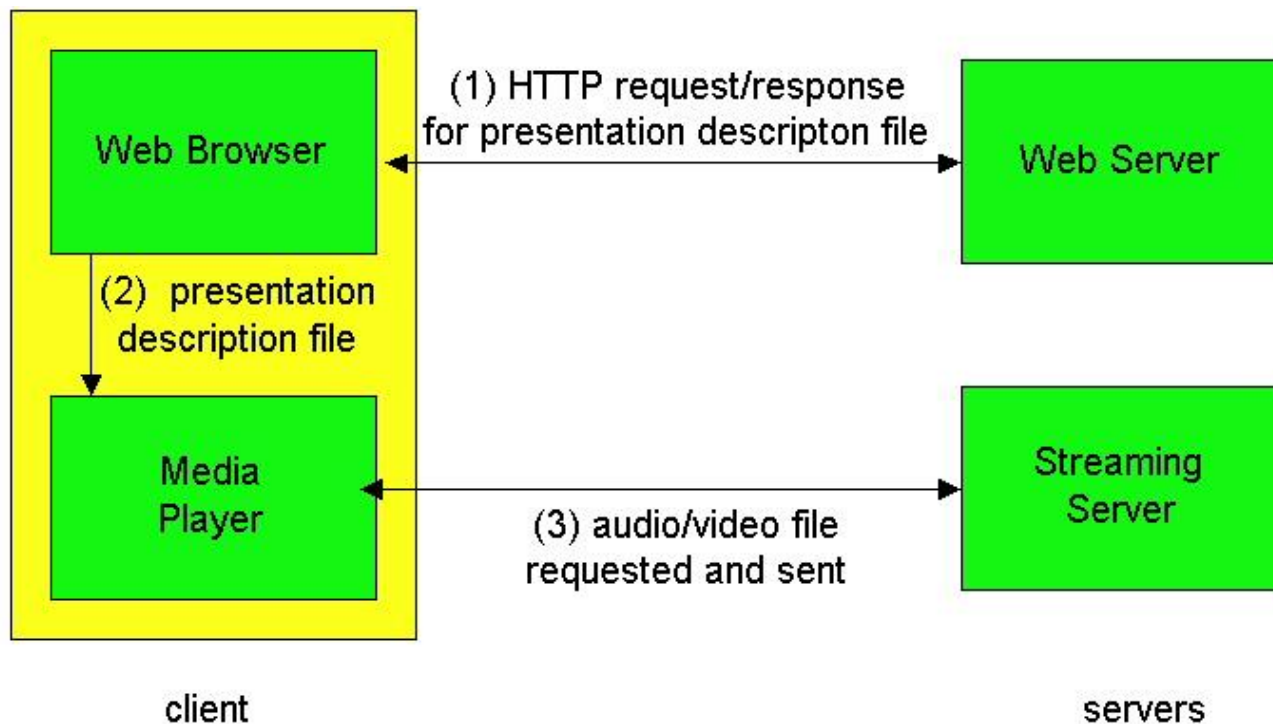
# Initiating Streams from Web Servers

- Avoid passing all data through the Web browser
  - Web server returns a meta file describing the object
  - Browser launches media player and passes the meta file
  - The player sets up its own connection to the Web server



# Using a Streaming Server

- Avoiding the use of HTTP (and perhaps TCP, too)
  - Web server returns a meta file describing the object
  - Player requests the data using a different protocol



# TCP is Not a Good Fit

- Reliable delivery
  - Retransmission of lost packets
  - ... even though it may not be useful
- Adapting the sending rate
  - Slowing down after a packet loss
  - ... even though it may cause starvation at the client
- Protocol overhead
  - TCP header of 20 bytes in every packet
  - ... which is large for sending audio samples
  - Sending ACKs for every other packet
  - ... which may be more feedback than needed

# Better Ways of Transporting Data

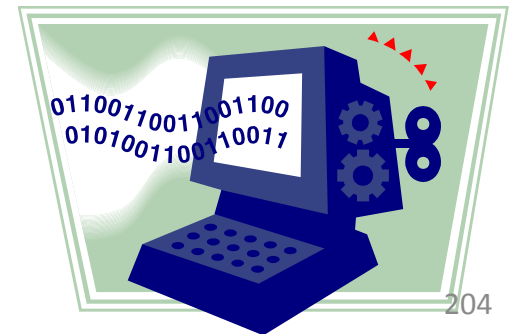
- User Datagram Protocol (UDP)
  - No automatic retransmission of lost packets
  - No automatic adaptation of sending rate
  - Smaller packet header
- UDP leaves many things up to the application
  - When to transmit the data
  - How to encapsulate the data
  - Whether to retransmit lost data
  - Whether to adapt the sending rate
  - ... or adapt the quality of the audio/video encoding

# Recovering From Packet Loss

- Loss is defined in a broader sense
  - Does a packet arrive in time for playback?
  - A packet that arrives late is as good as lost
  - Retransmission is not useful if the deadline has passed
- Selective retransmission
  - Sometimes retransmission is acceptable
  - E.g., if the client has not already started playing the data
  - Data can be retransmitted within the time constraint

# Forward Error Correction (FEC)

- Forward error correction
  - Add redundant information to the packet stream
  - So the client can reconstruct data even after a loss
- Send redundant chunk after every  $n$  chunks
  - E.g., extra chunk is an XOR of the other  $n$  chunks
  - Receiver can recover from losing a single chunk
- Send low-quality version along with high quality
  - E.g., 13 kbps audio along with 64 kbps version
  - Receiver can play low quality version if the high-quality version is lost

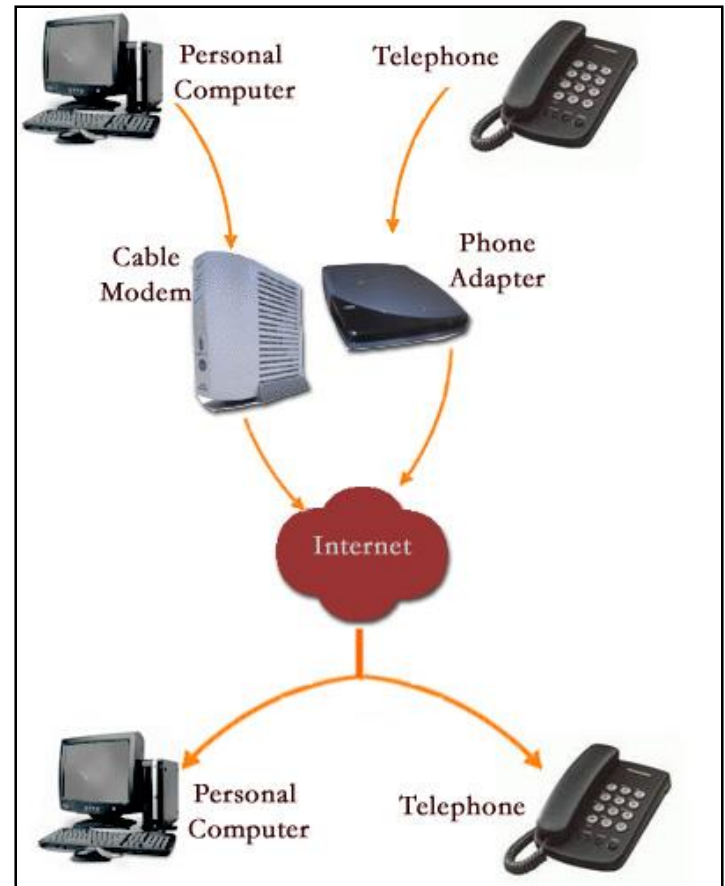


# Interactive Audio and Video

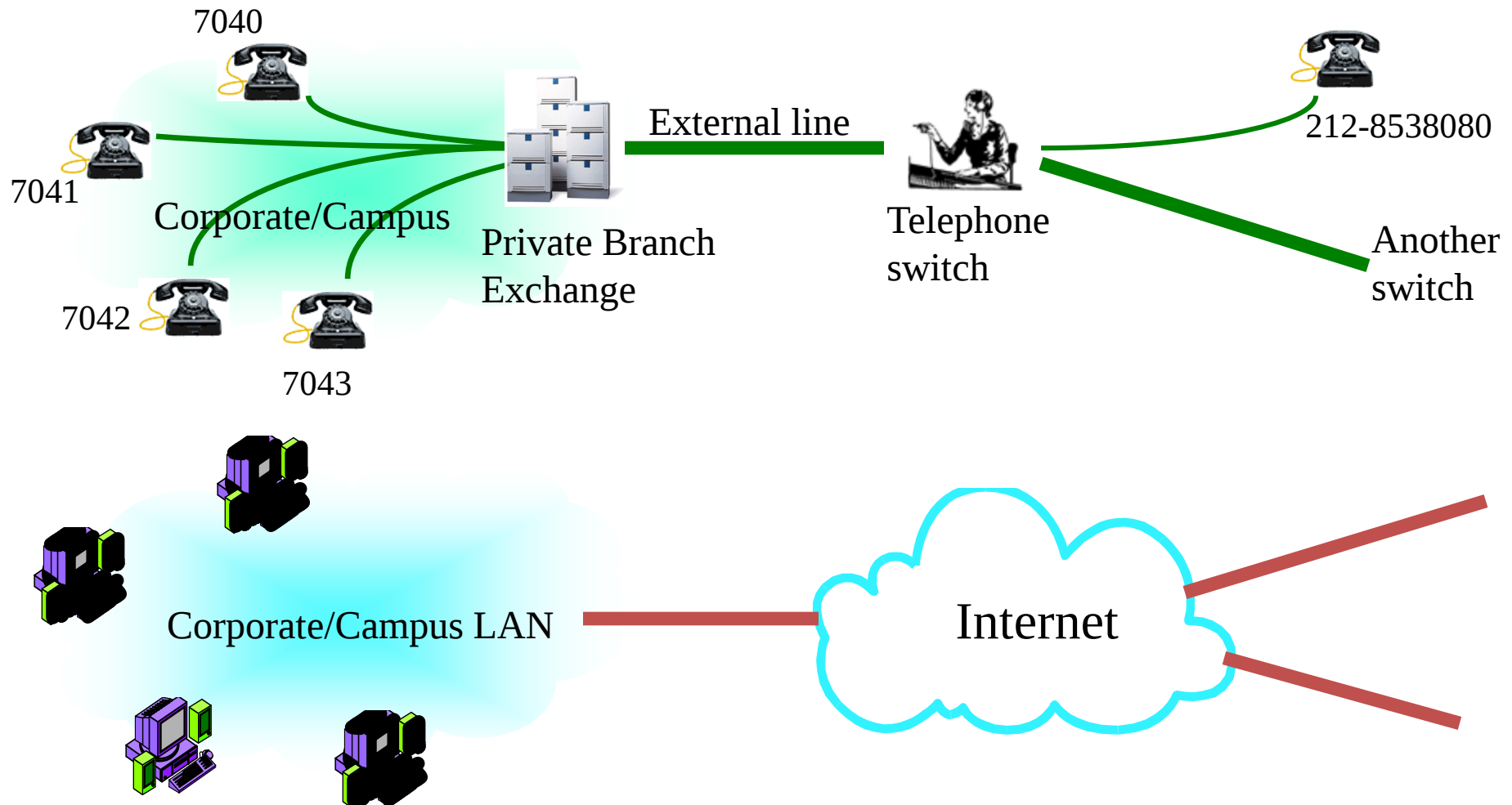
- Two or more users interacting
  - Telephone call
  - Video conference
  - Video game
- Strict delay constraints
  - Delays over 150-200 msec are very noticeable
  - ... and delays over 400 msec are a disaster for voice
- Much harder than streaming applications
  - Receiver cannot introduce much playout delay
  - Difficult if the network does not guarantee performance

# Voice Over IP (VoIP)

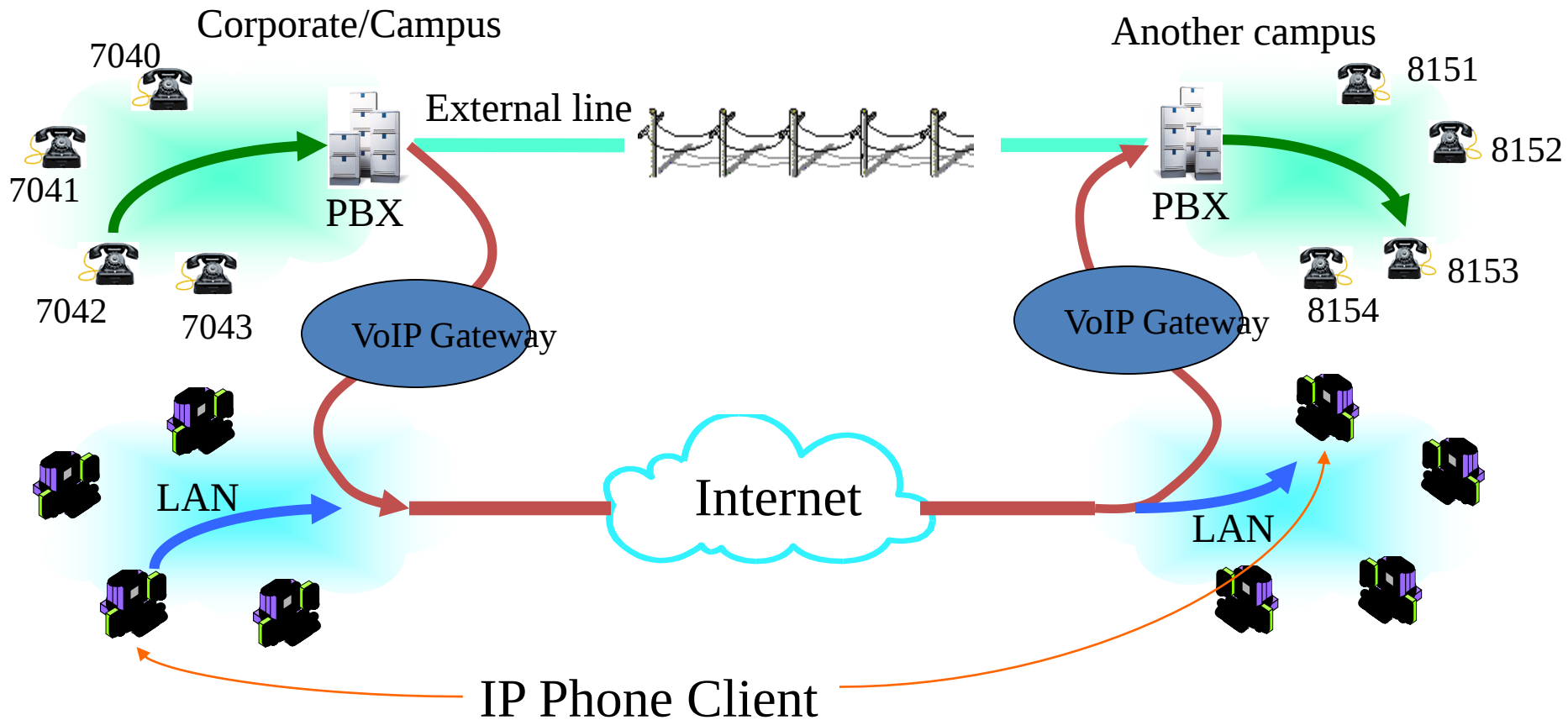
- Delivering phone calls over IP
  - Computer to computer
  - Analog phone to/from computer
  - Analog phone to analog phone
- Motivations for VoIP
  - Cost reduction
  - Simplicity
  - Advanced applications
    - Web-enabled call centers
    - Collaborative white boarding
    - Do Not Disturb, Locate Me, etc.
    - Voicemail sent as e-mail



# Traditional Telecom Infrastructure

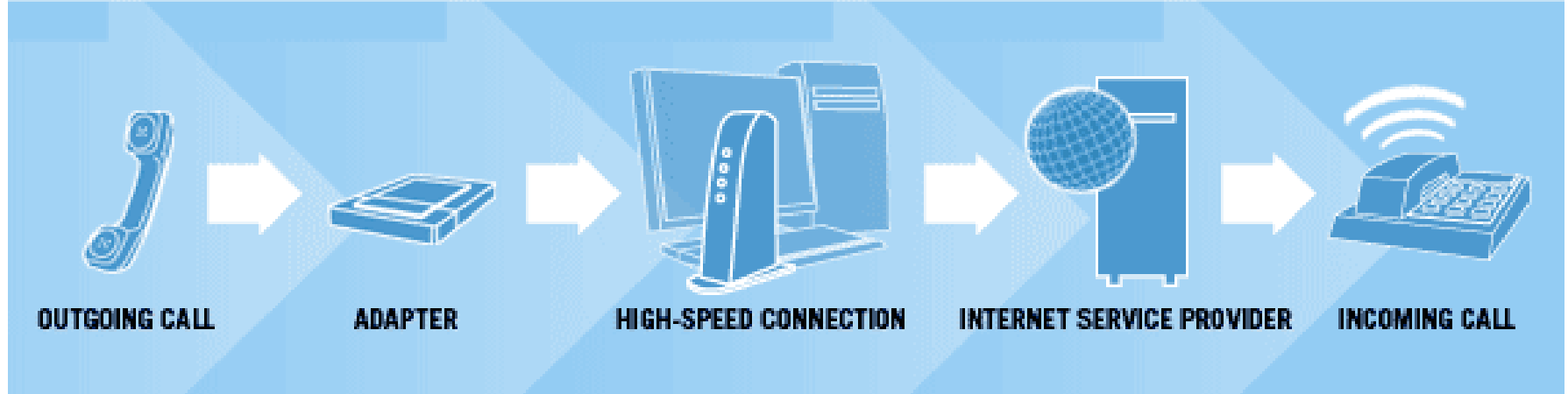


# VoIP Gateways



# VoIP With an Analog Phone

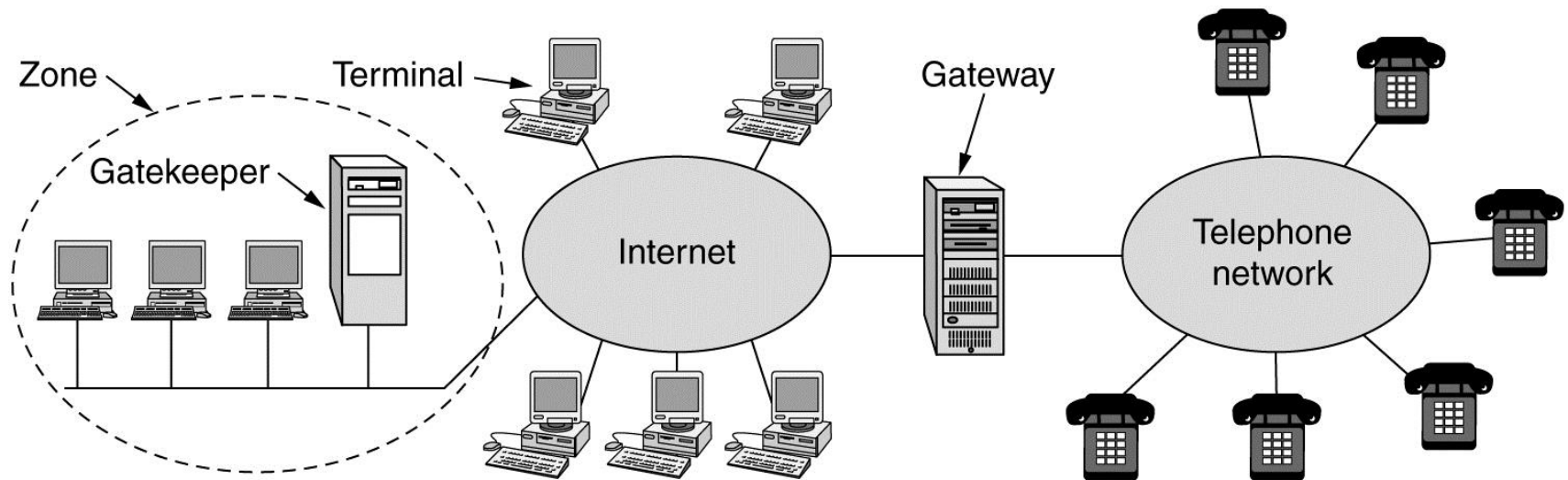
JUST PLUG YOUR PHONE, HIGH-SPEED CONNECTION, AND COMPUTER INTO THE ADAPTER AND YOU'RE READY TO GO.



- **Adapter**
  - Converts between analog and digital
  - Sends and receives data packets
  - Communicates with the phone in standard way

# Voice over IP

The H323 architectural model for Internet telephony.



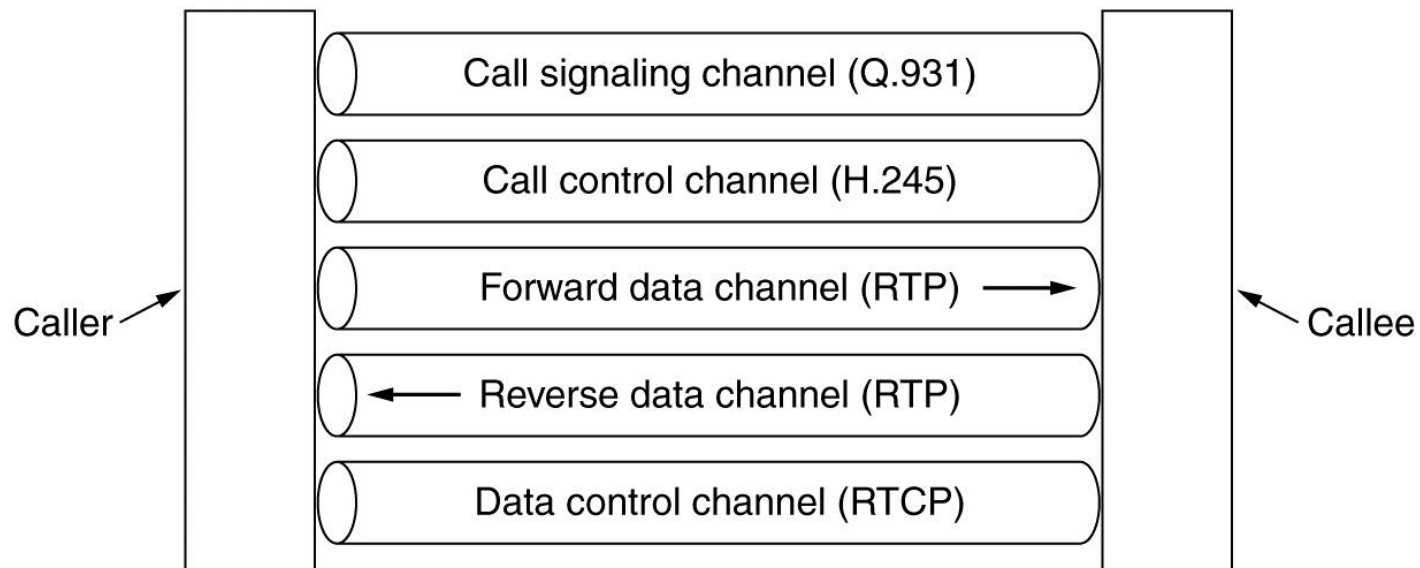
# Voice over IP (2)

The H323 protocol stack.

|                         |         |                |                              |                            |
|-------------------------|---------|----------------|------------------------------|----------------------------|
| Speech                  | Control |                |                              |                            |
| G.7xx                   | RTCP    | H.225<br>(RAS) | Q.931<br>(Call<br>signaling) | H.245<br>(Call<br>control) |
| RTP                     |         |                |                              |                            |
| UDP                     |         |                | TCP                          |                            |
| IP                      |         |                |                              |                            |
| Data link protocol      |         |                |                              |                            |
| Physical layer protocol |         |                |                              |                            |

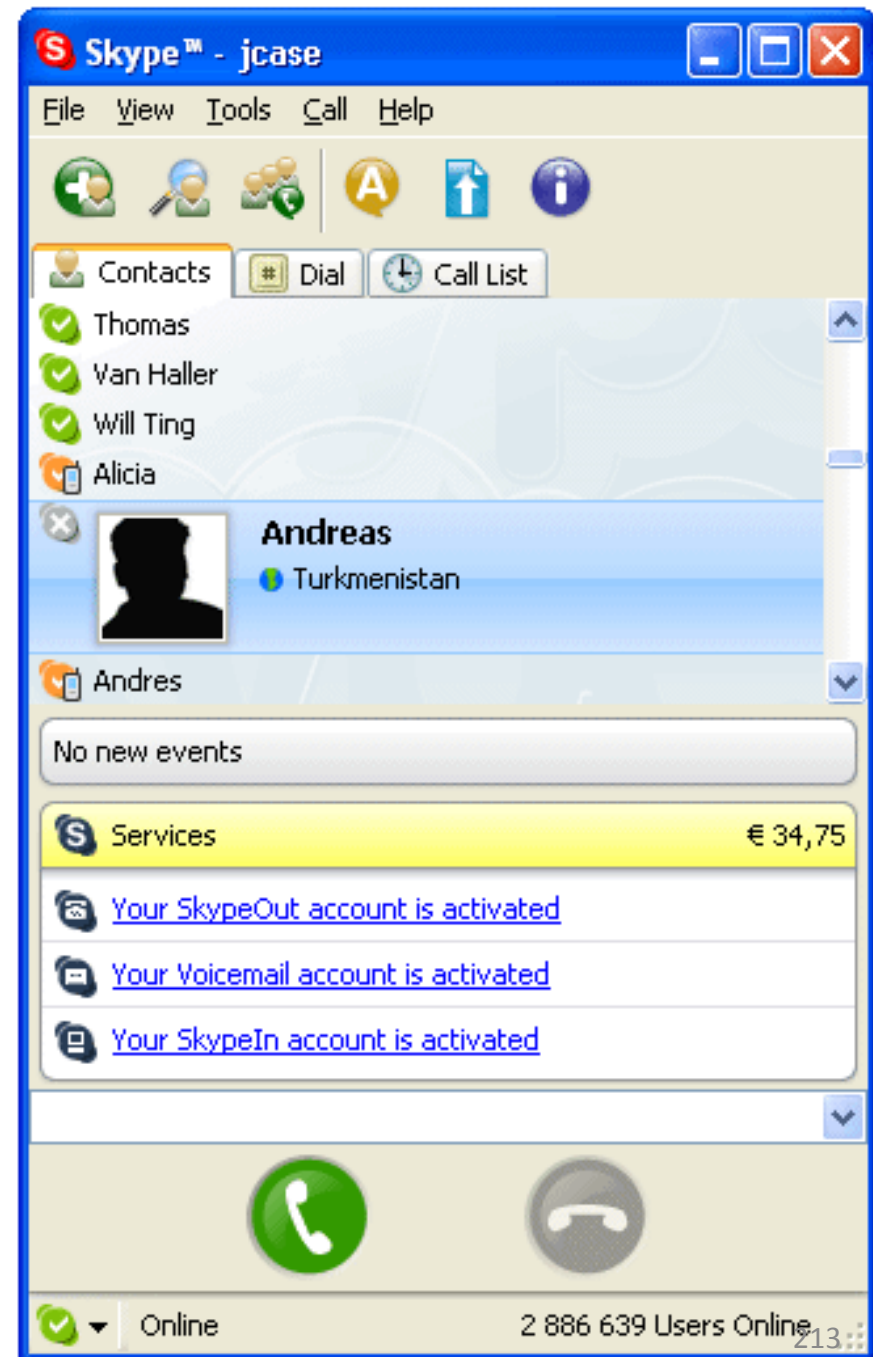
# Voice over IP (3)

Logical channels between the caller and callee during a call.



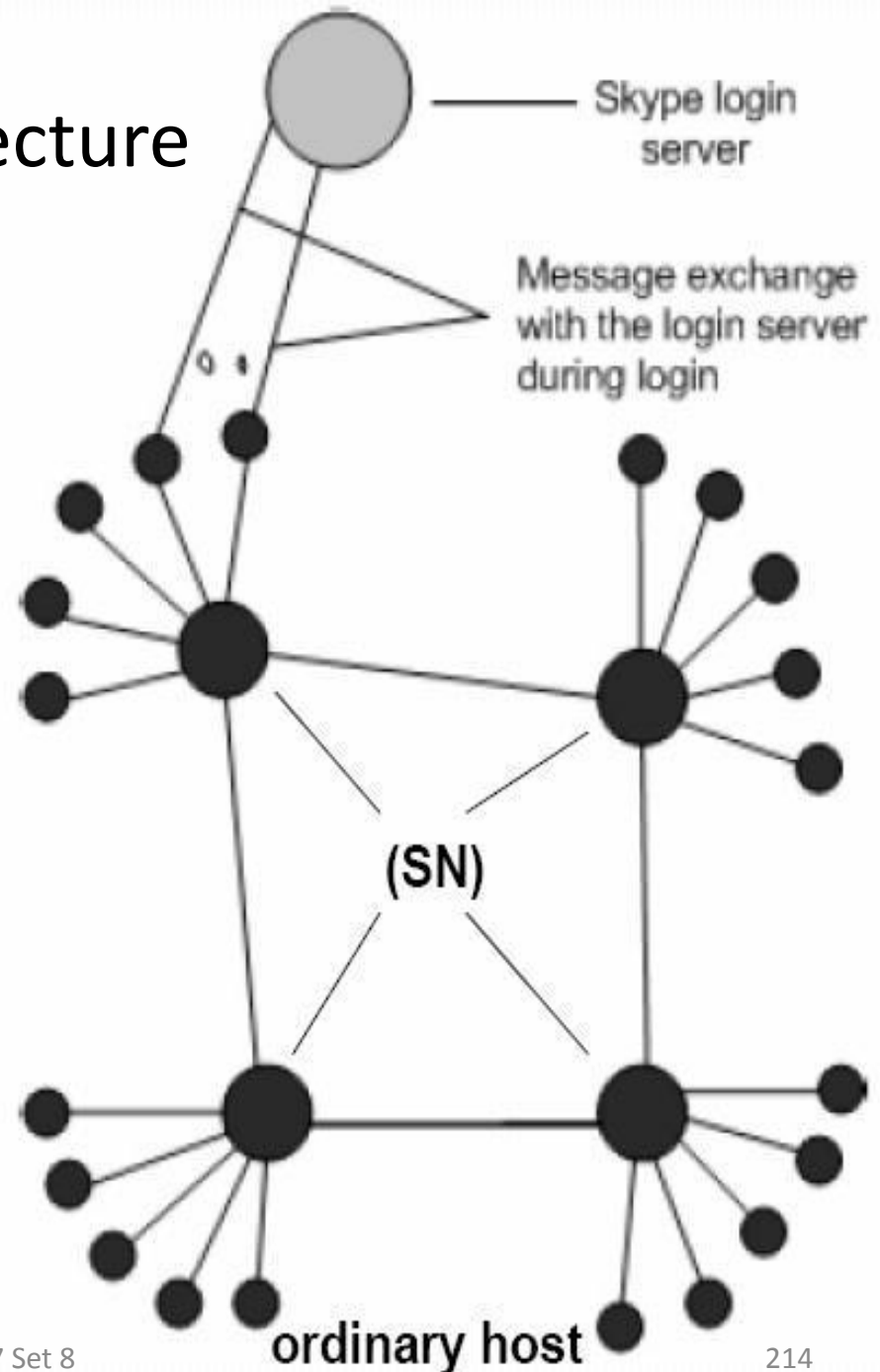
# Skype

- Niklas Zennström and Janus Friis in 2003
- Developed by KaZaA
- Instant Messenger (IM) with voice support
- Based on peer-to-peer (P2P) networking technology



# Skype Network Architecture

- Login server is the only central server (consisting of multiple machines)
- Both ordinary host and super nodes are Skype clients
- Any node with a public IP address and having sufficient resources can become a super node
- Skype maintains their own super nodes



# Challenges of Firewalls and NATs

- Firewalls
  - Often block UDP traffic
  - Usually allow hosts to initiate connections on port 80 (HTTP) and 443 (HTTPS)
- NAT
  - Cannot easily initiate traffic to a host behind a NAT
  - ... since there is no unique address for the host
- Skype must deal with these problems
  - Discovery: client exchanges messages with super node
  - Traversal: sending data through an intermediate peer

# Data Transfer

- UDP directly between the two hosts
  - Both hosts have public IP address
  - Neither host's network blocks UDP traffic
  - Easy: the hosts can exchange UDP packets directly
- UDP between an intermediate peer
  - One or both hosts with a NAT
  - Neither host's network blocks UDP traffic
  - Solution: direct UDP packets through another node
- TCP between an intermediate peer
  - Hosts behind NAT and UDP-restricted firewall
  - Solution: direct TCP connections through another node

# Silence Suppression

- What to transfer during quiet periods?
  - Could save bandwidth by reducing transmissions
  - E.g., send nothing during silence periods
- Skype does not appear to do silence suppression
  - Maintain the UDP bindings in the NAT boxes
  - Provide background noise to play at the receiver
  - Avoid drop in the TCP window size
- Skype sends data when call is “on hold”
  - Send periodic messages as a sort of heartbeat
  - Maintain the UDP bindings in the NAT boxes
  - Detect connectivity problems on the network path

# Skype Data Transfer

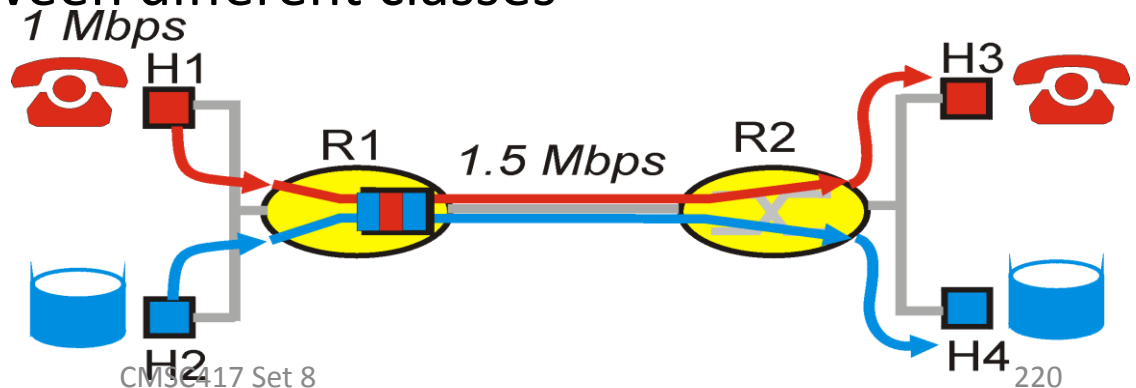
- Audio compression
  - Voice packets around 67 bytes
  - Up to 140 packets per second
  - Around 5 KB/sec (40 kbps) in each direction
- Encryption
  - Data packets are encrypted in both directions
  - To prevent snooping on the phone call
  - ... by someone snooping on the network
  - ... or by the intermediate peers forwarding data

# VoIP Quality

- The application can help
  - Good audio compression algorithms
  - Avoiding hops through far-away hosts
  - Forward error correction
  - Adaptation to the available bandwidth
- But, ultimately the network is a major factor
  - Long propagation delay?
  - High congestion?
  - Disruptions during routing changes?
- Leads to an interest in Quality of Service

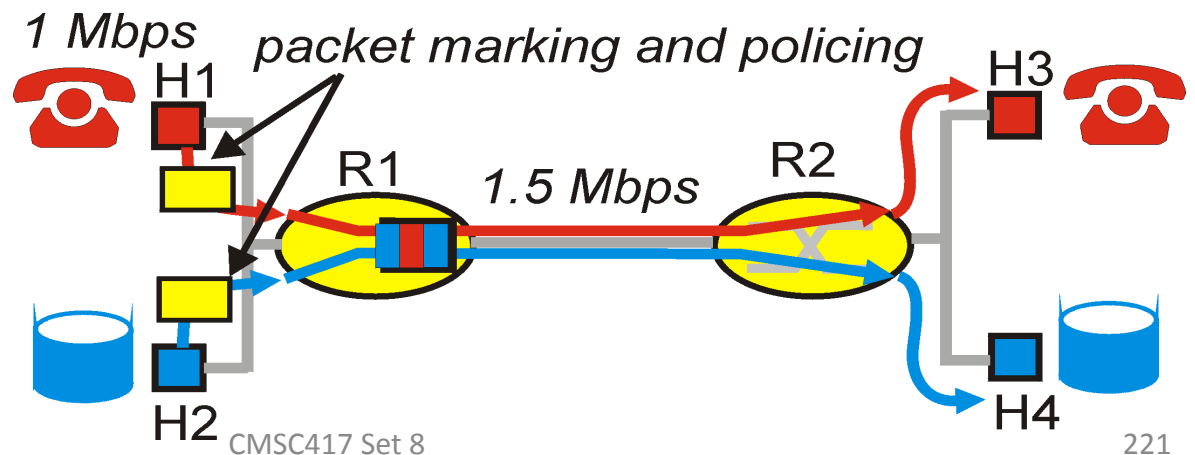
# Principles for QoS Guarantees

- Applications compete for bandwidth
  - Consider a 1 Mbps VoIP application and an FTP transfer sharing a single 1.5 Mbps link
  - Bursts of FTP traffic can cause congestion and losses
  - We want to give priority to the audio packets over FTP
- Principle 1: Packet marking
  - Marking of packets is needed for the router
  - To distinguish between different classes



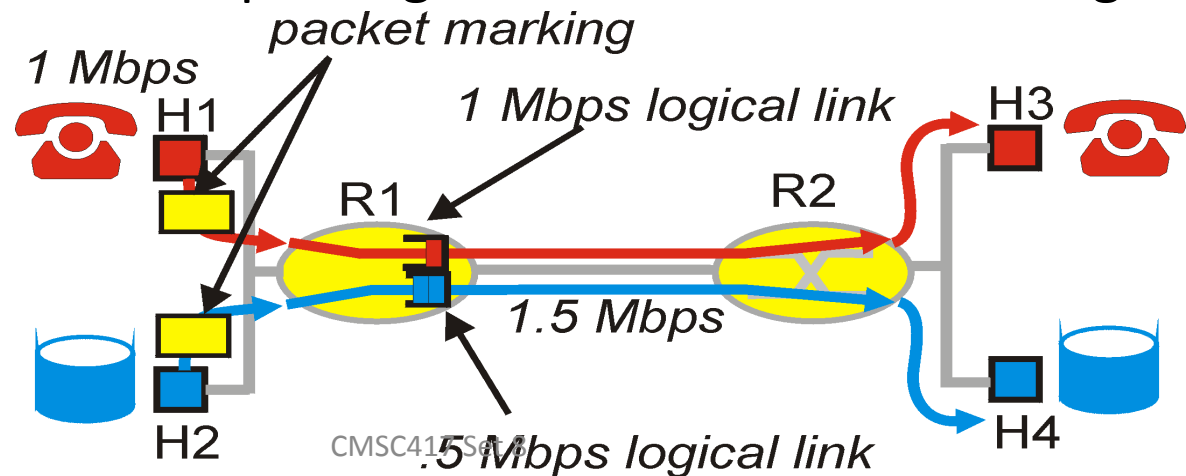
# Principles for QoS Guarantees

- Applications misbehave
  - Audio sends packets at a rate higher than 1 Mbps
- Principle 2: Policing
  - Provide protection for one class from other classes
  - Ensure sources adhere to bandwidth restrictions
  - Marking and policing need to be done at the edge



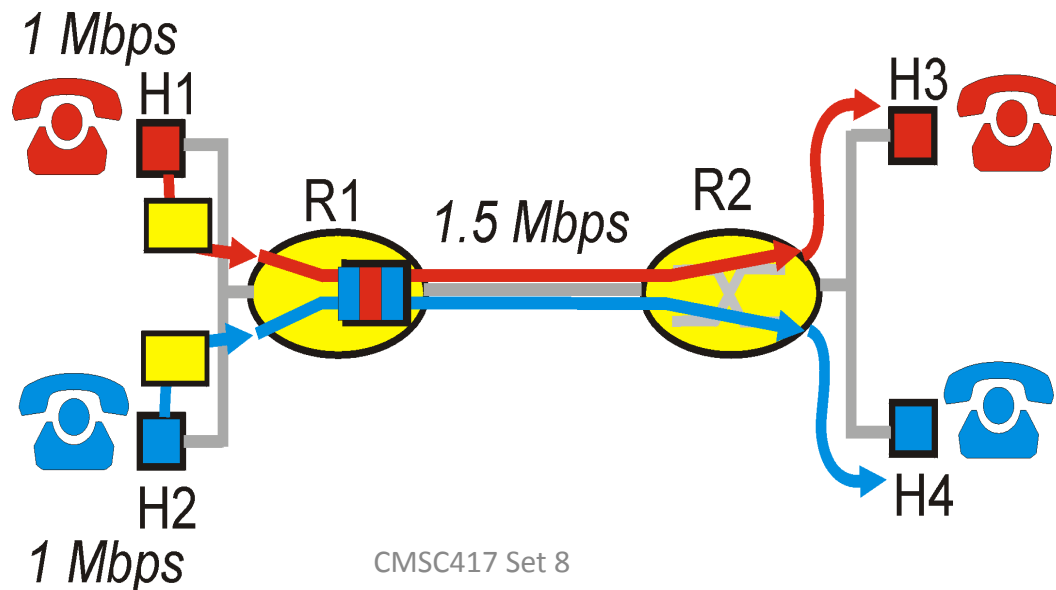
# Principles for QoS Guarantees

- Alternative to marking and policing
  - Allocate fixed bandwidth to each application flow
  - But, this can lead to inefficient use of bandwidth
  - ... if one of the flows does not use its allocation
- Principle 3: Link scheduling
  - While providing isolation, it is desirable to use resources as efficiently as possible
  - E.g., weighted fair queuing or round-robin scheduling



# Principles for QoS Guarantees

- Cannot support traffic beyond link capacity
  - If total traffic exceeds capacity, you are out of luck
  - Degrade the service for all, or deny someone access
- Principle 4: Admission control
  - Application flow declares its needs in advance
  - The network may block call if it cannot satisfy the needs



# Quality of Service

- Significant change to Internet architecture
  - Guaranteed service rather than best effort
  - Routers keeping state about the traffic
- A variety of new protocols and mechanisms
  - Reserving resources along a path
  - Identifying paths with sufficient resources
  - Link scheduling and buffer management
  - Packet marking with the Type-of-Service bits
  - Packet classifiers to map packets to ToS classes
  - ...
- Seeing some deployment within individual ASes
  - E.g., corporate/campus networks, and within an ISP

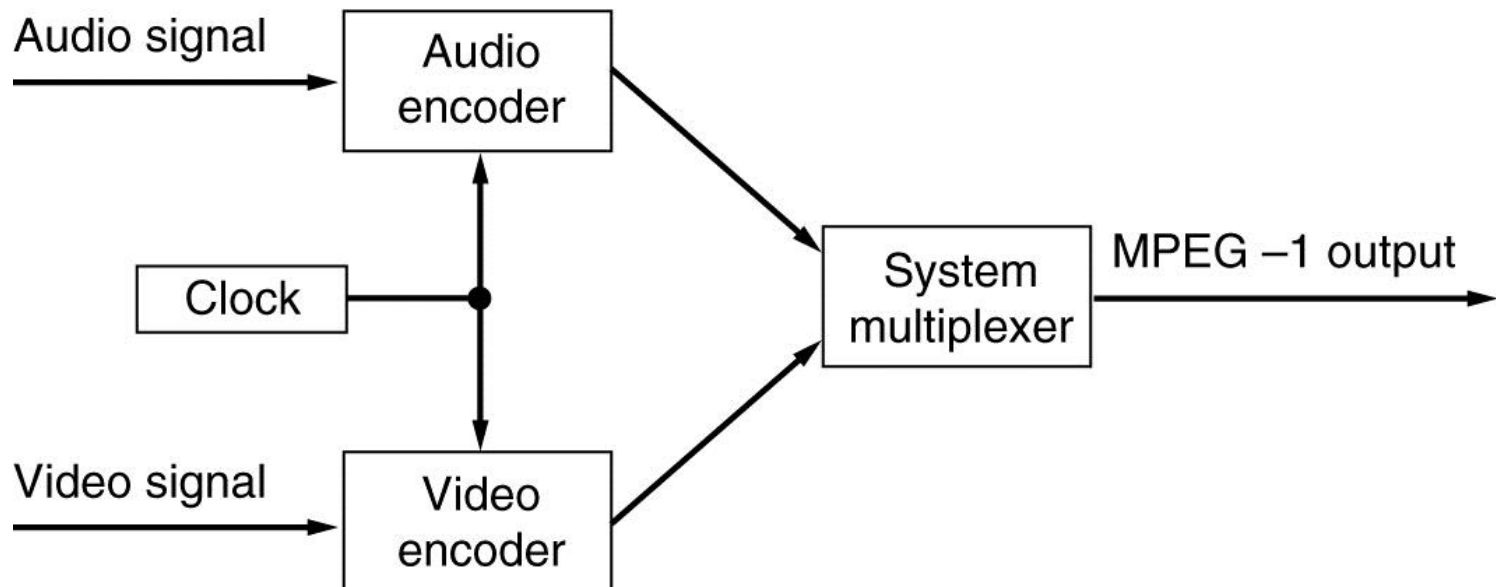
# Introduction to MPEG Video Coding

# MPEG -1

- Moving Pictures Experts Group, established in 1988
- Approved by ISO/IEC MPEG Group in November'91
  - Coding moving pictures & associated audio up to 1.5Mbit/s
  - Up to 1.2Mbit/s for video & 256kbps for audio
  - Supports only non-interlaced video

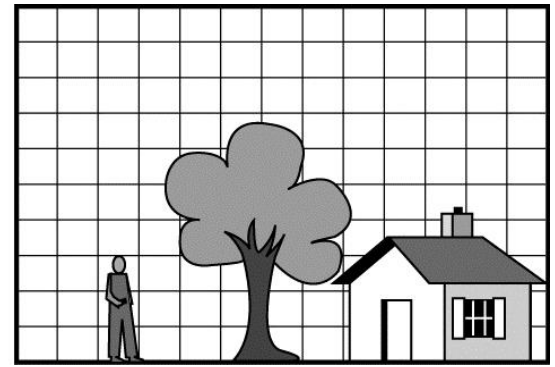
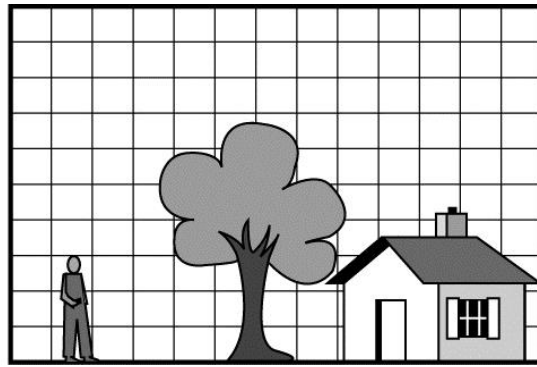
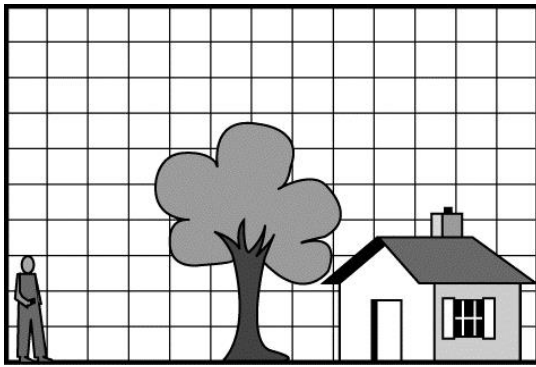
# The MPEG Standard

Synchronization of the audio and video streams in MPEG-1.

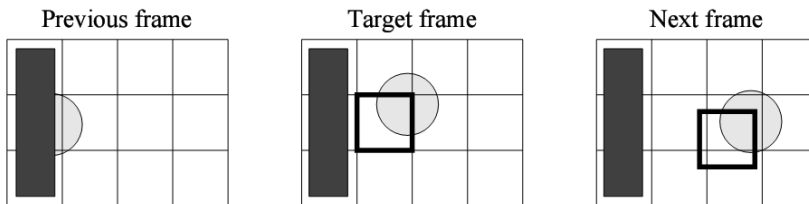


# The MPEG Standard (2)

Three consecutive frames.



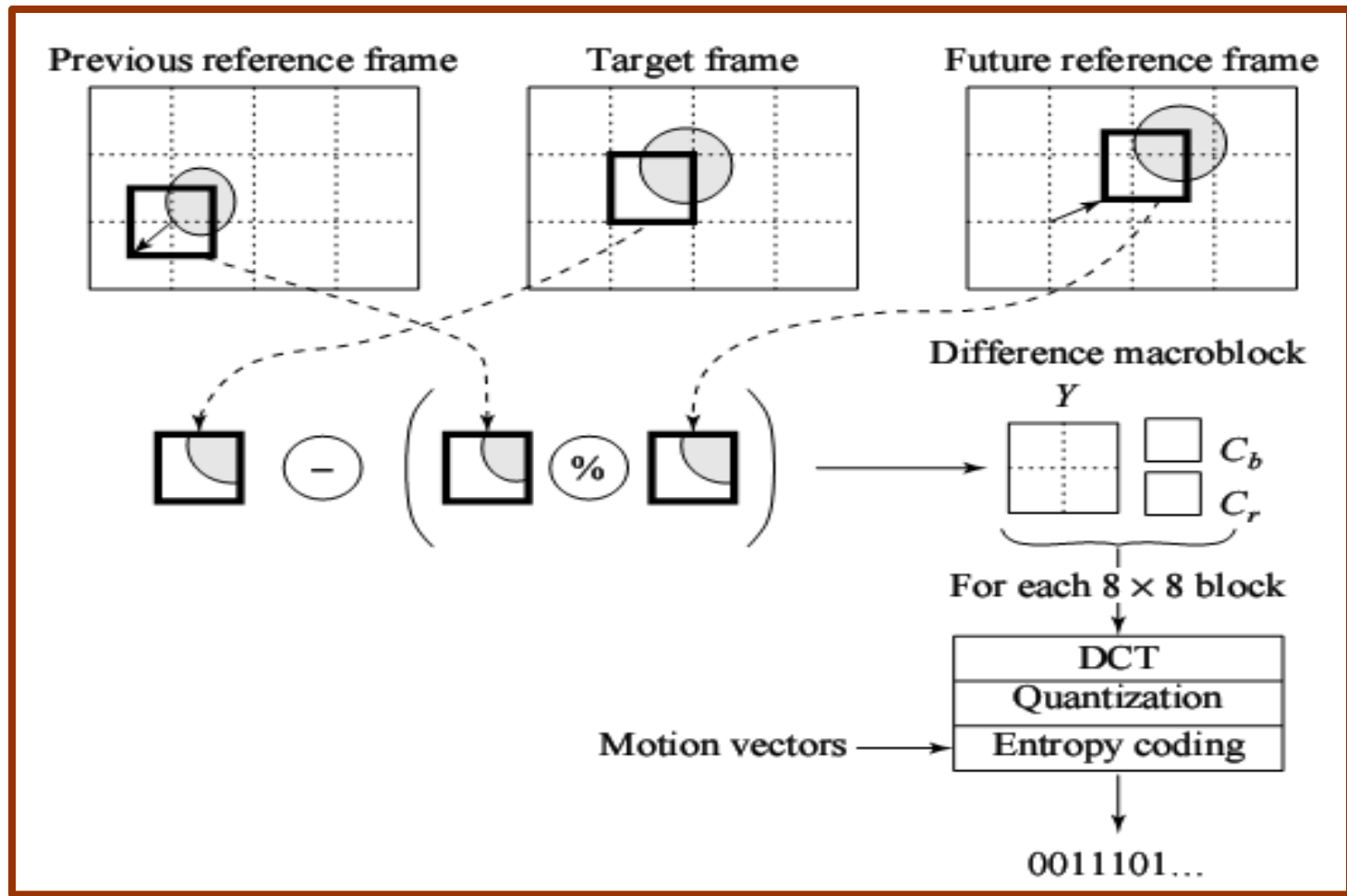
# MPEG-1 Motion Compensation



Need for bidirectional Motion compensation

- Introduces third frame (in addition to I-Frame, P-Frame)  
*B-Frame*
- B-Frame uses **backward prediction** & **forward prediction**
  - Each MB in the B-Frame will have 2 motion vectors

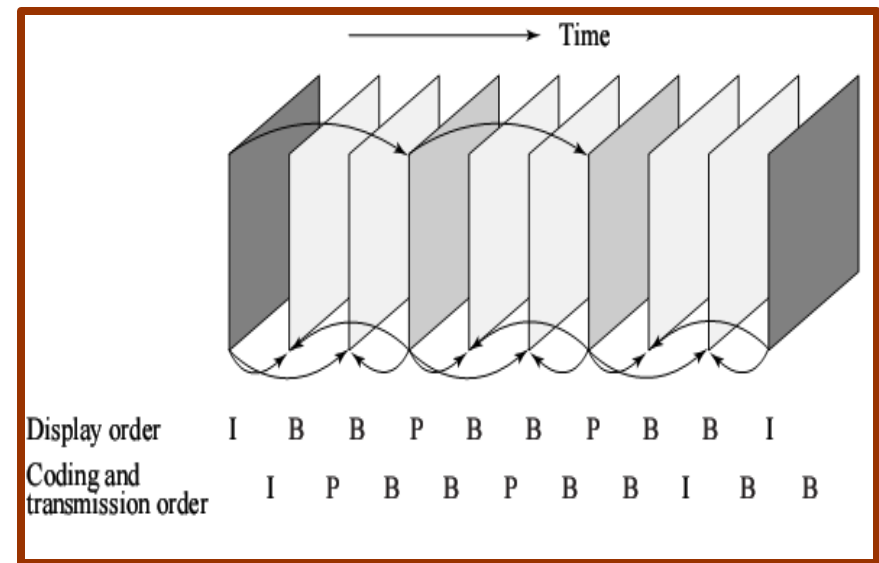
# MPEG-1 Motion Compensation<sup>[2]</sup>



**B-Frame Coding based on bidirectional motion compensation**

# MPEG-1 Motion Compensation <sub>[3]</sub>

- Notation
  - $M \leftarrow$  interval between P-Frame and preceding I or P Frame
  - $N \leftarrow$  interval between two consecutive I-Frames
  - In Fig  $M=3$  &  $N=9$



**MPEG Frame Sequence**

# MPEG-1 Major differences from H.261

- Source Formats
  - Supports any formats that meet the constrained parameter set

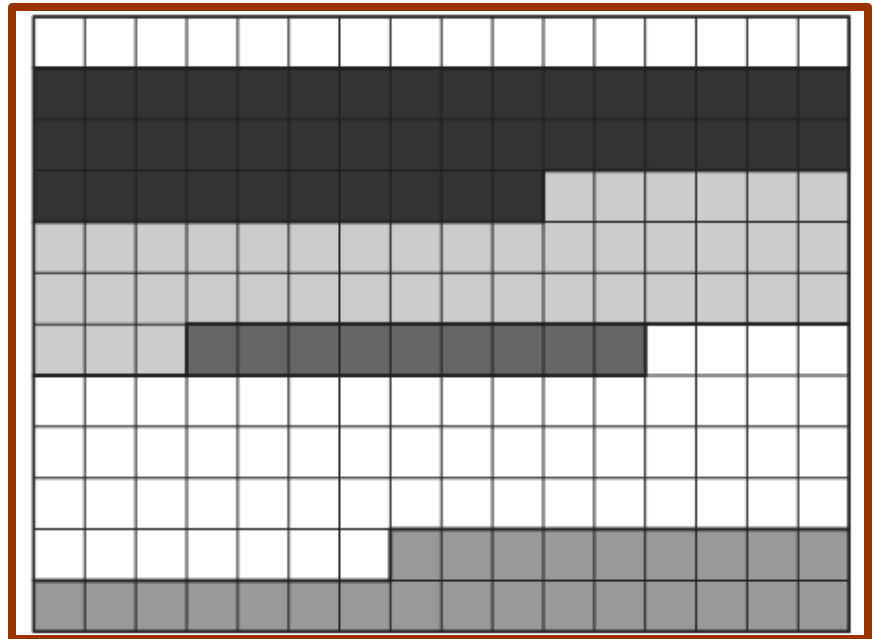
| Parameter                  | Value             |
|----------------------------|-------------------|
| Horizontal size of picture | $\leq 768$        |
| Vertical size of picture   | $\leq 576$        |
| No. of MBs / picture       | $\leq 396$        |
| No. of MBs / second        | $\leq 9,900$      |
| Frame rate                 | $\leq 30$ fps     |
| Bit-rate                   | $\leq 1,856$ kbps |

**Constrained Parameter Set**

# MPEG-1 Major differences from H.261

[2]

- Slices
  - MPEG-1 picture is divided into slices
  - Variable number of macro-blocks
  - Each slice is coded separately
  - Unique start code



Slices in MPEG-1 Picture

# MPEG-1 Major differences from H.261

- Quantization

- Different quantization tables for *intra* & *inter* coding
- For intra-coding

$$QDCT[i, j] = \text{round} \left( \frac{8 \times DCT[i, j]}{\text{step\_size}[i, j]} \right) = \text{round} \left( \frac{8 \times DCT[i, j]}{Q_1[i, j] * \text{scale}} \right)$$

- For inter-coding

$$QDCT[i, j] = \left\lfloor \frac{8 \times DCT[i, j]}{\text{step\_size}[i, j]} \right\rfloor = \left\lfloor \frac{8 \times DCT[i, j]}{Q_2[i, j] * \text{scale}} \right\rfloor$$

[3]

|    |    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|----|
| 8  | 16 | 19 | 22 | 26 | 27 | 29 | 34 |
| 16 | 16 | 22 | 24 | 27 | 29 | 34 | 37 |
| 19 | 22 | 26 | 27 | 29 | 34 | 34 | 38 |
| 22 | 22 | 26 | 27 | 29 | 34 | 37 | 40 |
| 22 | 26 | 27 | 29 | 32 | 35 | 40 | 48 |
| 26 | 27 | 29 | 32 | 35 | 40 | 48 | 58 |
| 26 | 27 | 29 | 34 | 38 | 46 | 56 | 69 |
| 27 | 29 | 35 | 38 | 46 | 56 | 69 | 83 |

Intra - Coding

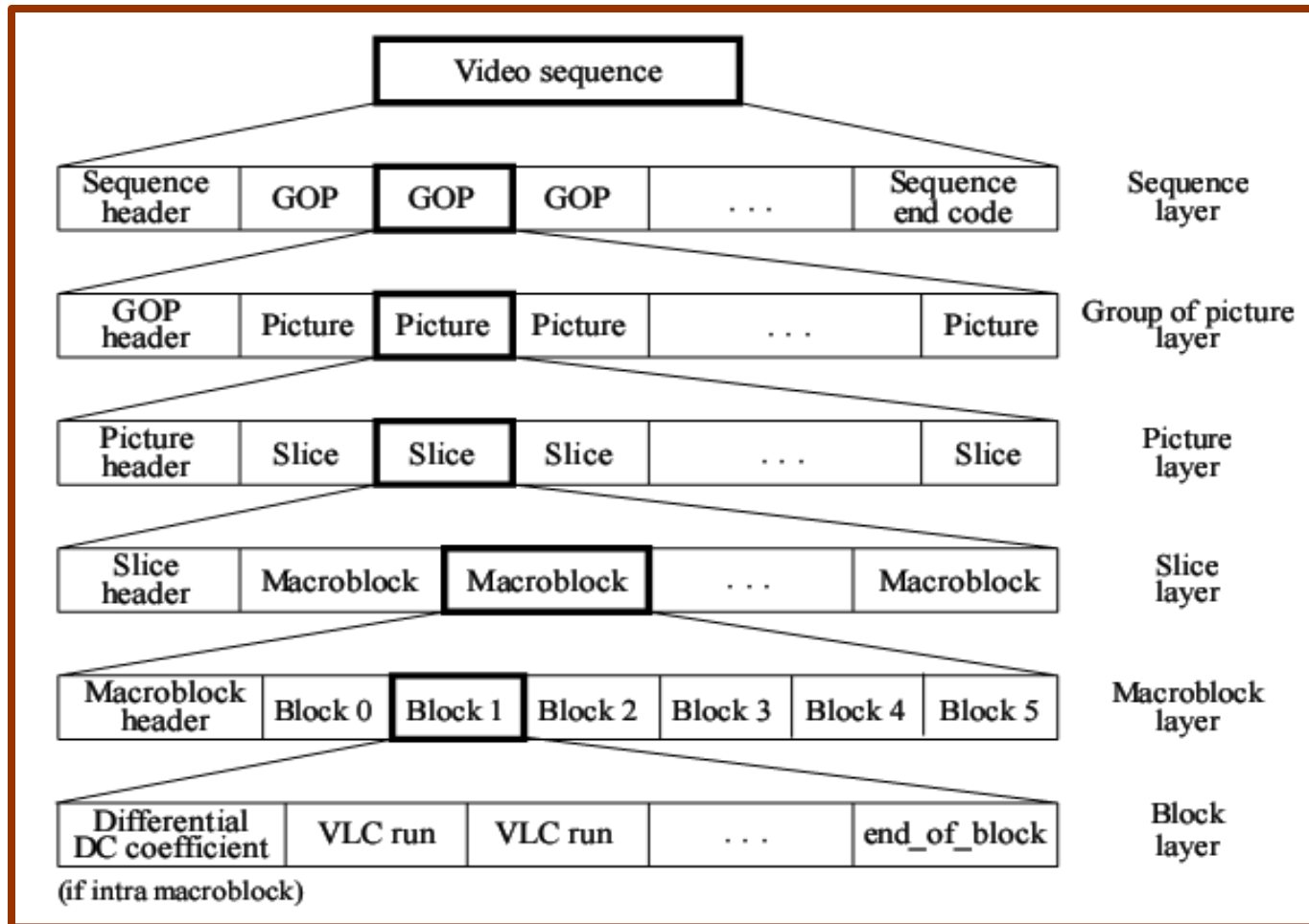
|    |    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|----|
| 16 | 16 | 16 | 16 | 16 | 16 | 16 | 16 |
| 16 | 16 | 16 | 16 | 16 | 16 | 16 | 16 |
| 16 | 16 | 16 | 16 | 16 | 16 | 16 | 16 |
| 16 | 16 | 16 | 16 | 16 | 16 | 16 | 16 |
| 16 | 16 | 16 | 16 | 16 | 16 | 16 | 16 |
| 16 | 16 | 16 | 16 | 16 | 16 | 16 | 16 |
| 16 | 16 | 16 | 16 | 16 | 16 | 16 | 16 |
| 16 | 16 | 16 | 16 | 16 | 16 | 16 | 16 |

Inter - Coding

# MPEG-1 Video Stream

- Sequence layer
    - Header contains the info about the picture *horizontal size, vertical size, pixel aspect ratio, frame rate, bitrate, buffer size* etc
  - GOP layer
    - One picture must be I-picture
    - Header contains time code to indicate hour-min-sec-frame
  - Picture layer
    - I, P, B, D pictures
- Slice layer
  - MB layer
    - 6 blocks of 8x8
  - Block layer
    - Dc co-eff is sent first followed by AC co-effs

# MPEG-1 Video Stream [2]



# MPEG-2 Introduction

- Focused at higher bitrates, more than 4Mbps
- Development of standard started in 1990
- Approved by ISO/IEC Moving Picture Experts Group in 1994
- Standard adopted for DVDs
- Defines 7 profiles aimed at different applications
  - Simple , Main, SNR Scalability, Spatially Scalable, High, 4:2:2 and Multiview
  - Each profile defines 4 levels

# MPEG-2 Introduction [2]

| Level     | Simple Profile | Main Profile | SNR Scalable Profile | Spatially Scalable Profile | High Profile | 4:2:2 Profile | Multiview Profile |
|-----------|----------------|--------------|----------------------|----------------------------|--------------|---------------|-------------------|
| High      |                | *            |                      |                            | *            |               |                   |
| High 1440 |                | *            |                      | *                          | *            |               |                   |
| Main      | *              | *            | *                    |                            | *            | *             | *                 |
| Low       |                | *            | *                    |                            |              |               |                   |

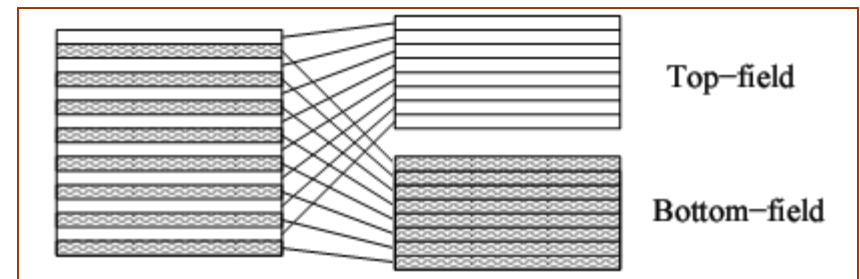
**Profiles & Levels in MPEG - 2**

| Level     | Max Resolution | Max fps | Max Pixels/sec         | Max coded Data Rate (Mbps) | Application          |
|-----------|----------------|---------|------------------------|----------------------------|----------------------|
| High      | 1,920 × 1,152  | 60      | 62.7 × 10 <sup>6</sup> | 80                         | film production      |
| High 1440 | 1,440 × 1,152  | 60      | 47.0 × 10 <sup>6</sup> | 60                         | consumer HDTV        |
| Main      | 720 × 576      | 30      | 10.4 × 10 <sup>6</sup> | 15                         | studio TV            |
| Low       | 352 × 288      | 30      | 3.0 × 10 <sup>6</sup>  | 4                          | consumer tape equiv. |

**Four Levels in the main profile**

# MPEG-2 Support for Interlaced Video

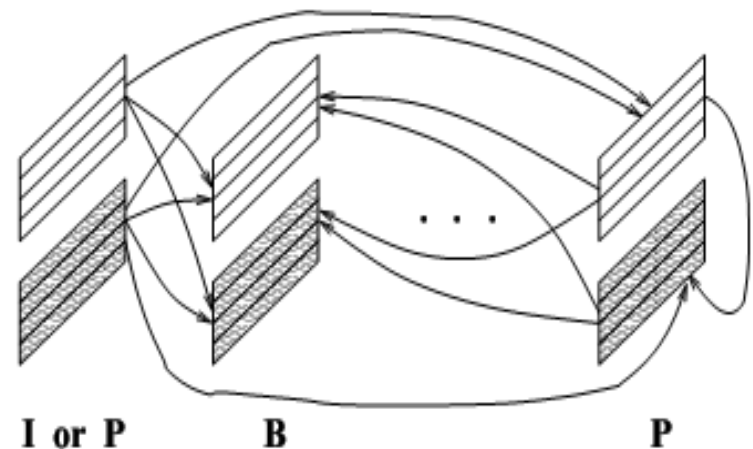
- MPEG-2 is adopted by broadcast TV's, need to support interlaced mode
- Each frame consists of 2 fields
  - Top-field
  - Bottom-field
- All scanlines are interleaved to form the frame picture, then macroblock is formed & coding proceeds
- Each field is treated as separate picture, *field-picture*



**Frame Picture Vs Field Picture**

# MPEG-2 Prediction Modes

1. Frame prediction for frame pictures
2. Field prediction for field pictures
  - Uses macroblock 16 x 16 from field pictures
3. Field prediction for frame pictures
4. 16 x 8 MC for field-pictures
5. Dual-prime for P-pictures



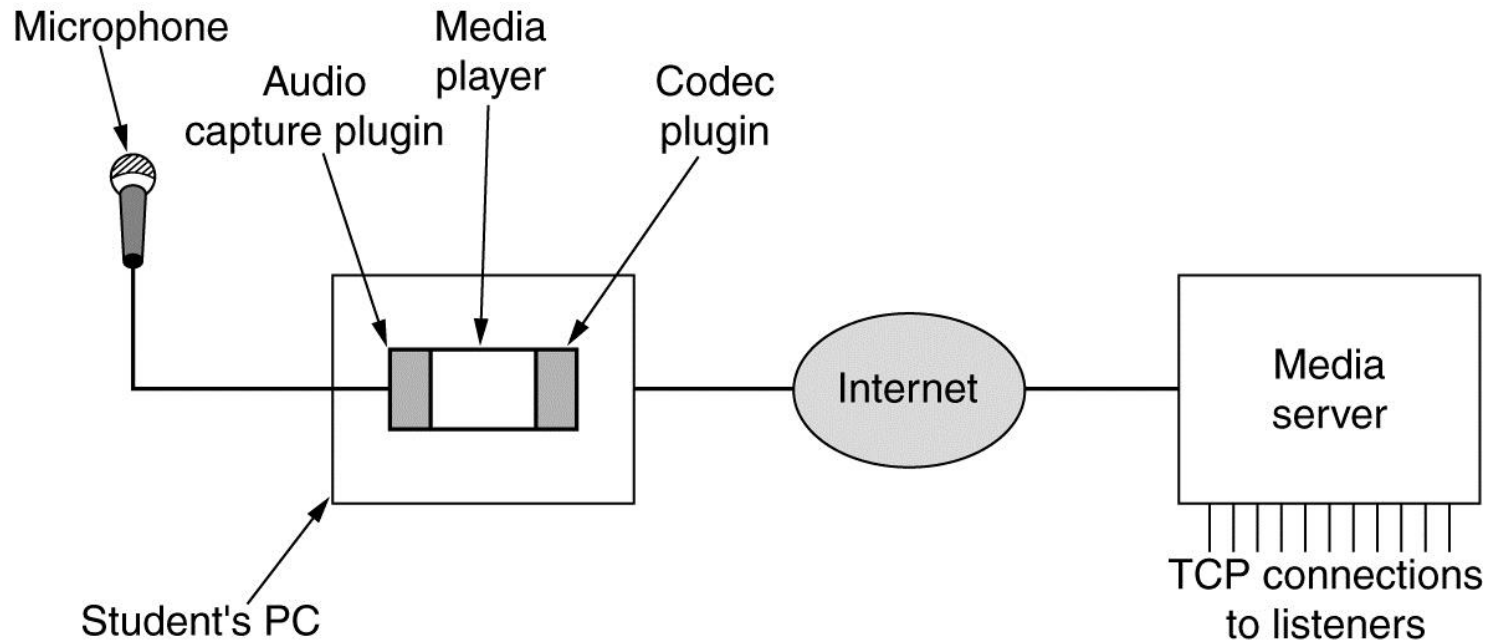
Field Prediction for Field pictures

# MPEG-2 Scalabilities

- MPEG-2 is designed for various applications , digital TV, HDTV& the video will be transmitted over networks with very different characteristics
- Single coded MPEG-2 bitstream should be scalable for various bitrates
- Coded as base layer and enhancement layers
- Following scalabilities are supported
  1. SNR Scalability
  2. Spatial Scalability
  3. Temporal Scalability
  4. Hybrid scalability
  5. Data Partitioning

# Internet Radio

A student radio station.



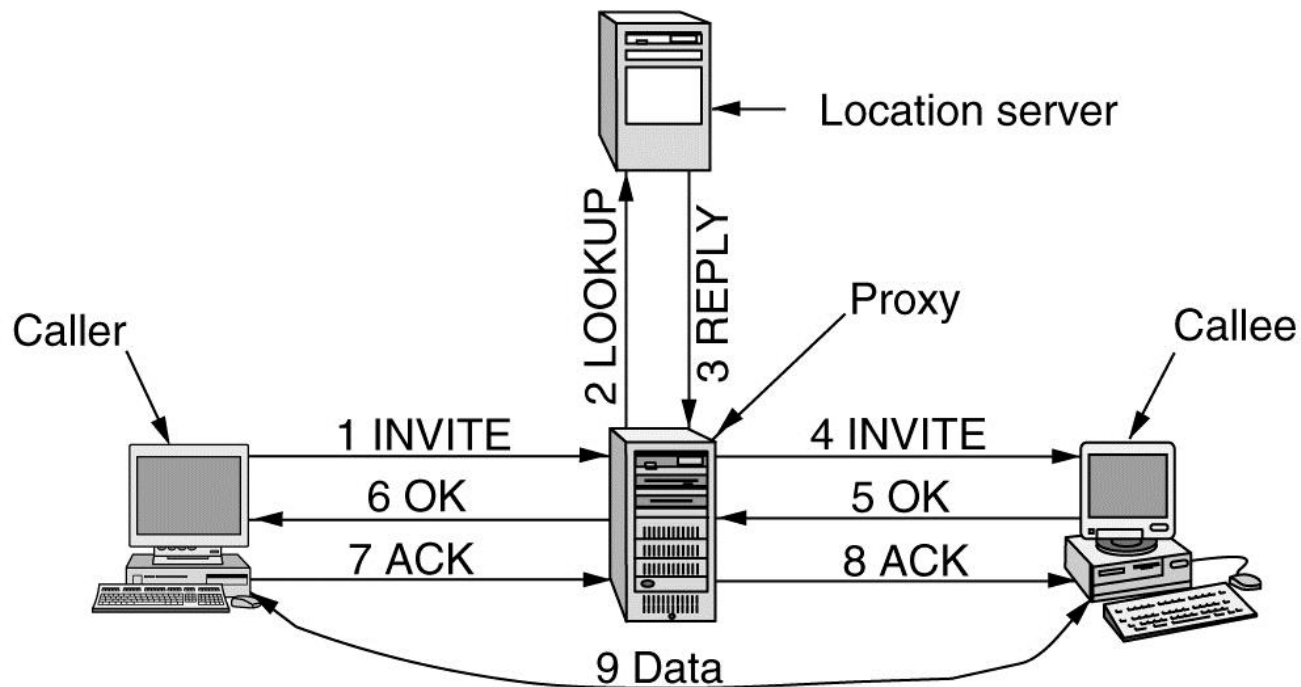
# SIP – The Session Initiation Protocol

The SIP methods defined in the core specification.

| Method   | Description                                                   |
|----------|---------------------------------------------------------------|
| INVITE   | Request initiation of a session                               |
| ACK      | Confirm that a session has been initiated                     |
| BYE      | Request termination of a session                              |
| OPTIONS  | Query a host about its capabilities                           |
| CANCEL   | Cancel a pending request                                      |
| REGISTER | Inform a redirection server about the user's current location |

# SIP (2)

Use a proxy and redirection servers with SIP.

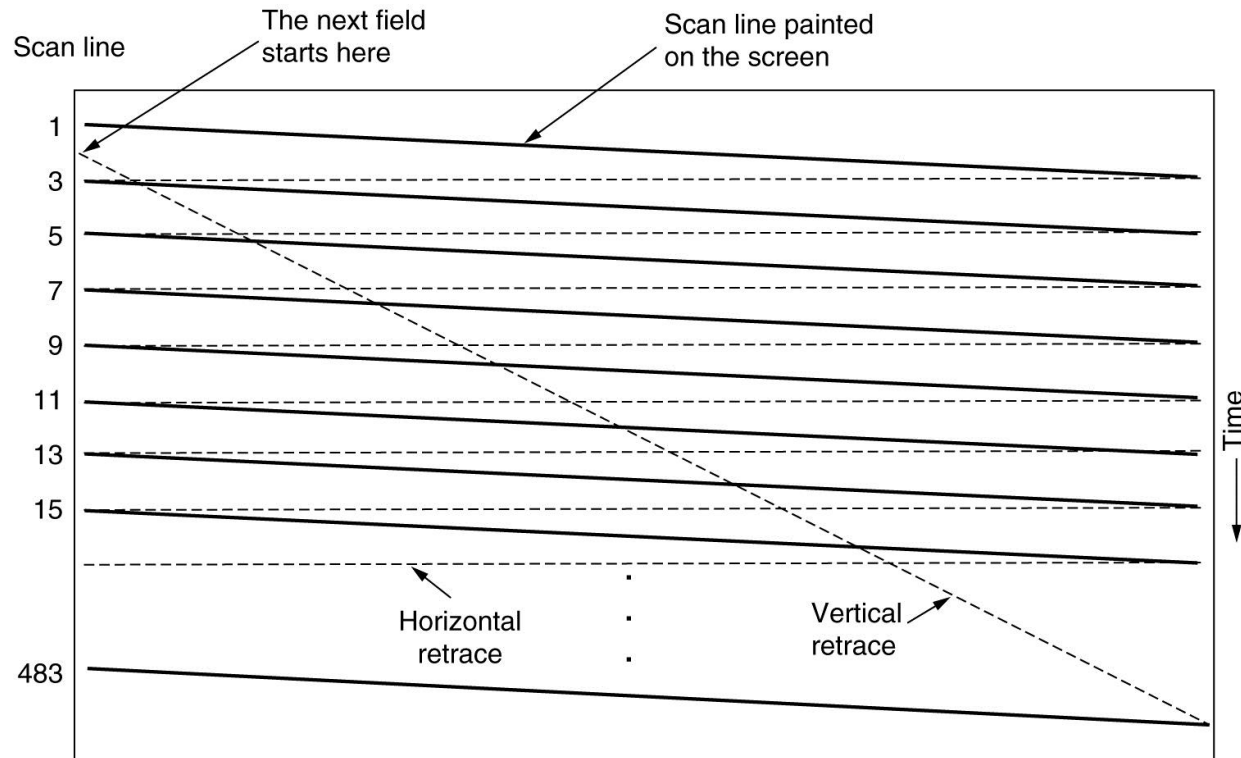


# Comparison of H.323 and SIP

| Item                        | H.323                    | SIP                    |
|-----------------------------|--------------------------|------------------------|
| Designed by                 | ITU                      | IETF                   |
| Compatibility with PSTN     | Yes                      | Largely                |
| Compatibility with Internet | No                       | Yes                    |
| Architecture                | Monolithic               | Modular                |
| Completeness                | Full protocol stack      | SIP just handles setup |
| Parameter negotiation       | Yes                      | Yes                    |
| Call signaling              | Q.931 over TCP           | SIP over TCP or UDP    |
| Message format              | Binary                   | ASCII                  |
| Media transport             | RTP/RTCP                 | RTP/RTCP               |
| Multiparty calls            | Yes                      | Yes                    |
| Multimedia conferences      | Yes                      | No                     |
| Addressing                  | Host or telephone number | URL                    |
| Call termination            | Explicit or TCP release  | Explicit or timeout    |
| Instant messaging           | No                       | Yes                    |
| Encryption                  | Yes                      | Yes                    |
| Size of standards           | 1400 pages               | 250 pages              |
| Implementation              | Large and complex        | Moderate               |
| Status                      | Widely deployed          | Up and coming          |

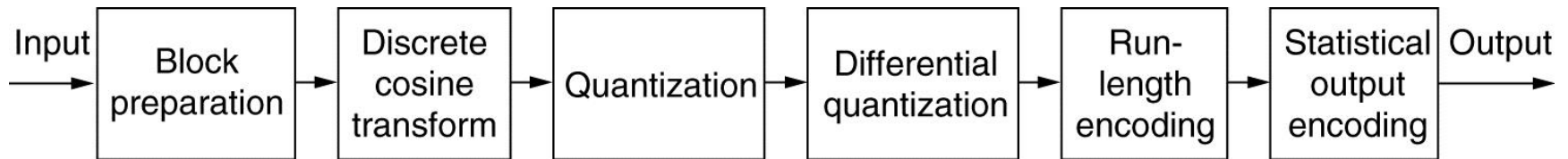
# Video Analog Systems

The scanning pattern used for NTSC video and television.

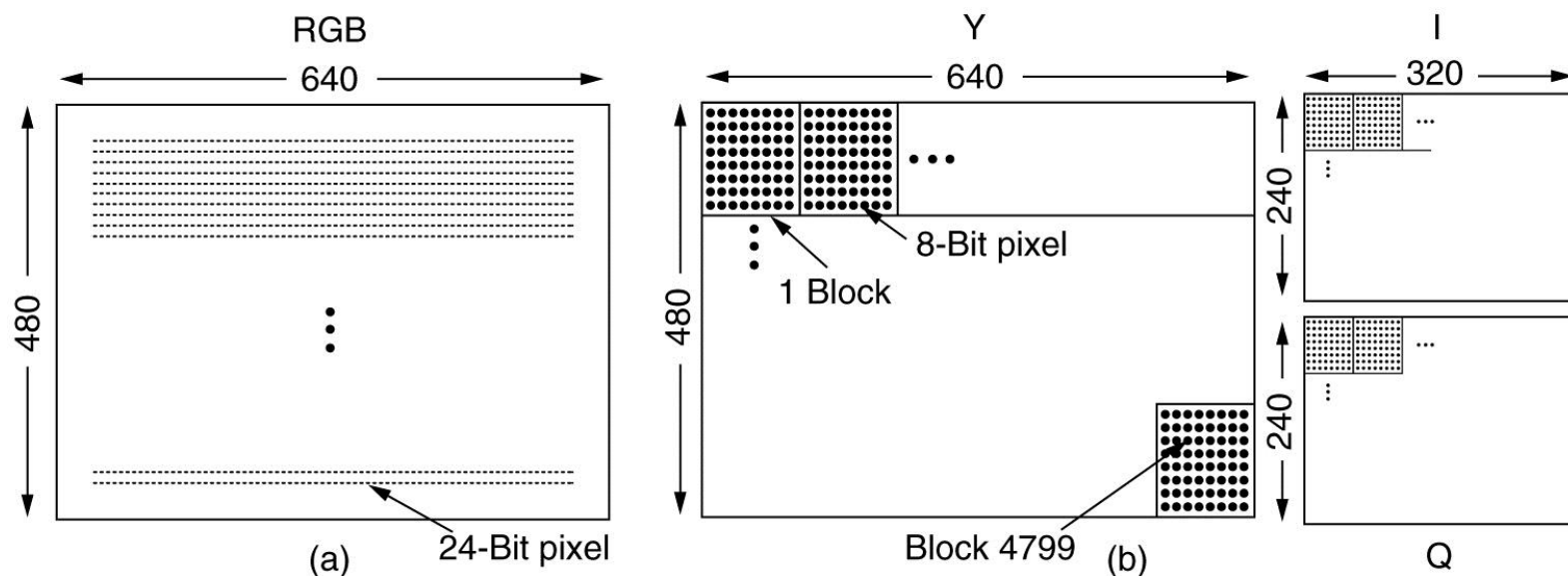


# The JPEG Standard

The operation of JPEG in lossy sequential mode.



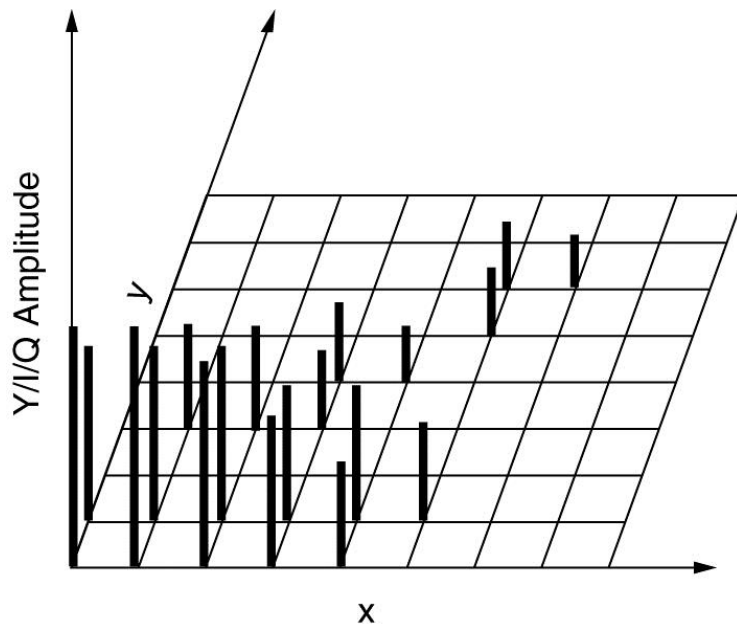
# The JPEG Standard (2)



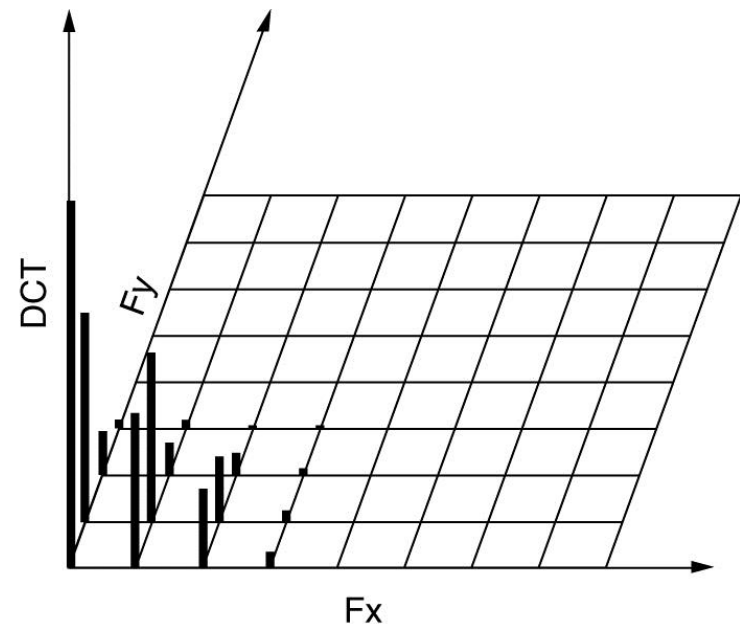
(a) RGB input data.

(b) After block preparation.

# The JPEG Standard (3)



(a)



(b)

(a) One block of the  $Y$  matrix.

(b) The DTC coefficients.

# The JPEG Standard (4)

## Computation of the quantized DTC coefficients.

DCT Coefficients

|     |    |    |    |   |   |   |   |
|-----|----|----|----|---|---|---|---|
| 150 | 80 | 40 | 14 | 4 | 2 | 1 | 0 |
| 92  | 75 | 36 | 10 | 6 | 1 | 0 | 0 |
| 52  | 38 | 26 | 8  | 7 | 4 | 0 | 0 |
| 12  | 8  | 6  | 4  | 2 | 1 | 0 | 0 |
| 4   | 3  | 2  | 0  | 0 | 0 | 0 | 0 |
| 2   | 2  | 1  | 1  | 0 | 0 | 0 | 0 |
| 1   | 1  | 0  | 0  | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0  | 0 | 0 | 0 | 0 |

Quantization table

|    |    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|----|
| 1  | 1  | 2  | 4  | 8  | 16 | 32 | 64 |
| 1  | 1  | 2  | 4  | 8  | 16 | 32 | 64 |
| 2  | 2  | 2  | 4  | 8  | 16 | 32 | 64 |
| 4  | 4  | 4  | 4  | 8  | 16 | 32 | 64 |
| 8  | 8  | 8  | 8  | 8  | 16 | 32 | 64 |
| 16 | 16 | 16 | 16 | 16 | 16 | 32 | 64 |
| 32 | 32 | 32 | 32 | 32 | 32 | 32 | 64 |
| 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 |

Quantized coefficients

|     |    |    |   |   |   |   |   |
|-----|----|----|---|---|---|---|---|
| 150 | 80 | 20 | 4 | 1 | 0 | 0 | 0 |
| 92  | 75 | 18 | 3 | 1 | 0 | 0 | 0 |
| 26  | 19 | 13 | 2 | 1 | 0 | 0 | 0 |
| 3   | 2  | 2  | 1 | 0 | 0 | 0 | 0 |
| 1   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |

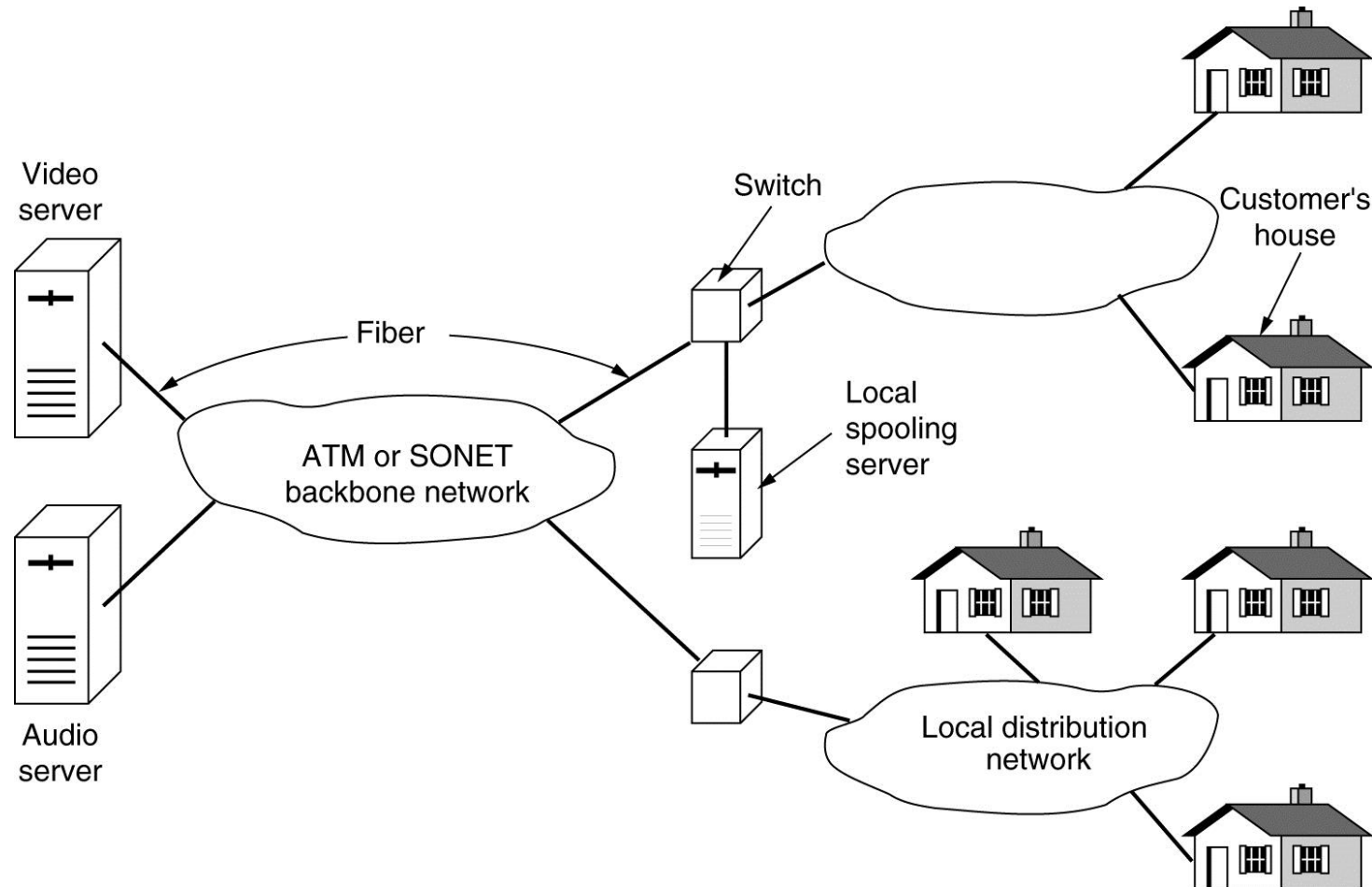
# The JPEG Standard (5)

The order in which the quantized values are transmitted.

|     |    |    |   |   |   |   |   |
|-----|----|----|---|---|---|---|---|
| 150 | 80 | 20 | 4 | 1 | 0 | 0 | 0 |
| 92  | 75 | 18 | 3 | 1 | 0 | 0 | 0 |
| 26  | 19 | 13 | 2 | 1 | 0 | 0 | 0 |
| 3   | 2  | 2  | 1 | 0 | 0 | 0 | 0 |
| 1   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |

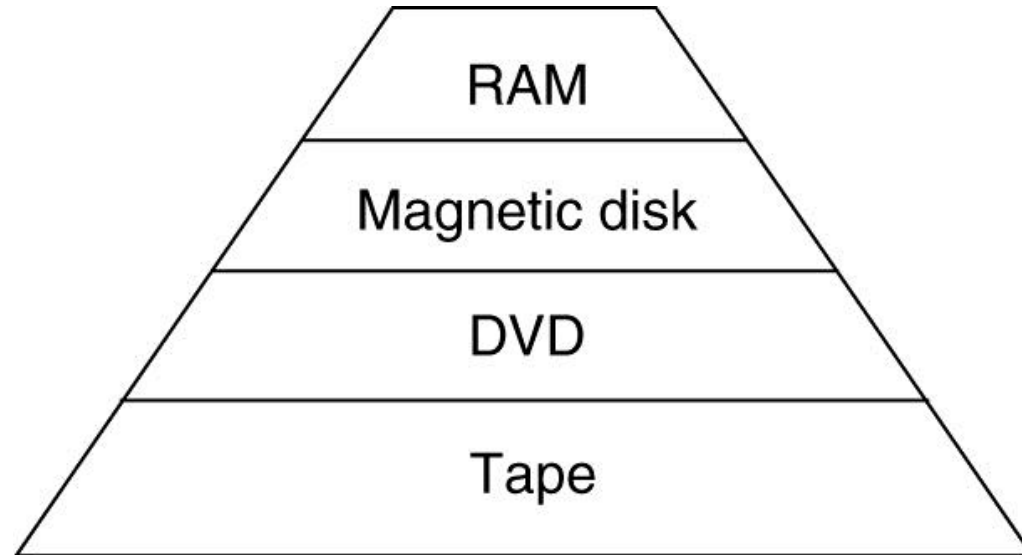
# Video on Demand

Overview of a video-on-demand system.



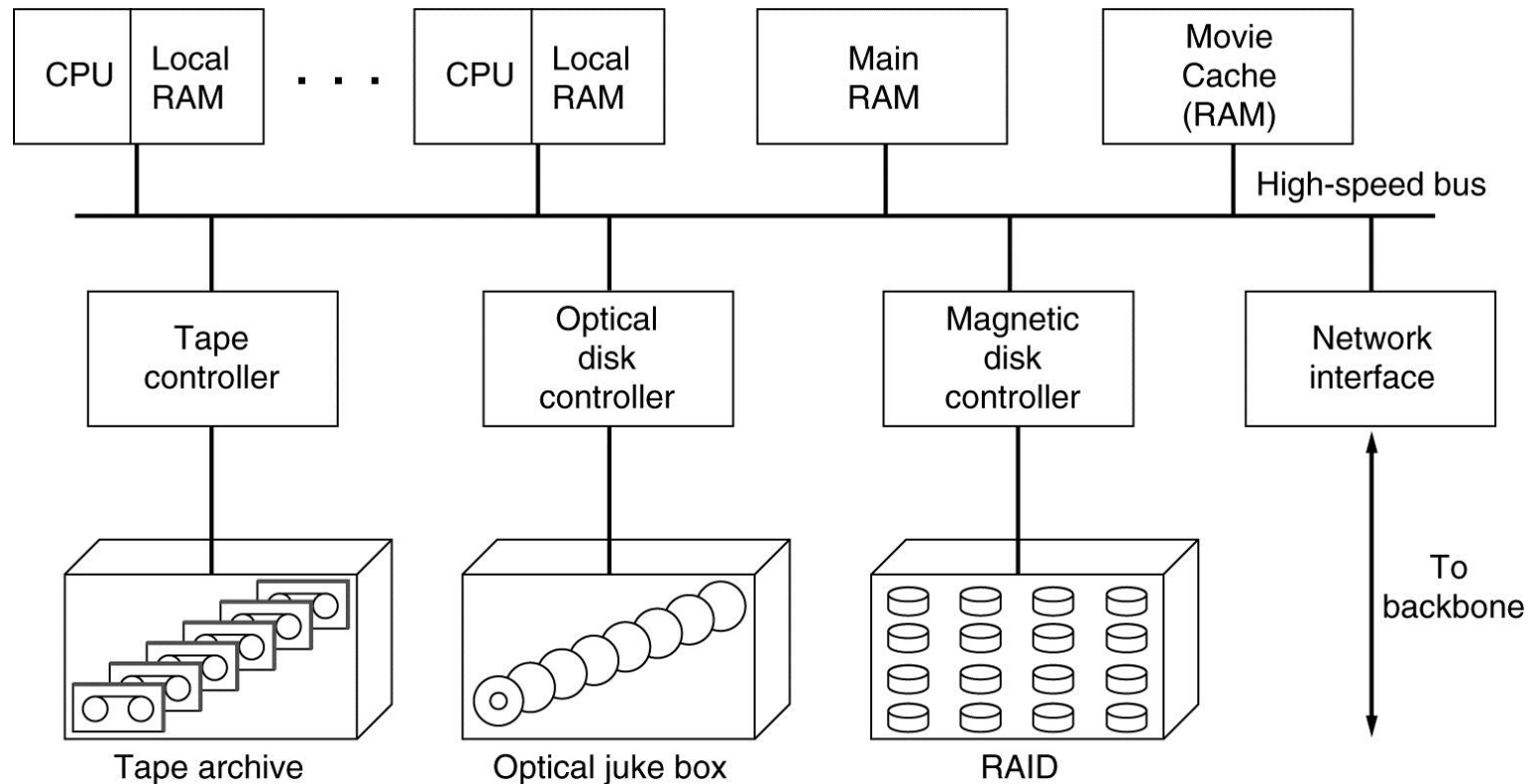
# Video Servers

A video server storage hierarchy.



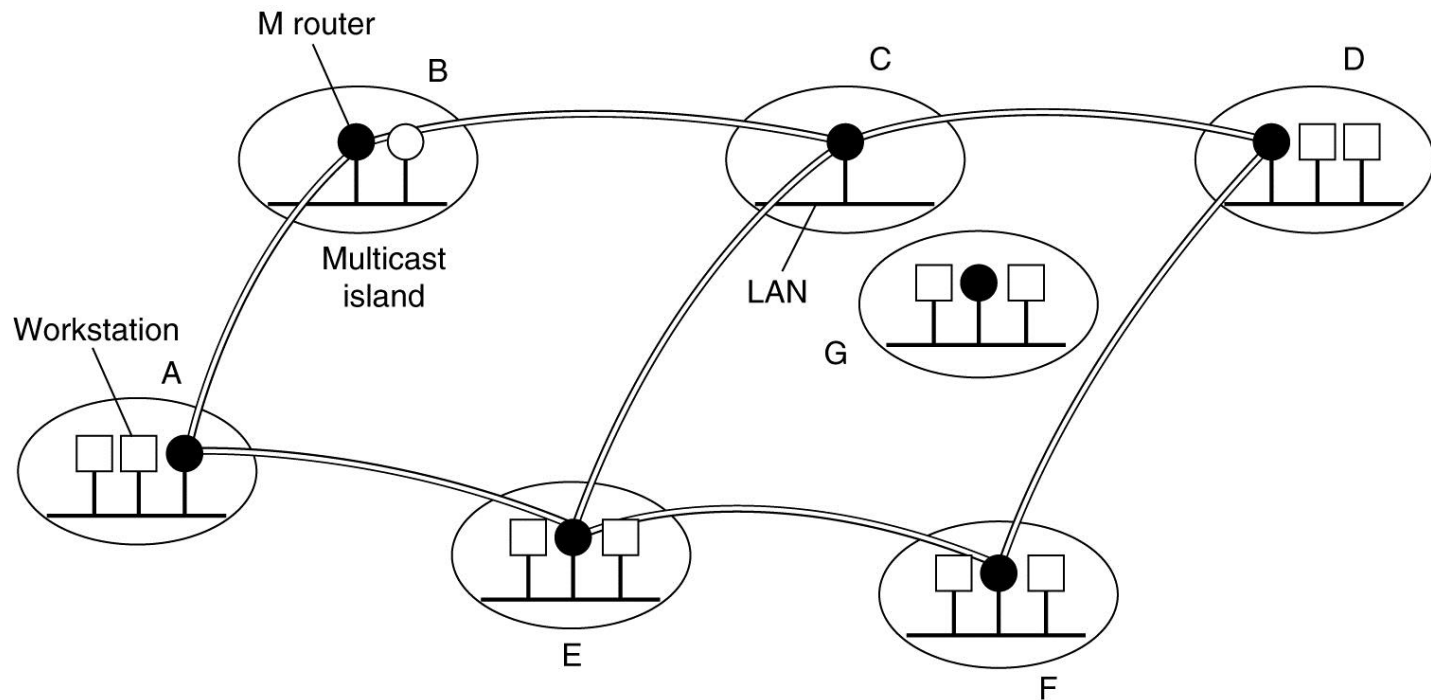
# Video Servers (2)

The hardware architecture of a typical video server.



# The MBone – The Multicast Backbone

MBone consists of multicast islands connected by tunnels.



# Streaming Audio and Video

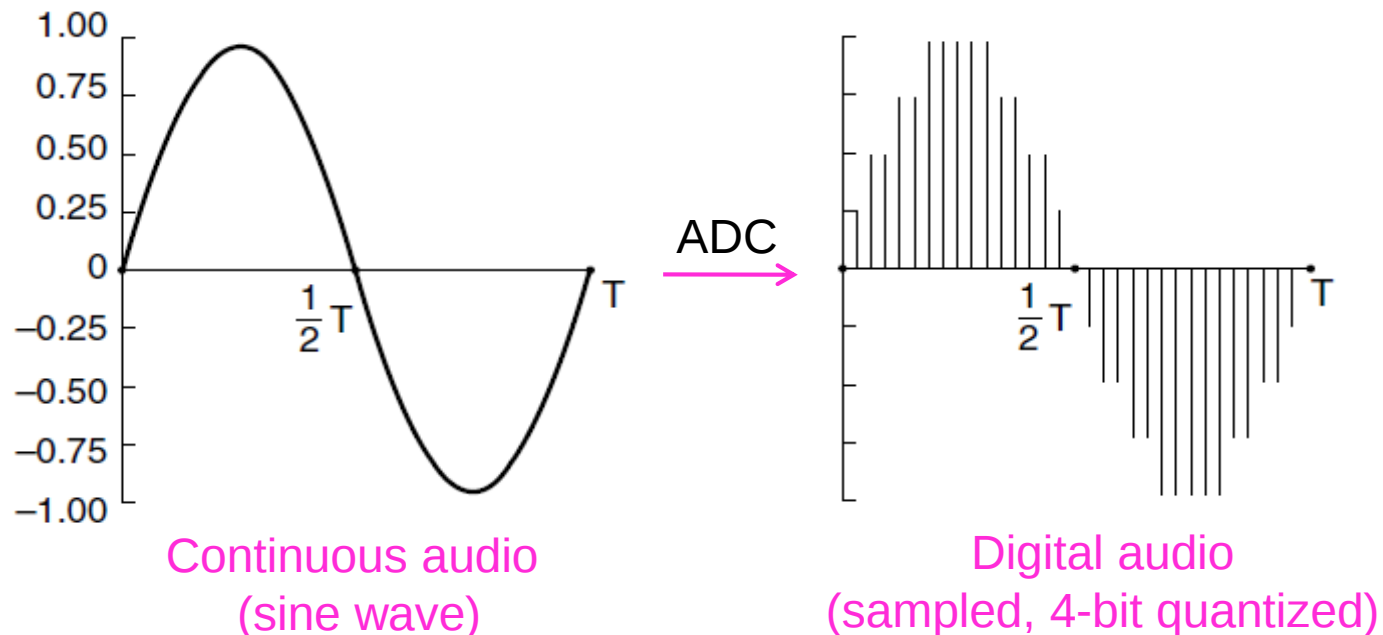
Audio and video have become key types of traffic, e.g., voice over IP, and video streaming.

- Digital audio »
- Digital video »
- Streaming stored media »
- Streaming live media »
- Real-time conferencing »

# Digital Audio (1)

ADC (Analog-to-Digital Converter) produces digital audio from a microphone

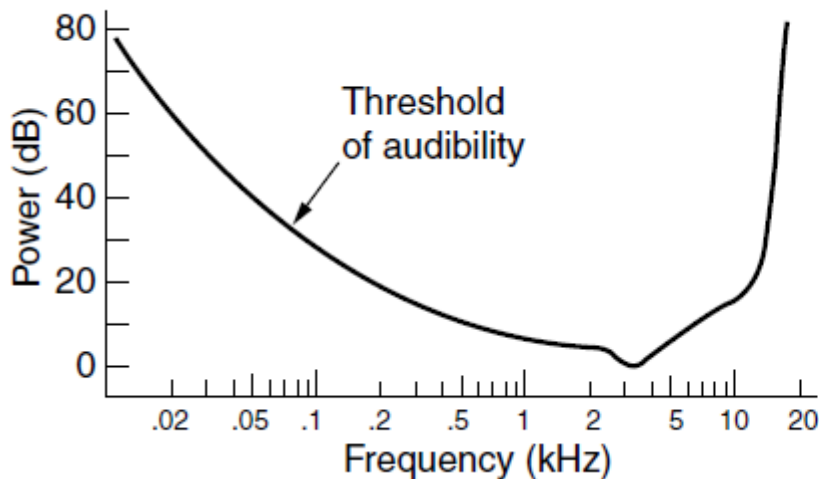
- Telephone: 8000 8-bit samples/second (64 Kbps): computer audio is usually better quality



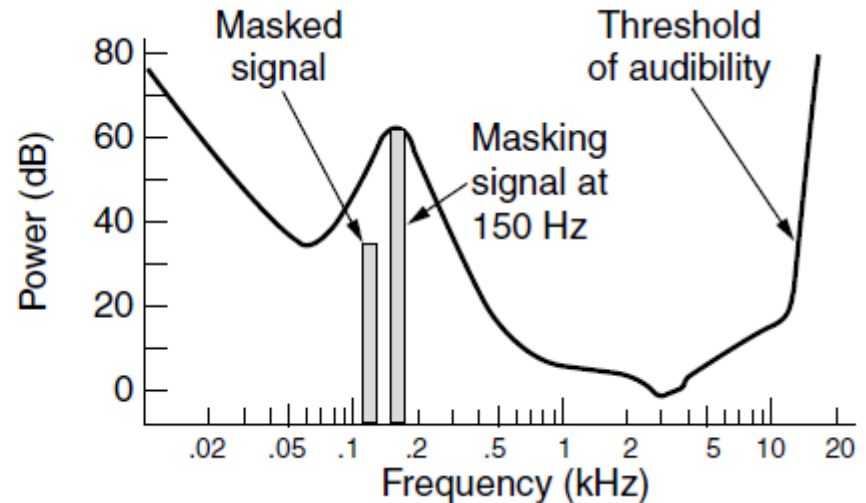
# Digital Audio (2)

Digital audio is typically compressed before it is sent

- Lossy encoders (like AAC) exploit human



Sensitivity of the ear varies with frequency



A loud tone can mask nearby tones

# Digital Video (1)

Video is digitized as pixels (sampled, quantized)

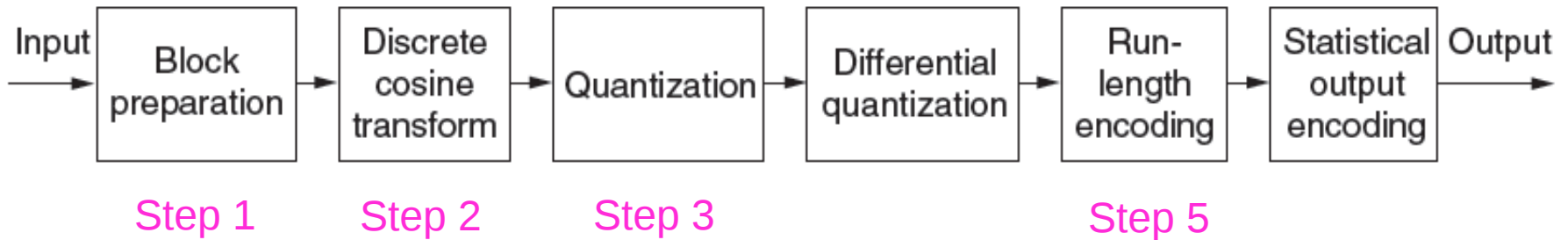
- TV quality: 640x480 pixels, 24-bit color, 30 times/sec

Video is sent compressed due to its large bandwidth

- Lossy compression exploits human perception
  - E.g., JPEG for still images, MPEG, H.264 for video
- Large compression ratios (often 50X for video)
- Video is normally > 1 Mbps, versus >10 kbps for speech and >100 kbps for music

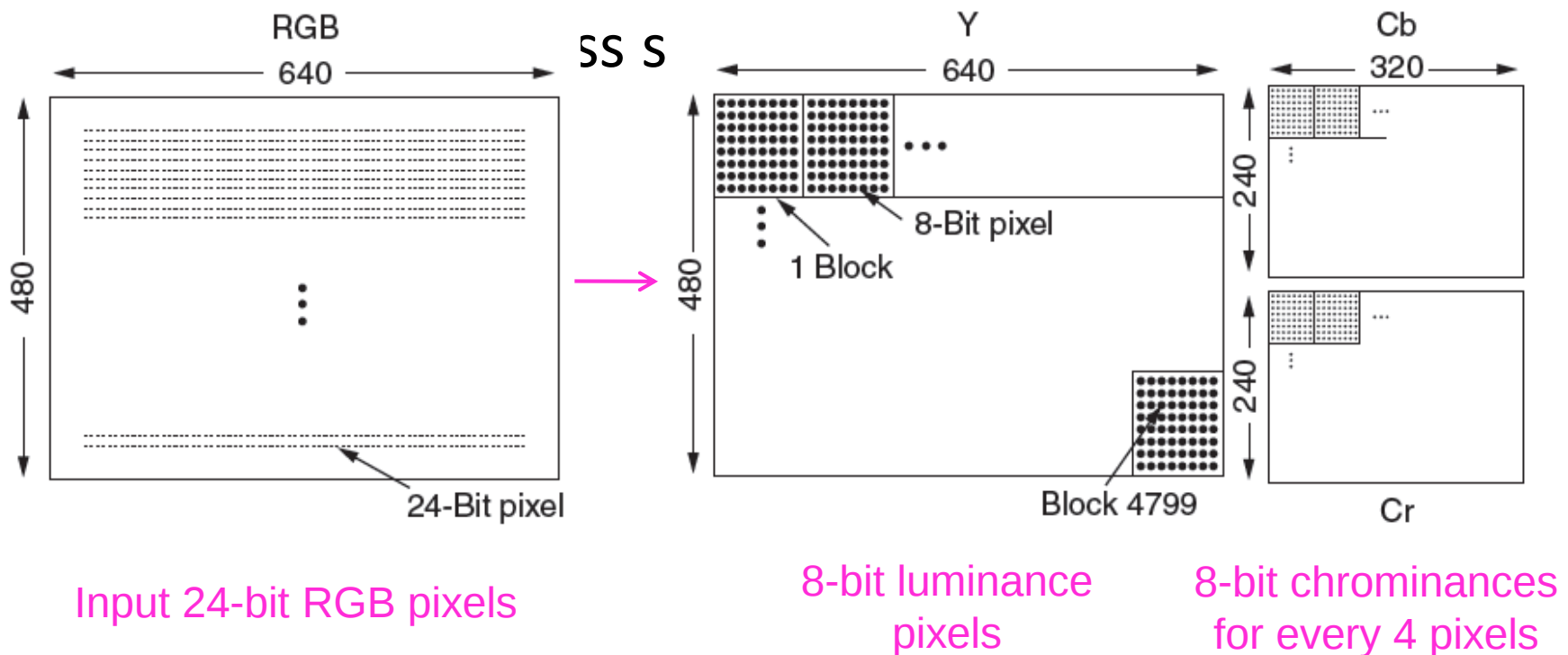
# Digital Video (2)

JPEG lossy compression sequence for one image:



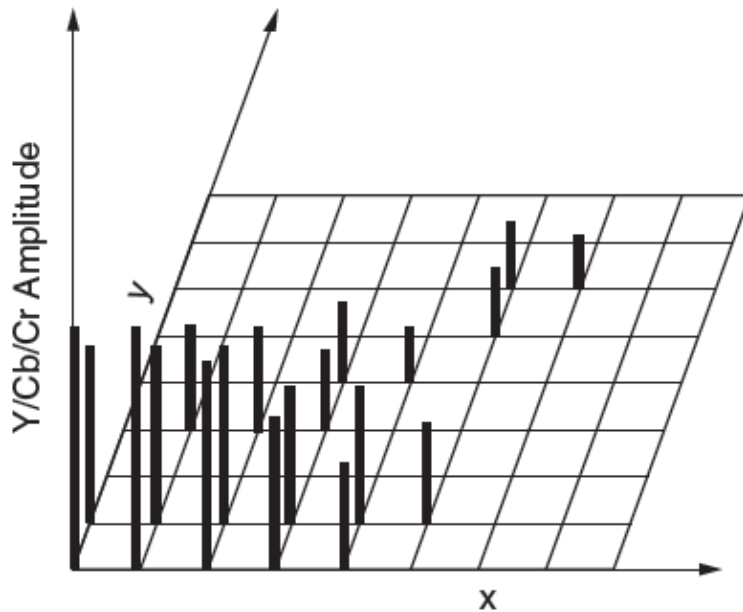
# Digital Video (3)

Step 1: Pixels are mapped to luminance/chrominance (YCbCr) color space and chrominance is sub-sampled

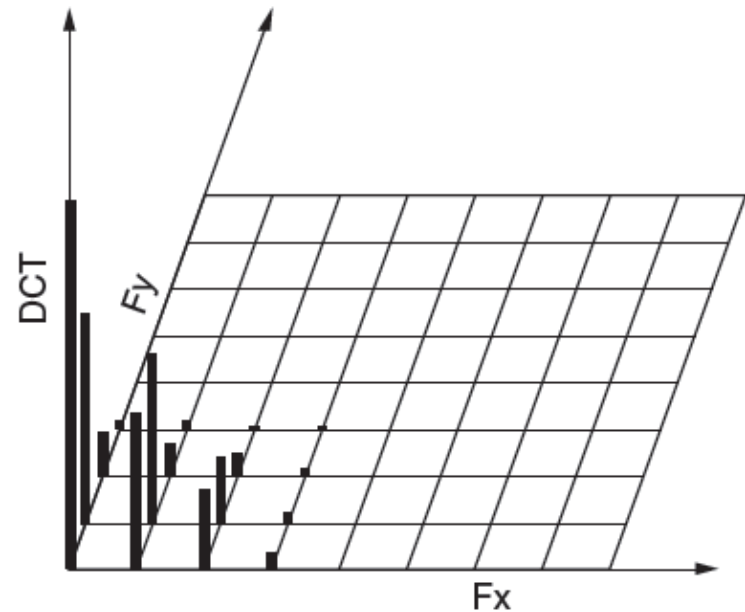


# Digital Video (4)

Step 2: Each component block is transformed to spatial frequencies with DCT (Discrete Cosine Transformation)



One component block



Transformed block

# Digital Video (4)

DCT coefficients

|     |    |    |    |   |   |   |   |
|-----|----|----|----|---|---|---|---|
| 150 | 80 | 40 | 14 | 4 | 2 | 1 | 0 |
| 92  | 75 | 36 | 10 | 6 | 1 | 0 | 0 |
| 52  | 38 | 26 | 8  | 7 | 4 | 0 | 0 |
| 12  | 8  | 6  | 4  | 2 | 1 | 0 | 0 |
| 4   | 3  | 2  | 0  | 0 | 0 | 0 | 0 |
| 2   | 2  | 1  | 1  | 0 | 0 | 0 | 0 |
| 1   | 1  | 0  | 0  | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0  | 0 | 0 | 0 | 0 |

Quantization table

|    |    |    |    |    |    |    |    |
|----|----|----|----|----|----|----|----|
| 1  | 1  | 2  | 4  | 8  | 16 | 32 | 64 |
| 1  | 1  | 2  | 4  | 8  | 16 | 32 | 64 |
| 2  | 2  | 2  | 4  | 8  | 16 | 32 | 64 |
| 4  | 4  | 4  | 4  | 8  | 16 | 32 | 64 |
| 8  | 8  | 8  | 8  | 8  | 16 | 32 | 64 |
| 16 | 16 | 16 | 16 | 16 | 16 | 32 | 64 |
| 32 | 32 | 32 | 32 | 32 | 32 | 32 | 64 |
| 64 | 64 | 64 | 64 | 64 | 64 | 64 | 64 |

Quantized coefficients

|     |    |    |   |   |   |   |   |
|-----|----|----|---|---|---|---|---|
| 150 | 80 | 20 | 4 | 1 | 0 | 0 | 0 |
| 92  | 75 | 18 | 3 | 1 | 0 | 0 | 0 |
| 26  | 19 | 13 | 2 | 1 | 0 | 0 | 0 |
| 3   | 2  | 2  | 1 | 0 | 0 | 0 | 0 |
| 1   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |

Computation of the quantized DCT coefficients.

# Digital Video (5)

|     |    |    |   |   |   |   |   |
|-----|----|----|---|---|---|---|---|
| 150 | 80 | 20 | 4 | 1 | 0 | 0 | 0 |
| 92  | 75 | 18 | 3 | 1 | 0 | 0 | 0 |
| 26  | 19 | 13 | 2 | 1 | 0 | 0 | 0 |
| 3   | 2  | 2  | 1 | 0 | 0 | 0 | 0 |
| 1   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |
| 0   | 0  | 0  | 0 | 0 | 0 | 0 | 0 |

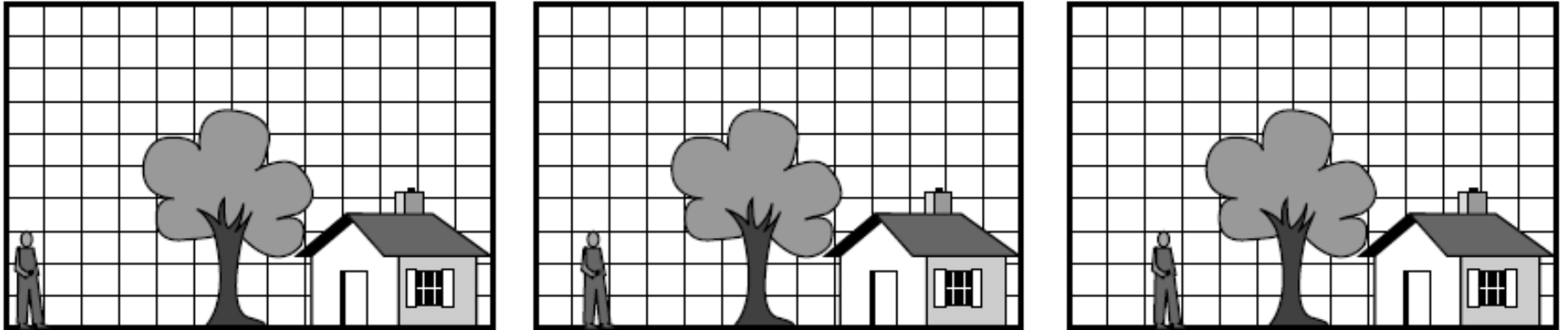
The order in which the quantized values are transmitted.

# Digital Video (6)

MPEG output consists of three kinds of frames:

- I- (Intracoded) :  
Self-contained compressed still pictures.
- P- (Predictive) : Block-by-block difference with previous frames.
- B- (Bidirectional) : block-by-block differences between previous and future frames.

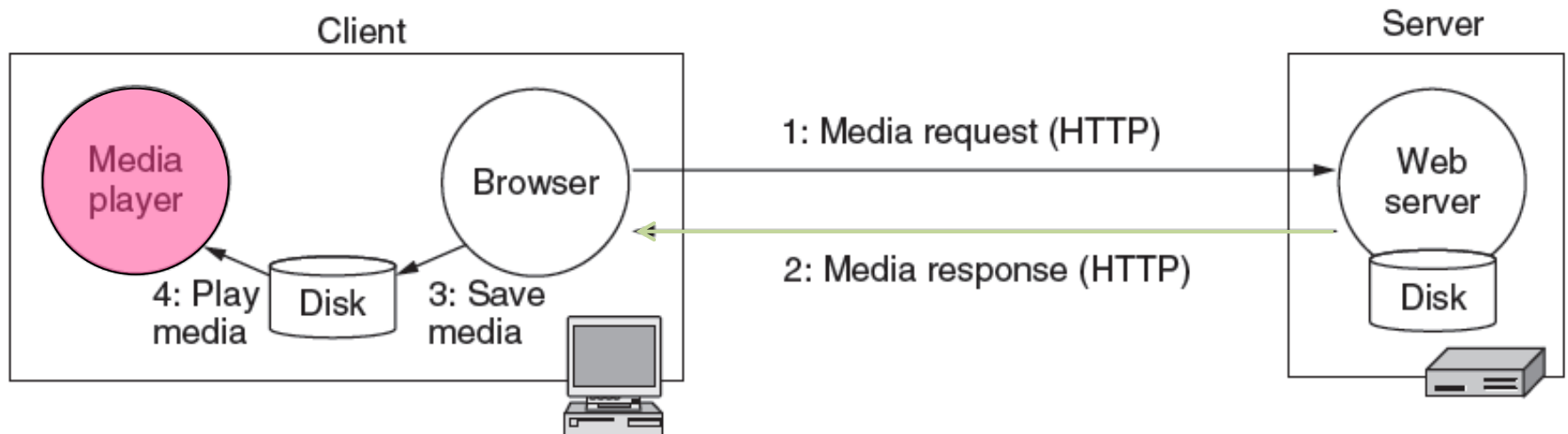
# Digital Video (7)



Three consecutive frames

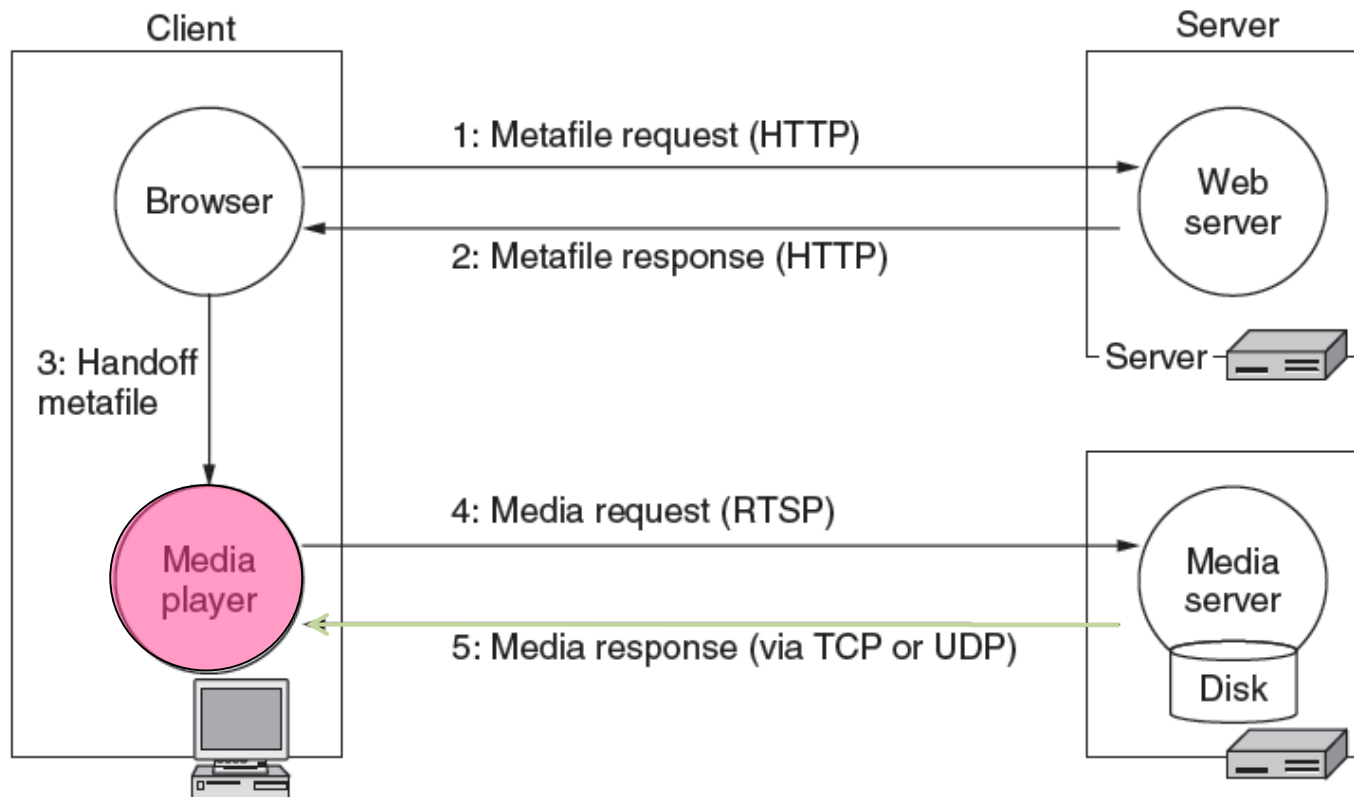
# Streaming Stored Media (1)

A simple method to stream stored media, e.g., for video on demand, is to fetch the video as a file download



# Streaming Stored Media (2)

Effective streaming starts the playout during transport



# Streaming Stored Media (3)

Major tasks of the media player:

1. Manage the user interface.
2. Handle transmission errors.
3. Decompress the content.
4. Eliminate jitter.

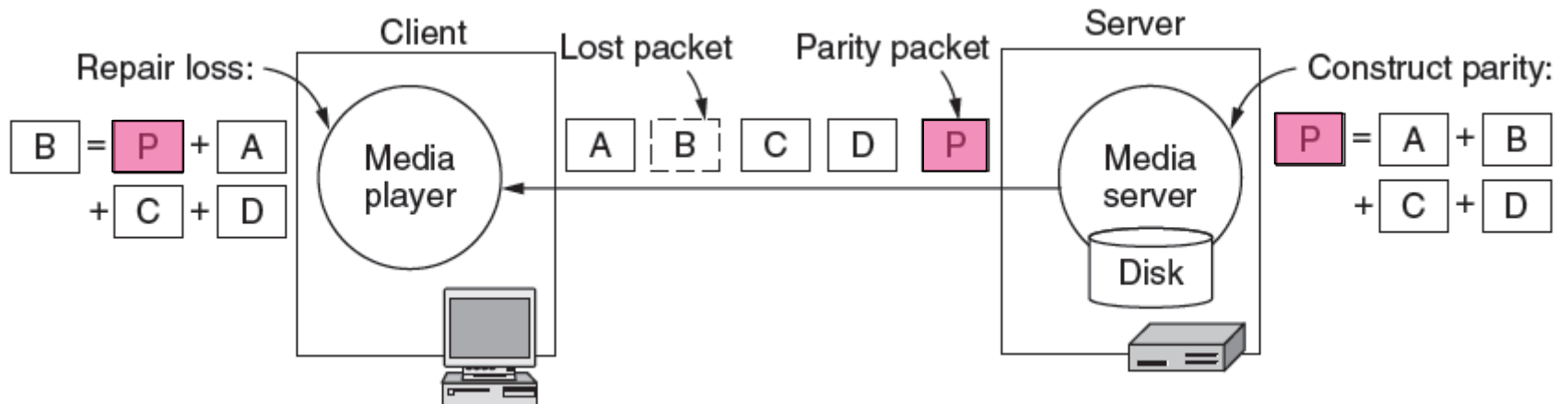
# Streaming Stored Media (3)

Key problem: how to handle transmission errors

| Strategy                     | Advantage           | Disadvantage                                       |
|------------------------------|---------------------|----------------------------------------------------|
| Use reliable transport (TCP) | Repairs all errors  | Increases jitter significantly                     |
| Add FEC (e.g., parity)       | Repairs most errors | Increases overhead, decoding complexity and jitter |
| Interleave media             | Masks most errors   | Slightly increases decoding complexity and jitter  |

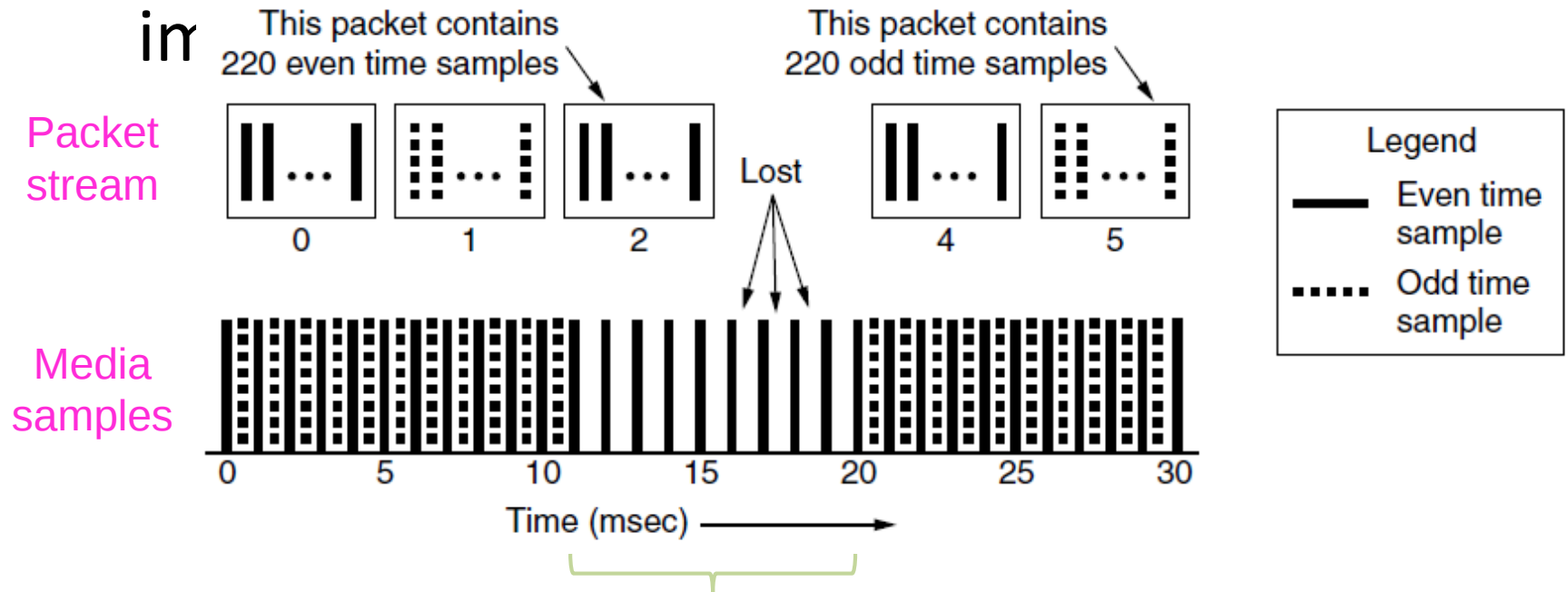
# Streaming Stored Media (4)

Parity packet can repair one lost packet in a group of N



# Streaming Stored Media (5)

Interleaving spreads nearby media samples over different transmissions to reduce the

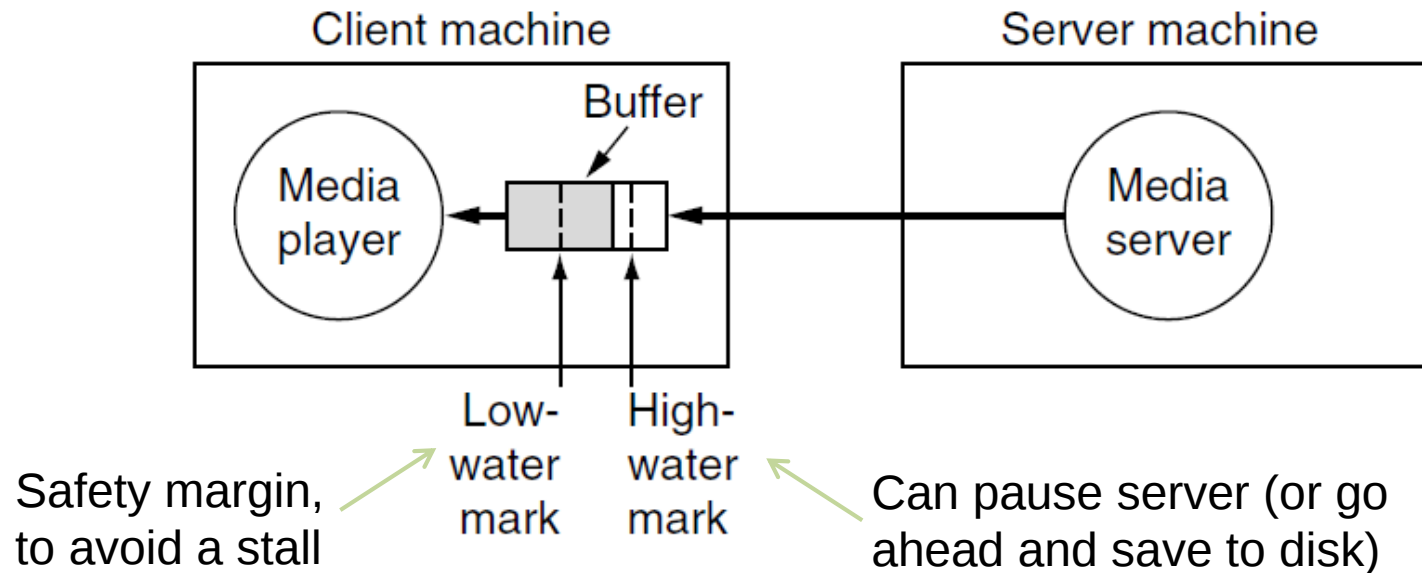


Loss reduces temporal resolution; doesn't leave a gap

# Streaming Stored Media (6)

Key problem: media may not arrive in time for playout due to variable bandwidth and loss/retransmissions

- Client buffers media to absorb jitter; we still need to pick an achievable media rate



# Streaming Stored Media (7)

## RTSP commands

- Sent from player to server to adjust streaming

| Command  | Server action                                                 |
|----------|---------------------------------------------------------------|
| DESCRIBE | List media parameters                                         |
| SETUP    | Establish a logical channel between the player and the server |
| PLAY     | Start sending data to the client                              |
| RECORD   | Start accepting data from the client                          |
| PAUSE    | Temporarily stop sending data                                 |
| TEARDOWN | Release the logical channel                                   |

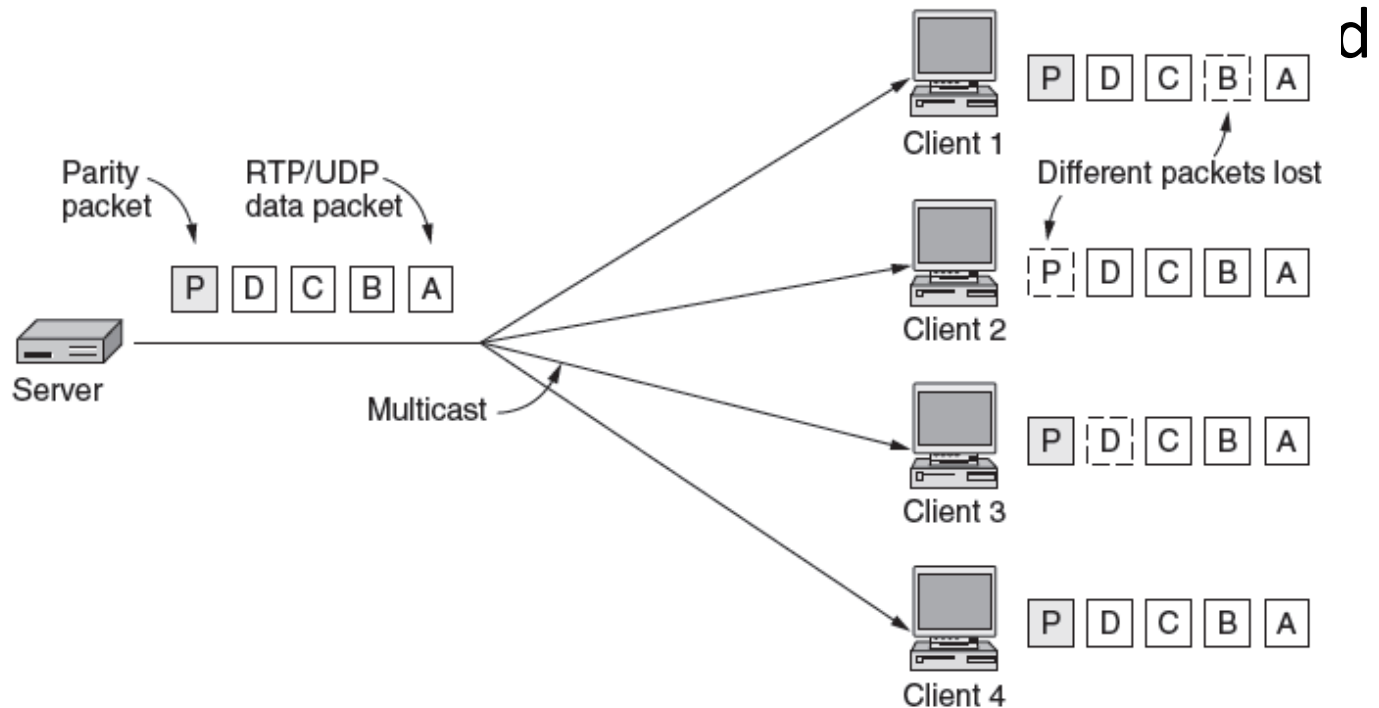
# Streaming Live Media (1)

Streaming live media is similar to the stored case plus:

- Can't stream faster than “live rate” to get ahead
  - Usually need larger buffer to absorb jitter
- Often have many users viewing at the same time
  - UDP with multicast greatly improves efficiency. It is rarely available, so many TCP connections are used.
  - For very many users, content distribution is used [later]

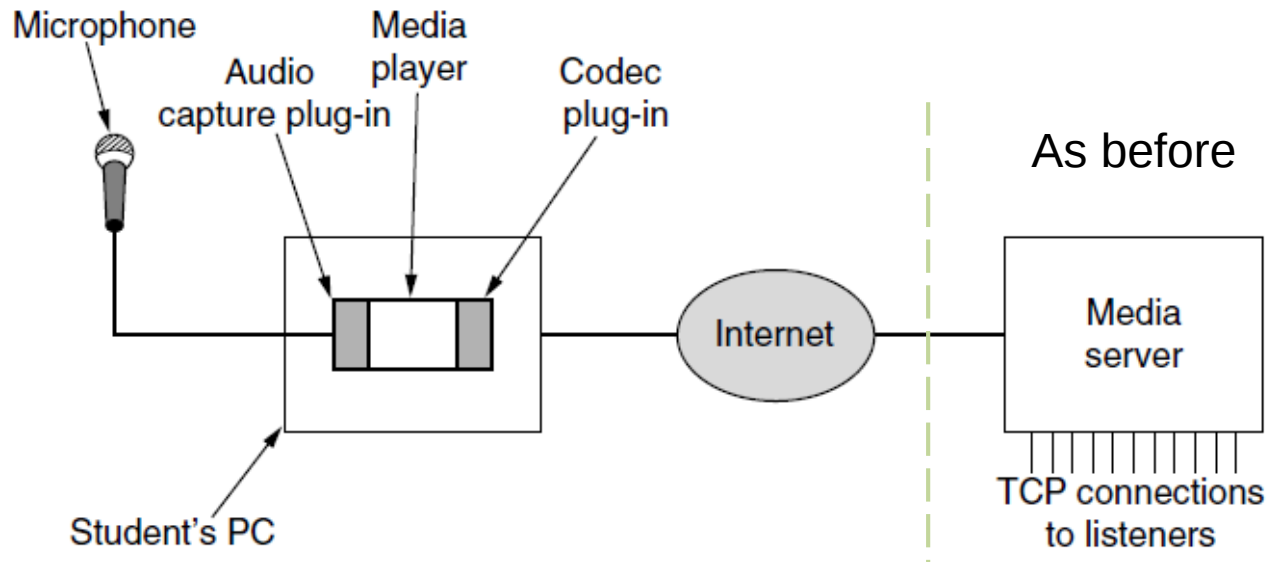
# Streaming Live Media (2)

With multicast streaming media, parity is effective



# Streaming Live Media (2)

Production side of a student radio station.



# Real-Time Conferencing (1)

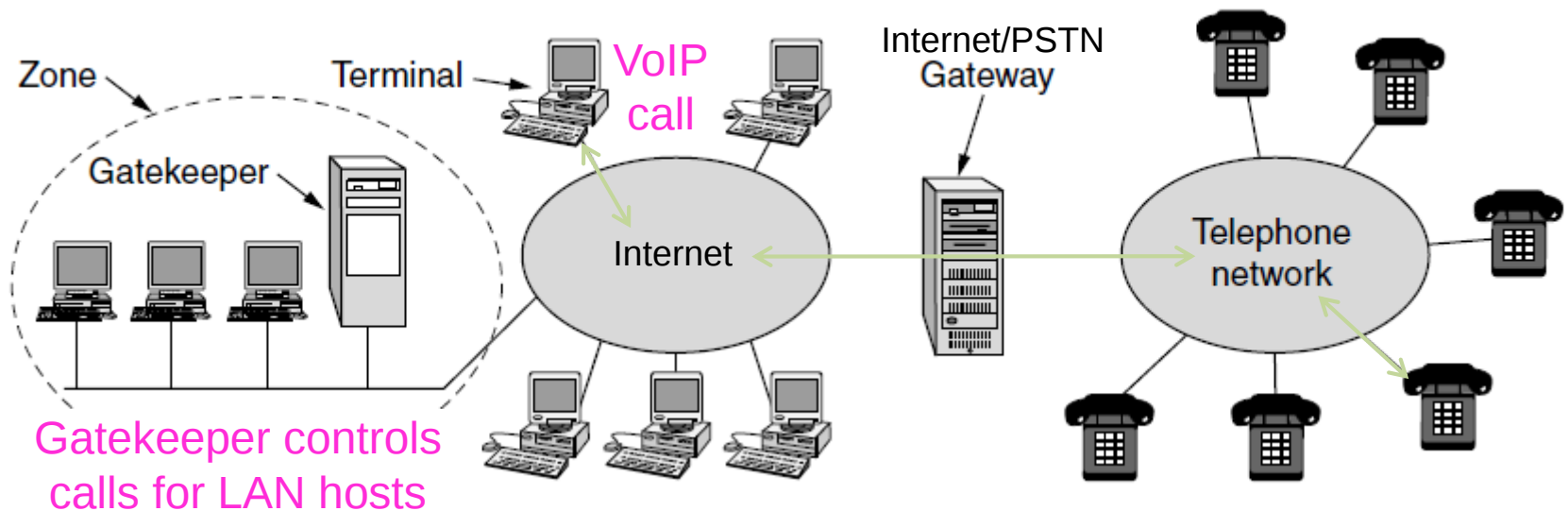
Real-time conferencing has two or more connected live media streams, e.g., voice over IP, Skype video call

Key issue over live streaming is low (interactive) latency

- Want to reduce delay to near propagation
- Benefits from network support, e.g., QoS
- Or, benefits from ample bandwidth (no congestion)

# Real-Time Conferencing (2)

H.323 architecture for Internet telephony supports calls between Internet computers and PSTN phones.



# Real-Time Conferencing (3)

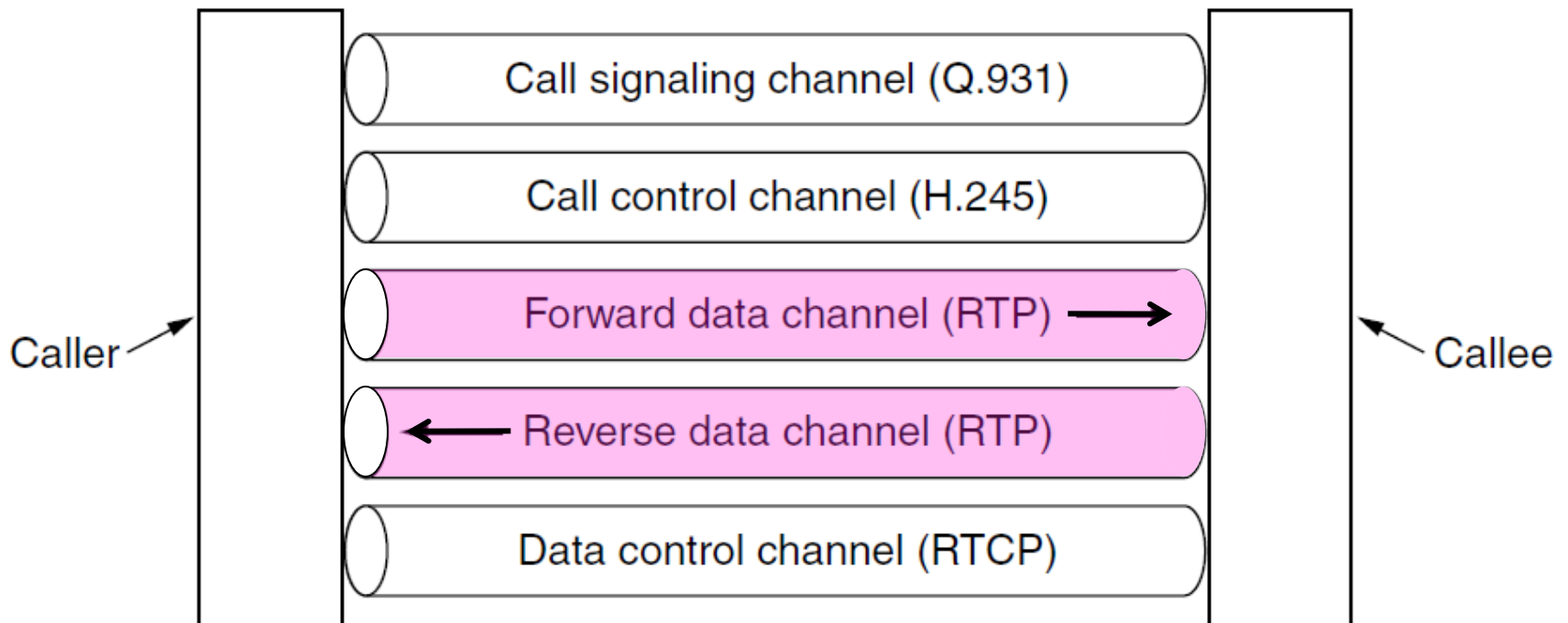
## H.323 protocol stack:

- Call is digital audio/video over RTP/UDP/IP
- Call setup is handled by other protocols (Q.931 etc.)

|                         |       |         |                |                      |                            |
|-------------------------|-------|---------|----------------|----------------------|----------------------------|
| Audio                   | Video | Control |                |                      |                            |
| G.7xx                   | H.26x | RTCP    | H.225<br>(RAS) | Q.931<br>(Signaling) | H.245<br>(Call<br>Control) |
| RTP                     |       |         |                |                      |                            |
| UDP                     |       |         |                | TCP                  |                            |
| IP                      |       |         |                |                      |                            |
| Link layer protocol     |       |         |                |                      |                            |
| Physical layer protocol |       |         |                |                      |                            |

# Real-Time Conferencing (4)

Logical channels that make up an H.323 call



# Real-Time Conferencing (5)

SIP (Session Initiation Protocol) is an alternative to H.323 to set up real-time calls

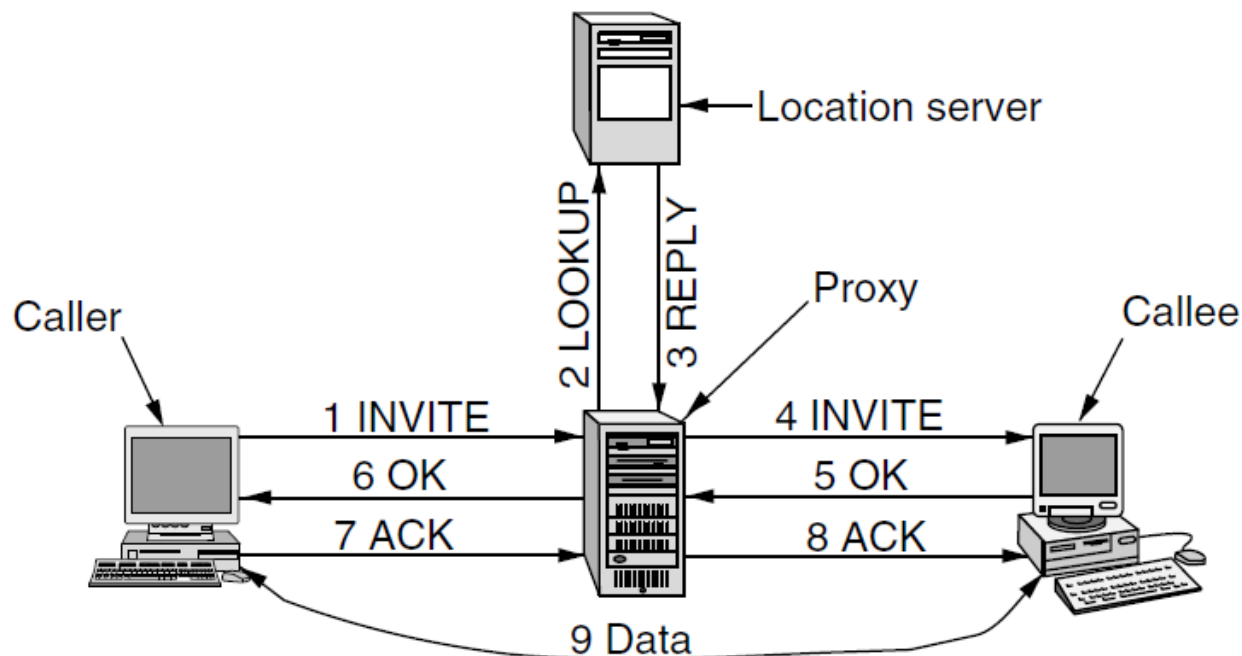
- Simple, text-based protocol with URLs for addresses

| Method   | Description                                                   |
|----------|---------------------------------------------------------------|
| INVITE   | Request initiation of a session                               |
| ACK      | Confirm that a session has been initiated                     |
| BYE      | Request termination of a session                              |
| OPTIONS  | Query a host about its capabilities                           |
| CANCEL   | Cancel a pending request                                      |
| REGISTER | Inform a redirection server about the user's current location |

# Real-Time Conferencing (6)

Setting up a call with the SIP three-way handshake

- Proxy server lets a remote callee be connected
- Call data flows directly between caller/callee



# Real-Time Conferencing (7)

| Item                        | H.323                   | SIP                     |
|-----------------------------|-------------------------|-------------------------|
| Designed by                 | ITU                     | IETF                    |
| Compatibility with PSTN     | Yes                     | Largely                 |
| Compatibility with Internet | Yes, over time          | Yes                     |
| Architecture                | Monolithic              | Modular                 |
| Completeness                | Full protocol stack     | SIP just handles setup  |
| Parameter negotiation       | Yes                     | Yes                     |
| Call signaling              | Q.931 over TCP          | SIP over TCP or UDP     |
| Message format              | Binary                  | ASCII                   |
| Media transport             | RTP/RTCP                | RTP/RTCP                |
| Multiparty calls            | Yes                     | Yes                     |
| Multimedia conferences      | Yes                     | No                      |
| Addressing                  | URL or phone number     | URL                     |
| Call termination            | Explicit or TCP release | Explicit or timeout     |
| Instant messaging           | No                      | Yes                     |
| Encryption                  | Yes                     | Yes                     |
| Size of standards           | 1400 pages              | 250 pages               |
| Implementation              | Large and complex       | Moderate, but issues    |
| Status                      | Widespread, esp. video  | Alternative, esp. voice |

Comparison of H.323 and SIP.