CMSC 417

Computer Networks

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Sliding Window: Sender

- Assign sequence number to each frame (SeqNum)
- Maintain three state variables:
  - send window size (SWS)
  - last acknowledgment received (LAR)
  - last frame sent (LFS)
- Maintain invariant: LFS - LAR <= SWS

- Advance LAR when ACK arrives
- Buffer up to SWS frames
Sliding Window: Receiver

- Maintain three state variables
  - receive window size (RWS)
  - largest frame acceptable (LFA)
  - last frame received (LFR)

- Maintain invariant: \( LFA - LFR \leq RWS \)

- Frame SeqNum arrives:
  - if \( LFR < SeqNum \leq LFA \) accept
  - if \( SeqNum \leq LFR \) or \( SeqNum > LFA \) discarded

- Send cumulative ACKs – send ACK for largest frame such that all frames less than this have been received
Sequence Number Space

- **SeqNum** field is finite; sequence numbers wrap around
- Sequence number space must be larger than the number of outstanding frames
- $SWS \leq \text{MaxSeqNum} - 1$ is not sufficient
  - Suppose 3-bit **SeqNum** field (0..7)
  - $SWS=RWS=7$
  - Sender transmits frames 0..6
  - Arrives successfully, but ACKs lost
  - Sender retransmits 0..6
  - Receiver expecting 7, 0..5, but receives the original incarnation of 0..5
- $SWS < \frac{(\text{MaxSeqNum}+1)}{2}$ is correct rule
- Intuitively, **SeqNum** “slides” between two halves of sequence number space
Sequence Number Space

For correctness, we require:

- Sequence numbers \( s \) at least twice the window \( w \)

<table>
<thead>
<tr>
<th>Error case ( s=8, w=7 ) – too few sequence numbers</th>
<th>Correct ( s=8, w=4 ) – enough sequence numbers</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sender: 0 1 2 3 4 5 6 7</td>
<td>Sender: 0 1 2 3 4 5 6 7</td>
</tr>
<tr>
<td>Receiver: 0 1 2 3 4 5 6 7</td>
<td>Receiver: 0 1 2 3 4 5 6 7</td>
</tr>
<tr>
<td>Originals</td>
<td>Originals</td>
</tr>
<tr>
<td>Retransmits</td>
<td>Retransmits</td>
</tr>
<tr>
<td>New receive window overlaps old – retransmits ambiguous</td>
<td>New and old receive window don’t overlap – no ambiguity</td>
</tr>
</tbody>
</table>
Go-Back-N

Receiver only accepts/acks frames that arrive in order:

- Discards frames that follow a missing/errored frame
- Sender times out and resends all outstanding frames
Go-Back-N

Tradeoff made for Go-Back-N:

• Simple strategy for receiver; needs only 1 frame
• Wastes link bandwidth for errors with large windows; entire window is retransmitted
Selective Repeat

Receiver accepts frames anywhere in receive window

- Cumulative ack indicates highest in-order frame
- NAK (negative ack) causes sender retransmission of a missing frame before a timeout resends window
Selective Repeat

Tradeoff made for Selective Repeat:

• More complex than Go-Back-N due to buffering at receiver and multiple timers at sender
• More efficient use of link bandwidth as only lost frames are resent (with low error rates)
Sliding Window Protocols

http://www.ccs-labs.org/teaching/rn/animations/gbn_sr/

http://www.cs.stir.ac.uk/~kjt/software/comms/jasper/SWP3.html

http://www.cs.stir.ac.uk/~kjt/software/comms/jasper/SWP5.html

http://www2.rad.com/networks/2004/sliding_window/
Throughput limits

• Buffers

• Bandwidth – subnet’s carrying capacity
  • K TPDUs per second
  • X paths then total of XK

• Flow control to manage
  • Manage window size
    • If network can handle c TPDUs/sec and Cycle time is r then the window size should be cr
Multiplexing

- Kinds of transport / network sharing that can occur:
  - Multiplexing: connections share a network address
  - Inverse multiplexing: addresses share a connection
Crash Recovery

• Network Failures
  • Transport layer handles
    • Connectionless
    • Connection oriented

• Host Crashes
  • Server crash and may reboot
    • Send broadcast asking clients to inform of prior connections (stop and wait protocol)
      • Client – one TPDU outstanding or none outstanding
Crash Recovery

Application needs to help recovering from a crash
- Transport can fail since A(ck) / W(rite) not atomic

<table>
<thead>
<tr>
<th>Strategy used by sending host</th>
<th>AC(W)</th>
<th>AWC</th>
<th>C(AW)</th>
<th>C(WA)</th>
<th>W AC</th>
<th>WC(A)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Always retransmit</td>
<td>OK</td>
<td>DUP</td>
<td>OK</td>
<td>OK</td>
<td>DUP</td>
<td>DUP</td>
</tr>
<tr>
<td>Never retransmit</td>
<td>LOST</td>
<td>OK</td>
<td>LOST</td>
<td>LOST</td>
<td>OK</td>
<td>OK</td>
</tr>
<tr>
<td>Retransmit in S0</td>
<td>OK</td>
<td>DUP</td>
<td>LOST</td>
<td>LOST</td>
<td>OK</td>
<td>OK</td>
</tr>
<tr>
<td>Retransmit in S1</td>
<td>LOST</td>
<td>OK</td>
<td>LOST</td>
<td>OK</td>
<td>OK</td>
<td>DUP</td>
</tr>
</tbody>
</table>

OK  = Protocol functions correctly
DUP = Protocol generates a duplicate message
LOST = Protocol loses a message
Congestion Control

Two layers are responsible for congestion control:

• Transport layer, controls the offered load [here]
• Network layer, experiences congestion [previous]

• Desirable bandwidth allocation »
• Regulating the sending rate »
• Wireless issues »
Desirable Bandwidth Allocation (1)

Efficient use of bandwidth gives high goodput, low delay

Goodput rises more slowly than load when congestion sets in

Delay begins to rise sharply when congestion sets in
Desirable Bandwidth Allocation (2)

Fair use gives bandwidth to all flows (no starvation)
  • Max-min fairness gives equal shares of bottleneck

Bottleneck link
Desirable Bandwidth Allocation (3)

We want bandwidth levels to converge quickly when traffic patterns change

- Flow 1 slows quickly when Flow 2 starts
- Flow 1 speeds up quickly when Flow 2 stops
Regulating the Sending Rate (1)

Sender may need to slow down for different reasons:

- Flow control, when the receiver is not fast enough [right]
- Congestion, when the network is not fast enough [over]

A fast network feeding a low-capacity receiver → flow control is needed
Regulating the Sending Rate (2)

Our focus is dealing with this problem – congestion

A slow network feeding a high-capacity receiver → congestion control is needed
Regulating the Sending Rate (3)

Different congestion signals the network may use to tell the transport endpoint to slow down (or speed up)

<table>
<thead>
<tr>
<th>Protocol</th>
<th>Signal</th>
<th>Explicit?</th>
<th>Precise?</th>
</tr>
</thead>
<tbody>
<tr>
<td>XCP</td>
<td>Rate to use</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>TCP with ECN</td>
<td>Congestion warning</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>FAST TCP</td>
<td>End-to-end delay</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>CUBIC TCP</td>
<td>Packet loss</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>TCP</td>
<td>Packet loss</td>
<td>No</td>
<td>No</td>
</tr>
</tbody>
</table>
Regulating the Sending Rate (3)

If two flows increase/decrease their bandwidth in the same way when the network signals free/busy they will not converge to a fair allocation.
Regulating the Sending Rate (4)

The AIMD (Additive Increase Multiplicative Decrease) control law does converge to a fair and efficient point!

- TCP uses AIMD for this reason.

![Diagram showing the process of regulating the sending rate between two users with the AIMD control law converging to an optimal point. The diagram includes labels for 'Start', 'Optimal point', 'Fairness line', and 'Efficiency line'.]
Wireless Issues

Wireless links lose packets due to transmission errors

- Do not want to confuse this loss with congestion
- Or connection will run slowly over wireless links!

Strategy:

- Wireless links use ARQ, which masks errors
A Simple Transport Protocol

• The Example Service Primitives
• The Example Transport Entity
• The Example as a Finite State Machine

Similar to TCP but simpler
Service Primitives

• Connect
  • Parameters – local and remote TSAPs
  • Caller is blocked
    • If connection succeeds the caller is unblocked and transmission starts
• Listen – specifies a TSAP to listen to
• Disconnect
• Send
• Receive
• ** Library procedures

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Service Primitives

• Connum=LISTEN(local)
• Connum=Connect(local,remote)
• Status = Send(Connum, buffer, bytes)
  • No Connection, illegal buffer address, negative count
• Status = Receive(Connum, buffer, bytes)
• Status = Disconnect(Connum)
The Transport Entity

• Use connection oriented, reliable network service
• Transport Entity is part of the user process
• Network Layer interface
  • To_net and from_net
  • Parameters –
    • Connection Identifier
    • Q bit – control message
    • M bit – more data from this message to follow
    • Packet Type
    • Pointer to data
The Example Transport Entity

The network layer packets used in our example.

<table>
<thead>
<tr>
<th>Network packet</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>CALL REQUEST</td>
<td>Sent to establish a connection</td>
</tr>
<tr>
<td>CALL ACCEPTED</td>
<td>Response to CALL REQUEST</td>
</tr>
<tr>
<td>CLEAR REQUEST</td>
<td>Sent to release a connection</td>
</tr>
<tr>
<td>CLEAR CONFIRMATION</td>
<td>Response to CLEAR REQUEST</td>
</tr>
<tr>
<td>DATA</td>
<td>Used to transport data</td>
</tr>
<tr>
<td>CREDIT</td>
<td>Control packet for managing the window</td>
</tr>
</tbody>
</table>
The Example Transport Entity (2)

Each connection is in one of seven states:
1. Idle – Connection not established yet.
2. Waiting – CONNECT has been executed, CALL REQUEST sent.
3. Queued – A CALL REQUEST has arrived; no LISTEN yet.
4. Established – The connection has been established.
5. Sending – The user is waiting for permission to send a packet.
6. Receiving – A RECEIVE has been done.
7. DISCONNECTING – a DISCONNECT has been done locally.
State Transitions

• A primitive is executed
• A packet arrives
• A timer expires
Internet Protocols – UDP

• Introduction to UDP
• Remote Procedure Call
• Real-Time Transport
User Datagram Protocol

• Connectionless
• Does not do
  • Flow control
  • Error control
  • Retransmissions
• Useful in client-server situations
• Sends segments consisting of an 8-byte header followed by the payload

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Introduction to UDP (1)

UDP (User Datagram Protocol) is a shim over IP

- Header has ports (TSAPs), length and checksum.
Introduction to UDP (2)

Checksum covers UDP segment and IP pseudoheader

- Fields that change in the network are zeroed out
- Provides an end-to-end delivery check
RPC (Remote Procedure Call)

- RPC connects applications over the network with the familiar abstraction of procedure calls
  - Stubs package parameters/results into a message
  - UDP with retransmissions is a low-latency transport
Limitations of RPC

• Pointers
• Weakly Typed languages – variable length arrays
• Not possible always to deduce parameter types
• Global variables
Real-Time Transport (1)

RTP (Real-time Transport Protocol) provides support for sending real-time media over UDP

- Often implemented as part of the application
Real-Time Transport (2)

RTP header contains fields to describe the type of media and synchronize it across multiple streams

- RTCP sister protocol helps with management tasks
RTP Header Fields

• Ver – 2
• P – Packet padded to multiple of 4 bytes
• X – extension header present
• CC – number of contributing sources
• M bit – Application specific marker
• Payload Type – encoding used
• Sequence Number
• Time stamp – produced by the source
• Synchronizations Source Identifier – which stream the packet belongs to
RTP Profiles

• RTP payloads may contain multiple samples coded in any way the application wants

• Profiles – to support interworking
  • Single Audio Stream

• Multiple encoding formats may be supported
  • 8-bit pcm samples at 8KHz
  • Delta encoding
  • Predictive encoding
  • MP3
  • ...

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RTCP – Real-time Transport Control Protocol

• Control Protocol for RTP
• Does not transport any data
• Handles:
  • Feedback
    • Delay
    • Jitter
    • Bandwidth
    • Congestion, etc.
  • Synchronization
    • Interstream Synchronization – Different clocks, drifts, etc.
• User Interface
Real-Time Transport (3)

Buffer at receiver is used to delay packets and absorb jitter so that streaming media is played out smoothly.
High jitter, or more variation in delay, requires a larger playout buffer to avoid playout misses

- Propagation delay does not affect buffer size