



**COMPUTER SCIENCE**  
UNIVERSITY OF MARYLAND

# Neural sequence-to-sequence models for machine translation

**CMSC 470**

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# Machine Translation

- Translation system
  - Input: source sentence  $F$
  - Output: target sentence  $E$
  - Can be viewed as a function

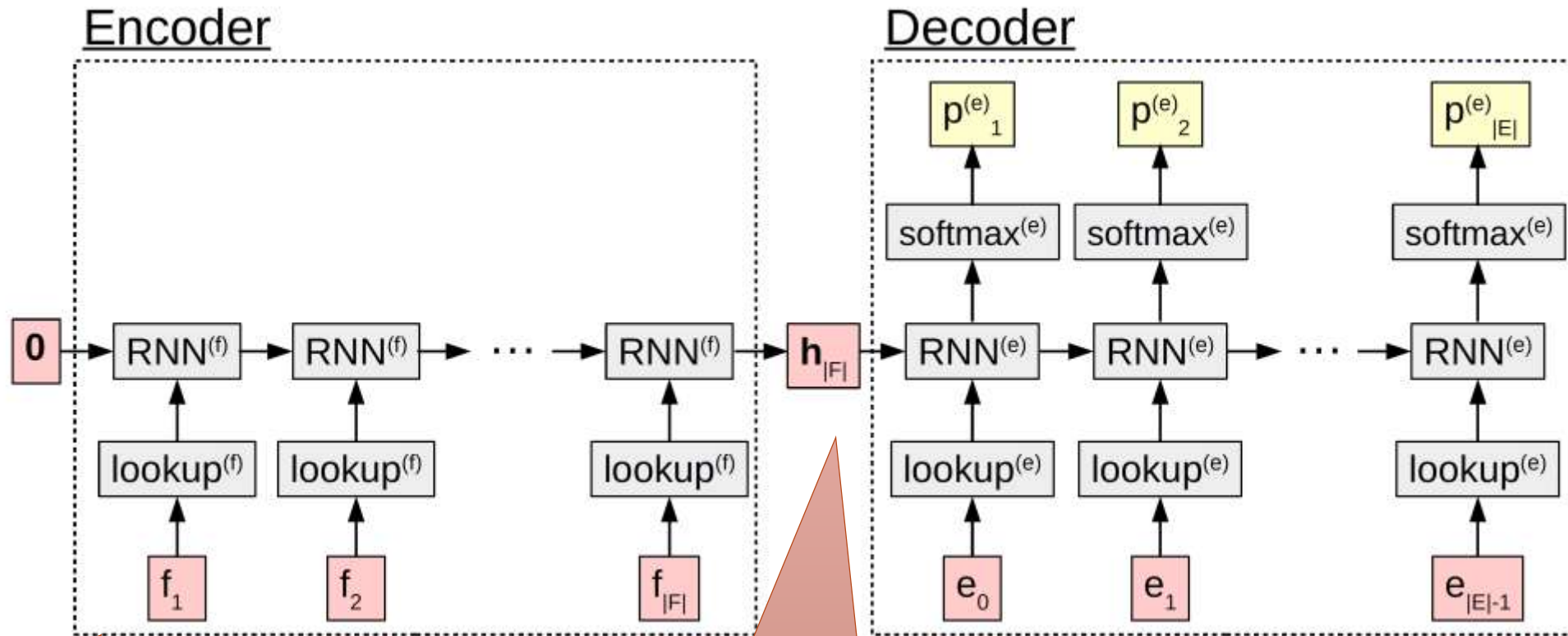
$$\hat{E} = \text{mt}(F)$$

- Modern machine translation systems

$$\hat{E} = \underset{E}{\operatorname{argmax}} P(E | F; \theta)$$

- 3 problems
  - Modeling
    - how to define  $P(\cdot)$ ?
  - Training/Learning
    - how to estimate parameters from parallel corpora?
  - Search
    - How to solve  $\operatorname{argmax}$  efficiently?

# $P(E|F)$ as an encoder-decoder model



The Encoder models the input/source sentence  $F = (f_1, \dots, f_{|F|})$

The decoder hidden state is initialized with the last hidden state of the encoder

The Decoder models the output/target sentence  $E = (e_1, \dots, e_{|E|})$ .

# Neural Machine Translation

- Neural language models review
- Sequence to sequence models for MT
  - Encoder-Decoder
  - Sampling and search (greedy vs beam search)
  - **How to train?**
  - **Model variants and practical tricks**
  - **Attention mechanism**

# Training

- Same as for RNN language modeling
  - Intuition: a good model assigns high probability to training examples
- Loss function
  - Negative log-likelihood of training data
    - Also called cross-entropy loss
  - Total loss for one example (sentence pair) = sum of loss at each time step (word)
- Backpropagation
  - Gradient of loss at time step  $t$  is propagated through the network all the way back to 1<sup>st</sup> time step

# Aside: why don't we use BLEU as training loss?

N-gram overlap between machine translation output and reference translation

Compute precision for n-grams of size 1 to 4

Add brevity penalty (for too short translations)

$$\text{BLEU} = \min \left( 1, \frac{\text{output-length}}{\text{reference-length}} \right) \left( \prod_{i=1}^4 \text{precision}_i \right)^{\frac{1}{4}}$$

Typically computed over the entire corpus, not single sentences

# Training in practice: online

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**Algorithm 1** A fully online training algorithm

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```
1: procedure ONLINE
2:   for several epochs of training do
3:     for each training example in the data do
4:       Calculate gradients of the loss
5:       Update the parameters according to this gradient
6:     end for
7:   end for
8: end procedure
```

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# Training in practice: batch

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**Algorithm 2** A batch learning algorithm

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```
1: procedure BATCH
2:   for several epochs of training do
3:     for each training example in the data do
4:       Calculate and accumulate gradients of the loss
5:     end for
6:     Update the parameters according to the accumulated gradient
7:   end for
8: end procedure
```

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# Training in practice: minibatch

- Compromise between online and batch
- Computational advantages
  - Can leverage vector processing instructions in modern hardware
  - By processing multiple examples simultaneously

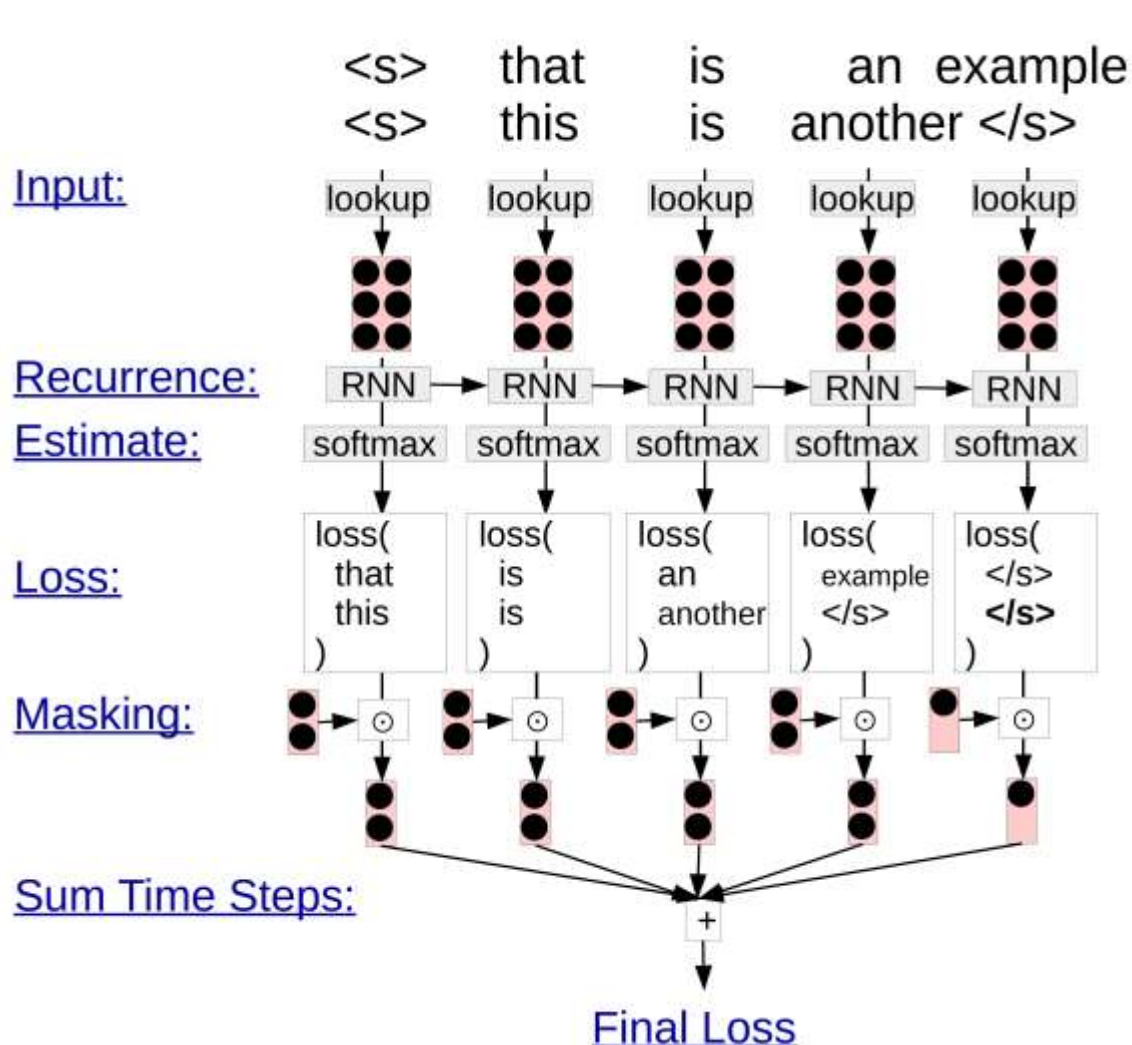
Operations w/o Minibatching

$$\tanh\left(\begin{array}{c|c|c} W & x_1 & b \\ \hline \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{array}\right) + \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \quad \tanh\left(\begin{array}{c|c|c} W & x_2 & b \\ \hline \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{array}\right) + \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array} \quad \tanh\left(\begin{array}{c|c|c} W & x_3 & b \\ \hline \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{array}\right) + \begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}$$

Operations with Minibatching

$$\begin{array}{c} x_1 \quad x_2 \quad x_3 \rightarrow \text{concat} \rightarrow \\ \downarrow \\ \begin{array}{c|c|c} W & X & B \\ \hline \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{array} \leftarrow \text{broadcast} \leftarrow b \\ \downarrow \\ \tanh\left(\begin{array}{c|c|c} W & X & B \\ \hline \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{array}\right) \end{array}$$

# Problem with minibatches: examples have varying length



- 3 tricks (same as for language modeling)

- Padding

- Add </s> symbol to make all sentences same length

- Masking

- Multiply loss function calculated over padded symbols by zero

- + sort sentences by length

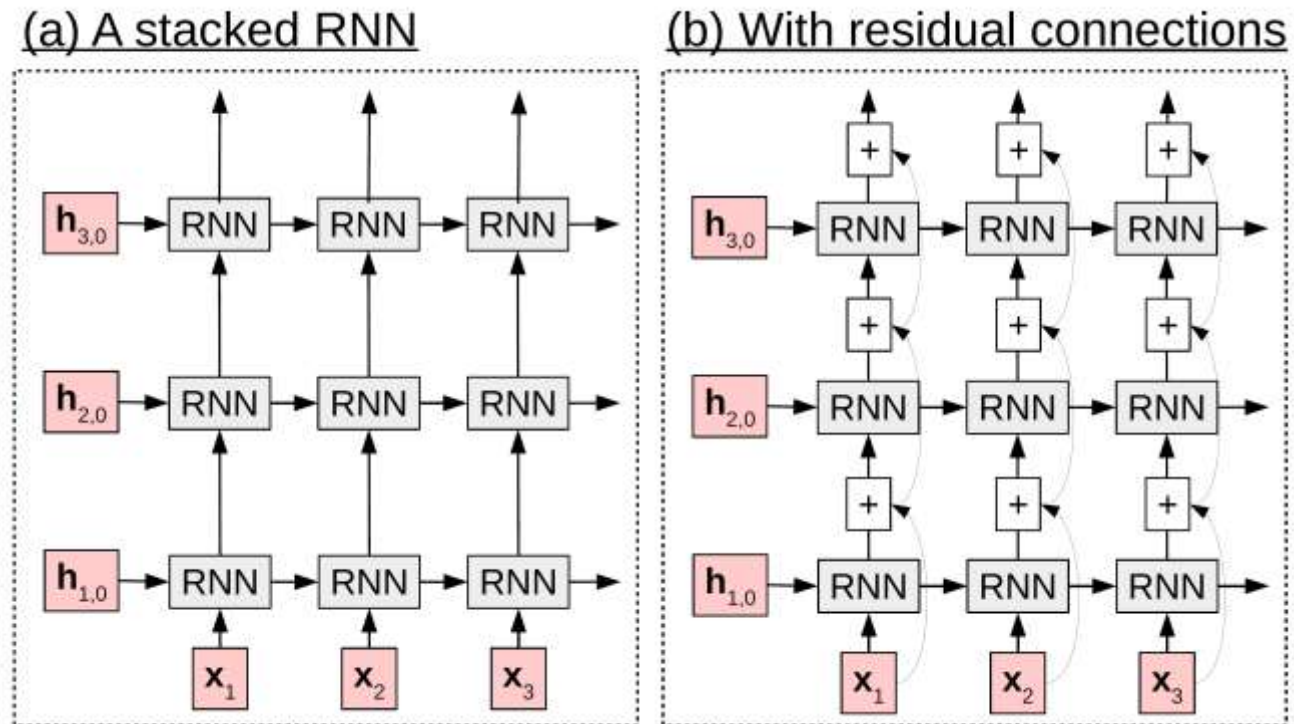
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# Other encoder structures: RNN variants

- LSTMs
  - Aim to address vanishing/exploding gradient issue

- Stacked RNNs



• ...

# Other encoder structures: Bidirectional encoder

$$\vec{h}_t^{(f)} = \begin{cases} \overrightarrow{\text{RNN}}^{(f)}(\mathbf{m}_t^{(f)}, \vec{h}_{t+1}^{(f)}) & t \geq 1, \\ \mathbf{0} & \text{otherwise.} \end{cases}$$

$$\overleftarrow{h}_t^{(f)} = \begin{cases} \overleftarrow{\text{RNN}}^{(f)}(\mathbf{m}_t^{(f)}, \overleftarrow{h}_{t+1}^{(f)}) & t \leq |F|, \\ \mathbf{0} & \text{otherwise.} \end{cases}$$

Motivation:

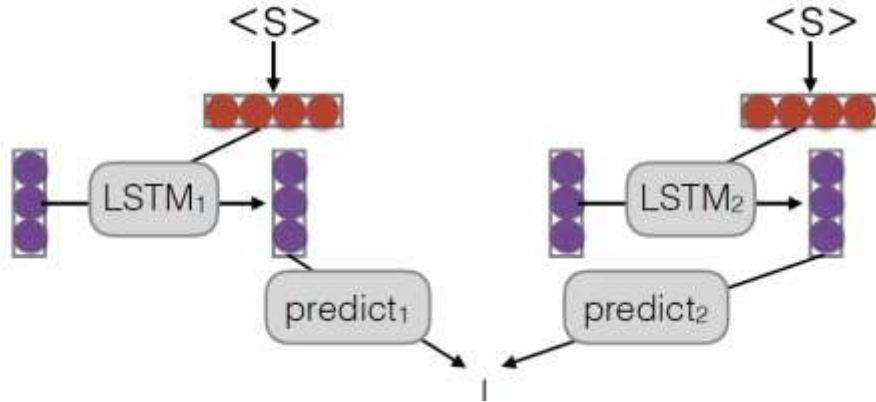
- Help bootstrap learning
- By shortening length of dependencies

$$\mathbf{h}_0^{(e)} = \tanh(W_{\vec{f}e} \vec{h}_{|F|} + W_{\overleftarrow{f}e} \overleftarrow{h}_1 + \mathbf{b}_e)$$

Motivation:

- Take 2 hidden vectors from source encoder
- Combine them into a vector of size required by decoder

# A few more tricks: ensembling



- Combine predictions from multiple models
- Methods
  - Linear or log-linear interpolation
  - Parameter averaging

# Tricks: addressing length bias

- Default models tend to generate short sentences
- Solutions:
  - Prior probability on sentence length

$$\hat{E} = \operatorname{argmax}_E \log P(|E| \mid |F|) + \log P(E \mid F).$$

- Normalize by sentence length

$$\hat{E} = \operatorname{argmax}_E \log P(E \mid F) / |E|.$$

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