

# CMSC 330

## Organization of Programming Languages

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OCaml  
Higher Order Functions

# Anonymous Functions

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- ▶ Recall code blocks in Ruby

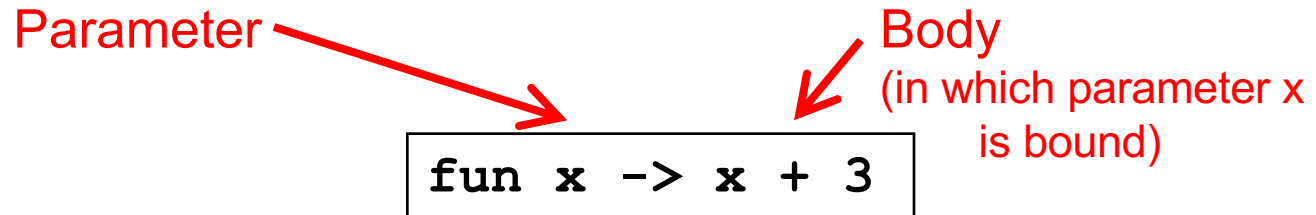
```
(1..10).each { |x| print x }
```

- Here, we can think of `{ |x| print x }` as a function
- ▶ We can do this (and more) in OCaml

# Anonymous Functions

---

- ▶ As with Ruby, passing around functions is common
  - So often we don't want to bother to give them names
- ▶ Use `fun` to make a function with no name



```
(fun x -> x + 3) 5 = 8
```

# Anonymous Functions

---

## ▶ Syntax

- `fun x1 ... xn -> e`

## ▶ Evaluation

- An anonymous function is an expression
- In fact, *it is a value* – no further evaluation is possible
  - As such, it can be passed to other functions, returned from them, stored in a variable, etc.

## ▶ Type checking

- $(\text{fun } x1 \dots xn \rightarrow e) : (t1 \rightarrow \dots \rightarrow tn \rightarrow u)$   
when  $e : u$  under assumptions  $x1 : t1, \dots, xn : tn$ .
  - (Same rule as `let f x1 ... xn = e`)

## Quiz 1: What does this evaluate to?

---

```
let y = (fun x -> x+1) 2 in  
(fun z -> z-2) y
```

- A. *Error*
- B. 2
- C. 1
- D. 0

## Quiz 1: What does this evaluate to?

---

```
let y = (fun x -> x+1) 2 in  
(fun z -> z-2) y
```

A. *Error*

B. 2

C. 1

D. 0

## Quiz 2: What is this expression's type ?

---

`(fun x y -> x) 2 3`

- A. *Type error*
- B. `int`
- C. `int -> int -> int`
- D. `'a -> 'b -> 'a`

## Quiz 2: What is this expression's type ?

---

`(fun x y -> x) 2 3`

A. *Type error*

**B. `int`**

C. `int -> int -> int`

D. `'a -> 'b -> 'a`



# Functions and Binding

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- ▶ Functions are **first-class**, so you can bind them to other names as you like

```
let f x = x + 3;;
```

```
let g = f;;
```

```
g 5      = 8
```

- ▶ In fact, **let** for functions is syntactic **shorthand**

```
let f x = body
```



is semantically equivalent to

```
let f = fun x -> body
```

# Example Shorthands

---

▶ `let next x = x + 1`

- Short for `let next = fun x -> x + 1`

▶ `let plus x y = x + y`

- Short for `let plus = fun x y -> x + y`

## Quiz 3: What does this evaluate to?

---

```
let f = fun x -> 0 in
let g = f in
g 1
```

- A. *Error*
- B. 2
- C. 1
- D. 0

## Quiz 3: What does this evaluate to?

---

```
let f = fun x -> 0 in
let g = f in
g 1
```

A. *Error*

B. 2

C. 1

D. 0

# Defining Functions Everywhere

---

```
let move l x =  
  let left x = x - 1 in (* locally defined fun *)  
  let right x = x + 1 in (* locally defined fun *)  
  if l then left x  
  else      right x  
;;
```

```
let move' l x = (* equivalent to the above *)  
  if l then (fun y -> y - 1) x  
  else      (fun y -> y + 1) x
```

# Pattern Matching With Fun

---

- ▶ `match` can be used within `fun`

```
(fun l -> match l with (h::_) -> h) [1; 2]  
= 1
```

- ▶ But use named functions for complicated matches
- ▶ May use standard pattern matching abbreviations

```
(fun (x, y) -> x+y) (1,2)  
= 3
```

# Passing Functions as Arguments

---

- ▶ In OCaml you can pass functions as arguments (akin to Ruby code blocks)

```
let plus_three x = x + 3 (* int -> int *)
```

```
let twice f z = f (f z) (* ('a->'a) -> 'a -> 'a *)
```

```
twice plus_three 5 = 11
```

# map function

---



# What is Map?

---

Map generates a new list by applying a function to every item in the given list

`map f [n1;n2;n3] == > [f n1; f n2; f n3]`

map cook [🐮, 🍌, 🐔, 🌽]  
== > [🍔, 🍟, 🍗, 🍿]

# Why do we need Map?

---

```
let rec double lst =  
  match lst with  
  []->[]  
  |h::t-> h * 2 :: double t
```

```
let rec neg lst =  
  match lst with  
  []->[]  
  |h::t-> h * (-1) :: neg t
```

```
double [1; 2; 3; 4];;  
- : int list = [2; 4; 6; 8]
```

```
neg [1;2;3;4];;  
- : int list = [-1; -2; -3; -4]
```

# Why do we need Map?

---

```
let rec double lst =  
  match lst with  
  []->[]  
  |h::t-> h * 2 :: double t
```

```
let rec neg lst =  
  match lst with  
  []->[]  
  |h::t-> h * (-1) :: neg t
```

```
let rec map f lst =  
  match lst with  
  []->[]  
  |h::t-> (f h):: map f t
```

# How to implement Map?

---

```
let rec map f lst =  
  match lst with  
  | [] -> []  
  | h :: t -> (f h) :: (map f t)
```

# Type of Map

---

```
let map f lst =  
  match lst with  
  | [] -> []  
  | h :: t -> (f h) :: map f t
```

```
('a -> 'b) -> 'a list -> 'b list
```

# How to use Map?

---

```
let double x = x * 2 ;;
```

```
let lst = [1; 2; 3; 4; 5] ;;
```

```
let t = map double lst ;;
```

```
t : int list = [2; 4; 6; 8; 10]
```

# Example 1

---

Subtract 1 from every item in an int list

```
let t = [1; 2; 3; 4];;  
map (fun x-> x-1) t;;
```

```
let t = [1; 2; 3; 4];;  
let sub1 x = x - 1;;  
map sub1 t;;
```

```
int list = [0; 1; 2; 3]
```

## Example 2

---

Negate every item in an int list

```
let t = [1; 2; 3; 4];;  
let neg x = x * (-1);;  
map neg t;;
```

```
int list = [-1; -2; -3; -4]
```



## Example 3

---

Apply a list functions to an int list

```
let lst = [1;2;3];;  
let neg x = x * (-1);;  
let sub1 x = x-1;;  
let double x = x + x;;
```

```
let fs = [neg; sub1; double];;  
map (fun x -> map x lst) fs;;
```

```
int list list = [[-1; -2; -3]; [0; 1; 2]; [2; 4; 6]]
```

## Example 4: Permute a list

---

```
let permute lst =
  let rec rm x l = List.filter ((<>) x) l
  and insertToPermute lst x =
    let t = rm x lst in
    List.map ((fun a b->a::b) x )(permuteall t)
  and permuteall lst =
    match lst with
    | []->[]
    | [x]->[[x]]
    | _->List.flatten(List.map (insertToPermute lst) lst)
  in permuteall lst
;;

# permute [1;2;3];;
- : int list list =
[[1; 2; 3]; [1; 3; 2]; [2; 1; 3]; [2; 3; 1]; [3; 1; 2];
 [3; 2; 1]]
```

## Example 5: Power Set

---

```
let populate a b =
  if b=[] then [[a]]
  else let t = List.map (fun x->a::x) b in
    [a]::t@b
;;

let powerset lst = List.fold_right populate lst []
;;

# powerset [1;2;3];;
- : int list list = [[1]; [1; 2]; [1; 2; 3]; [1; 3];
[2]; [2; 3]; [3]]

# populate 1 [[2];[3]];
- : int list list =
[[1]; [1; 2]; [1; 3]; [2];
[3]]
```

# What we learned?

---

## ▶ Map:

- A higher order function.
- List module
- Takes a function and a list as arguments, applies the function to each member of the list, generates a new list
- It is powerful.

# fold function

---

# What is Fold

---

- Fold generally
  - takes a **function of two arguments**, a **list**, and an **initial value** (accumulator)
  - **combines the list** by applying the function to the accumulator and one element from the list and the result of recursively folding the function over the rest of the list.

Accumulator: (i.e. 0 for addition, 1 for multiplication, false for boolean OR, negative infinity for maximum, etc.)

# What is Fold

---

```
fold (fun x y-> x+y) 0 [1;2;3;4;5];;
```

```
- : int = 15
```

# Why do we need Fold?

---

## sum a list of integers

```
let rec sum l =  
  match l with  
  [] -> 0  
  |h::t -> h + (sum t)
```

```
sum [1;2;3;4];;  
- : int = 10
```

## Concatenate a list of strings:

```
let rec concat l =  
  match l with  
  [] -> ""  
  |h::t -> h ^ (concat t)
```

```
concat ["a";"b";"c"];;  
- : string = "abc"
```



# Why do we need Fold?

---

sum a list of integers

```
let rec sum l =  
  match l with  
  [] -> 0  
  |h::t -> h + (sum t)
```

Concatenate a list of strings:

```
let rec concat l =  
  match l with  
  [] -> ""  
  |h::t -> h ^ (concat t)
```

```
let rec fold f acc lst =  
  match l with  
  [] -> acc  
  |h::t -> fold f (f acc h) t
```

# How to implement Fold

---

```
let rec fold f acc lst =  
  match lst with  
  [] -> acc  
  |h::t -> fold f (f acc h) t
```

# Type of Fold

---

```
let rec fold f acc lst =  
  match lst with  
  [] -> acc  
  |h::t -> fold f (f acc h) t
```

f                      acc      lst    -> return type

# Type of Fold

---

```
let rec fold f acc lst =  
  match lst with  
  [] -> acc  
  |h::t -> fold f (f acc h) t
```

f                      acc      lst    -> return type

('a -> 'b -> 'a) -> 'a -> 'b list -> 'a

# How to use Fold?

---

```
let add x y = x + y ;;
```

```
let lst = [2; 3; 4] ;;
```

```
let t = fold add 0 lst ;;
```

```
t : int = 9
```

# How to use Fold?

---

```
let add x y = x + y ;;
let lst = [2; 3; 4] ;;
let t = fold add 0 lst ;;
t : int = 9
```

```
let rec fold f acc lst =
  match lst with
  [] -> acc
  |h :: t -> fold f (f acc h) t
```

```
fold add 0 lst
fold add (add 0 2) [3;4]
fold add 2 [3;4]
fold add (add 2 3) [4]
fold add 5 [4]
fold add (add 5 4) [ ]
fold add 9 [ ]
9
```

# Example 1: Product of an int list

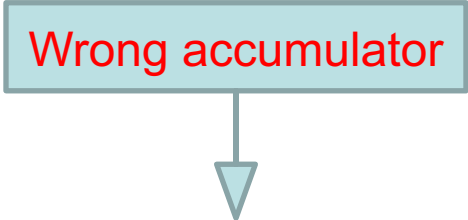
---

```
let mul x y = x * y;;
```

```
let lst = [1; 2; 3; 4; 5];;
```

```
fold mul 1 lst  
- : int = 120
```

Wrong accumulator



```
fold mul 0 lst;;  
- : int = 0
```

## Example 2: Count elements of a list satisfying a condition

---

```
let countif p l =  
  fold (fun counter element -> if p element then counter+1  
                                else counter) 0 l ;;
```

```
countif (fun x -> x > 0) [30;-1;45;100;0];;
```

```
- : int = 3
```



## Exaple 3: Collect even numbers in the list

---

```
let f acc y = if (y mod 2) = 0 then y::acc  
              else acc;;
```

```
fold f [] [1;2;3;4;5;6];;
```

```
- : int list = [6; 4; 2]
```



## Example 4: Inner Product

---

first compute list of pair-wise products, then sum up

$$[x_1;x_2;x_3]*[y_1;y_2;y_3] = x_1*y_1 + x_2*y_2 + x_3*y_3$$

```
let rec map2 f a b =  
  match (a,b) with  
  |([],[])->([])  
  |(h1::t1,h2::t2)->(f h1 h2)::(map2 f t1 t2)  
  |_->invalid_arg "map2";;
```

```
let product v1 v2 =  
  fold (+) 0 (map2 ( * ) v1 v2);;  
# val product : int list -> int list -> int = <fun>  
product [2;4;6] [1;3;5];;  
#- : int = 44
```

## Example 5: Find the maximum from a list

---

```
let maxList lst =  
  match lst with  
  []->failwith "empty list"  
 |h::t-> fold max h t ;;
```

```
maxList [3;10;5];;  
- : int = 10
```

```
(*  
maxList [3;10;5]  
fold max 3 [10;5]  
fold max (max 3 10) [5]  
fold max (max 10 5) []  
fold max 10 []  
10 *)
```

# Quiz: Sum of sublists

---

Given a list of int lists, compute the sum of each int list, and return them as list.

For example:

```
sumList [[1;2;3];[4];[5;6;7]]  
- : int list = [6; 4; 18]
```

# Solution: Sum of sublists

---

```
let sumList = map (fold (+) 0 );;
```

```
sumList [[1;2;3];[4;5;6];[10]];;
```

```
- : int list = [6; 15; 10]
```

# Quiz: Maximum contiguous subarray

---

Given an int list, find the contiguous sublist, which has the largest sum and return its sum.

Example:

Input: [-2,1,-3,**4,-1,2,1**,-5,4]

Output: 6

Explanation: [4,-1,2,1] has the largest sum = 6

## Quiz: Maximum contiguous subarray

---

```
let f (m, acc) h =  
  let m = max m (acc + h) in  
  let x = if acc < 0 then 0 else acc in  
  (m, x+h)  
;;  
let submax lst = let (max_so_far, max_current) =  
  fold f (0,0) lst in  
  max_so_far  
;;  
  
submax [-2; 1; -3; 4; -1; 2; 1; -5; 4];;  
- : int = 6
```

# Summary

---

- ▶  $\text{map } f [v1; v2; \dots; vn]$   
=  $[f v1; f v2; \dots; f vn]$ 
  - e.g.,  $\text{map } (\text{fun } x \rightarrow x+1) [1;2;3] = [2;3;4]$
- ▶  $\text{fold } f \quad v \quad [v1; v2; \dots; vn]$   
=  $\text{fold } f \quad (f v v1) \quad [v2; \dots; vn]$   
=  $\text{fold } f \quad (f (f v v1) v2) \quad [\dots; vn]$   
= ...  
=  $f (f (f (f v v1) v2) \dots) vn$ 
  - e.g.,  $\text{fold add } 0 [1;2;3;4] =$   
 $\text{add } (\text{add } (\text{add } (\text{add } 0 1) 2) 3) 4 = 10$



## Quiz 4: What does this evaluate to?

---

```
map (fun x -> x *. 4) [1;2;3]
```

- A. [ 1.0; 2.0; 3.0 ]
- B. [ 4.0; 8.0; 12.0 ]
- C. Error
- D. [4; 8; 12 ]

## Quiz 4: What does this evaluate to?

---

```
map (fun x -> x *. 4) [1;2;3]
```

- A. [ 1.0; 2.0; 3.0 ]
- B. [ 4.0; 8.0; 12.0 ]
- C. Error -- the \*. function takes floats, not ints**
- D. [4; 8; 12 ]

## Quiz 5: What does this evaluate to?

---

```
fold (fun a y -> y::a) [] [3;4;2]
```

- A. [ 9 ]
- B. [ 3;4;2 ]
- C. [ 2;4;3 ]
- D. Error

## Quiz 5: What does this evaluate to?

---

```
fold (fun a y -> y::a) [] [3;4;2]
```

- A. [ 9 ]
- B. [ 3;4;2 ]
- C. [ 2;4;3 ]
- D. Error

## Quiz 6: What does this evaluate to?

---

```
let is_even x = (x mod 2 = 0) in  
map is_even [1;2;3;4;5]
```

- A. `[false;true;false>true;false]`
- B. `[0;1;1;2;2]`
- C. `[0;0;0;0;0]`
- D. `false`

## Quiz 6: What does this evaluate to?

---

```
let is_even x = (x mod 2 = 0) in  
map is_even [1;2;3;4;5]
```

- A. **[false;true;false>true;false]**
- B. [0;1;1;2;2]
- C. [0;0;0;0;0]
- D. false

# Combining map and fold

---

- ▶ Idea: map a list to another list, and then fold over it to compute the final result
  - Basis of the famous “map/reduce” framework from Google, since these operations can be parallelized

```
let countone l =  
  fold (fun a h -> if h=1 then a+1 else a) 0 l
```

```
let countones ss =  
  let counts = map countone ss in  
  fold (fun a c -> a+c) 0 counts
```

```
countones [[1;0;1]; [0;0]; [1;1]] = 4
```

```
countones [[1;0]; []; [0;0]; [1]] = 2
```

# fold\_right

---

- ▶ Right-to-left version of fold:

```
let rec fold_right f l a = match l with
  [] -> a
  | (h::t) -> f h (fold_right f t a)
```

- ▶ Left-to-right version used so far:

```
let rec fold f a l = match l with
  [] -> a
  | (h::t) -> fold f (f a h) t
```



## Left-to-right vs. right-to-left

---

```
fold f v [v1; v2; ...; vn] =  
  f (f (f (f v v1) v2) ...) vn
```

```
fold_right f [v1; v2; ...; vn] v =  
  f (f (f (f vn v) ...) v2) v1
```

```
fold (fun x y -> x - y) 0 [1;2;3] = -6
```

since  $((0-1)-2)-3 = -6$

```
fold_right (fun x y -> x - y) [1;2;3] 0 = 2
```

since  $1-(2-(3-0)) = 2$

# When to use one or the other?

---

- ▶ Many problems lend themselves to `fold_right`
- ▶ But it does present a performance disadvantage
  - The recursion builds up a deep stack: **One stack frame for each recursive call of `fold_right`**
- ▶ An optimization called **tail recursion** permits optimizing `fold` so that it **uses no stack at all**
  - We will see how this works in a later lecture!