

# Heap Sort

# Heapsort

6	10	1	4	7	9	3	2	8	11
---	----	---	---	---	---	---	---	---	----



Heap Sort Algorithm  
(build + sort)

**Step 1      Build Heap (Max)**

11	10	9	8	7	1	3	2	4	6
----	----	---	---	---	---	---	---	---	---



**Step 2      Sort Max Heap**

1	2	3	4	6	7	8	9	10	11
---	---	---	---	---	---	---	---	----	----



# Heapsort Algorithm

Function Heapsort(A)

Step 1 #Create max heap

    Build\_Max\_Heap from unordered array A

Step 2 # Finish sorting

    for i = n downto 2 do

        exchange A[1] with A[i]

        discard node i from heap (decrement heap size)

        sift(A[1:i-1], 1) because new root may violate max heap property

# Build Max Heap - Step 1

```
Function Build_Max_Heap(A)
    set heap size to the length of the array
    for j= n/2 down to 1 do
        sift(A, j)
```

# Heap

- The root of the tree is  $A[1]$ , and given the index  $i$  of a node, we can easily compute the indices of its parent, left child, and right child:

```
function parent(i)
    return i/2
```

```
function left(i)
    return 2*i
```

```
function right(i)
    return 2 *i + 1
```

# Max-Heapify (sift)

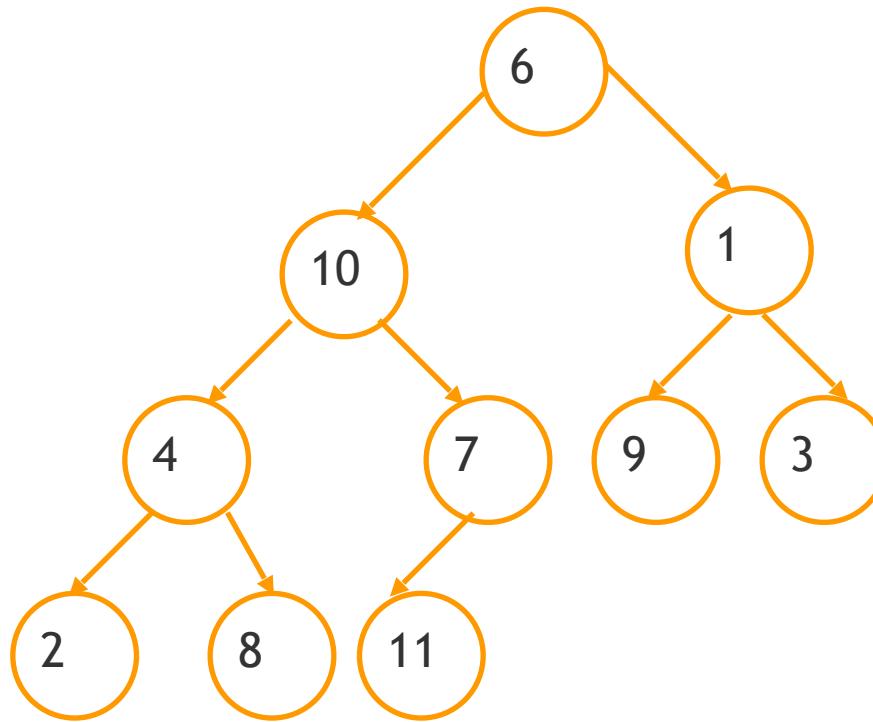
```
function sift(arr,i)
    n ← len(arr)                      # array length
    l ← left(i)                        # left node index
    r ← right(i)                       # right node index

    if l <= n and arr[l] > arr[i] then
        largest ← l
    else
        largest ← i

    if r <= n and arr[r] > arr[largest] then
        largest ← r

    if largest != i then
        arr[i] ↔ arr[largest]
        sift(arr, largest)
    return arr
```

# Start with an array (it is not a max heap)



6	10	1	4	7	9	3	2	8	11
---	----	---	---	---	---	---	---	---	----

# Exchange 7 and 11

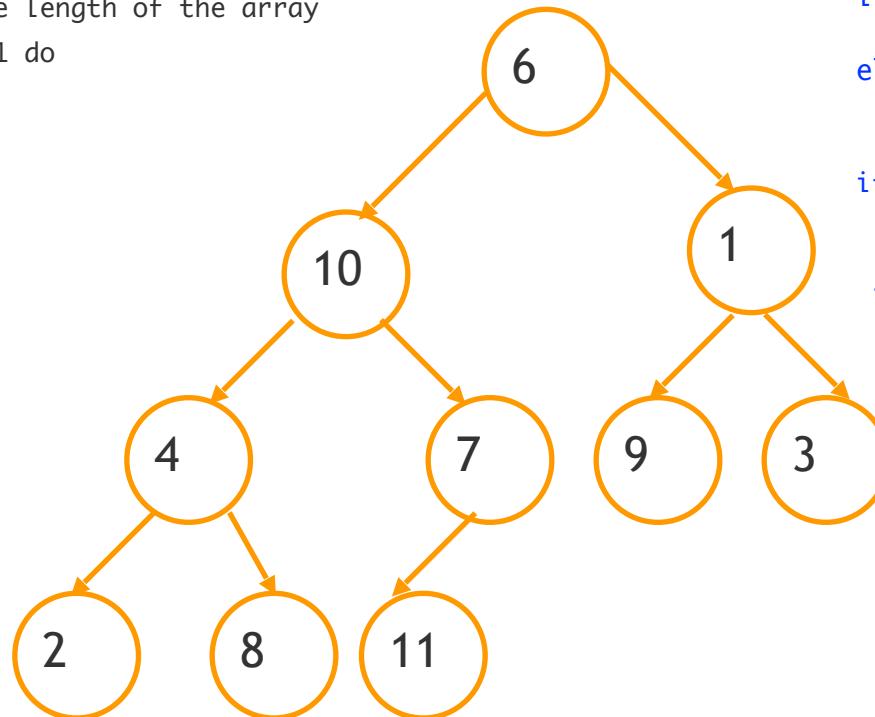
Function Build\_Max\_Heap(A)

    set heap size to the length of the array  
    for  $j = n/2$  down to 1 do  
        sift(A, j)

function parent(i)  
    return  $i/2$

function left(i)  
    return  $2*i$

function right(i)  
    return  $2 * i + 1$



```
function sift(arr,i)
    n ← len(arr)
    l ← left(i)
    r ← right(i)

    if l ≤ n and arr[l] > arr[i] then
        largest ← l
    else
        largest ← i

    if r ≤ n and arr[r] > arr[largest] then
        largest = r

    if largest != i then
        arr[i] ↔ arr[largest]
        sift(arr,largest)
    return arr
```

6	10	1	4	7	9	3	2	8	11
---	----	---	---	---	---	---	---	---	----

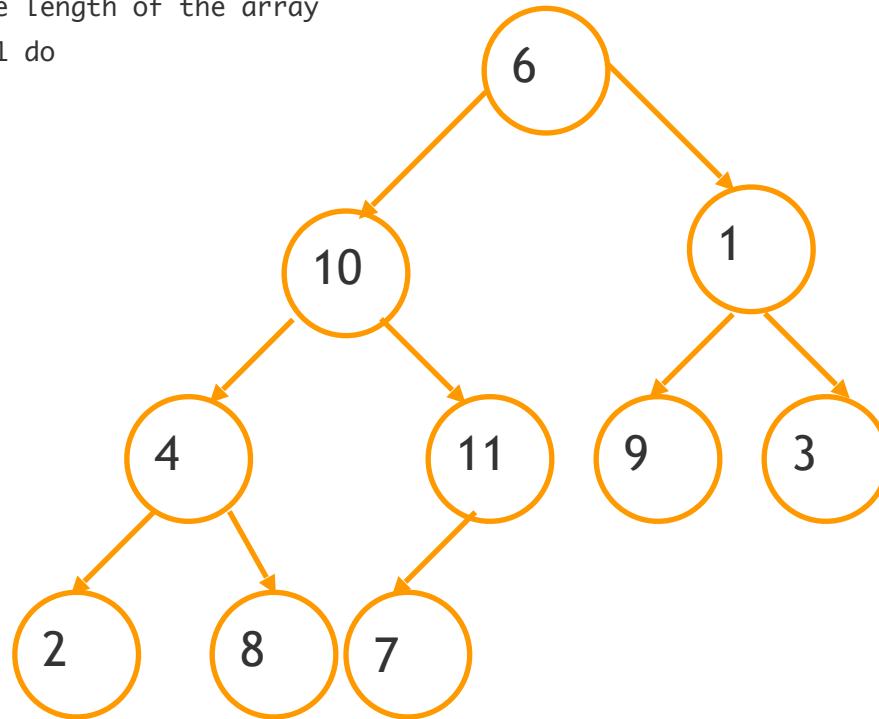
j

# Exchange 4 and 8

Function Build\_Max\_Heap(A)

    set heap size to the length of the array

    for  $j = n/2$  down to 1 do  
        sift(A, j)



6	10	1	4	11	9	3	2	8	7
---	----	---	---	----	---	---	---	---	---

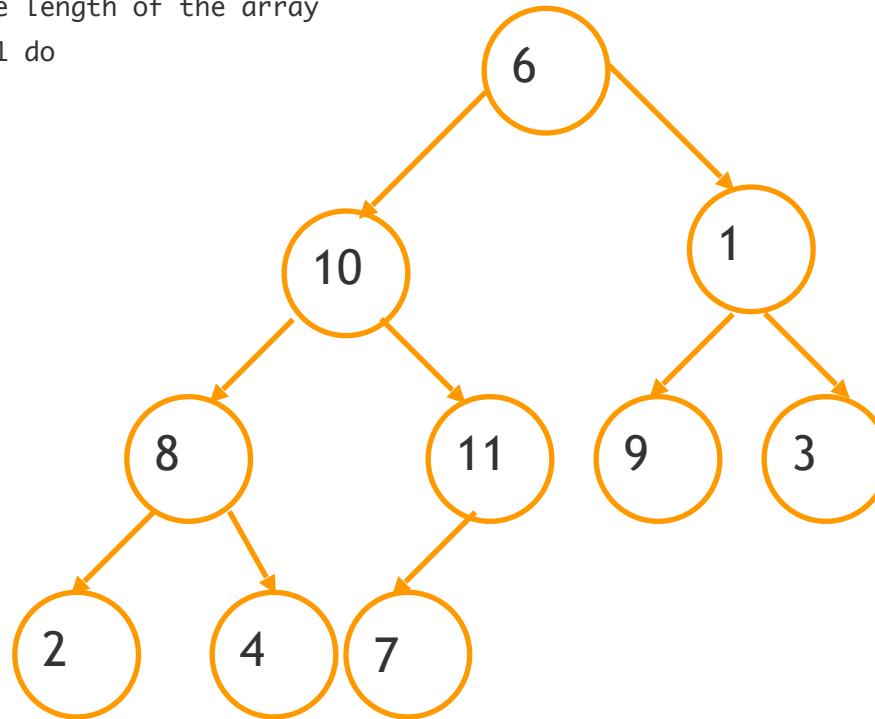
j

# Exchange 9 and 1

Function Build\_Max\_Heap(A)

    set heap size to the length of the array

    for  $j = n/2$  down to 1 do  
        sift(A, j)



6	10	1	8	11	9	3	2	4	7
---	----	---	---	----	---	---	---	---	---

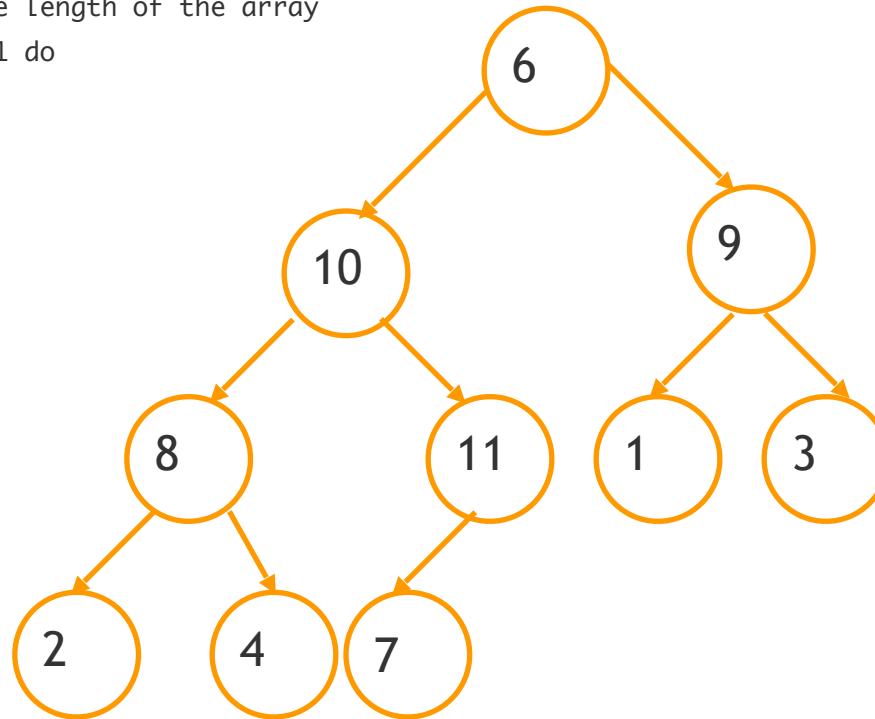
j

# Exchange 10 and 11

Function Build\_Max\_Heap(A)

    set heap size to the length of the array

    for  $j = n/2$  down to 1 do  
        sift(A, j)

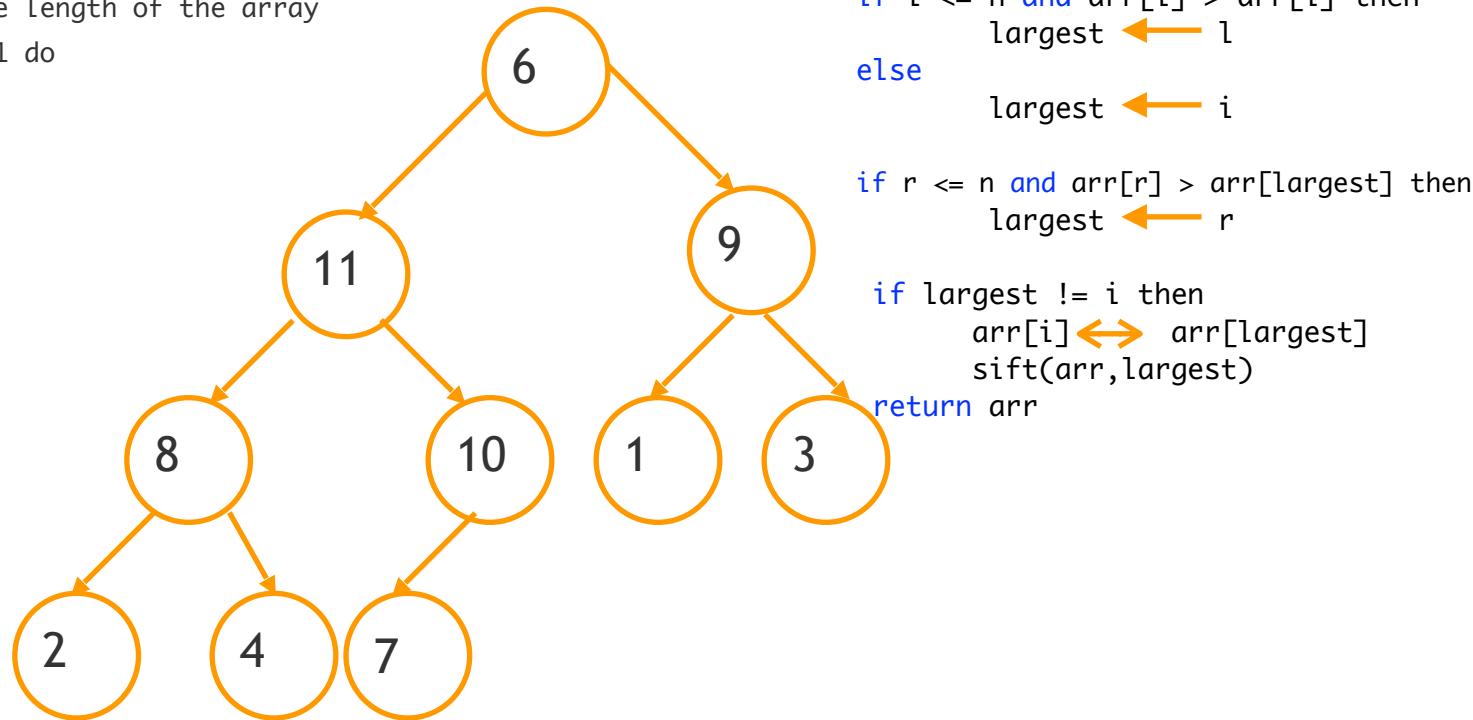


j

# Exchange 6 and 11

Function Build\_Max\_Heap(A)

    set heap size to the length of the array  
    for  $j = n/2$  down to 1 do  
        sift(A, j)



```
function sift(arr,i)
    n ← len(arr)
    l ← left(i)
    r ← right(i)

    if l ≤ n and arr[l] > arr[i] then
        largest ← l
    else
        largest ← i

    if r ≤ n and arr[r] > arr[largest] then
        largest ← r

    if largest != i then
        arr[i] ↔ arr[largest]
        sift(arr,largest)
    return arr
```

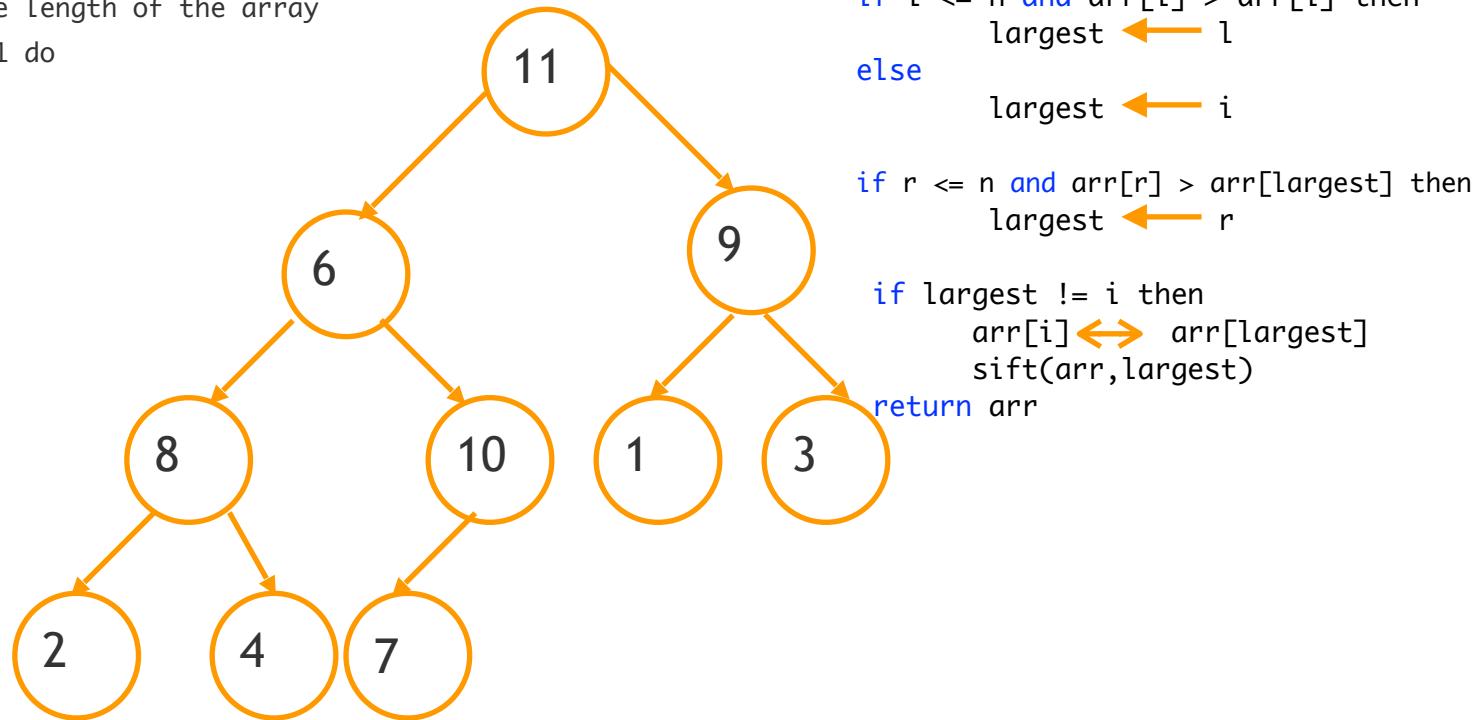


j

# Exchange 6 and 10

Function Build\_Max\_Heap(A)

```
set heap size to the length of the array  
for j= n/2 down to 1 do  
    sift(A, j)
```

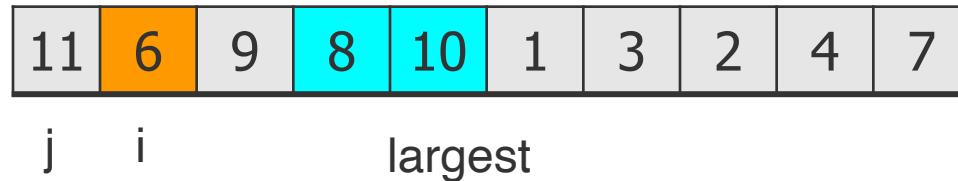


```
function sift(arr,i)
    n ← len(arr)
    l ← left(i)
    r ← right(i)

    if l ≤ n and arr[l] > arr[i] then
        largest ← l
    else
        largest ← i

    if r ≤ n and arr[r] > arr[largest] then
        largest ← r

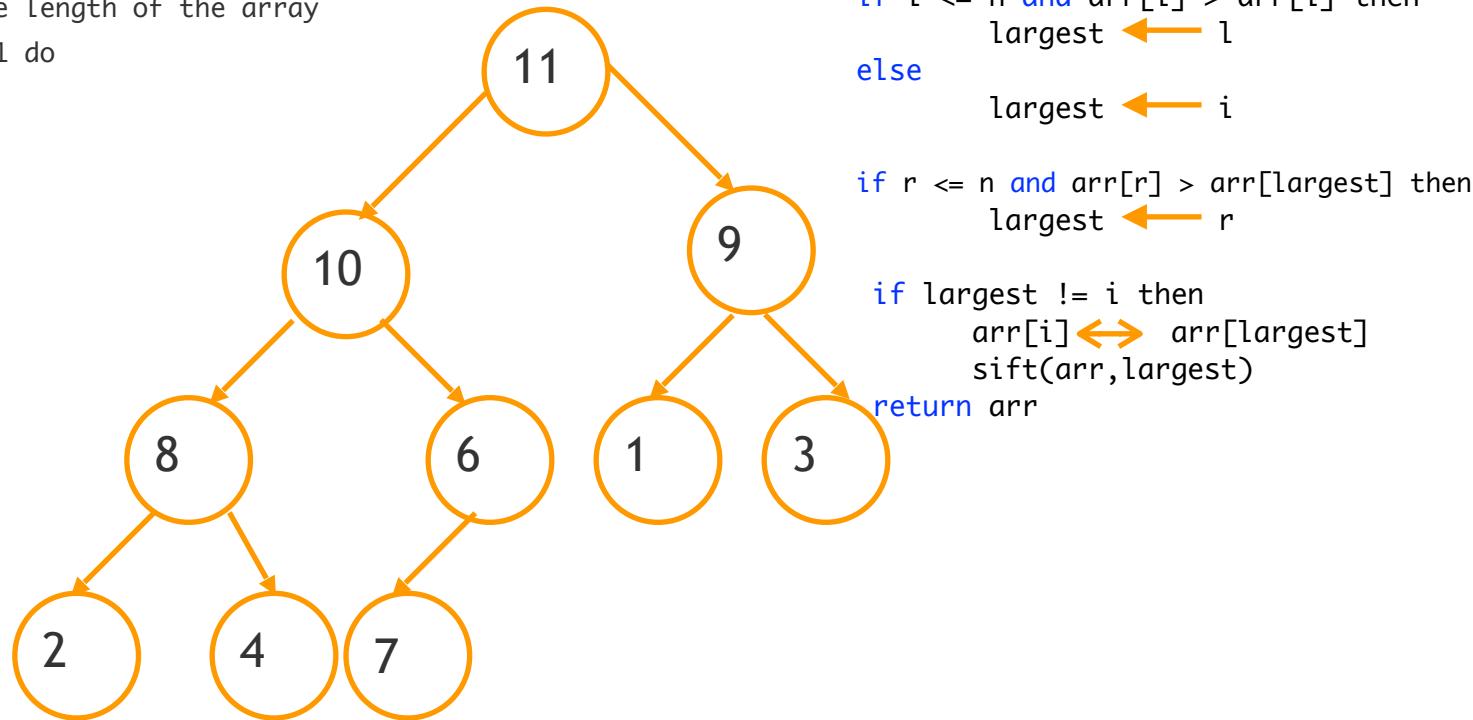
    if largest != i then
        arr[i] ↔ arr[largest]
        sift(arr,largest)
    return arr
```



# Exchange 6 and 7

Function Build\_Max\_Heap(A)

    set heap size to the length of the array  
    for  $j = n/2$  down to 1 do  
        sift(A, j)



```
function sift(arr, i)
    n ← len(arr)
    l ← left(i)
    r ← right(i)

    if l ≤ n and arr[l] > arr[i] then
        largest ← l
    else
        largest ← i

    if r ≤ n and arr[r] > arr[largest] then
        largest ← r

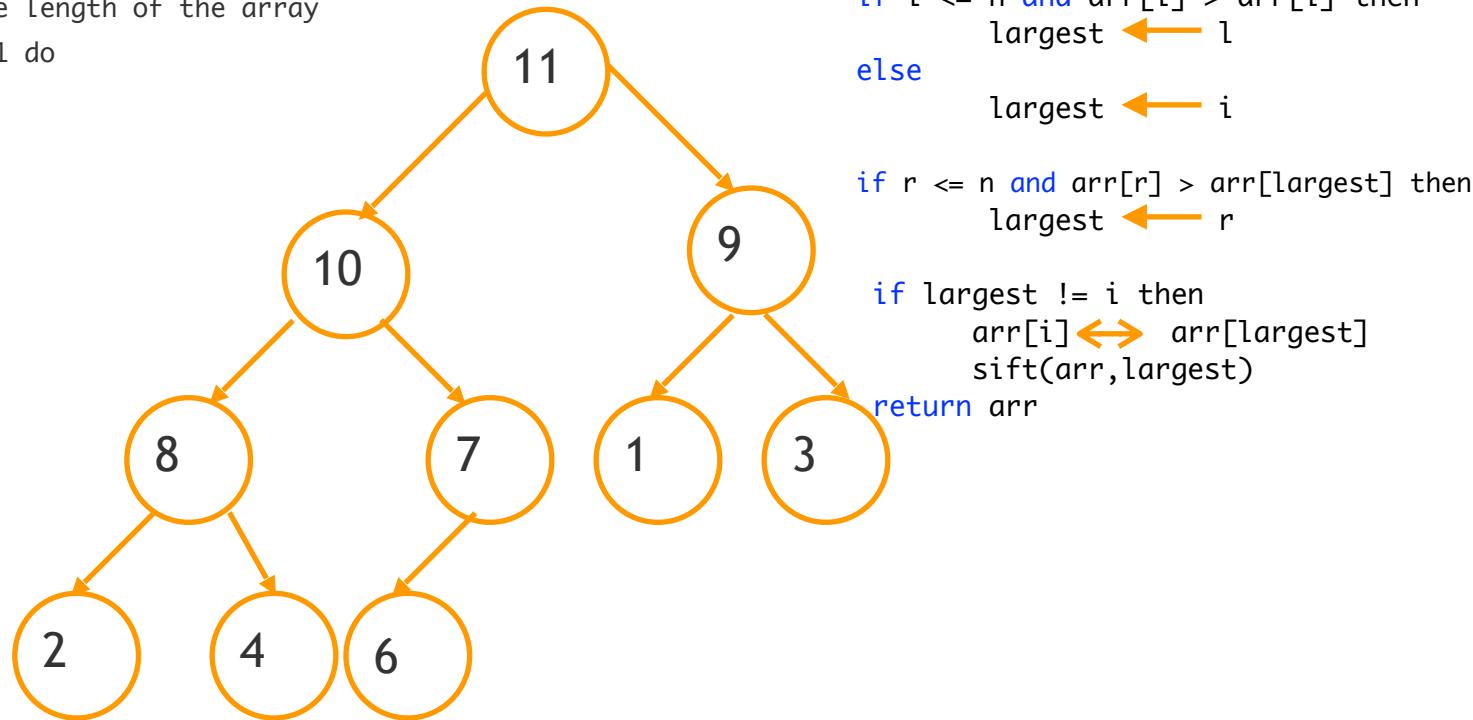
    if largest != i then
        arr[i] ↔ arr[largest]
        sift(arr, largest)
    return arr
```



# max\_heapify

Function Build\_Max\_Heap(A)

    set heap size to the length of the array  
    for  $j = n/2$  down to 1 do  
        sift(A, j)



```
function sift(arr,i)
    n ← len(arr)
    l ← left(i)
    r ← right(i)

    if l ≤ n and arr[l] > arr[i] then
        largest ← l
    else
        largest ← i

    if r ≤ n and arr[r] > arr[largest] then
        largest ← r

    if largest != i then
        arr[i] ↔ arr[largest]
        sift(arr,largest)
    return arr
```

11	10	9	8	7	1	3	2	4	6
----	----	---	---	---	---	---	---	---	---

j

i    largest

# Sorting

---

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

# Finish sorting

for i = n downto 2 do

    exchange A[1] with A[i]

    discard node i from heap (decrement heap size)

    sift(A[1:i-1],1) because new root may violate max heap property

# Exchange 11 and 6

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

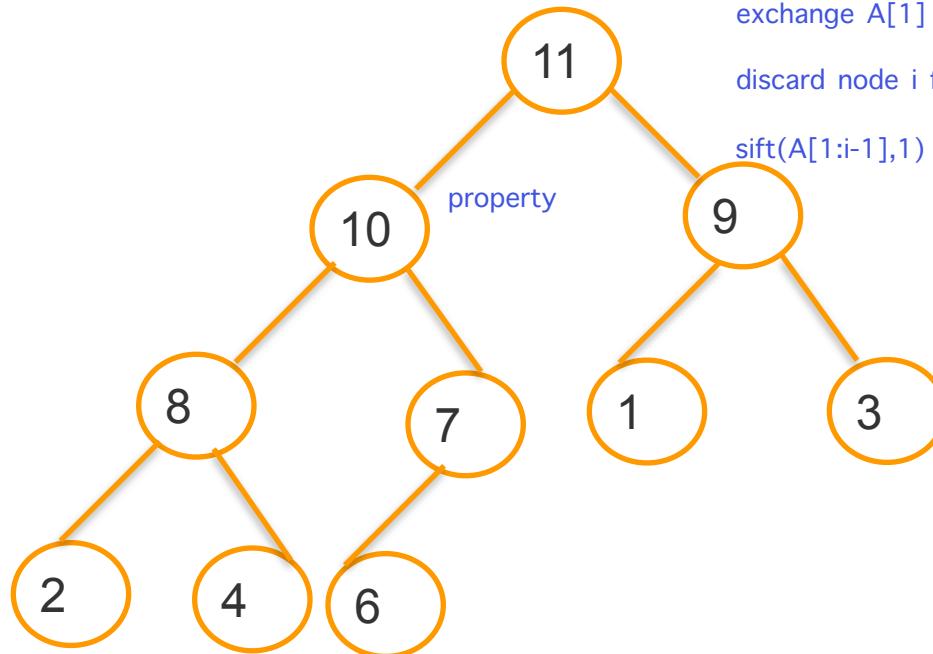
# Finish sorting

for i = n downto 2 do

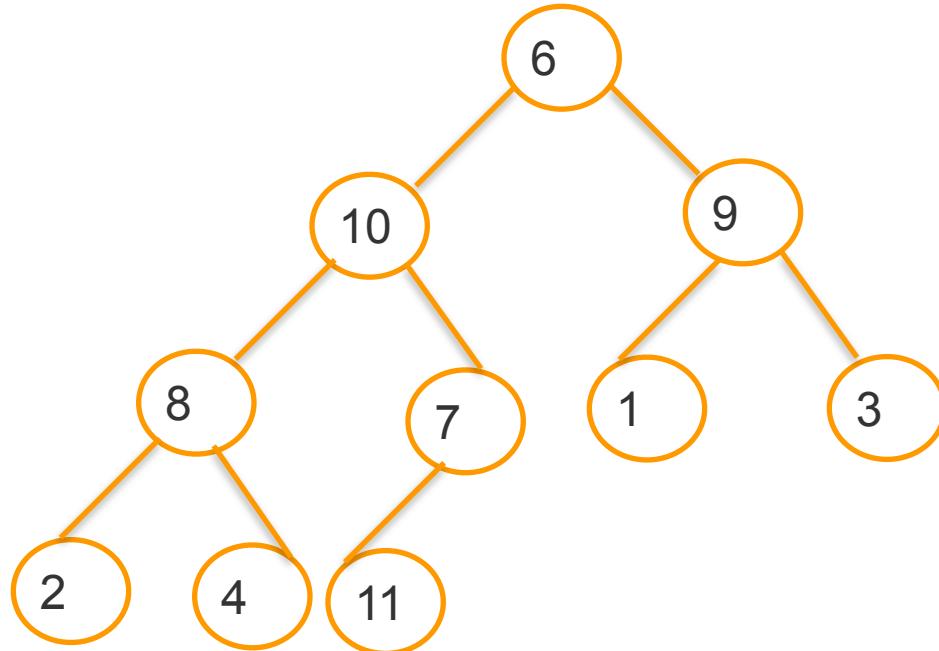
exchange A[1] with A[i]

discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap

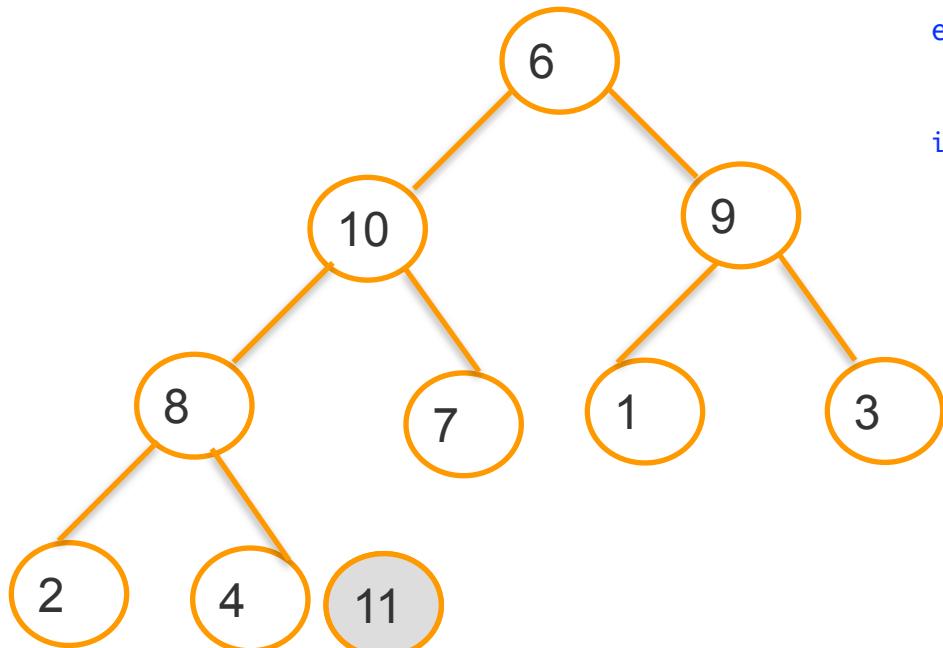


# Remove 11 from the heap



6	10	9	8	7	1	3	2	4	11
---	----	---	---	---	---	---	---	---	----

# Swap 6 and 10



```
function sift(arr,i)
    n ← len(arr)
    l ← left(i)
    r ← right(i)

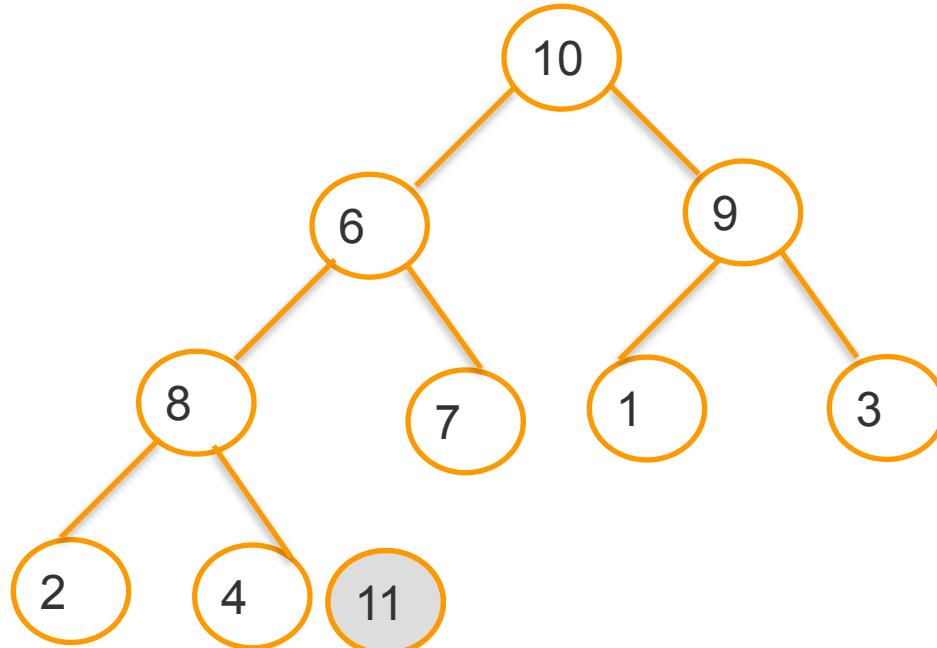
    if l ≤ n and arr[l] > arr[i] then
        largest ← l
    else
        largest ← i

    if r ≤ n and arr[r] > arr[largest] then
        largest ← r

    if largest != i then
        arr[i] ↔ arr[largest]
        sift(arr,largest)
    return arr
```

6	10	9	8	7	1	3	2	4	11
---	----	---	---	---	---	---	---	---	----

# Exchange 6 and 8



# Exchange 10 and 4

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

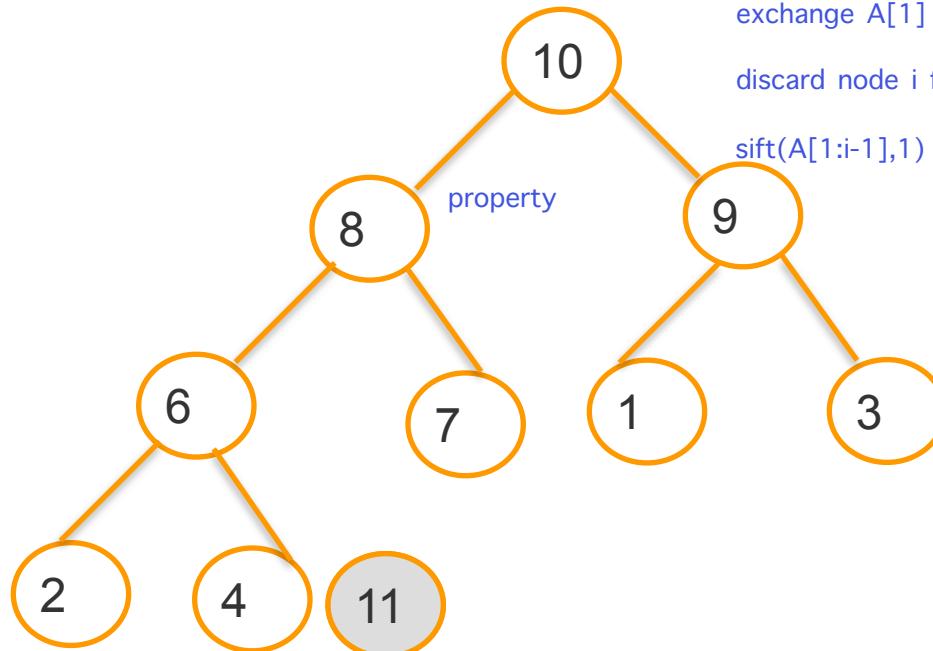
# Finish sorting

for i = n downto 2 do

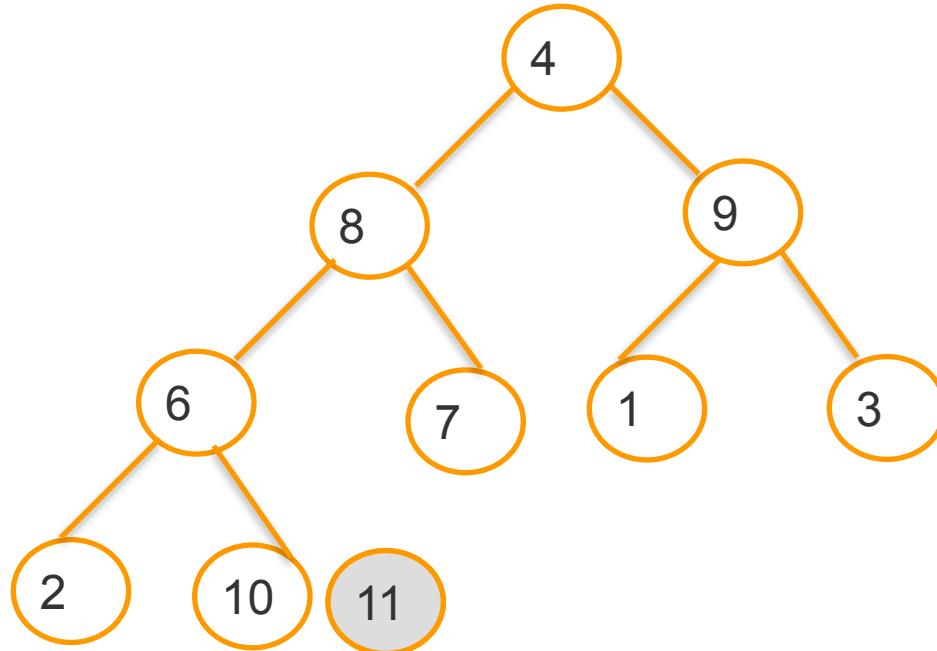
exchange A[1] with A[i]

discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap

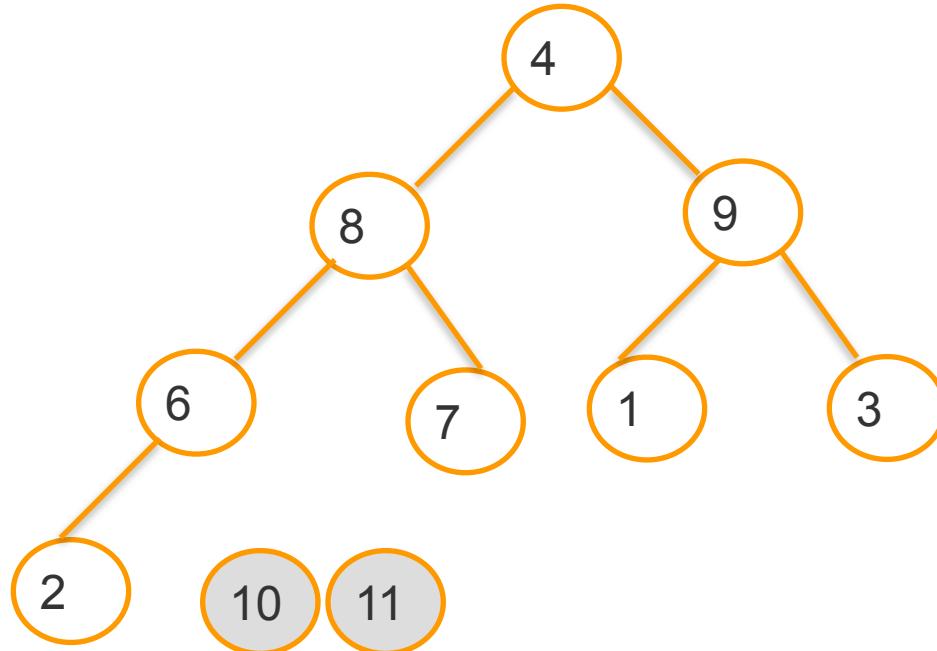


# Remove 10 from the heap



4	8	9	6	7	1	3	2	10	11
---	---	---	---	---	---	---	---	----	----

# Exchange 4 and 9



# Exchange 9 and 2

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

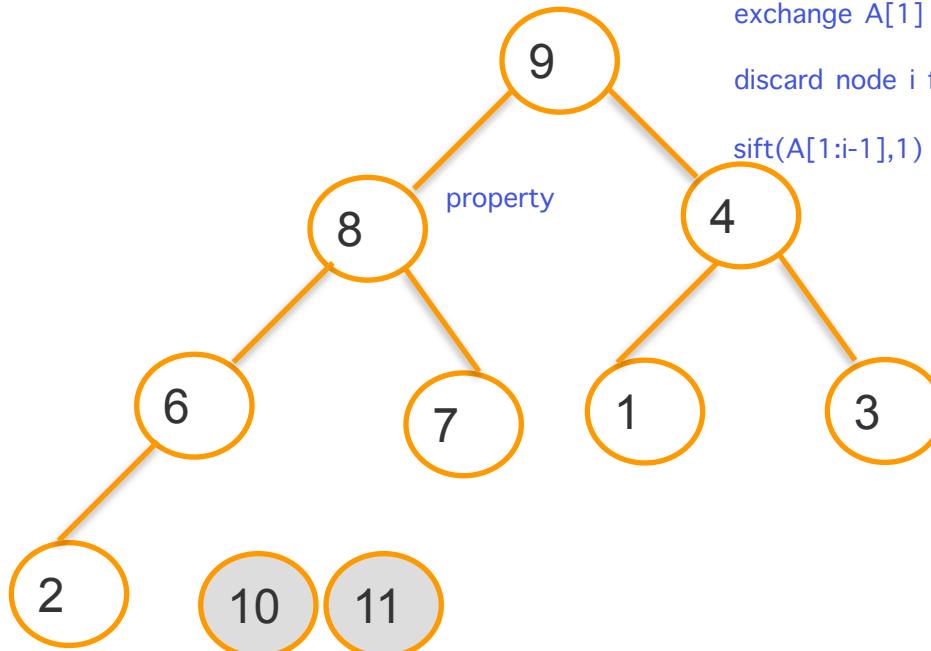
# Finish sorting

for i = n downto 2 do

exchange A[1] with A[i]

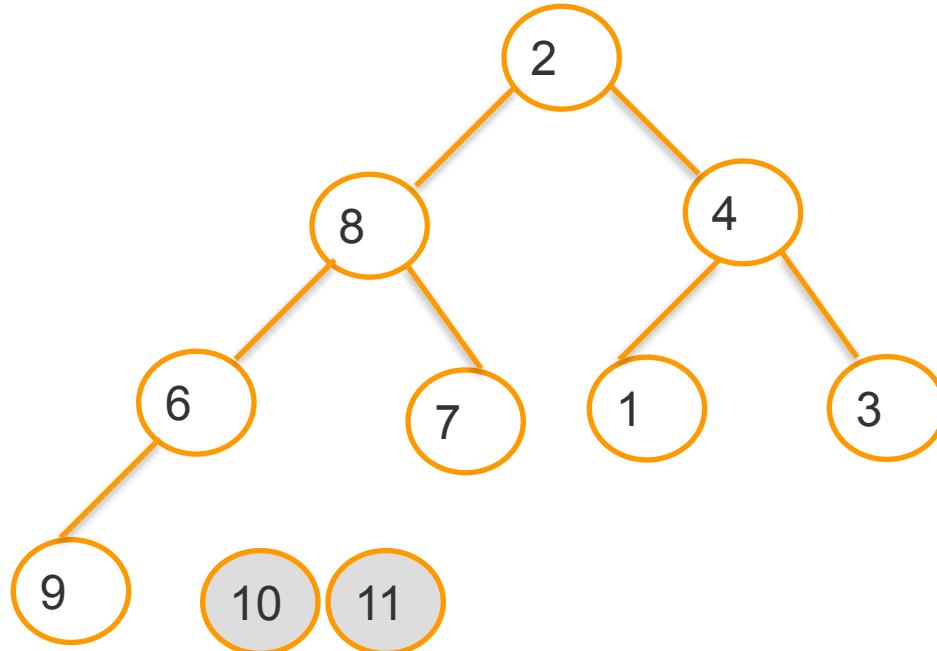
discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap



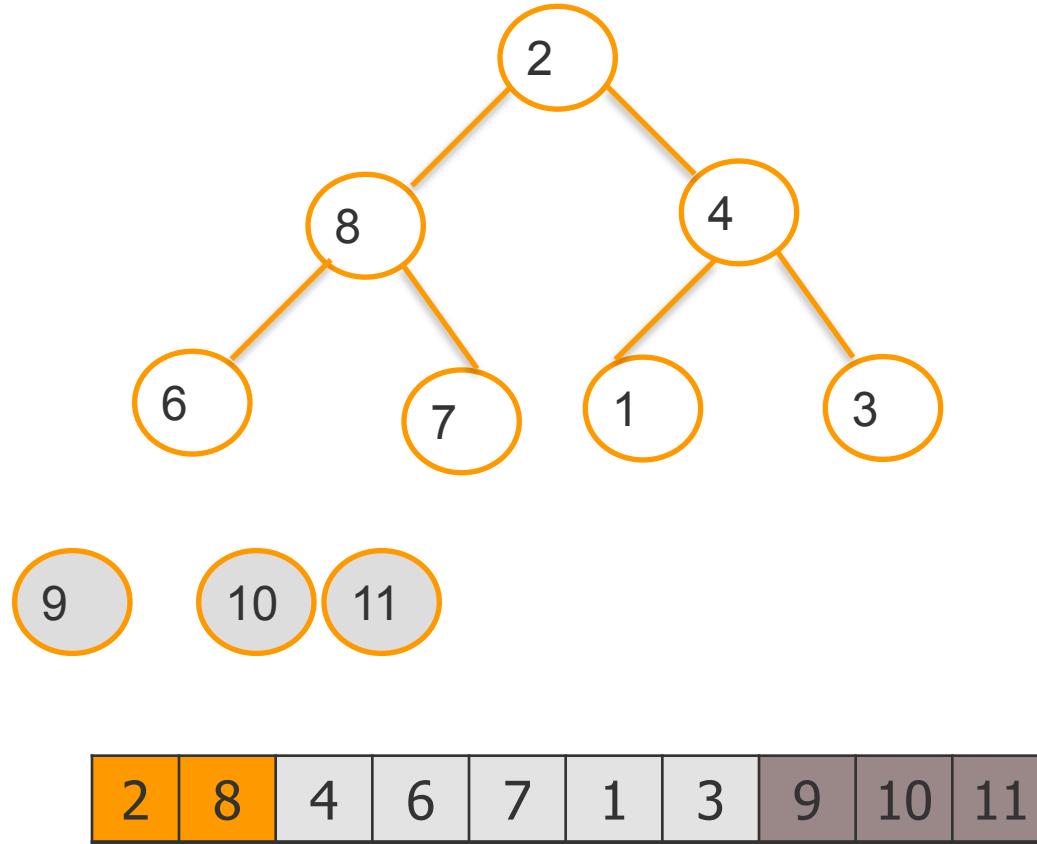
9	8	4	6	7	1	3	2	10	11
---	---	---	---	---	---	---	---	----	----

# Remove 9 from the heap

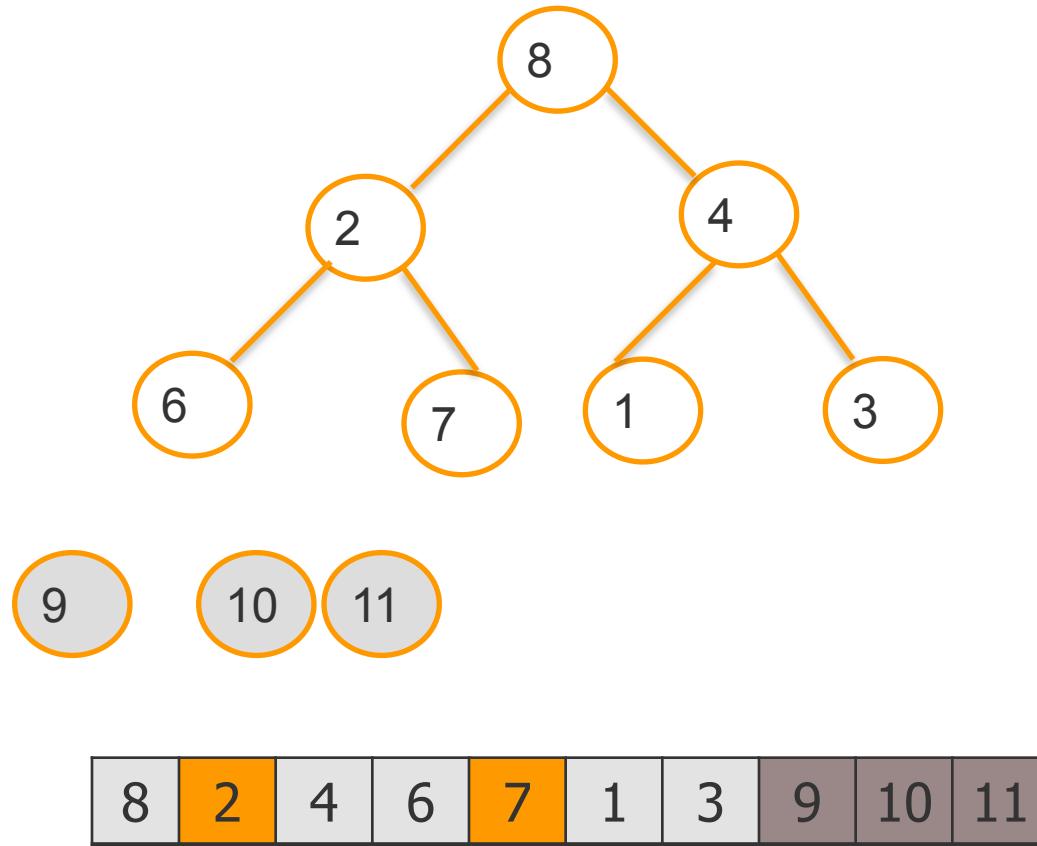


2	8	4	6	7	1	3	9	10	11
---	---	---	---	---	---	---	---	----	----

# Exchange 2 and 8



# Exchange 2 and 7



# Exchange 8 and 3

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

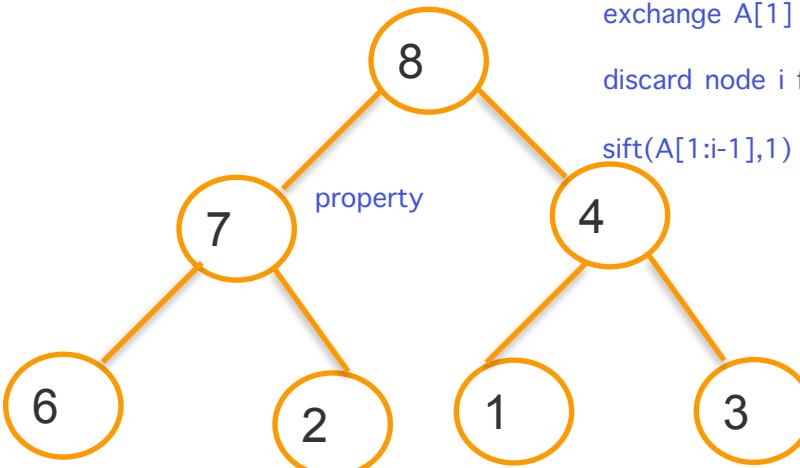
# Finish sorting

for i = n downto 2 do

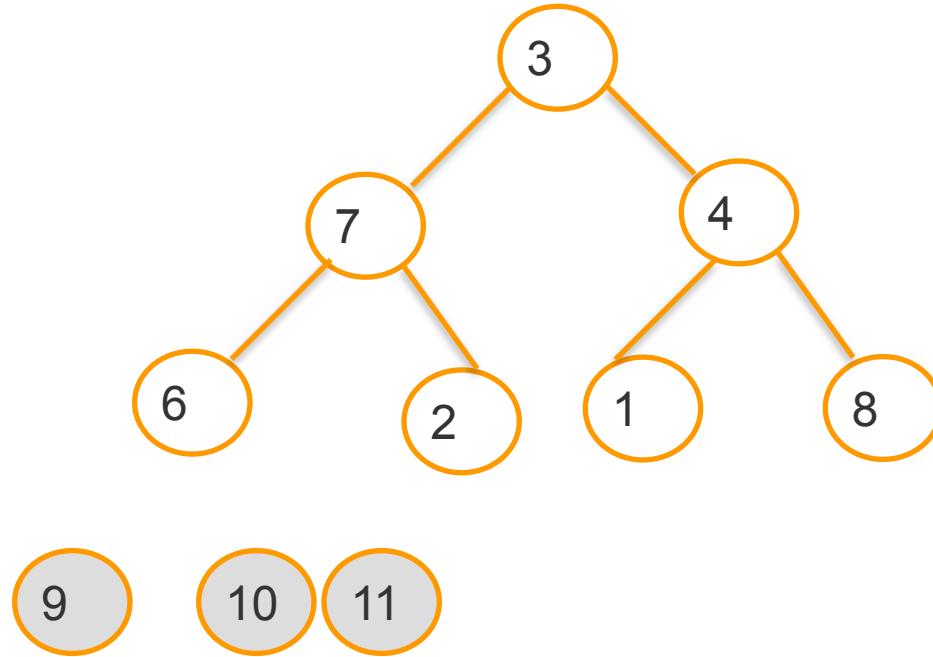
exchange A[1] with A[i]

discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap

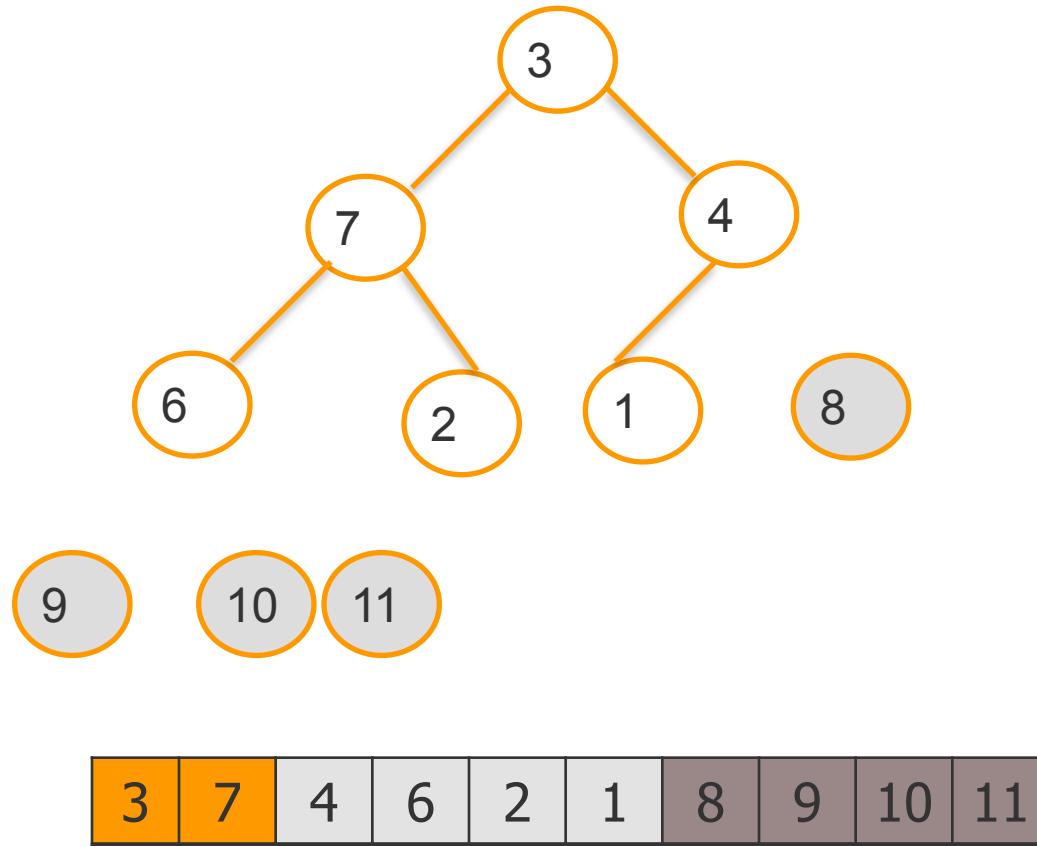


# Remove 8 from the heap

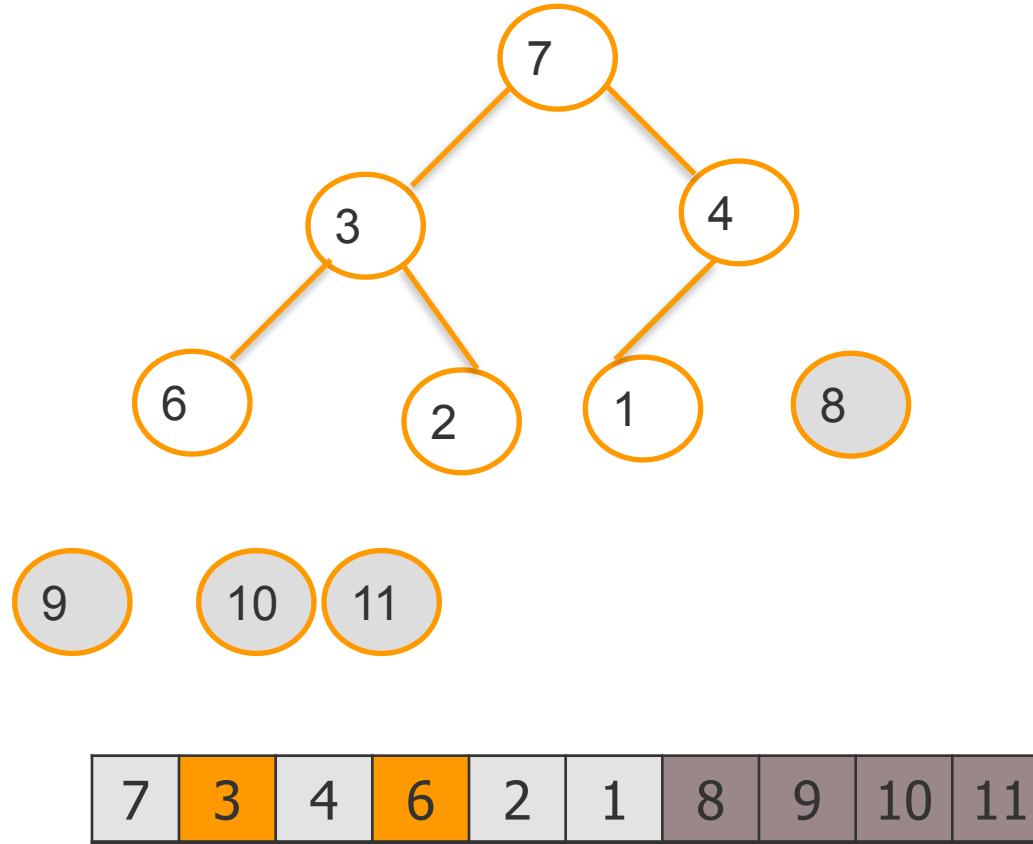


3	7	4	6	2	1	8	9	10	11
---	---	---	---	---	---	---	---	----	----

# Exchange 3 and 7



# Exchange 3 and 6



# Exchange 1 and 7

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

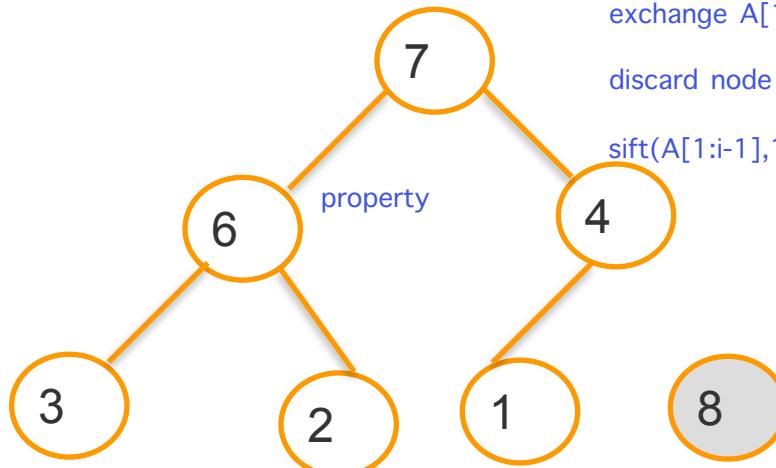
# Finish sorting

for i = n downto 2 do

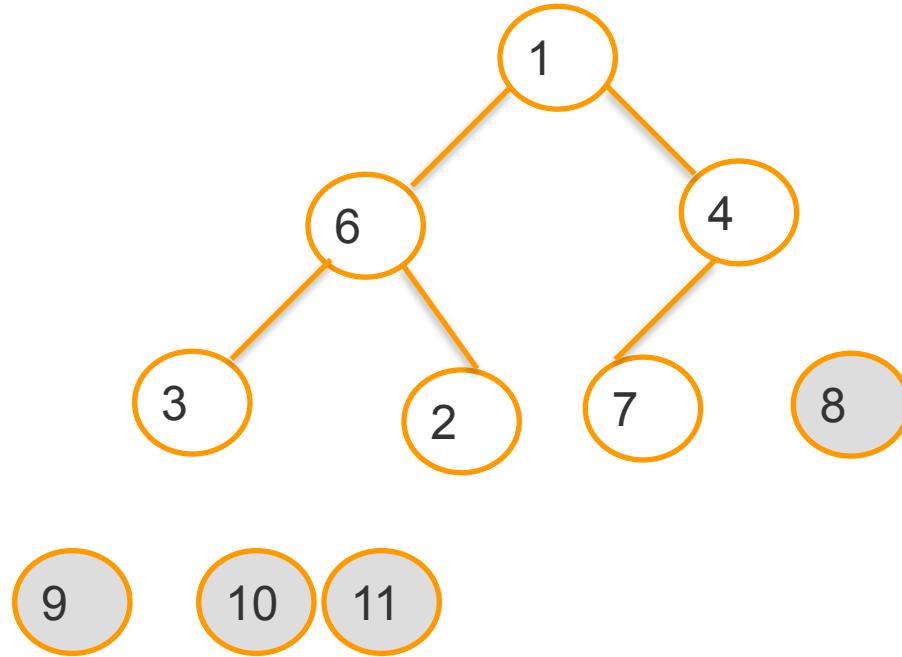
exchange A[1] with A[i]

discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap

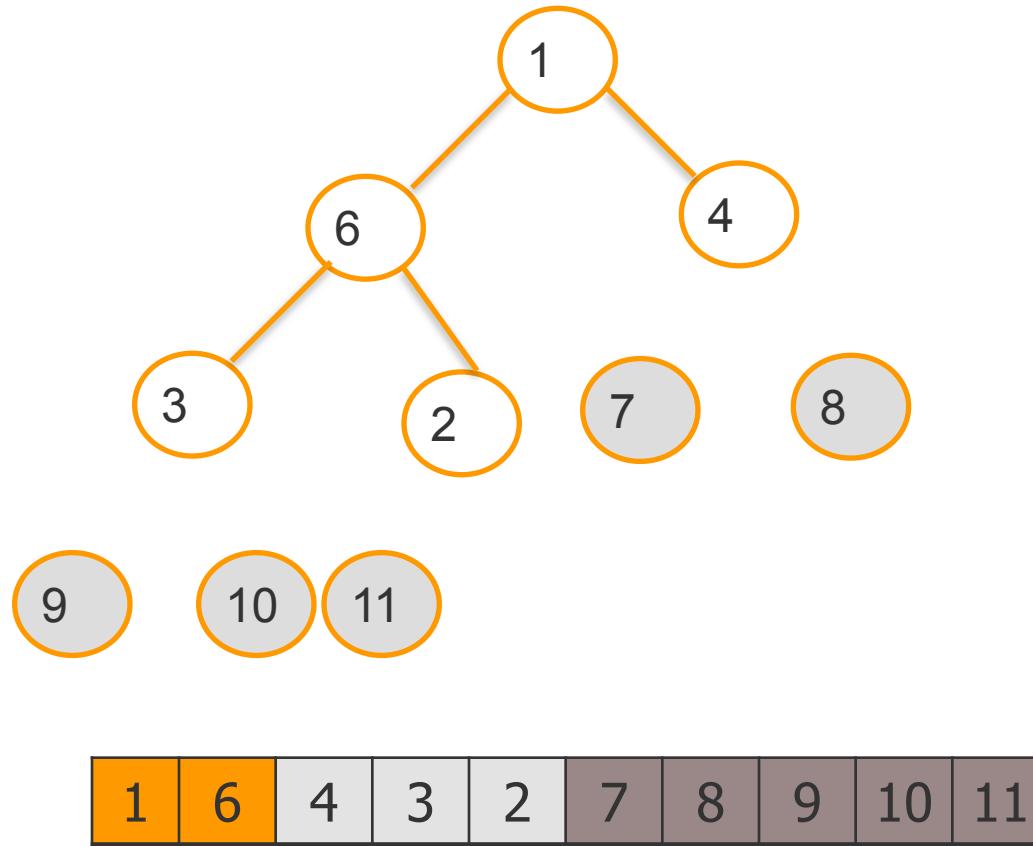


# Remove 7 from the heap

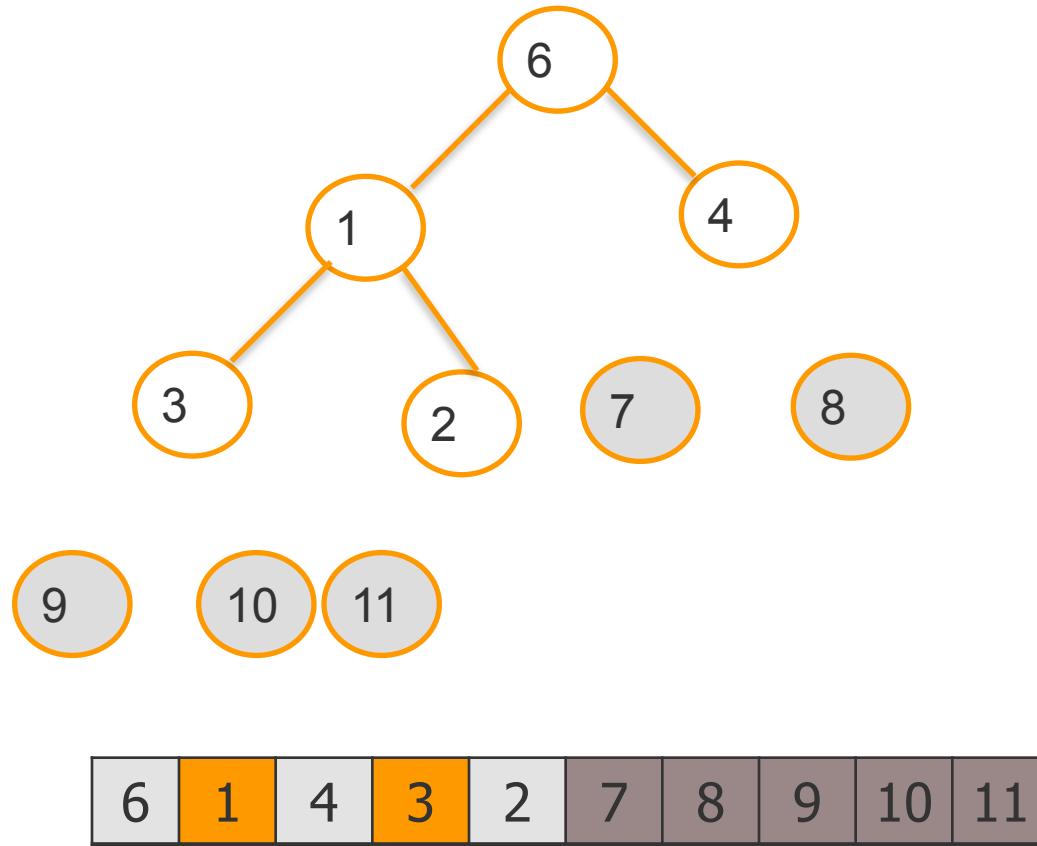


1	6	4	3	2	7	8	9	10	11
---	---	---	---	---	---	---	---	----	----

# Exchange 1 and 6



# Exchange 1 and 3



# Exchange 6 and 2 and remove from the heap

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

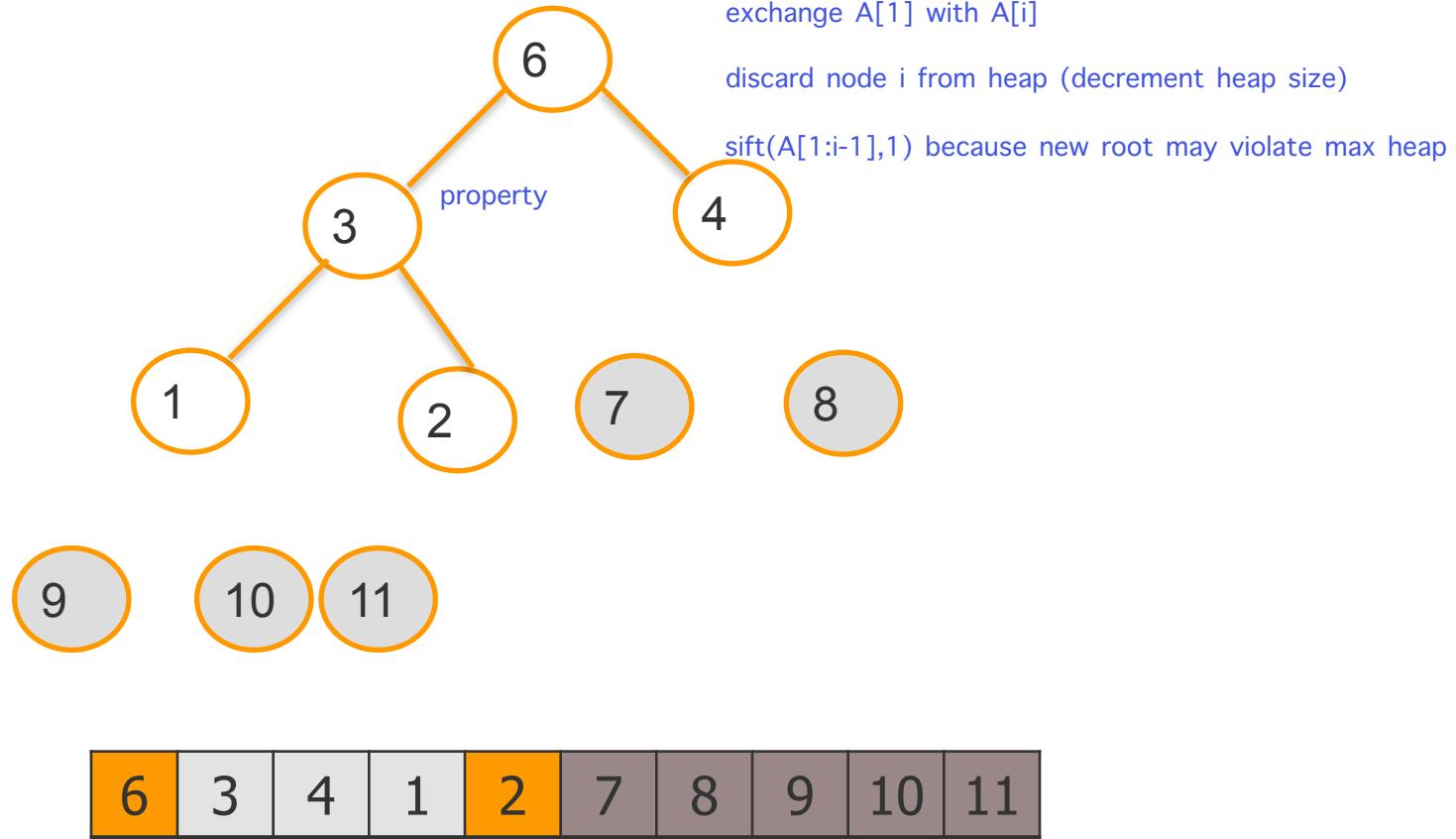
# Finish sorting

for i = n downto 2 do

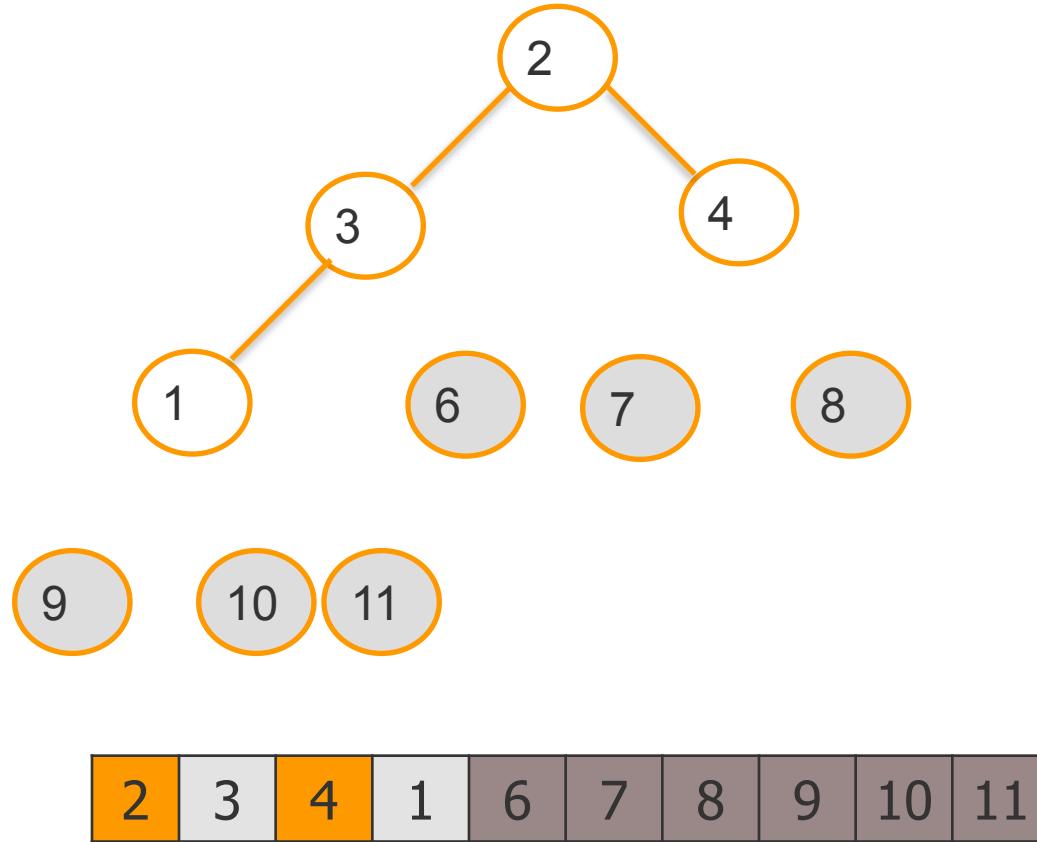
exchange A[1] with A[i]

discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap



# Exchange 4 and 2



# Exchange 4 and 1

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

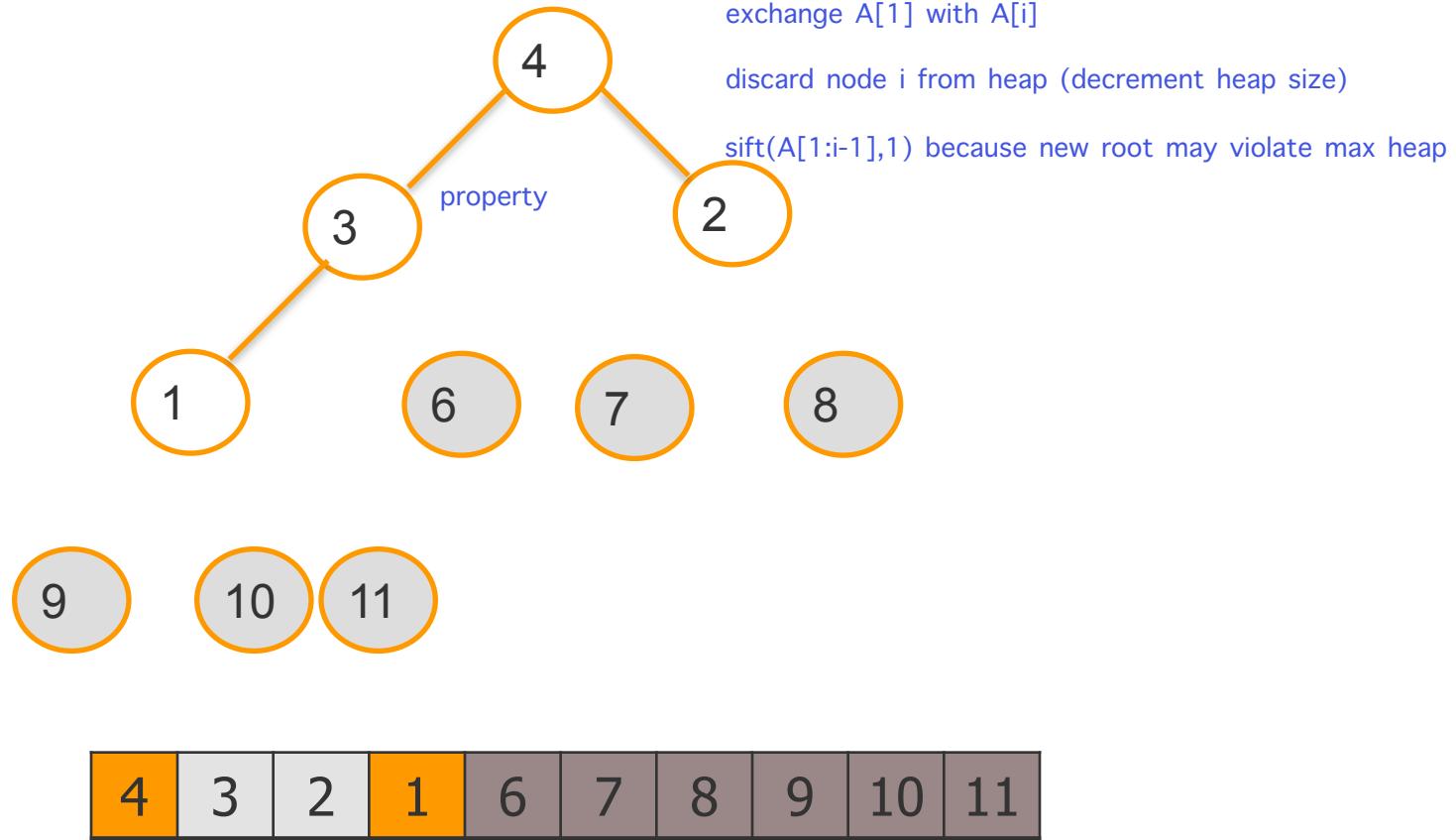
# Finish sorting

for i = n downto 2 do

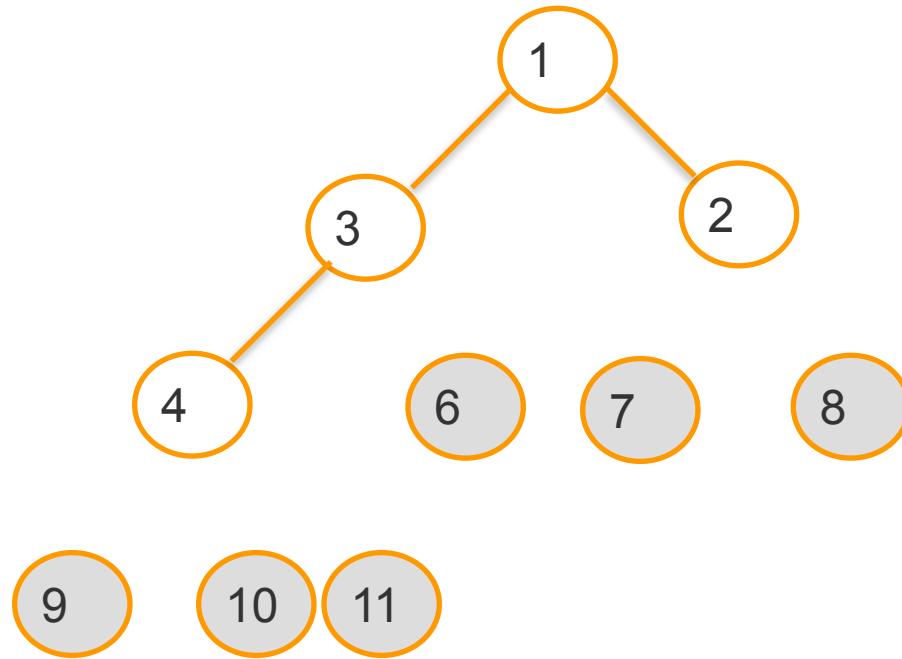
exchange A[1] with A[i]

discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap



# Remove 4, exchange 1 and 3



1	3	2	4	6	7	8	9	10	11
---	---	---	---	---	---	---	---	----	----

# Exchange 2 and 3, and remove 3 from heap

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

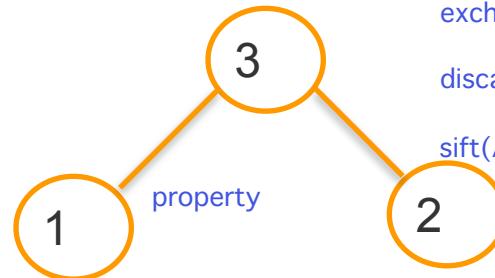
# Finish sorting

for i = n downto 2 do

exchange A[1] with A[i]

discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap



# Exchange 1 and 2 and remove from heap

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

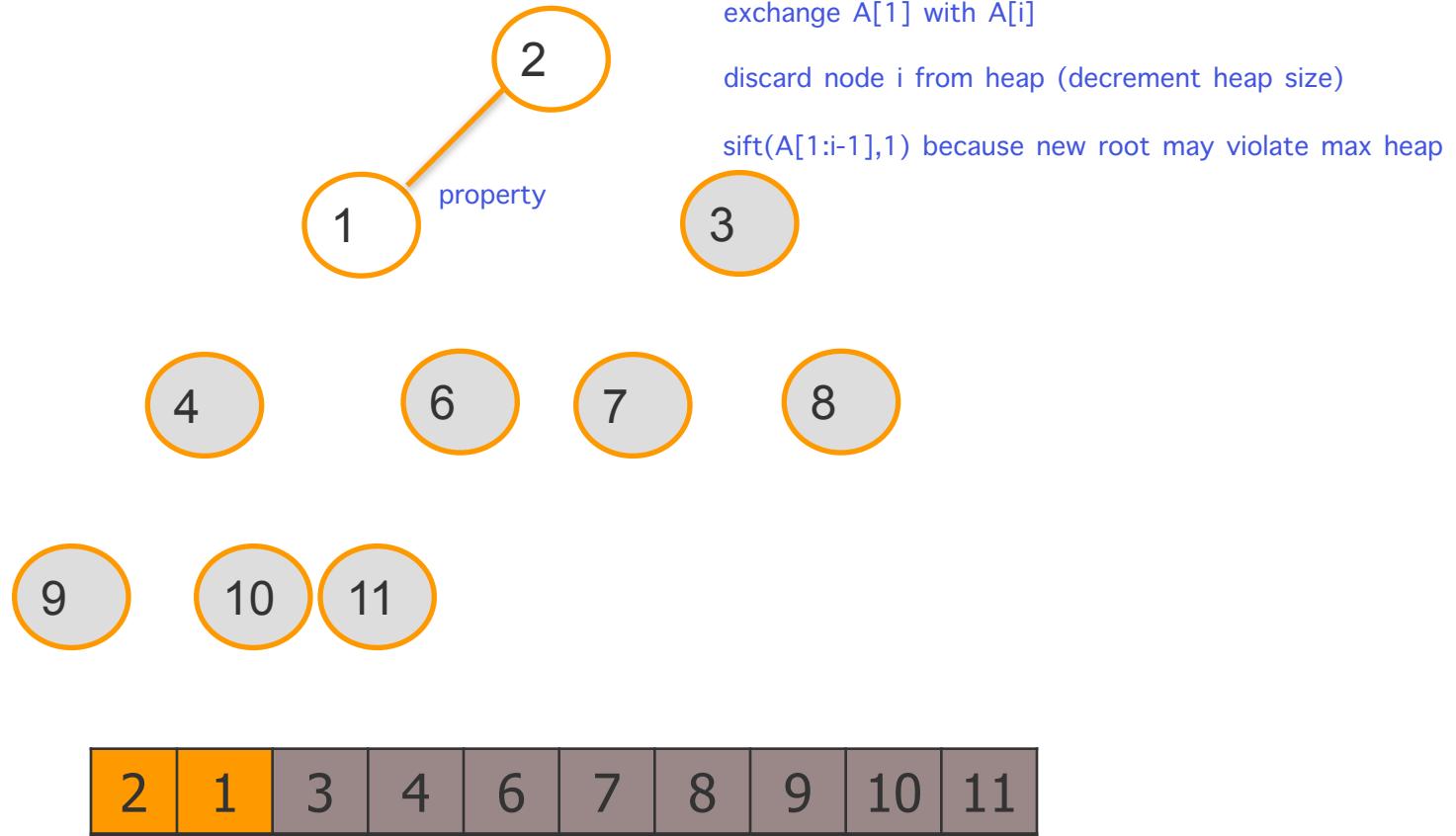
# Finish sorting

for i = n downto 2 do

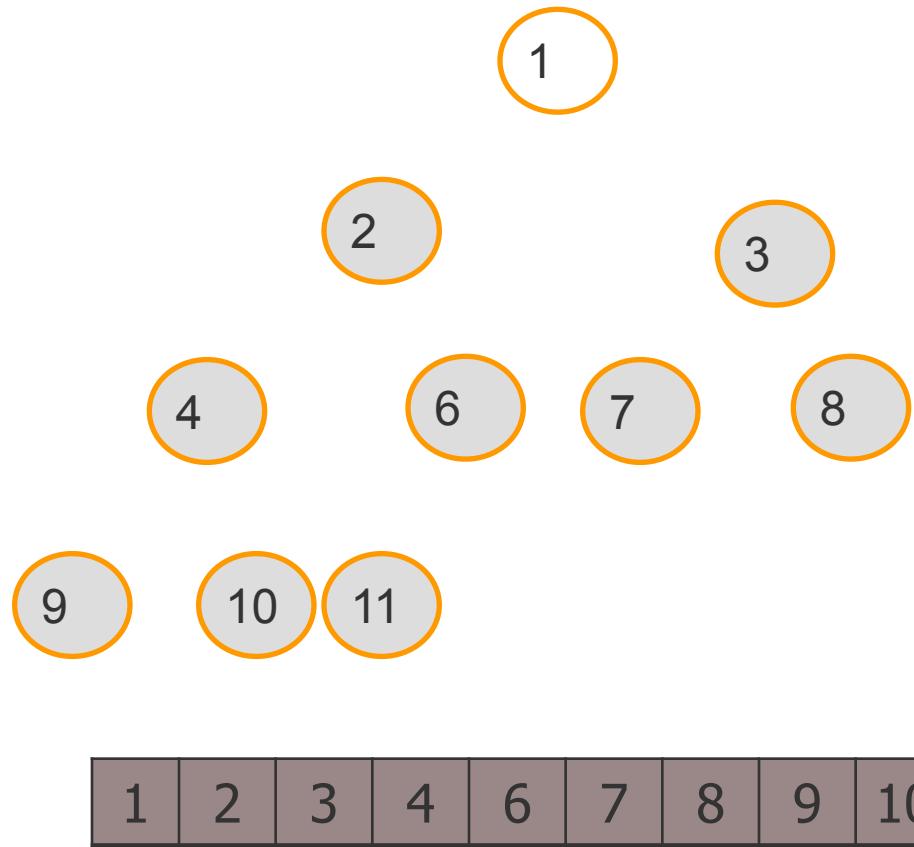
exchange A[1] with A[i]

discard node i from heap (decrement heap size)

sift(A[1:i-1],1) because new root may violate max heap

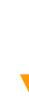


# The array is sorted



# Sorted Output

6	10	1	4	7	9	3	2	8	11
---	----	---	---	---	---	---	---	---	----



Build Heap (Max)

11	10	9	8	7	1	3	2	4	6
----	----	---	---	---	---	---	---	---	---



Sort Max Heap

Heap Sort Algorithm  
(build + sort)



1	2	3	4	6	7	8	9	10	11
---	---	---	---	---	---	---	---	----	----

# Heapsort Algorithm

Function Heapsort(A)

#Create max heap

Build\_Max\_Heap from unordered array A

# Finish sorting

for i = n downto 2 do

    exchange A[1] with A[i]

    discard node i from heap (decrement heap size)

    sift(A[1:i-1], 1) because new root may violate max heap property

# Build Max Heap

```
Function Build_Max_Heap(A)
    set heap size to the length of the array
    for j= n/2 down to 1 do
        sift(A, j)
```

# Heap

- The root of the tree is  $A[1]$ , and given the index  $i$  of a node, we can easily compute the indices of its parent, left child, and right child:

```
function parent(i)
    return i/2
```

```
function left(i)
    return 2*i
```

```
function right(i)
    return 2 *i + 1
```

# Max-Heapify (sift)

```
function sift(arr,i)
    n ← len(arr)
    l ← left(i)
    r ← right(i)

    if l <= n and arr[l] > arr[i] then
        largest ← l
    else:
        largest ← i

    if r <= n and arr[r] > arr[largest] then
        largest ← r

    if largest != i then
        arr[i] ↔ arr[largest]
        sift(arr, largest)
    return arr
```