

# CMSC330 Spring 2018 Midterm 2

## 9:30am/ 11:00am/ 3:30pm

Name (PRINT YOUR NAME as it appears on gradescope ):

### SOLUTION

Discussion Time (circle one)      10am   11am   12pm   1pm   2pm   3pm

### Instructions

- Do not start this test until you are told to do so!
- You have 75 minutes to take this midterm.
- This exam has a total of 100 points, so allocate 45 seconds for each point.
- This is a closed book exam. No notes or other aids are allowed.
- Answer essay questions concisely in 2-3 sentences. Longer answers are not needed.
- For partial credit, show all of your work and clearly indicate your answers.
- Write neatly. Credit cannot be given for illegible answers.

	Problem	Score
1	PL Concepts	/9
2	Finite Automata	/21
3	Context Free Grammars	/20
4	Parsing	/17
5	Operational Semantics	/10
6	Lambda Calculus	/13
7	FP & Objects, Tail Recursion	/10
	Total	/100

## 1. PL concepts [9 pts]

A. [4 pts] Circle true or false for each of the following (1 point each):

- a) **True** / False Any language accepted by an NFA can be accepted by a DFA
- b) True / **False** There are some regexps that do *not* have a corresponding DFA
- c) **True** / False Lambda calculus is Turing complete
- d) True / **False** The Y combinator is used to encode numbers and addition

B. [1 pt] In my SmallC interpreter, the token list for string "1-1" showed up as [Tok\_Num 1; Tok\_Num -1]. I was expecting [Tok Num 1, Tok\_Minus, Tok\_Num 1]. This problem is caused by an error in my (circle the right one):

- a) Interpreter
- b) Lexer**
- c) Parser
- d) Type Checker

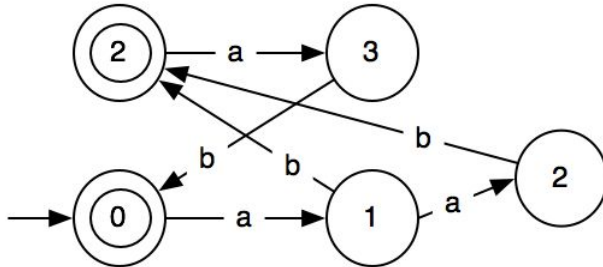
C. [4 pts] What is **printed** when evaluating the following expression using the CBV (Call by value) and CBN (Call by name) evaluation strategy?

```
(fun x -> x; x) (print_string "hi")
```

CBV	CBN
hi	hihi

## 2. Finite Automata [21 pts]

A. [4 pts] Consider the following automaton which operates over alphabet  $\{a,b\}$ .



Which of the following are true about it (circle the letter of the statement)?

- a. (2 pts) It is an DFA
- b. (2 pts) It is minimal

B. [5 pts] Which of the following strings are accepted by this automaton? Circle them.

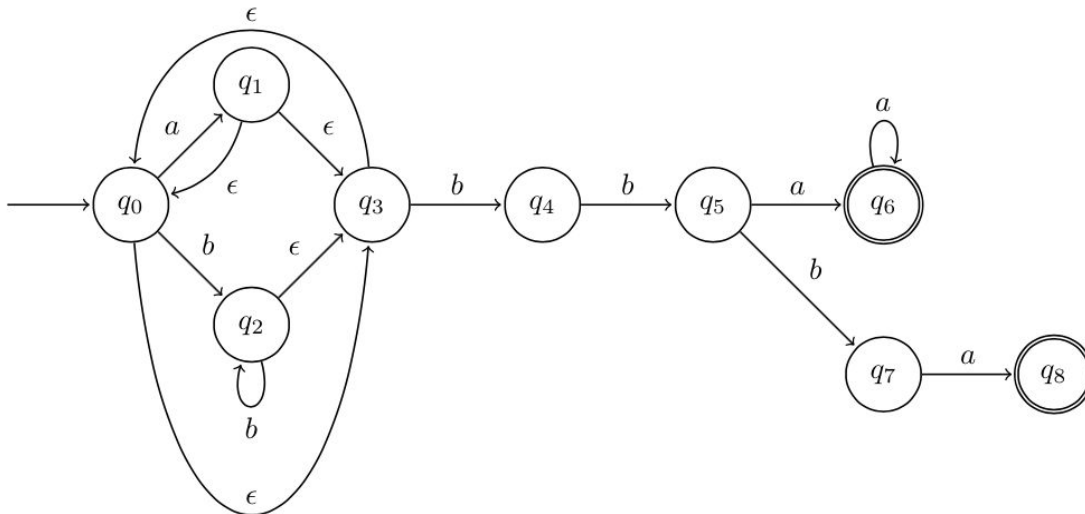
aababaa

**abbbaaa**

**bbba**

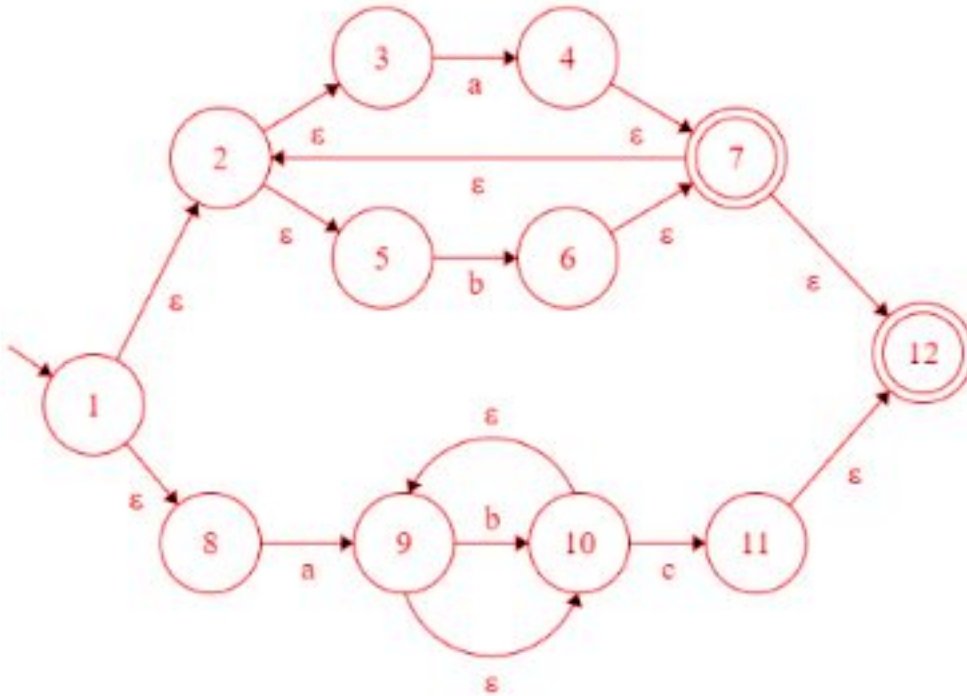
bbabbaba

**aaabbbbaabba**

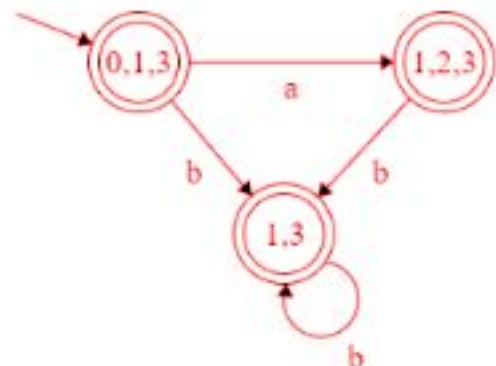
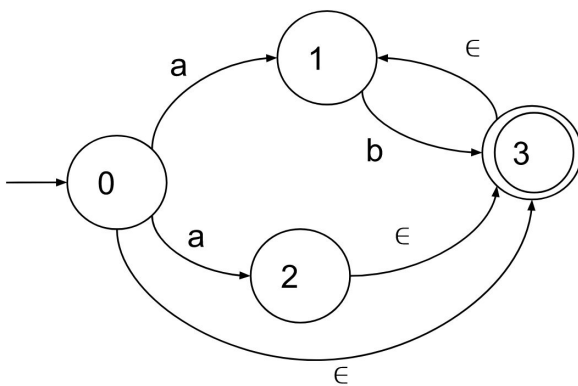


C. [6 pts] Draw a finite automaton that accepts the same strings as the regular expression  $(a|b)^+|(ab^*c)$

Note: This is able to be simplified further, and thus similar solutions may be right as well



D. [6 pts] Convert following NFA to a DFA.



### 3. Context Free Grammars [20 pts]

- A. [1 pt] **True** / False In the following grammar, the + operator is left-associative.

$$E \rightarrow E+T \mid E-T \mid T$$

$$T \rightarrow a \mid b \mid c \mid (E)$$

- B. [11 pts] Consider the following CFG, in which **p** and **q** are terminals, and A and B are nonterminals.

- a. [4 pts] Which of the following strings are accepted? Circle them.

$$A \rightarrow pAq \mid B$$

$$B \rightarrow pB \mid Bq \mid pq$$

Circle:      **pppqqq**                      **pqpq**                      **pppq**                      **p**

- b. [3 pts] Give a regular expression that accepts the same strings as the CFG. If this is not possible, explain why.

$$p+q+$$

- c. [4 pts] Show that the CFG is ambiguous.

To show, you need to provide two leftmost derivations of the same string

$$A \rightarrow pAq \rightarrow pBq \rightarrow ppqq$$

$$A \rightarrow B \rightarrow pB \rightarrow pBq \rightarrow ppqq$$

C. [4 pts] Change the following CFG to eliminate left recursion

$S \rightarrow S \text{ and } S \mid T$   
 $T \rightarrow \text{true} \mid \text{false}$

$S \rightarrow \mathbf{I} \text{ and } S \mid T$   
 $T \rightarrow \text{true} \mid \text{false}$

D. [4 pts] Give a CFG that starts with one or more **y** followed by twice as many **x** or **z**. The grammar accepts the following strings (and many others): **yxx**, **yyz**, **yyzx**, **yyxx**, **yyxzzx**, **yyzxzx**, **yyyxxxxx**, ...

$S \rightarrow ySBB \mid yBB$   
 $B \rightarrow x \mid z$

Note: There are multiple solutions

#### 4. Parsing [17 pts]

- A. [2 pts] Circle whether the following are true or false
- True / **false** Recursive descent parsing works bottom-up
  - True** / false Recursive descent parsing is a kind of predictive parsing
- B. [2 pts] Name two features of a grammar that make it unsuitable for recursive descent parsing.

Any two of the following:

- Ambiguity
- Left Recursive/ Left Associative
- Different productions have overlapping first sets

Now Consider the following context-free grammar (CFG):

$S \rightarrow Ac \mid dS$

$A \rightarrow aBA \mid \epsilon$

$B \rightarrow bB \mid c$

- C. [1 point] Circle the correct answer about the CFG definition for nonterminal B.
- B is left recursive
  - B is right recursive**
  - B is ambiguous
  - None of the above
- D. [4 points] What are the FIRST SETS of each of the nonterminals in the grammar?

$\text{First}(S) = \{a, d, c\}$

$\text{First}(A) = \{a, \epsilon\}$

$\text{First}(B) = \{b, c\}$

E. (8 points) Complete the implementation for a recursive-descent parser for the CFG.

```
exception ParseError of string
let tok_list = ref [];; (* filled in by scanner *)
let lookahead () =
  match !tok_list with
  [] -> None
  | (h::t) -> Some h
let match_tok a =
  match !tok_list with
  | (h::t) when a = h -> tok_list := t
  | _ -> raise (ParseError "bad match")

let rec parse_S( ) =
  if (lookahead() = Some "a") || (lookahead() = Some "c") then
    (parse_A());
    match_tok "c"
  else (* FILL IN - 4 pts *)
    (if lookahead () = Some "d" then
      (match_tok "d"; parse_S())
     else
      raise (ParseError "bad match")
    )

and parse_A( ) = (* FILL IN - 4 pts *)
  if lookahead() = Some "a" then
    (match_tok "a"; parse_B(); parse_A())
  else
    ()

and parse_B() =
  if lookahead() = Some "b" then
    (match_tok "b";
     parse_B())
  else if lookahead() = Some "c" then
    match_tok "c"
  else raise (ParseError "bad match")
```

$S \rightarrow Ac \mid dS$
$A \rightarrow aBA \mid \epsilon$
$B \rightarrow bB \mid c$



## 5. Operational Semantics [10 pts]

- A. [3 pts] Describe in English what the operator *myst* does, or give its usual name (you have seen it before).

$$\text{Mystery(1): } \frac{A; e_1 \Rightarrow \text{true} \quad A; e_2 \Rightarrow \text{true}}{A; e_1 \text{ myst } e_2 \Rightarrow \text{false}}$$

$$\text{Mystery(2): } \frac{A; e_1 \Rightarrow \text{false} \quad A; e_2 \Rightarrow \text{false}}{A; e_1 \text{ myst } e_2 \Rightarrow \text{false}}$$

$$\text{Mystery(3): } \frac{A; e_1 \Rightarrow \text{true} \quad A; e_2 \Rightarrow \text{false}}{A; e_1 \text{ myst } e_2 \Rightarrow \text{true}}$$

$$\text{Mystery(4): } \frac{A; e_1 \Rightarrow \text{false} \quad A; e_2 \Rightarrow \text{true}}{A; e_1 \text{ myst } e_2 \Rightarrow \text{true}}$$

**XOR** (Descriptions of XOR also accepted)

- B. [3 pts] Below are incorrect rules for conditionals. Circle the key part of each rule that is incorrect. Feel free to explain, for clarity.

$$\text{Bad-If-True: } \frac{\begin{array}{l} A; e \Rightarrow \text{true} \\ A; s_1 \Rightarrow A_1 \\ A_1; s_2 \Rightarrow A_2 \end{array}}{A; \text{if } e \text{ } s_1 \text{ } s_2 \Rightarrow A_1}$$

$$\text{Bad-If-False: } \frac{\begin{array}{l} A; e \Rightarrow \text{false} \\ A; s_1 \Rightarrow A_1 \\ \boxed{A_1}; s_2 \Rightarrow A_2 \end{array}}{A; \text{if } e \text{ } s_1 \text{ } s_2 \Rightarrow A_2}$$

Circle  $A_1$  in  $A_1; s_2 \Rightarrow A_2$  in Bad-If-False

$s_2$  should be evaluated under the environment  $A$ , not the environment  $A_1$

Many people identified the fact that both rules were evaluating both  $s_1$  and  $s_2$  as the incorrect aspect. This is more unnecessary than incorrect.

- C. [4 pts] The statement `s unless e` will execute statement `s` if `e` evaluates to `false` and has no effect if `e` evaluates to `true`. Implement the semantics for `unless` by filling in the boxes below. (Like in SmallC, you can assume that expressions have no effect on the environment.)

Unless-True **A; e => true**  
 $A; s \text{ unless } e \Rightarrow$  **A**

Unless-False **A; e => false**      **A; s => A1**  
 $A; s \text{ unless } e \Rightarrow$  **A1**

## 6. Lambda Calculus [12 pts]

A. [2 pts] Circle all occurrences of **free variables** in the following  $\lambda$ -term:

$\lambda x. z (\lambda y. x y) y x$

B. [2 pts] Circle whether the following statements are true or false

- a. True / **False**       $\lambda x. \lambda y. y x$  is alpha-equivalent to  $\lambda f. \lambda n. f n$   
b. True / **False**       $\lambda x. \lambda y. y x$  is alpha-equivalent to  $(\lambda x. \lambda y. y) x$

C. Reduce each lambda expression to beta-normal form (to be eligible for partial credit, show each reduction step). If already in normal form, write "normal form."

a) [2 pts]  $(\lambda z. \lambda y. z) x$

$\rightarrow \lambda y. x$       Note: You cannot reduce this to  $x$

b) [2 pts]  $(\lambda x. \lambda x. x x) y$

$\rightarrow \lambda x. x x$

c) [3 pts]  $(\lambda z. (\lambda x. z) z) (\lambda y. x y)$

$\rightarrow (\lambda z. z) (\lambda y. x y)$   
 $\rightarrow (\lambda y. x y)$

D. [2 pts] Which of the following lambda terms has the same semantics as this bit of OCaml code: (circle exactly one)

let func x = (fun y -> y x)  
in func a b

- a)  $(\lambda y. y x) a b$   
b)  $(\lambda x. (\lambda y. y x) a b)$   
c)  **$(\lambda x. (\lambda y. y x)) a b$**   
d)  $(x (\lambda y. y x)) a b$

## 7. FP & Objects, Tail Recursion [10 pts]

- A. [5 pts] Given the Java class Point on the left, write the OCaml encoding of the Point class on the right. (*Hint*: `make()` returns a tuple of 3 functions, as shown in the code at the bottom of the righthand-side.)

Note: You NEED the () as parameter for `getx` and `gety`, otherwise `getx` and `gety` are just 0, and not functions

<pre>class Point {   private int x=0,y=0;   void set(int x, int y){     this.x = x;     this.y = y;   }   int getX(){return x;}   int getY(){return y;} } Point p = new Point(); p.set(2,6); int x = p.getX();</pre>	<pre>let make () =   let x = ref 0 in   let y = ref 0 in   let set a b = (x := a; y:= b) in   let getx () = !x in   let gety () = !y in   (set, getx, gety)  let (set,getx,gety) = make ();; set 2 6;; let x = getx ();</pre>
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- B. [5 pts] Write a **tail-recursive** version of the sum function, which sums all elements of a list, having type `int list -> int`.

Note: There is more than one way to do this

```
let sum ls =
  let rec help lst acc =
    match lst with
    [] -> acc
    | h::t -> help t (acc + h)
  in help ls 0
```