

# Introduction to Computational Topology

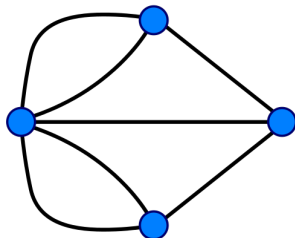
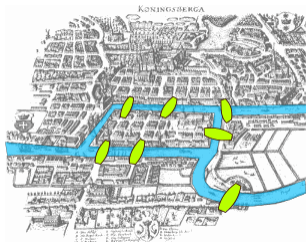
Ahmed Abdelkader

Guest Lecture

CMSC 754 – Spring 2020

May 7th, 2020

# Early Topological Insights



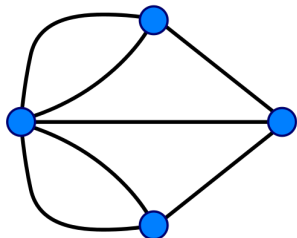
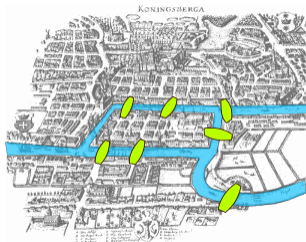
Seven Bridges of Königsberg: find a path that crosses each bridge exactly once

## The Origins of Graph Theory

- Euler observed that the subpaths within each land mass are irrelevant.
- Use an abstract model of land masses and their connectivity – a **graph!**
- A path enters a node through an edge, and exits through another edge.
- The solution exists if there are exactly 0 or 2 nodes of odd degree.

Figures from Wikipedia [1, 2]

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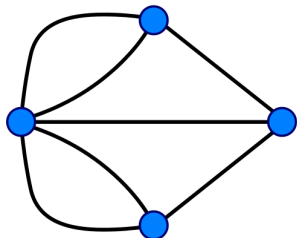
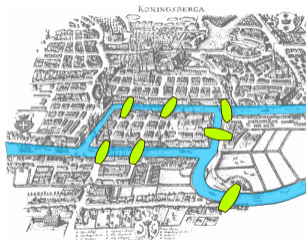


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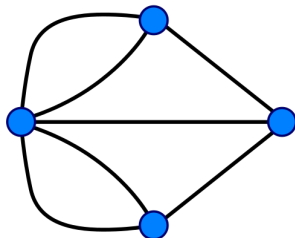
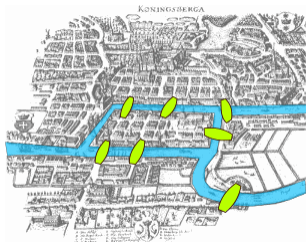
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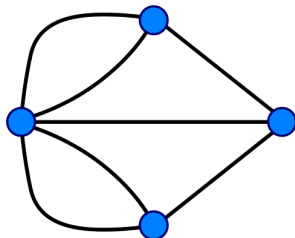
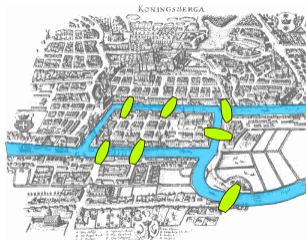
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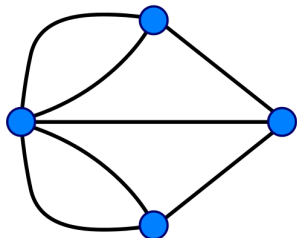
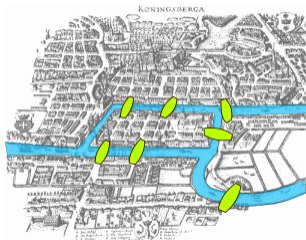
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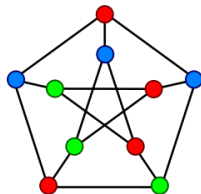
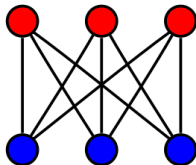
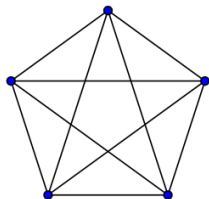


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# More Graph Theory



Complete graph  $K_5$ , complete bipartite graph  $K_{3,3}$ , and the Petersen graph

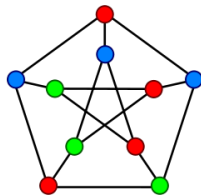
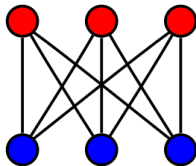
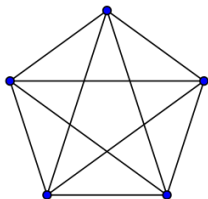
## Forbidden Graph Characterizations

- A minor  $H$  of a graph  $G$  is the result of a sequence of operations:
  - Contraction (merge two adjacent vertices), edge and vertex deletion.
- A graph is planar iff it does not have any  $K_5$  or  $K_{3,3}$  minors.
- **Hadwiger conjecture**: a graph is  $t$ -colorable iff it does not have any  $K_t$  minors.

Figures from Wikipedia [3, 4, 5]



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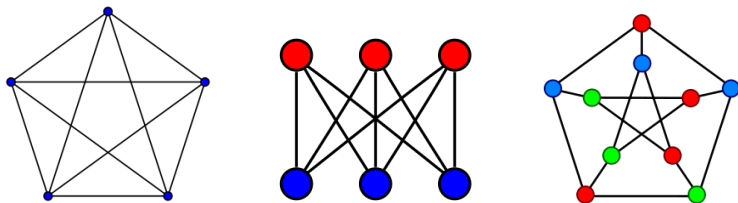
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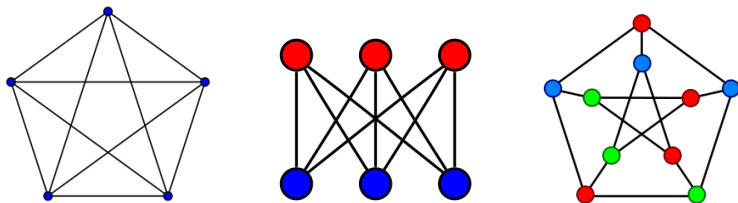
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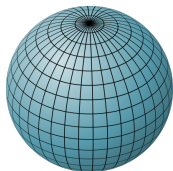
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# Surfaces



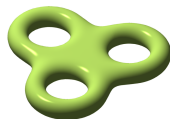
0 holes



1 hole



2 handles



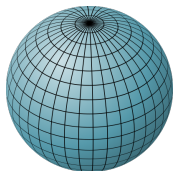
3 handles

## Topological Invariants

- Instead of edge deletion and contraction for graphs, we study surfaces under **continuous deformations** that do not *tear* or *pinch* the surface.
- The **genus** corresponds to the number of *holes* or *handles*.
- **Joke:** a *topologist* cannot distinguish his coffee mug from his doughnut!
  - Topology as **rubber-sheet geometry**

Figures from Wikipedia [6, 7, 8, 9]

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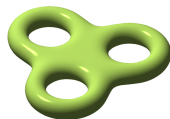
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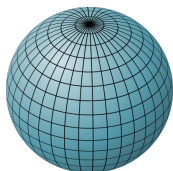
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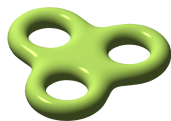
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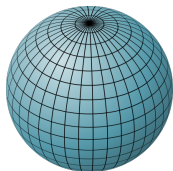
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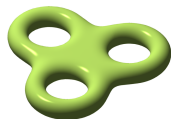
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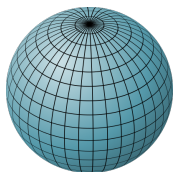
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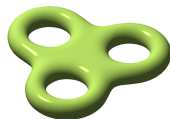
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How do you compute the genus *without looking?*

# Convex Polytopes



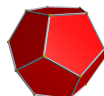
$$4 - 6 + 4$$



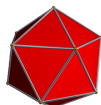
$$8 - 12 + 6$$



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$$20 - 30 + 12$$



$$12 - 30 + 20$$

## Euler's Polyhedron Formula

- **Alternating sum** of the number of vertices ( $V$ ), edges ( $E$ ), and facets ( $F$ )

$$\chi = V - E + F$$

- As spheres can be continuously deformed into convex polytopes, they also have an Euler characteristic of 2.
- Unlike the genus, this is easily computed by simple counting or **algebra**.

Figures from Wikipedia [10, 11, 12, 13, 14]

# Convex Polytopes



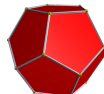
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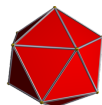
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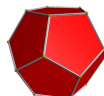
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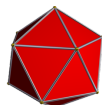
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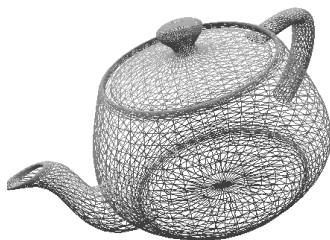
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What about non-convex surfaces?

# Wireframes

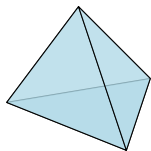


Rendering all triangles

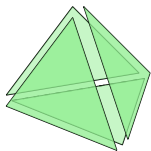


Wireframe, edges only

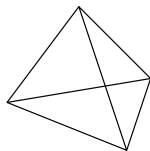
# Simplicial Complexes



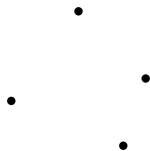
A 3-simplex



Four 2-simplices



Six 1-simplices

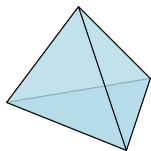


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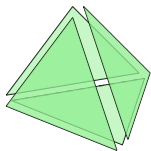
## Definitions

- A  $p$ -simplex is the convex hull of  $(p + 1)$  affinely-independent points.
- We write this as  $\sigma = [v_0, \dots, v_p] = \text{conv}\{v_0, \dots, v_p\}$  and say  $\dim \sigma = p$ .
- A *simplicial complex*  $K$  is a set of simplices closed under intersection, and its dimension  $\dim K$  is the maximum dimension of its simplices.
- If  $\sigma_1, \sigma_2 \in K$ , then  $\sigma_1 \cap \sigma_2 \in K$ . The  $(-1)$ -simplex  $\emptyset$  is always in  $K$ .

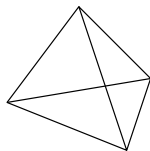
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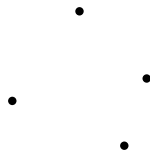
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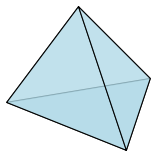
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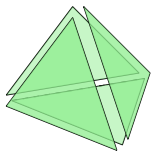
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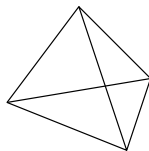
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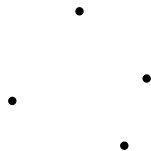
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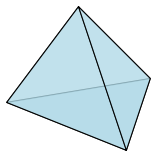


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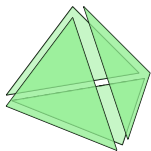
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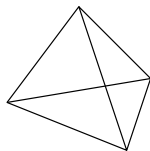
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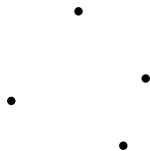
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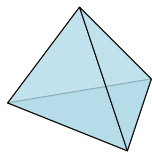


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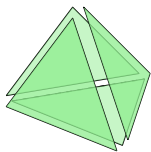
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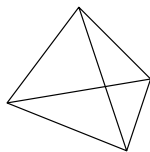
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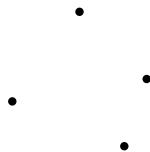
A 3-simplex



Four 2-simplices



Six 1-simplices

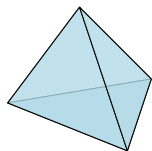


Four 0-simplices

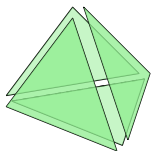
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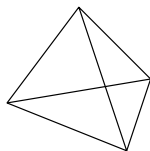
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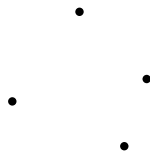
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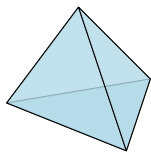


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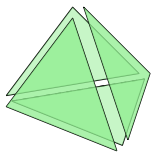
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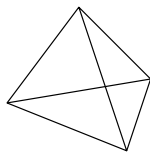
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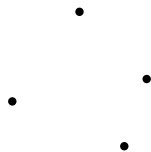
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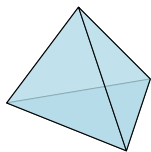


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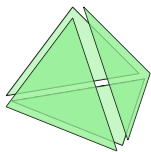
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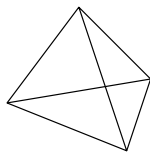
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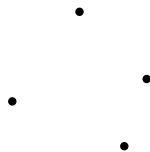
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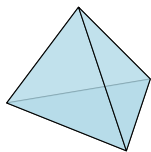


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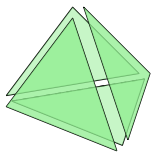
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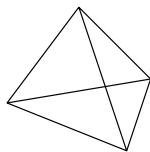
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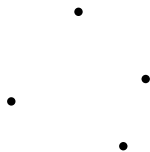
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How to represent a *mapping* between two surfaces?



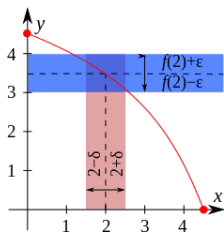
# Continuous Deformations



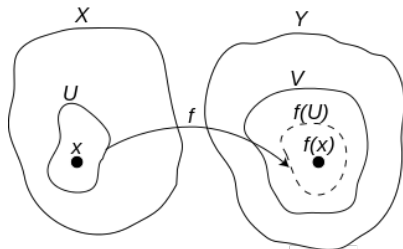
A continuous deformation of a cow model into a ball

Figure from Wikipedia [15]

# Continuous Maps



Continuity at  $x = 2$  by  $(\epsilon, \delta)$

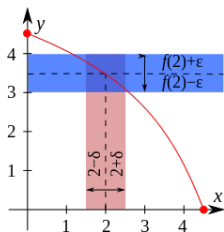


Continuity at  $x \in X$  using neighborhoods

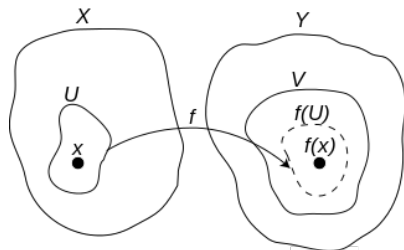
## Definition of Continuity

- Small changes in the input yield small changes in the output.
- Calculus formalizes this notion using the  $(\epsilon, \delta)$ -definition of the limit.
- For general topologies, we use neighborhoods instead of  $(\epsilon, \delta)$  intervals.

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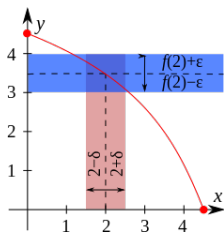


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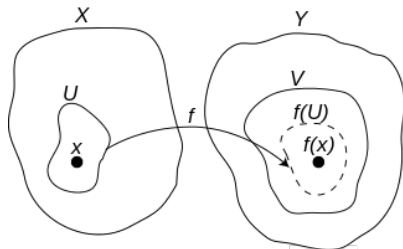
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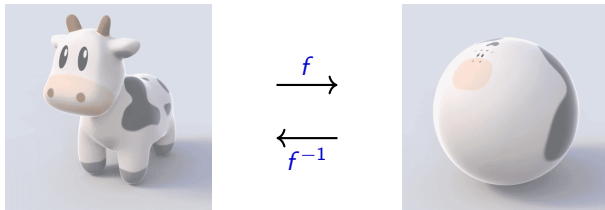


Continuity at  $x \in X$  using neighborhoods

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# Homeomorphisms



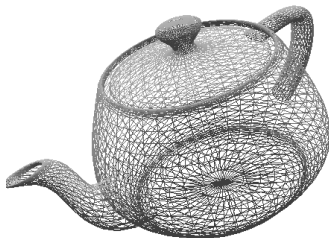
## Definition

Two topological spaces  $X$  and  $Y$  are said to be *homeomorphic* whenever there exists a continuous map  $f : X \rightarrow Y$  with a **continuous inverse**  $f^{-1} : Y \rightarrow X$ . Such a function  $f$  is called a *homeomorphism*.

Figure from Wikipedia [15]

But, we will be using the triangulations rather than the surfaces ...

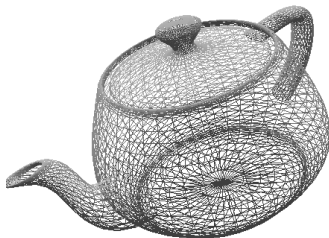
# Triangulations



## Definition

- A *triangulation* of a topological space  $X$  is a simplicial complex  $\hat{X}$  such that  $X$  and  $|\hat{X}|$  are homeomorphic.
- A topological space is *triangulable* if it admits a triangulation.

# Triangulations

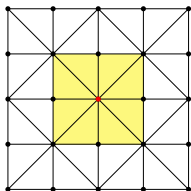


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# Continuous Maps between Simplicial Complexes



## Simplicial Neighborhoods

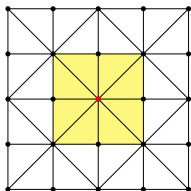
- Fix a simplicial complex  $K$ .
- The *star* of  $\sigma$  is the collection its cofaces:

$$\text{St}_K(\sigma) = \{\tau \in K \mid \sigma \preceq \tau\}.$$

- The *star neighborhood* of  $\sigma$  is the union of the interior of its cofaces:

$$N_K(\sigma) = \bigcup_{\tau \in \text{St}_K(\sigma)} |\tau|.$$

# Continuous Maps between Simplicial Complexes



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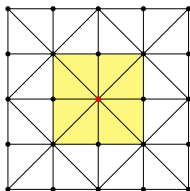
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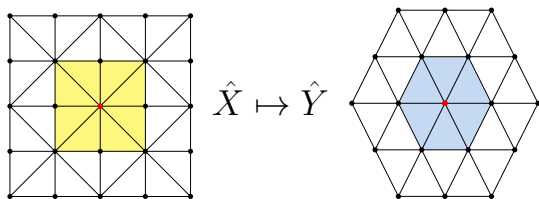
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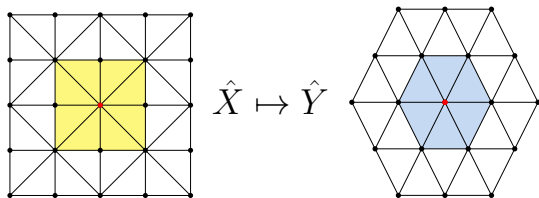


## The Star Condition

- Fix two simplicial complexes  $\hat{X}$  and  $\hat{Y}$  and a map  $\hat{f} : |\hat{X}| \rightarrow |\hat{Y}|$ .
- We say that  $\hat{f}$  satisfies the star condition if for all vertices  $v \in \hat{X}$ 

$$\hat{f}(N_{\hat{X}}(v)) \subseteq N_{\hat{Y}}(u) \quad \text{for some vertex } u = \phi(v) \in \hat{Y}.$$
- The map  $\phi : \text{Vert } \hat{X} \rightarrow \text{Vert } \hat{Y}$  extends to a *simplicial map* that maps every simplex  $\sigma \in \hat{X}$  to some simplex  $\tau \in \hat{Y}$ .
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# Continuous Maps between Simplicial Complexes



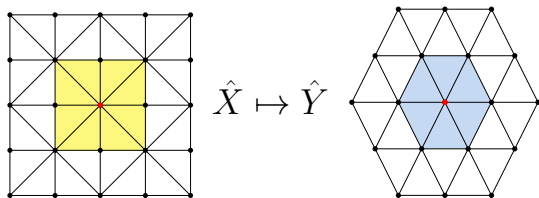
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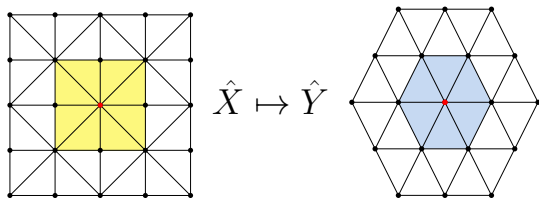


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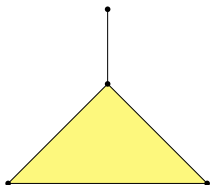
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What if  $\hat{f} : |\hat{X}| \rightarrow |\hat{Y}|$  fails the star condition?



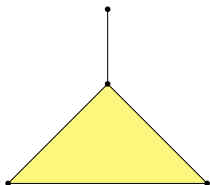
# Simplicial Approximation Theorem



## Barycentric Subdivisions

- If there exists a vertex  $v \in \hat{X}$  such that  $\hat{f}(N_{\hat{X}}(v))$  is not contained in  $N_{\hat{Y}}(u)$  for any vertex  $u \in \hat{Y}$ , then  $N_{\hat{X}}(v)$  is **too large!**
- Solution: refine  $\hat{X}$  without changing  $\hat{f} : \hat{X} \rightarrow \hat{Y}$ .
- The *barycenter* of  $\sigma = [v_0, \dots, v_p]$  is defined as  $\frac{1}{p+1} \sum_{i=0}^p v_i$ .
- Repeated subdivisions eventually achieve the star condition.

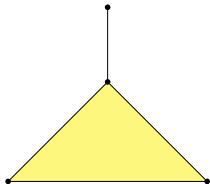
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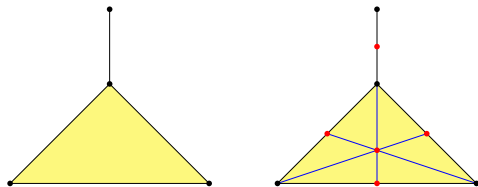
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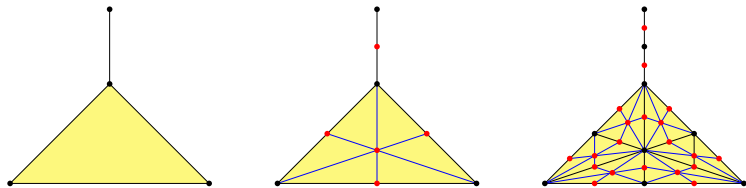
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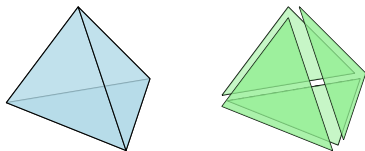
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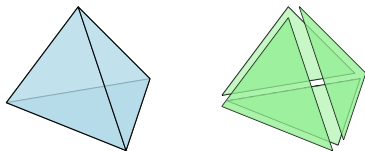
# From Convex Polyhedra to Simplicial Complexes



## Simplicial Counting

- Recall the alternating sum used to compute the Euler characteristic  $\chi$ .
- We would like to derive a similar computation on a simplicial complex  $K$ .
- But, a single simplex can be shared among multiple cofaces.
- How do we keep track of the correct count?

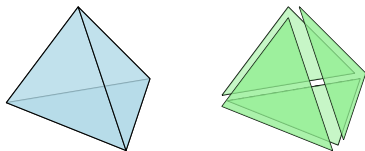
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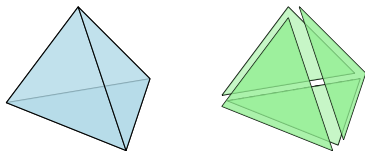


## Simplicial Counting

- Recall the alternating sum used to compute the Euler characteristic  $\chi$ .
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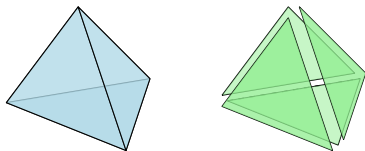
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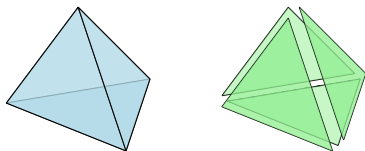
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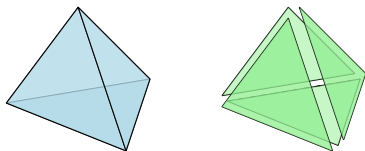
# Chains



## Counting Modulo 2

- Define a  $p$ -chain as a subset of the  $p$ -simplices in the complex  $K$ .
- We write a  $p$ -chain as a formal sum  $c = \sum_i a_i \sigma_i$ , where  $\sigma_i$  ranges over the  $p$ -simplices and  $a_i$  is a coefficient.
- We will work with coefficients in  $\mathbb{F}_2 = \{0, 1\}$  with addition modulo 2.

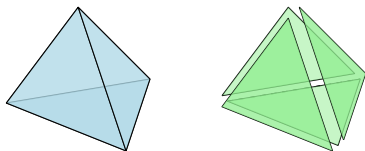
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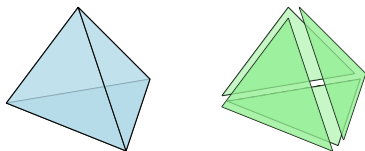
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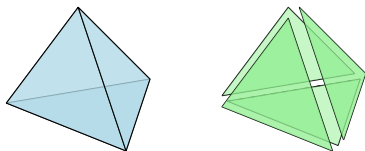
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- As  $a_i + b_i \in \mathbb{F}_2$  for all  $i$ , we get that  $c_1 + c_2$  is a chain.
- Regarding  $p$ -chains as sets, we can interpret that  $c_1 + c_2$  with modulo 2 coefficients is the *symmetric difference* between the two sets.

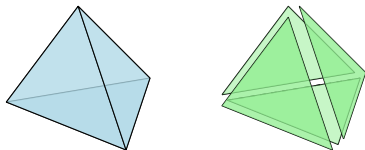
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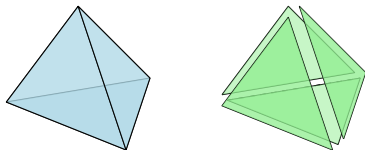


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A *group*  $(A, \bullet)$  is a set  $A$  together with a *binary operation* satisfying:

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We can now recognize  $p$ -chains  $(C_p, +)$  as **abelian groups**.

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## Linear Extensions

- Fix a  $p$ -simplex  $\sigma = [v_0, \dots, v_p]$  in the complex  $K$ .
- Recall that the boundary of  $\sigma$  is the collection of its proper faces, which we denoted by  $\partial\sigma$ .
- We can now express the boundary elements as a single  $(p-1)$ -chain

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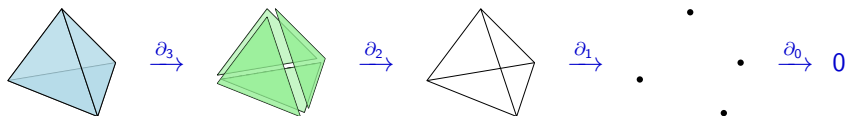
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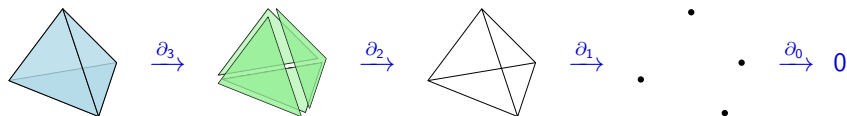


## Boundary Homomorphisms

- The boundary operator  $\partial_p$  commutes with the group operations.
- If  $c_1$  and  $c_2$  are  $p$ -chains, then:  $\partial_p(c_1 +_{(p)} c_2) = \partial_p c_1 +_{(p-1)} \partial_p c_2$ , where we qualify the addition operators on each side of the equation.
- This means that  $\partial_p$  induces a *group homomorphism* or a mapping between groups that preserves the group structures:  $\partial_p : C_p \rightarrow C_{p-1}$ .
- We can arrange the chain groups into a chain complex, effectively replacing the geometric complex  $K$  with a series of algebraic modules.

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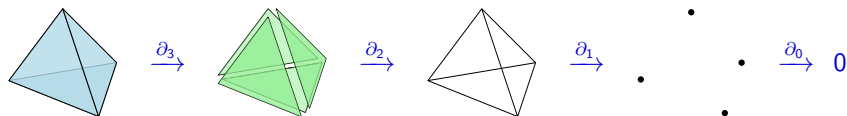


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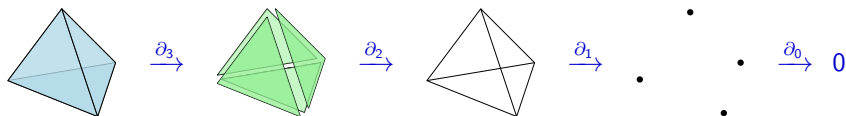
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But like .. what's the point?

# Boundary Matrices

## Chains Groups as Vector Spaces

- Let  $\{\sigma_i\}_i$  and  $\{\tau_j\}_j$  denote the  $p$ -simplices and  $(p-1)$ -simplices of  $K$ .
- The boundary of a  $p$ -chain  $c = \sum_i a_i \sigma_i$  is the  $(p-1)$ -chain

$$\partial_p c = \partial_p \left( \sum_i a_i \sigma_i \right) = \sum_i a_i \partial_p \sigma_i = \sum_i a_i \sum_j \partial_p^{j,i} \tau_j = \sum_j b_j \tau_j,$$

where  $b_j = \sum_i (a_i \partial_p^{j,i})$ , and  $\partial_p^{j,i}$  is 1 if  $\tau_j \in \partial_p \sigma_i$  and 0 otherwise.

- With that, we can express the boundary operator  $\partial_p$  in matrix form.

$$\partial_p c = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_{n_{p-1}} \end{bmatrix}, \quad \partial_p = \begin{bmatrix} \partial_p^{1,1} & \partial_p^{1,2} & \cdots & \partial_p^{1,n_p} \\ \partial_p^{2,1} & \partial_p^{2,2} & \cdots & \partial_p^{2,n_p} \\ \vdots & \vdots & \ddots & \vdots \\ \partial_p^{n_{p-1},1} & \partial_p^{n_{p-1},2} & \cdots & \partial_p^{n_{p-1},n_p} \end{bmatrix}, \quad c = \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_{n_p} \end{bmatrix}$$

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where  $b_j = \sum_i (a_i \partial_p^{j,i})$ , and  $\partial_p^{j,i}$  is 1 if  $\tau_j \in \partial_p \sigma_i$  and 0 otherwise.

- With that, we can express the boundary operator  $\partial_p$  in matrix form.

$$\partial_p c = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ b_{n_{p-1}} \end{bmatrix}, \quad \partial_p = \begin{bmatrix} \partial_p^{1,1} & \partial_p^{1,2} & \cdots & \partial_p^{1,n_p} \\ \partial_p^{2,1} & \partial_p^{2,2} & \cdots & \partial_p^{2,n_p} \\ \vdots & \vdots & \ddots & \vdots \\ \partial_p^{n_{p-1},0} & \partial_p^{n_{p-1},2} & \cdots & \partial_p^{n_{p-1},n_p} \end{bmatrix}, \quad c = \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_{n_p} \end{bmatrix}$$

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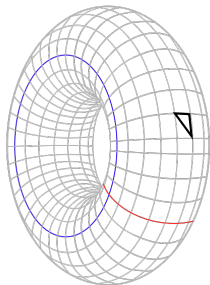
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# Boundaries and Cycles

## Which Boundaries are Useful?

Consider the 1-chains on the torus to the right.

- We have a blue and a red loop.
- Also the boundary of the black triangle.
- Which of those help distinguish the torus from a sphere?



## Chains with No Boundary

- Any such chain is called a  $p$ -cycle.
- A  $p$ -cycle that arises as the boundary of a  $(p+1)$ -chain is a  $p$ -boundary.
- We need a way to **count** distinct  $p$ -cycles while ignoring all  $p$ -boundaries.
- Observe that  $\partial_p \circ \partial_{p+1} = 0$ .

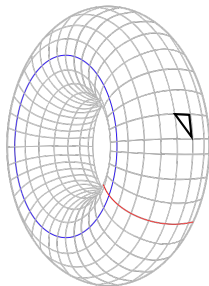
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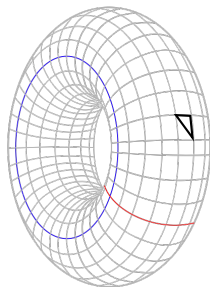
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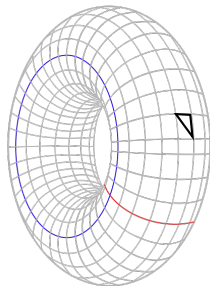


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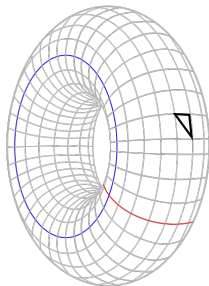
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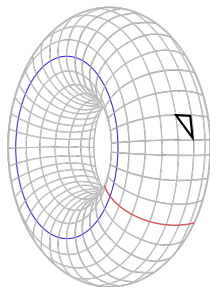
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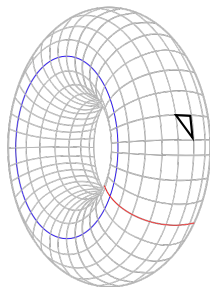
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Figure from Wikipedia [18]

# Equivalence and Quotients

## Boundaries and Cycles as Subgroups

- Denote all  $p$ -cycles by  $Z_p$  and all  $p$ -boundaries by  $B_p$ .
- As the boundary map commutes with addition,  $Z_p$  is a **subgroup** of  $C_p$ .
- Likewise,  $B_p$  is a **subgroup** of  $Z_p$ .
- For any  $p$ -cycle  $\alpha \in Z_p$  and a  $p$ -boundary  $\beta$ , we get that  $\alpha + \beta \in Z_p$ .

## Algebra II

- We define an *equivalence relation* that identifies a pair of elements  $\alpha, \alpha' \in Z_p$  whenever  $\alpha' = \alpha + \beta$  for some  $\beta \in B_p$ .
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## Algebra III

- Take a group  $(A, \bullet)$ .
  - The **order** of the group is the cardinality of  $A$ .
  - The **rank** of the group is the cardinality of a minimal *generator*.
- For a set of binary vectors, such as  $C_p$  or  $Z_p$ 
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## Homology Groups and Betti Numbers

- We can now defined the  $p$ -th **homology group** as  $H_p = Z_p/B_p$ .
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- Let  $V$  and  $W$  be vector spaces and  $T : V \rightarrow W$  a *linear transformation*.
- We define the *kernel* of  $T$  as the subspace of  $V$ , denoted  $\text{Ker}(T)$  of all vectors  $v$  such that  $T(v) = 0$ .
- The remaining elements  $v \in V$  for which  $T(v) \neq 0$  are mapped to a subspace of  $W$ , i.e., the *image* of  $T$ .
- The *rank-nullity theorem* states that

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- The *rank-nullity theorem* states that

$$\dim V = \dim \text{Image}(T) + \dim \text{Ker}(T).$$

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- Hence,  $\text{rank } C_p = \text{rank } Z_p + \text{rank } B_{p-1}$ .

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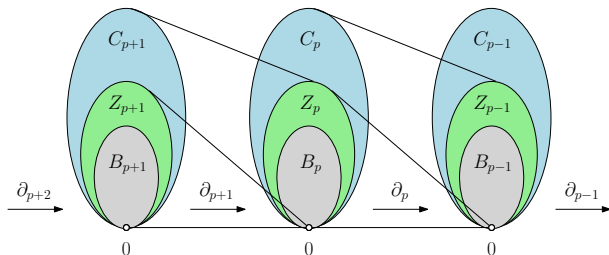
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# The Euler Characteristic Revisited

## A Generalized Formula

Recalling the alternating sum in Euler's polyhedron formula, we may write

$$\begin{aligned}\chi &= \sum_{p \geq 0} (-1)^p \text{rank } C_p \\ &= \sum_{p \geq 0} (-1)^p (\text{rank } Z_p + \text{rank } B_{p-1}) \\ &= \sum_{p \geq 0} (-1)^p (\text{rank } Z_p - \text{rank } B_p) \\ &= \sum_{p \geq 0} (-1)^p \beta_p.\end{aligned}$$

(we skip some technicalities underlying the substitution highlighted in red)

Note that the homology groups and the Betti numbers do not depend on the specific triangulation of the underlying space, i.e., they are indeed topological invariants.

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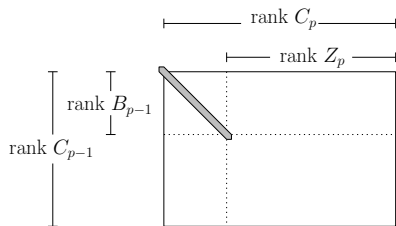
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# Matrix Reduction

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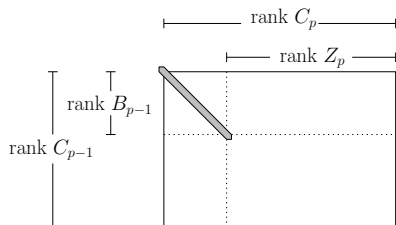
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- Using a sequence of row/column operations, the matrix is reduced **without changing its rank** into a simple form easily providing the ranks.
- A variant of *Gaussian elimination* is used to get the **Smith normal form**.



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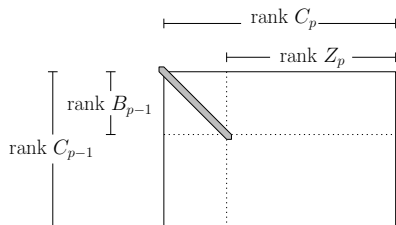
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# Induced Maps on Homology

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## Functoriality

- A simplicial map  $\hat{f}_{\Delta} : \hat{X} \rightarrow \hat{Y}$  maps simplices in  $\hat{X}$  to simplices in  $\hat{Y}$ .
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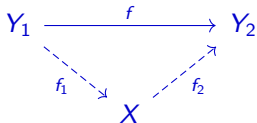
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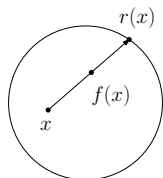
# Applications of $H(\hat{f}) : H_p(\hat{X}) \rightarrow H_p(\hat{Y})$



## Indirect Inference

If a map  $f : Y_1 \rightarrow Y_2$  factors through  $f_1 : Y_1 \rightarrow X$  and  $f_2 : X \rightarrow Y_2$  such that  $f = f_2 \circ f_1$ , then we can infer the homology groups of  $X$  using knowledge of the homology groups of  $Y_1$  and  $Y_2$ .

# Brouwer's Fixed Point Theorem



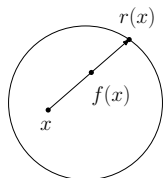
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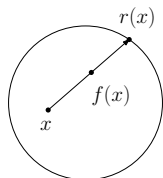
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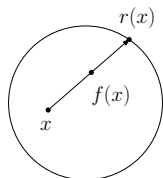
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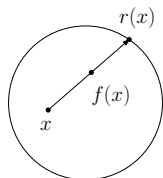
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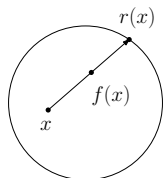
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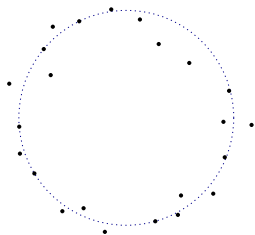
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But, how do we get triangulations in the first place?

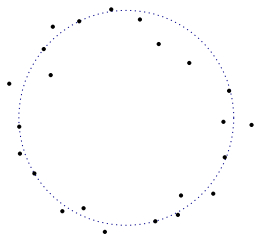
# Sampled Data and Noise



## The Cêch Complex

- We are given a collection of sample points from an unknown underlying manifold or surface in  $\mathbb{R}^d$ .
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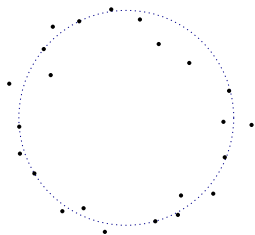
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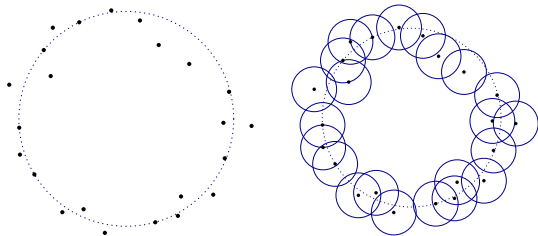
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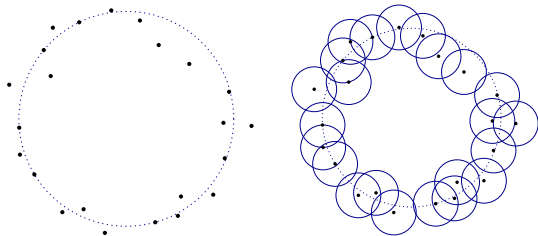
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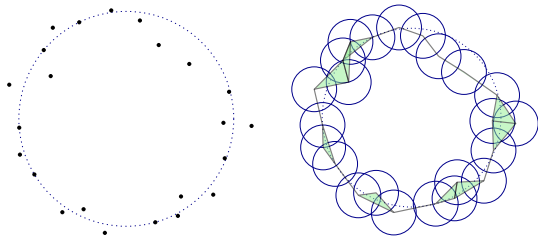


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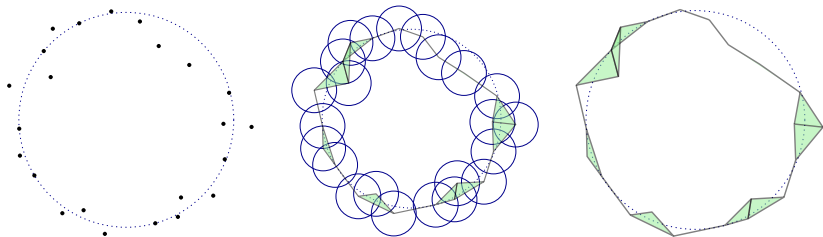
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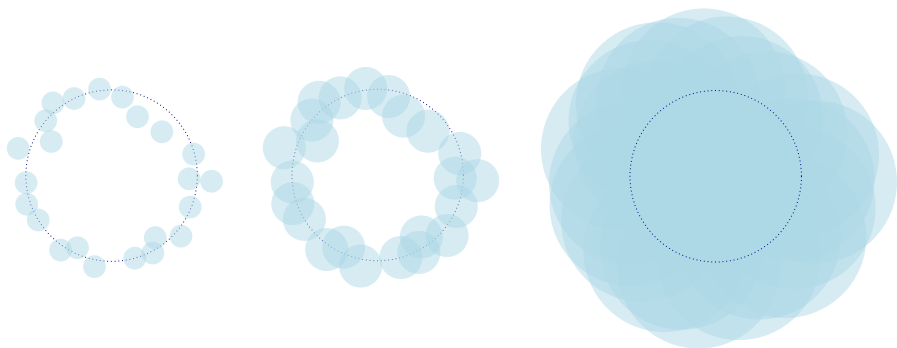


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But, how do we choose the radii of the balls?

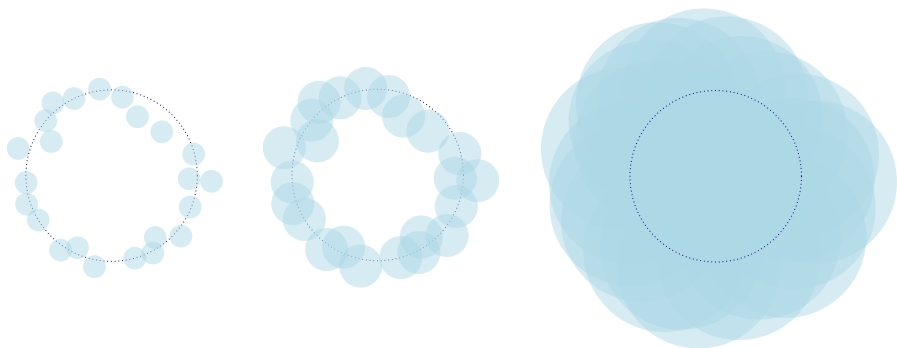
# Scale and Persistence



## Examining All Scales at Once

- As shown above, different radii may result in very different results.
- Imagine a continuous process growing the radii from  $r = 0$  to  $r = \infty$ .
- Each topological feature will be present over an interval  $[a, b)$ .

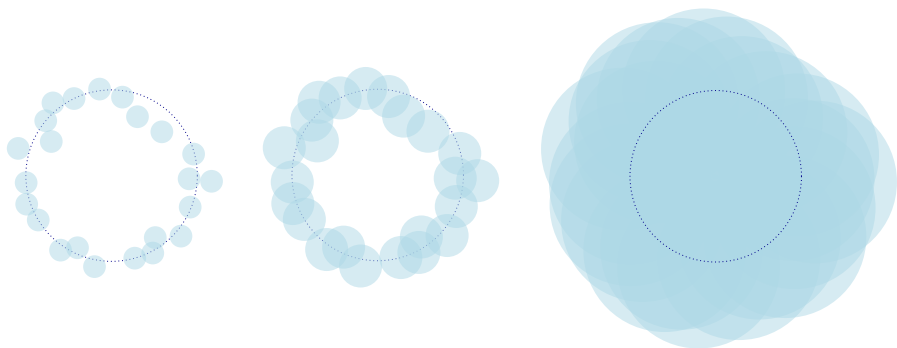
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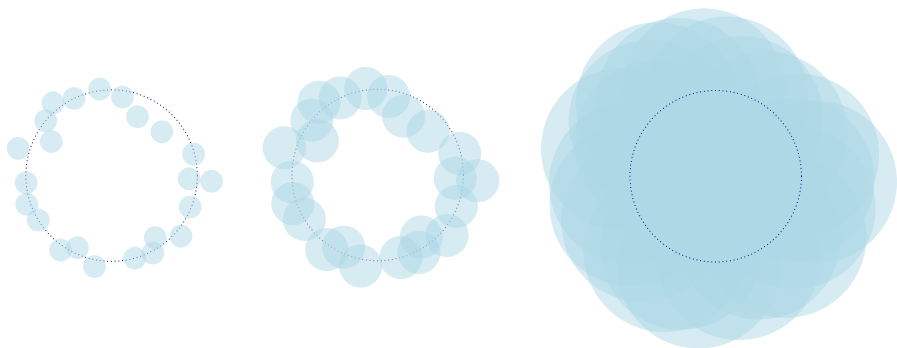
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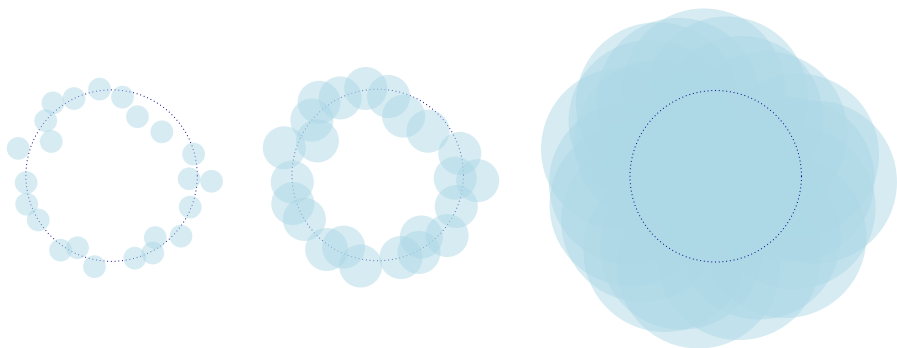
# Scale and Persistence



## Examining All Scales at Once

- Each topological feature will be present over an interval  $[a, b)$ .
- Define the **persistence** of the feature as  $b - a$ .
- Features of high persistence are salient, while noise has low persistence.

# Scale and Persistence

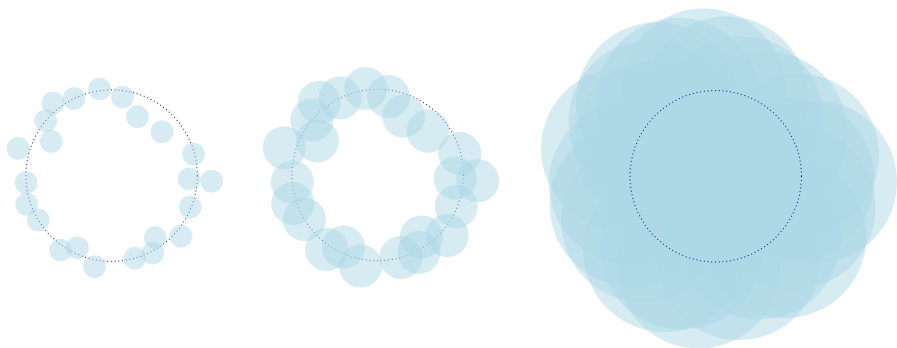


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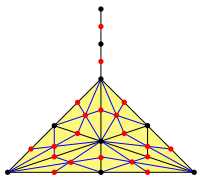
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# Summary



$$\partial_p = \begin{bmatrix} \partial_p^{1,1} & \partial_p^{1,2} & \dots & \partial_p^{1,n_p} \\ \partial_p^{2,1} & \partial_p^{2,2} & \dots & \partial_p^{2,n_p} \\ \vdots & \vdots & \ddots & \vdots \\ \partial_p^{n_p-1,0} & \partial_p^{n_p-1,2} & \dots & \partial_p^{n_p-1,n_p} \end{bmatrix}$$

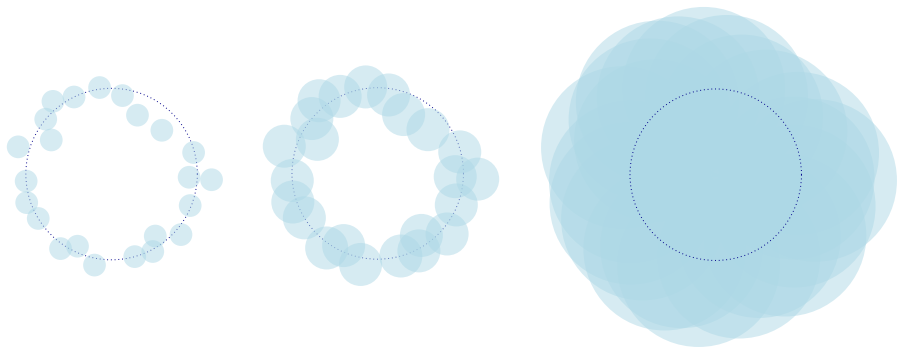
$$H_p = Z_p / B_p$$

## Main Concepts Introduced

- Continuous deformations
- Simplicial approximations
- Chain algebra and homology
- Functoriality

Figure from Wikipedia [15]

# Summary



## Key Concepts Missing

- Persistent homology
- Persistence diagrams and barcodes
- Simplicial collapses
- Sparse filtrations and interleaving