

## Ideal Skip List:

- Organize list in levels

- Level 0: Everything

- 1: Every other

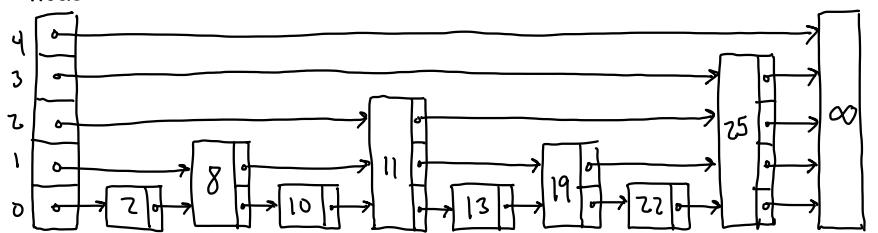
- 2: Every fourth

- $i$ : Every  $2^i$



## Example:

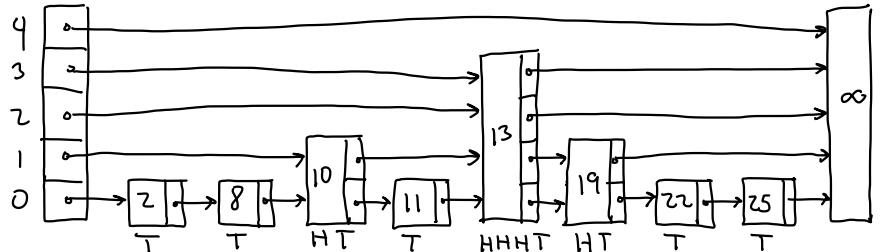
head



Too rigid  $\rightarrow$  Randomize!

To determine level - toss a coin + count no. of consec. heads:

head



## Sorted linked lists:

- Easy to code

- Easy to insert/delete

- Slow to search ...  $O(n)$

Idea: Add extra links to skip



How to generalize?

## Skip Lists I

Node Structure: (Variable sized)

class SkipNode{

Key key

Value value

SkipNode[] next

In constructor,  
set size (height)

Value find(Key x){

i = topmost Level

SkipNode p = head

while (i >= 0) {

if (p.next[i].key <= x) p = p.next[i]

else i--  $\leftarrow$  drop down a level

}  $\leftarrow$  we are at base level

if (p.key == x) return p.value  
else return null

current node  
until we hit  
base level  
advance  
horizontal

**Thm:** A skip list with  $n$  nodes has  $O(\lg n)$  levels in expectation.

**Proof:** Will show that probability of exceeding  $c \cdot \lg n$  is  $\leq 1/n^{c-1}$

→ Prob that any given node's level exceeds  $l$  is  $1/2^l$   
[ $l$  consecutive heads]

→ Prob that any of  $n$  node's level exceeds  $l$  is  $\leq n/2^l$   
[ $n$  trials with prob  $1/2^l$ ]

→ Let  $l = c \cdot \lg n$  ( $\lg \equiv \log_2$ )  
Prob that max level exceeds

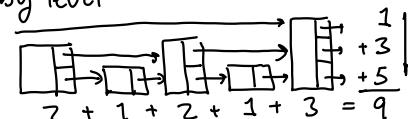
$$\begin{aligned} c \cdot \lg n \text{ is:} \\ &\leq n/2^l = n/2^{(c \cdot \lg n)} \\ &= n/(2^{\lg n})^c \\ &= n/n^c = 1/n^{c-1} \end{aligned}$$

**Obs:** Prob. level exceeds  $3 \lg n$  is  $\leq 1/n^2$ .  
(If  $n \geq 1,000$ , chances are less than 1 in million!)

## Skip Lists II

**Thm:** Total space for  $n$ -node skip list is  $O(n)$  expected.

**Proof:** Rather than count node by node, we count level by level:



- Let  $n_i$  = no. of nodes that contrib. to level  $i$ .

- Prob that node at level  $\geq i$  is  $1/2^i$

- Expected no. of nodes that contrib. to level  $i$  =  $n/2^i$   
 $\Rightarrow E(n_i) = n/2^i$

Total space (expected) is:

$$E\left(\sum_{i=0}^{\infty} n_i\right) = \sum_{i=0}^{\infty} E(n_i) = \sum_{i=0}^{\infty} n/2^i = n \sum_{i=0}^{\infty} 1/2^i = 2n$$

**Thm:** Expected search time is  $O(\lg n)$

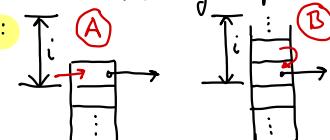
**Proof:**

- We have seen no. levels is  $O(\lg n)$
- Will show that we visit 2 nodes per level on average

**Obs** - Whenever search arrives first time to a node, it's at top level. (Can you see why?)

**Def:**  $E(i)$  = Expect. num. nodes visited among top  $i$  levels.

**Cases:**



$$E(i) = 1 + (\text{Prob}(A))E(i) + (\text{Prob}(B))E(i-1).$$

current node ↑      same level ↑      from prior level ↑

$$\Rightarrow E(i)(1 - 1/2) = 1 + 1/2E(i-1)$$

$$\Rightarrow E(i) = [1 + 1/2E(i-1)]/2 = 2 + E(i-1)$$

$$\text{Basis: } E(0) = 0 \Rightarrow E(i) = 2 \cdot i$$

Let  $l = \max \text{ level}$ . Total visited =  $E(l)$

$\Rightarrow$  We visit 2 nodes per level on average.  $\square$

## Delete:

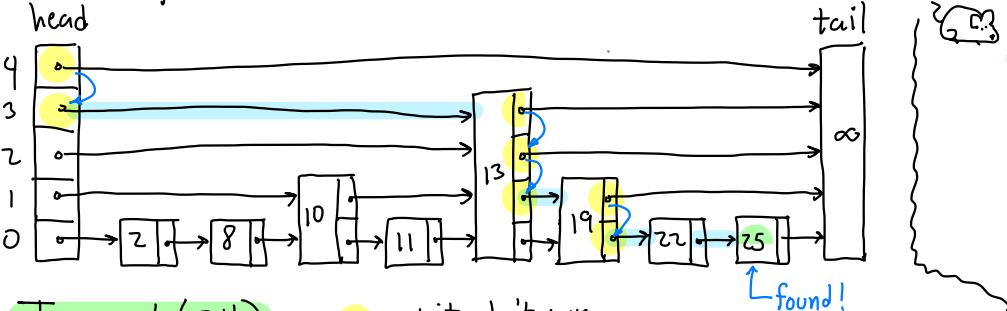
- Start at top
- Search each level saving last node  $<$  key
- On reaching node at level 0, remove it and unlink from saved pointers

## Insert: (Similar to linked lists)

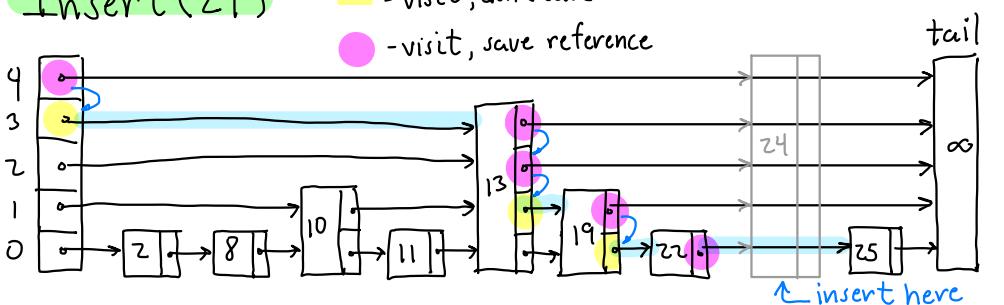
- Start at top level
- At each level:
  - Advance to last node  $\leq$  key
  - Save node + drop level
- At level 0:
  - Create new node (flip coins to determine height)
  - Link into each saved node

## Skip Lists III

### Example: find(25)

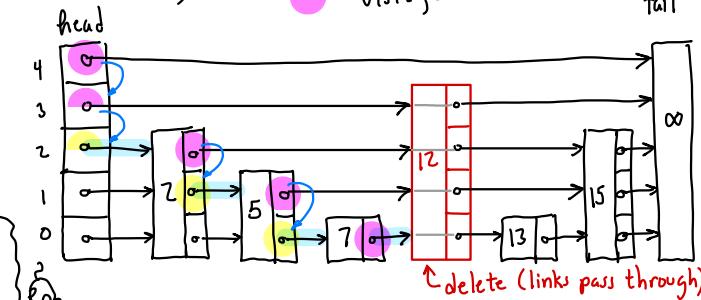


### Insert(24)



• - visit, don't save  
• - visit, save reference tail

### Delete(12)



Analysis: All operations run in time  $\sim \text{find} \Rightarrow O(\log n)$  expected

Note: Variation in running times due to randomness only - not sequential  
 $\Rightarrow$  User cannot force poor performance.