

## Lecture 6 - Outline

June 8, 2017

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### Relations and Functions

**Problem:** Let  $A$  and  $B$  be arbitrary sets. How many different relations are there from a set  $A$  to a set  $B$ ?

**Solution:** Note that all such relations are subsets of the set  $A \times B$ . In other words, the question is equivalent to asking the question, how many subsets are there of the set  $A \times B$ .

Recall that  $2^{(A \times B)}$  is the set of all subsets of  $A \times B$ . The cardinality of the powerset is

$$|2^{A \times B}| = 2^{|A \times B|} = 2^{|A| \times |B|}$$

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Recall the following properties on relations:

Let  $R$  be a relation defined on set  $A$ . We say that  $R$  is

- *reflexive*, if for all  $x \in A$ ,  $(x, x) \in R$ .
- *irreflexive*, if for all  $x \in A$ ,  $(x, x) \notin R$ .
- *symmetric*, if for all  $x, y \in A$ ,  $(x, y) \in R \implies (y, x) \in R$ .
- *antisymmetric*, if for all  $x, y \in A$ ,  $x R y$  and  $y R x \implies x = y$ .
- *transitive*, if for all  $x, y, z \in A$ ,  $x R y$  and  $y R z \implies x R z$ .

**Problem:** What are the properties of the following relations?

$R_1$  : “is a sibling of” relation on the set of all people.

$R_2$  : “ $\leq$ ” relation on  $\mathbb{Z}$ .

$R_3$  : “ $<$ ” relation on  $\mathbb{Z}$ .

$R_4$  : “ $|$ ” relation on  $\mathbb{Z}^+$ .

$R_5$  : “ $|$ ” relation on  $\mathbb{Z}$ .

**Solution.**

Reflexive :  $R_2, R_4$

Irreflexive :  $R_1, R_3$

Symmetric :  $R_1$

Antisymmetric :  $R_2, R_3, R_4$

Transitive :  $R_2, R_3, R_4, R_5$

Note that  $R_5$  is not reflexive because  $(0, 0) \notin R_5$ ; it is not antisymmetric because for any integer  $a$ ,  $a|-a$  and  $-a|a$ , but  $a \neq -a$ . Observe that  $R_5$  is an example of a relation that is neither symmetric nor antisymmetric.

## Equivalence Relations

A relation  $R$  on a set  $A$  is an *equivalence relation* if and only if it is reflexive, symmetric and transitive.

**Prove:** Let  $A$  be the set of all strings of English letters. Suppose that  $R$  is the relation on the set  $A$  such that  $aRb$  if and only if  $l(a) = l(b)$ , where  $l(x)$  is the length of the string  $x$ . Prove that  $R$  is an equivalence relation.

**Solution:** To show that  $R$  is an equivalence relation, we need to prove that  $R$  is reflexive, symmetric, and transitive.

- **Reflexive:** Let  $a$  be an arbitrary string in  $A$ . Note that  $l(a) = l(a)$ , and hence  $aRa$ . This shows that  $R$  is reflexive.
- **Symmetric:** Let  $a, b$  be arbitrary elements in  $A$ . Assume  $(a, b) \in R$ . Since  $aRb$ , this means that  $l(a) = l(b)$ . Hence  $l(b) = l(a)$ , so  $bRa$ . This shows that  $R$  is symmetric.
- **Transitive:** Let  $a, b, c$  be arbitrary elements in  $A$ . Assume that  $(a, b), (b, c) \in R$ . Thus  $l(a) = l(b)$  and  $l(b) = l(c)$ , which implies that  $l(a) = l(c)$ . Hence  $aRc$  and  $R$  is transitive.

Since  $R$  is reflexive, symmetric, and transitive, it is an equivalence relation.

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## Operations on Relations

Since relations are sets, we can take a relation or a pair of relations and produce a new relation using set operations.

### Examples:

- Let “ $>$ ” be the greater than relation on the set of integers. Let “ $<$ ” be the less than relation on the set of integers.  
Then “ $>$ ”  $\cup$  “ $<$ ” = “ $\neq$ ”
- Let “ $\geq$ ” be the greater than or equal relation on the set of integers. Let “ $=$ ” be the equal relation on the set of integers.  
Then “ $\geq$ ”  $\setminus$  “ $=$ ” = “ $>$ ”.

## Functions

Let  $A$  and  $B$  be sets. A **function** from  $A$  to  $B$  is a relation,  $f$ , from  $A$  to  $B$  such that for all  $a \in A$  there is exactly one  $b \in B$  such that  $(a, b) \in f$ .

Here are some definitions:

- If  $(a, b) \in f$ , then we write  $b = f(a)$ .
- A function from  $A$  to  $B$  is also called a **mapping** from  $A$  to  $B$  and we write it as  $f : A \rightarrow B$ .
- The set  $A$  is called the **domain** of  $f$  and the set  $B$  the **codomain**.
- If  $a \in A$  then the element  $b = f(a)$  is called the **image** of  $a$  under  $f$ . The **range** of  $f$ , denoted by  $\text{Ran}(f)$  is the set

$$\text{Ran}(f) = \{b \in B \mid \exists a \in A \text{ s.t. } b = f(a)\}$$

- Two functions are **equal** if they have the same domain, have the same codomain, and map each element of the domain to the same element in the codomain.

### Examples:

- Some functions are ones that are familiar to ones that you may have studied before. For example:  $f_1 : \mathbb{Z} \rightarrow \mathbb{Z}, f_1(x) = x^2$
- Functions need not have such a clean definition. For example:  
Let  $A = \{1, 2, 3\}$  and  $B = \{a, b\}$ . Then there can be a function  $f_2 : A \rightarrow B$ , such that  $f_2(1) = b, f_2(2) = a, f_2(3) = b$ .

Let  $A$  and  $B$  be sets. Let  $f : A \rightarrow B$  be a function.

- $f$  is said to be **injective**, iff  $\forall x, y \in A, x \neq y \implies f(x) \neq f(y)$ .

Sometimes it is informative to look at its contrapositive statement:

$$\forall x, y \in A, f(x) = f(y) \implies x = y.$$

- $f$  is called **surjective**, iff  $\forall b \in B, \exists a \in A, f(a) = b$ .
- $f$  is a **bijection**, iff it is both surjective and injective.

**Prove:** Let  $f : \mathbb{Z} \rightarrow \mathbb{Z}$ , such that  $f(x) = x + 1$ . Prove that  $f$  is bijective.

To prove that  $f$  is bijective, we wish to show that it is injective and surjective.

- **Injective:** Let  $x$  and  $y$  be arbitrary elements in  $A$ . Assume that  $x \neq y$ . Then  $f(x) = x + 1 \neq y + 1 = f(y)$ . Since  $f(x) \neq f(y)$ , then we have shown that  $f$  is injective.

- **Surjective:** Let  $x$  be an arbitrary element in  $B$ . Let  $y = x - 1$ . Note that  $f(y) = f(x - 1) = x$ . Hence, since there is a  $y \in \mathbb{Z}$  such that  $f(y) = x$ , we have that  $f$  is surjective.

Since we have shown that the function is injective and surjective, we have that it is bijective.

## Injection and Surjection Rule

### The Injection Rule

Let  $A$  and  $B$  be two finite sets. If there is an injective function from  $A$  to  $B$ , then  $|A| \leq |B|$ .

We can see this as follows. Since each element in  $A$  is mapped to a distinct element in  $B$ , this means that  $|A| = |\text{Ran}(f)|$ . Further, since  $\text{Ran}(f) \subseteq B$ , we know that  $|\text{Ran}(f)| \leq |B|$ . Therefore,  $|A| \leq |B|$ .

### The Surjection Rule

Let  $A$  and  $B$  be two finite sets. If there is an surjective function from  $A$  to  $B$ , then  $|A| \geq |B|$ .

We can see this as follows. Suppose for the sake of contradiction that there is a surjective function, but  $|A| < |B|$ . Note that since each element in  $A$  is mapped to exactly one element in  $B$ , it must be that  $|A| \geq |\text{Ran}(f)|$ . Since  $|\text{Ran}(f)| \leq |A|$  and  $|A| < |B|$ , we have that  $|\text{Ran}(f)| < |B|$ . Since  $\text{Ran}(f) \subseteq B$  and  $|\text{Ran}(f)| < |B|$ , it must be that  $\text{Ran}(f) \subset B$ . Therefore, we have that  $B \setminus \text{Ran}(f) \neq \emptyset$ . In other words, there is an element in  $B$  such that it is not mapped onto by the function  $f$ . This contradicts the assumption that  $f$  is surjective.