

What's Being Said Near "Martha"?

Exploring Name Entities in Literary Text Collections

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ABSTRACT

A common task in literary analysis is to study characters in a novel or collection. When dealing with large documents or collections automatic entity extraction, text analysis and effective user interfaces might facilitate the exploration of the topics discussed or the vocabulary used in the neighborhood of the characters. Using our interface, called POSvis, the scholar uses word clouds and self-organizing graphs to review the vocabulary in the vicinity of one or more entities, to filter by part of speech, and to explore the network of other characters in that vicinity. Visualizations show word usages within an analysis window (i.e. a book chapter), which can be compared with a reference window (i.e. the whole book). We describe the interface and report on an early case study with a humanities scholar.

KEYWORDS: Design, Experimentation, Human Factors.

INDEX TERMS:

1 INTRODUCTION

The development of digital libraries now gives scholars access to large bodies of literature. MONK www.monkproject.org is an example of a digital environment designed to help humanities scholars discover and analyze patterns in the texts they study. It aims to support both micro analyses of the verbal texture of an individual text and macro analyses that let you locate and analyze texts in the context of a large document space consisting of hundreds or thousands of other texts. These explorations allow scholars to practice forms of what Franco Moretti has provocatively called "distant reading." [6]

Getting salience out of data and formulating new hypotheses for making further explorations are among the many goals of visual analytics tools. While humans are good at quickly identifying shapes from diagrams or faces in images, it is very difficult to get an overview of a text collection at a glance, much less make comparisons. For example the sentence "*Peter is greater than John, and both are smaller than Adam*" takes more time for humans to understand than a simple picture depicting the same height relationships.

A common task for literary scholars is to study characters in a book or collection. They may try to characterize the relationship between family members in a novel, or study the evolution of the mentions of an historical figure in a collection of biographies. Text analysis and effective user interfaces might facilitate the exploration of the topics discussed or the vocabulary used in the neighborhood of the characters. Using our interface, called POSvis, scholars may use word clouds and self-organizing graphs to review the vocabulary in the vicinity of one or more entities, filter by part of speech, explore the network of other characters in that vicinity, and compare different text segments.

Before going further we define some of the terms we use in the paper. The term *name entity* is used loosely to refer to names that

can be extracted automatically (typically proper names). The *part of speech* classification is a grammar classification of words, based on eight categories: verb, noun, pronoun, adjective, adverb, preposition, conjunction, and interjection. We say that words or name entities *co-occur* if they both appear at least once within a fixed text window, typically set by the user (e.g. a 20 word window, or a paragraph). The *document structure* is a hierarchy based on document abstraction levels found in the documents (e.g. using XML tags). For a book it could be: book > chapter > section > paragraph etc. and used to choose regions to be compared.

We start by describing the problem that motivated our work, then describes POSvis' interface, the query workflow and results exploration. In section 4 POSvis architecture and text analysis techniques are described. Finally in section 5 we describe our early study case results and discuss it in section 6.

2 MOTIVATION

We worked with Tanya Clement who received her PhD in the English department at the University of Maryland. As part of her research Tanya has been studying *The Making of Americans* by Gertrude Stein. The book is 9 chapters and 517,027 words long. This postmodern writing is almost impossible to read and digital tools bring a new perspective into the nature of the text and the seemingly nonsensical, non-narrative structures. Data mining and text analysis methods have been used to facilitate a new reading of this text [2] [3]. For example data mining and visualization have been combined to analyze patterns of repetitions in the text [4]. The task addressed in this paper is to understand how the identity and relationships of family members changed over time i.e. throughout the book. Because of the chaotic structure of the text, a reader, even expert, may become lost or confused. Manually keeping track of name entities and their relationships is also difficult (we found 190 entities in the book).

3 DESCRIPTION OF THE INTERFACE

POSvis follows Shneiderman's Information Visualization mantra of "overview first, zoom and filter, details on demand". Figure 1 shows the graphical interface, using *The Making of Americans* text collection loaded. The *Document Overview* panel (top strip) represents an overview of the document's structure. The X-axis shows chapters. The Y-axis is proportional to the number of words in the sections. Filter controls are two range sliders, A (*analysis text*, in red ) and B (*reference text*, in black ) , that permit to set the document scopes for comparisons.

The *Query* panel located on the left side of the screen, shows in a first list extracted entities with their occurrence count in the analysis section. Entities can be sorted by alphabetical or cumulative count order, to get a quick access to respectively a specific or most/less frequent item. Entities can be selected or unselected and can be (or not) appended to each other, and appear in a second list. The third list gives Part of Speech (POS) categories with cumulative occurrences counts for each category.

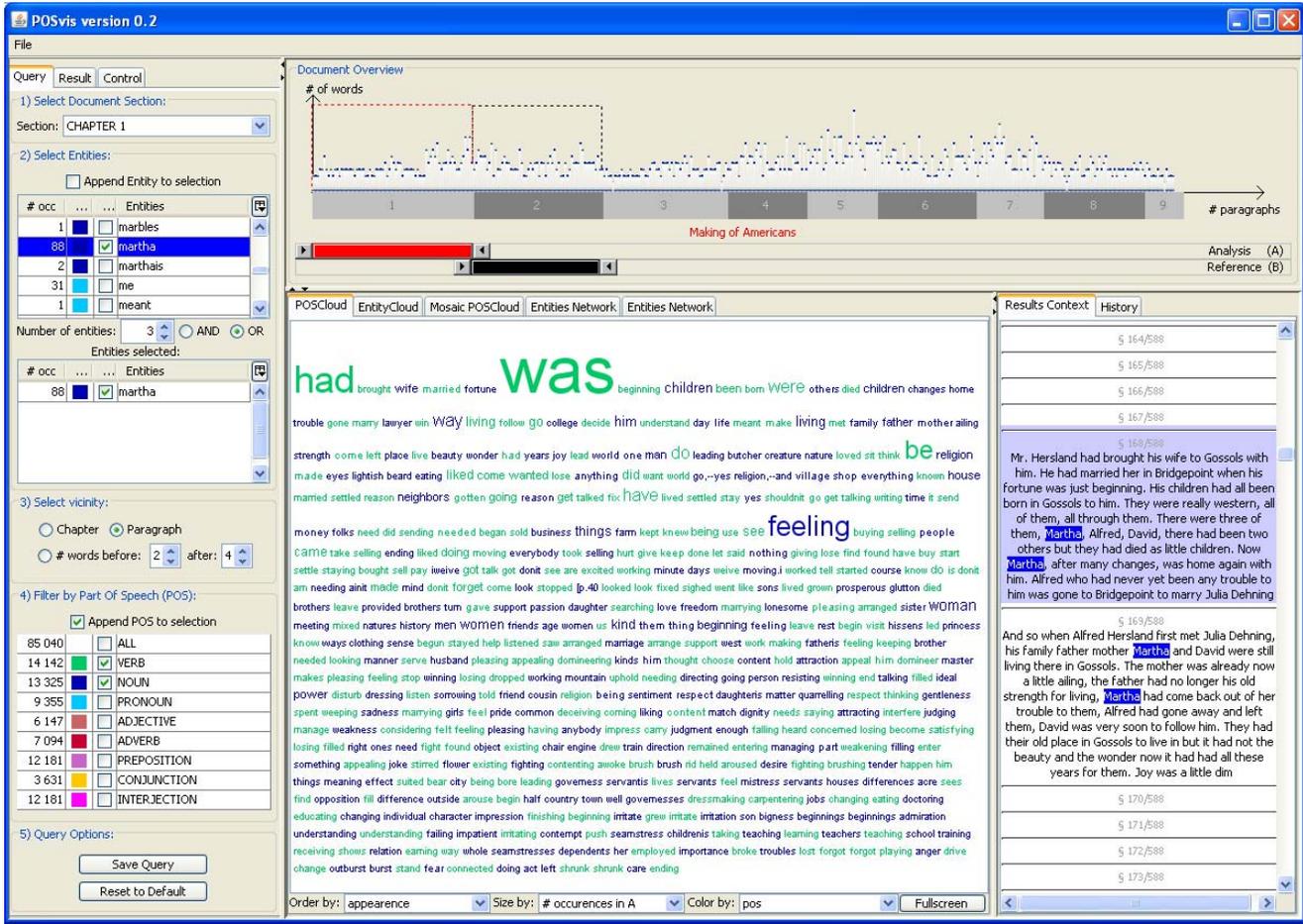


Figure 1. POSvis loaded with Gertrude Stein's book *The Making of Americans*. The top strip shows a document structure overview (here chapters) which allow users to select regions for analysis (red slider) and reference (black slider). In the query panel on the left, users can set the size of the vicinity window (here a paragraph) used for determining co-occurrence. In the control panel (tab not visible on the screenshot), entities were defined as NNP and NNPS (singular and plural proper names). One entity (Martha) was selected in the list of name entities, and verb and nouns were selected in the Part of Speech (POS) menu. Words found in the vicinity of Martha are summarized in a word cloud in the middle, and details on-demand are available on the right panel.

Embedded checkboxes in strips permit multiple POS selections. At the bottom of the panel, two buttons: one for saving the query for further usage, and another one for resetting the query to start with default values.

By default the *Results* panel shows a word cloud using usage frequency information (size) and POS information (color). The *full screen* button makes the interface full screen to better explore results and communicate them (data export is available as PNG and XML).

A *Control* panel (as a tab behind the *Query* panel) allows users to adjust parameters of the result display e.g. the frequency threshold for a word to be displayed in the tag cloud. Font selection, minimum and maximum font sizes and graph layout options are also available.

3.1 Query specification

First users select an analysis section (and optionally a reference section) in the *Overview* panel. Users then set the size of the text window to be used to determine co-occurrences, e.g., 20 words before and after, or a paragraph. The selection of name entities is iterative, using checkboxes and dynamically updated menus. There is no submitting query button: every click or focus results in an action, allowing non expert users to perform complex

queries that would have required advanced knowledge of Structured Query Language (SQL). Results are progressively revealed in the *results* panels. When users select items, details appear in the context panel we emphasize focused entities or POS by respectively highlighting them in blue or in yellow. We will keep this bi-color code in further selections/focus, such as selected items in lists, tag clouds or results in context. Another color coding is introduced for the POS categories: verbs, nouns, pronouns, adjectives, adverbs, prepositions, conjunctions, interjections. Those colors can be changed in the *properties* tab and be more detailed regarding POS sub categories (e.g. to distinguish plural from singular nouns).

3.2 Word usage in vicinity of name entities

Results are presented in two tabbed panels showing either a word cloud or a social network of name entities. If the character *Martha* is selected, the results display will show where it frequently appears and a summary of neighboring words.

3.2.1 Word clouds by Part of Speech

Word clouds are a simple way to summarize content, it is widely appreciated by literary scholars who find it easy to use, and enjoy the often elegant resulting displays. The result can be displayed as

a word cloud of the words found in the vicinity of the selected entities, and filtered to only show the entities that match the POS selected in the *query* panel. To increase the salience of the word cloud we give users the ability to define their own mapping with the controls at the bottom of the word clouds. Three independent visual variables are available: words order, size and color. They can encode text variables such as order of appearance in selection (analysis or reference), cumulative count of occurrence in analysis or reference selection. Other customizations to increase salience such as count thresholds or colors assignments are possible using the *properties* panel on the left. Some POS can be excluded/included in the query panel, but also specific entities can be included or excluded (by category or individual entities). This is useful as some words may be particularly preponderant than others and overwhelm the visualizations.

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him

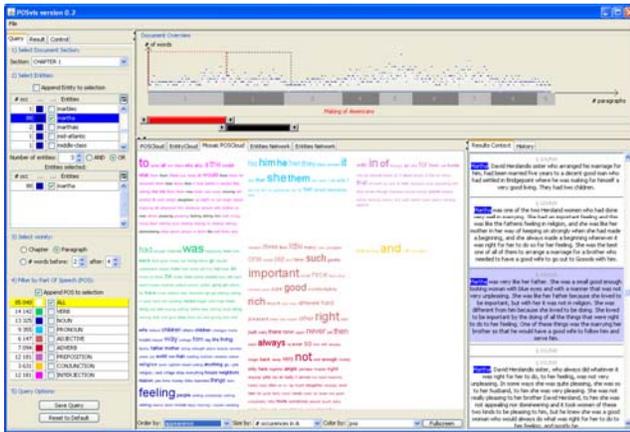


Figure 2 We introduce *mosaic word clouds* that shows word clouds according to categories. The color caption is available on the query panel (left panel).

3.2.2 Dunning log-likelihood Word clouds

To compare two text regions we used a Dunning’s log-likelihood analysis [5] to highlight words that are underused or overused in the analysis region, compared to a reference region.

A single tag cloud can encode words issued from many categories, but this we lose comparisons within each category. For that purpose we introduced *mosaic word cloud* that shows 3x3 small word clouds (Figure 2) encoding the different categories. All the tag clouds share the same color, size and order encoding.

The multiple views are tightly coupled. For instance, if a word is selected on the tag cloud, lists on the left are automatically updated to reflect available choices (and users get quantitative frequency values), and on the right panel the context view shows quotes from the text where the word appears. This way ambiguity can be weaved and by clicking on quotes the full text is displayed in the *document content* tab, i.e. hiding the word cloud. This strong coordination helps exploring each result, and they can also be sequentially explored with key down/up to let users focus on their task with only one degree of freedom to control and be sure not to miss any entry.

Order by: appearance Size by: # occurrences in A Color by: # occurrences in B Fullscreen

Figure 3. Martha has been selected with chapter 1 as analysis text (A) and chapter 2 as reference text (B). Words order encodes appearance in A, font size encodes the number of occurrence in A, and color (from black to red) encodes the number of occurrences in B.

Multiple encoding allows to quickly getting what are the most salient words, and interactions can be possible by varying reference or analysis selections. Beneath lists selection are mandatory captions that communicate what is encoded with what.

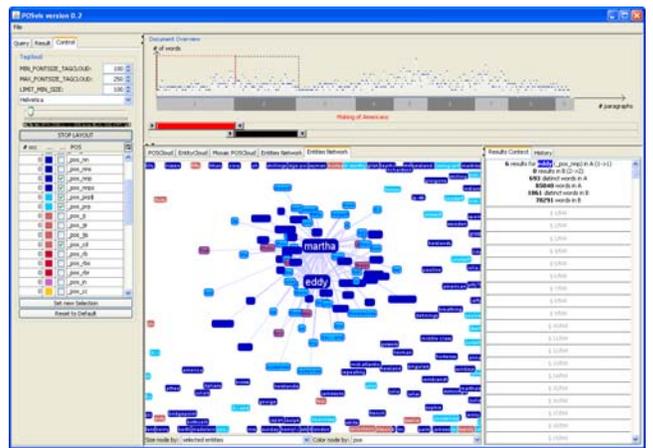


Figure 4. A second entity (Eddy) has been selected (either with the left checkbox or by double clicking on the name in the network panel) and is getting closer to Martha since they are both sharing name entities. We also selected new POS sub-categories as entities (left *Control* panel).Color coding is by POS.

3.2.3 Co-occurrence entities graph

When it comes to visualize interactions among multiple items, a network data structure fits well; but two main issues remain: how to construct the graph and how to interactively lay it out. The graph construction follows our definition (two entities are connected if they both co-occur within the same region).

We think self organizing networks permit an efficient use of the screen space and quickly get to a stable state. There exists many graph drawing or morphing techniques, some may fit better, but

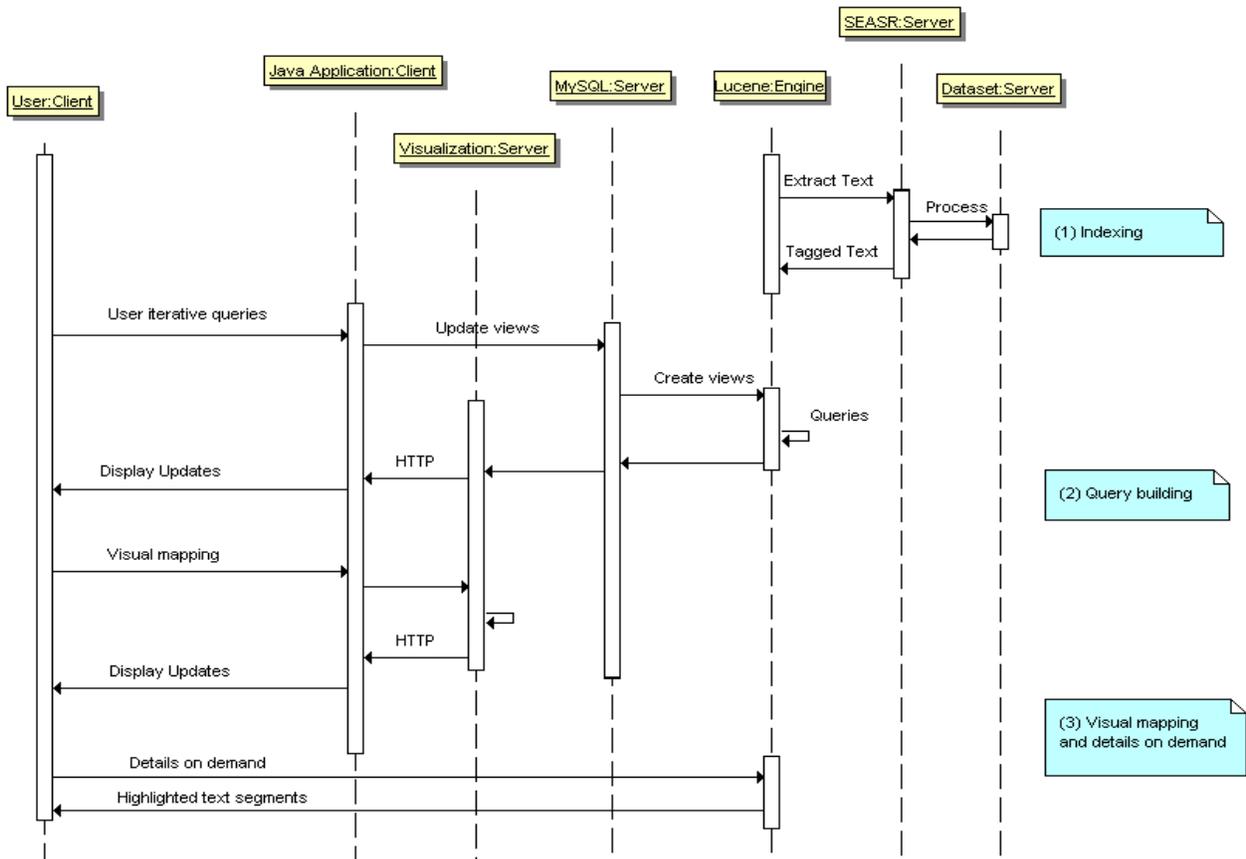


Figure 5 The system execution is threefold: 1) an offline indexing process extracts tagged chunks from text, keeping document structure 2) users select entities and POS of interest and gets tag clouds and social networks that can be customized during the last step 3) details on demand are available and retrieved by means of a query to the Lucene engine.

our focus was on real time force-directed layout computations with dynamic updates. Users can filter the network with strict co-occurrence (entities are connected to other entities that have to also appear). Like for word clouds, network visual attributes (entities/links color and size) can encode data attributes. The network holds the same coordination features as the tag cloud. An extra feature is that if users double-click on names, they are automatically added/removed from the query, which allows direct querying rather than shuttling back and forth from the query panel.

4 ARCHITECTURE

The user interface was implemented as a Java application for the client interface. We used Prefuse [9] for interactive graph layout functions and internal data structures (tables). Regarding the backend part, we needed 1) to build an index of the texts and answer queries using that index, 2) rank according to criteria (counts, relevance) and 3) multi data source integration. For that purpose we used Apache Lucene server (<http://lucene.apache.org/>), a high-performance, full-featured text search engine library written entirely in Java.

The system execution process is threefold (Figure 5). First text collections are pre-processed (e.g. tagged) offline using a text analysis mashups on a server based on the Software Environment for the Advancement of Scholarly Research (SEASR) [12] and indexed by Lucene. The goal of SEASR project is to create a flexible and scalable architecture that can be quickly deployed and reused for the humanities. This way, additional text processing such as cleaning up stop words or porter stemming can easily be

included in the data flow, even by scholar using SEASR interface drag and drop intuitive interface. Then, while users construct their queries, views are created in a cache MySQL database based on words attributes. Word clouds are generated from the MySQL database by a visualization server, available as a RESTful Web Service published over HTTP (so no proxy restriction). This way, word clouds can be seen as resources to which we pass parameters for options and results are in XML-like file format. Resulting XML files have a unique URL which can be visualized in any web-browser and easily shared or plugged into another system. Finally, the URL is imported by the Java application and is coupled with a local custom CSS file, according to users color mappings. The social network results from a query to Lucene index, and filled into edges and links tables. If users want details on data, such as the original text, queries are performed directly to to the Lucene which very quickly retrieve the document and highlight results.

4.1 Discussion on architecture design

During the architecture design, keeping interface reactive and client light have been our major concerns.

Interface reactivity is crucial since we want users to iteratively perform queries and visualize results. The main bottleneck appears when an entity is added to the query: then the system has to generate new views on the dataset. These views are virtual tables that require time to be created but are very quick to interrogate. Views lifetime is a user session long, and then are delete. Saving or pre-generating these views as cache is a viable optimization, but the trade off is that it needs lots of disk space.

As a short term solution, batch processes can be triggered after users queries, users are then advised of estimated time, and they get a dialog window to be notified when the job is done and the interface ready to use.

Note that even if index creation would be the natural way to make queries quicker, in our case index are not only based on a specific field only (chapter id, paragraph id...) but also on n word before and m word after varying windows that can't be indexed. Another further optimization is outsourcing independent time-consuming computations to clouds or distributed databases, but requires making processes independent, launching them and finally gathering results.

5 PILOT CASE STUDY

Before designing POSvis, our literary scholar partner had successfully used WORDLE (<http://www.wordle.net>) to look at word frequencies in the different chapters. Wordle has been greatly appreciated by literary scholars who can simply load the list of word frequencies (instead of large text documents) and see the results. What became immediately evident in comparing the Wordles of different chapters was the prominence of a particular word that consistently scores a high value: *one*, which prompted our scholar to look closely at the use of that word. While POSvis was originally intended to be used with proper names, it was quickly extended to allow the use of entities which are not proper names. Comparisons revealed that the frequency of *one* surges by the end of the book. After reading the text segments it became clear that the word *one* —unlike *he, she, I, we, or even you or it*— plays many positions in the text, in the role of a pronoun or an adjective and in the subject or object position, therefore the possible surge in frequency. Our scholar proposed that the high frequency of *one* was the result of the confusion accomplished by the word's schizophrenic nature. Thus, by using POSvis, the progression of the manner in which the word *one* was used in terms of different parts of speech was documented, allowing our scholar to see that the use of *one* appears to change as the text progresses. The analysis led to a reading in which *one* may represent a singular subject position or multiple subject positions at once. With this information, an argument could be made that the discourse about identity formation in *the Making of Americans* is engaged in this multiplicity, not dissolved in indeterminacy, which led to a publication ([3], and inclusion in a PhD thesis).

6 DISCUSSION AND FUTURE WORK

The literary scholars who provided feedback on the prototype could readily find potential use scenarios in their own work. Nevertheless their examples made it clear that character names are rarely going to be as easy to spot as finding “Victor Hugo” in historical texts. While the problem of missed references was found acceptable (e.g. when a pronoun is used instead of the proper name), scholars asked to be allowed to add specific name entities (e.g. the “little prince” or “fox” when analyzing Saint Exupéry's story), or nouns such as “mother” when unambiguous. Multiple named entities may need to be grouped into a single one (e.g. “Mother” and “Martha”) or all the names of family members could be aggregated into a single family entity.

Today the current POSvis implementation only allows the comparison of text regions using the document structure to select regions (e.g. comparing one chapter to another). A logical next step is to allow scholars to find entities and compare larger sets of texts, for e.g. scholars may want to compare the vocabulary in the vicinity of “Victor Hugo” in text written in different centuries or by male or female authors. The easy manipulation of such collections is part of the tools being developed by the Monk project and the design of POSvis should be integrated into MONK.

In the interface, the color coding of the Parts of Speech is problematic because of the large number of colors needed. Giving users control of the choice of color is helpful, but it might be necessary to allow them to group POS categories to limit the number of colors. While experimenting with the graph visualization, we found that being able to freeze the layout was important to avoid constant movement and to allow users to focus on the changes of highlighting and linking.

We know that the use of Dunning's log likelihood ratio is familiar to many of the literary scholars we work with but not all of them, therefore providing multiple word statistics and word cloud layout to compare texts might be needed, but this may in turn bring confusion as to which statistics is been used. For example when comparing two text collections with Dunning's; only words that appear in both analysis and reference can be compared. Other displays use plain word counts and duplicate the words (e.g. Many Eyes in Figure 7), first putting words in A, then in A&B, and finally in B, but there is a substantial amount of wasted space so fewer words can be shown overall. Tag clouds have been shown to be problematic and rarely more effective than plain lists [14], but they are greatly appreciated by humanity scholars who love the intuitive picture they give of the text. Further case studies need to explore the best design with this user population.

From a broader perspective, coming challenges on large textual data sets analysis can be summarized as Martin Wattenberg did in a Wired article¹: “*The entire literary canon may be smaller than what comes out of particle accelerators or models of the human brain, but the meaning coded into words can't be measured in bytes. It's deeply compressed. Twelve words from Voltaire can hold a lifetime of experience.*”

RELATED WORK

Tagclouds are one way to get a spatial, birds-eye view of keywords from a text document. Looking at a tagclouds gives an impression on the type of content. Their building is to filter large amounts of text using salience and interaction to find a better mapping with text abstraction attributes [16]. Tagclouds are scattered all over the web thanks to their easy construction and understanding, but their use tend to decline since there has not been much improvements and good uses of them expect a eye candy display of hyperlinks. Limits mostly appear in bad interaction design. Authors in [8] make a strong critique against tagclouds, complaining they are hard to compare and that the more letters they have the more they seem important. From our experience in reviewing websites or systems implementing tag clouds, another critique is that most of the time captions are missing and it is not possible to determine what is encoded with what, such as if colors or word orders have meanings.

In [1] authors studied the independent variables in tag clouds and ranked them from important (font size, font weight, intensity), less important (number of pixels, tag width, tag area) and to handle with care (color, position).

Optimizing tagcloud layouts with aesthetics has been tackled more recently with Wordle. The drawback with Wordle is that vertical or reverse words -even biggest ones- don't catch attention since they are hard to read. Comparisons among tags become even more complex. An innovative way is to show relationships between tags by Dynamic TagClouds² (available as a Wordpress plug-in) that is a Flash application that shows tags in a circle

¹http://www.wired.com/science/discoveries/magazine/16-07/pb_visualizing (retrieved 03/2009)

² <http://blog.figmentengine.com/2008/11/dynamic-tag-cloud-v12.html>

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