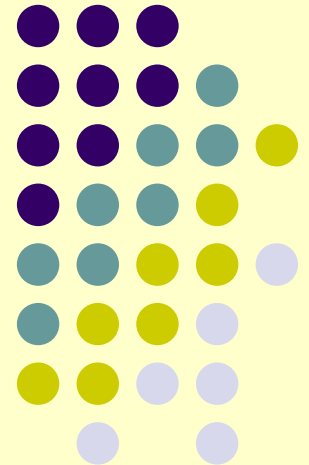


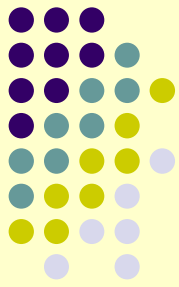
# Program Verification with Flow-Effect Types

---

Paritosh Shroff  
*Johns Hopkins University*

(joint work with Chris Skalka and Scott Smith)





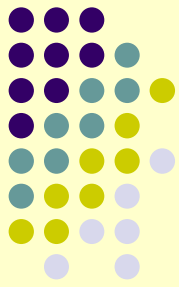
# Refinements in Type Theory

## *Operational Awareness*

- Simple types (*int, bool,  $\tau \rightarrow \tau$* )
- Polymorphic types (*'a, 'b, 'a  $\rightarrow$  'b*)
- Subtypes ( *$\tau <: \tau$* )
- Subtyping constraint types ( *$t \setminus \{\tau_1 <: \tau_2, \tau_3 <: \tau_4, \dots\}$* )
- Effect types ( *$\tau \xrightarrow{\sigma} \tau$* )
- Singleton types (*{0}, {true}*)
- *Flow-effect types*
  - *incorporate flow-sensitivity*

unordered data-flow  
i.e. flow-insensitive

# Flow-sensitivity

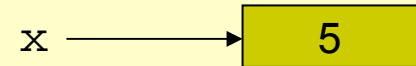


## Temporally Ordered Data-Flow

```
let x = ref 5 in
```

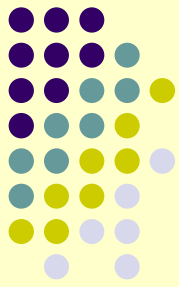
```
  x := true;
```

```
  !x ^ false
```



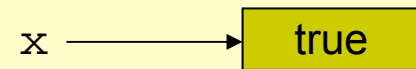
No ML-style value restriction

# Flow-sensitivity



## Temporally Ordered Data-Flow

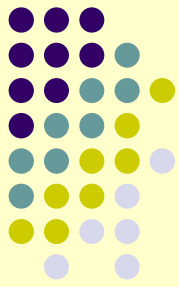
```
let x = ref 5 in  
  x := true;  
  !x ^ false
```



No ML-style value restriction

# Flow-Effect Types

```
let x1 ◁ δ1 in  
let x2 ◁ δ2 in  
...  
let xn ◁ δn in  
xn
```



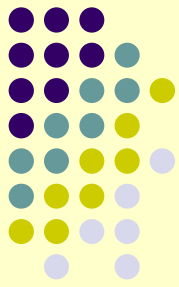
- Embody all of the computational structure of programs
- *akin to* A-normalized expressions
  - explicitly order atomic computation steps ( $\delta$ )

$(1 + 2) + 3 \rightsquigarrow$ 

```
let x1 ◁ {1} + {2} in  
let x2 ◁ x1 + {3} in  
x2
```

# Flow-Effect “Types”?

let  $x_1 \triangleleft \delta_1$  in  
let  $x_2 \triangleleft \delta_2$  in  
...  
let  $x_n \triangleleft \delta_n$  in  
 $x_n$



- Origins in type theory and shared methodology
- subtyping constraints (data-*flow*) + trace-based *effects*
- Sequence of data-flows

$[\delta_1 <: x_1 ; \delta_2 <: x_2 ; \dots ; \delta_n <: x_n]$

...as opposed to unordered set

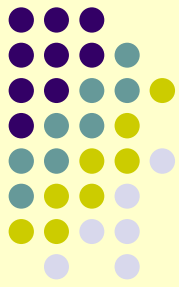
$\{\delta_1 <: x_1 , \delta_2 <: x_2 , \dots , \delta_n <: x_n\}$

$\lambda x.e \mapsto \lambda x.$  let  $x_1 \triangleleft \delta_1$  in  
let  $x_2 \triangleleft \delta_2$  in  
...  
let  $x_n \triangleleft \delta_n$  in  
 $x_n$

$\cong$

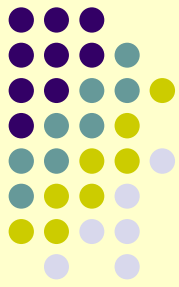
$x \xrightarrow{[\delta_1 <: x_1 ; \delta_2 <: x_2 ; \dots ; \delta_n <: x_n]} x_n$

function body is the *effect*



# Flow-Effect Type Closure

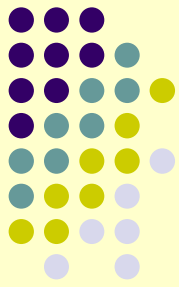
- Idealized expression computation
  - abstract interpretation [*Cousot and Cousot*]
    - higher-order
    - trace-based [*Colby and Lee, POPL'96*]
- Naïve Closure: mimics expression computation *i.e. runs* them
  - non-diverging computations  $\Rightarrow$  *no problem*
    - $(\lambda id. id(5) + 1; id(true)) (\lambda x.x)$
  - diverging computations  $\Rightarrow$  *diverging closures*
    - $(\lambda x.x x) (\lambda x.x x)$
- **Goal:** Find a *sound approximation* for *diverging naïve closures*



# Diverging Computations

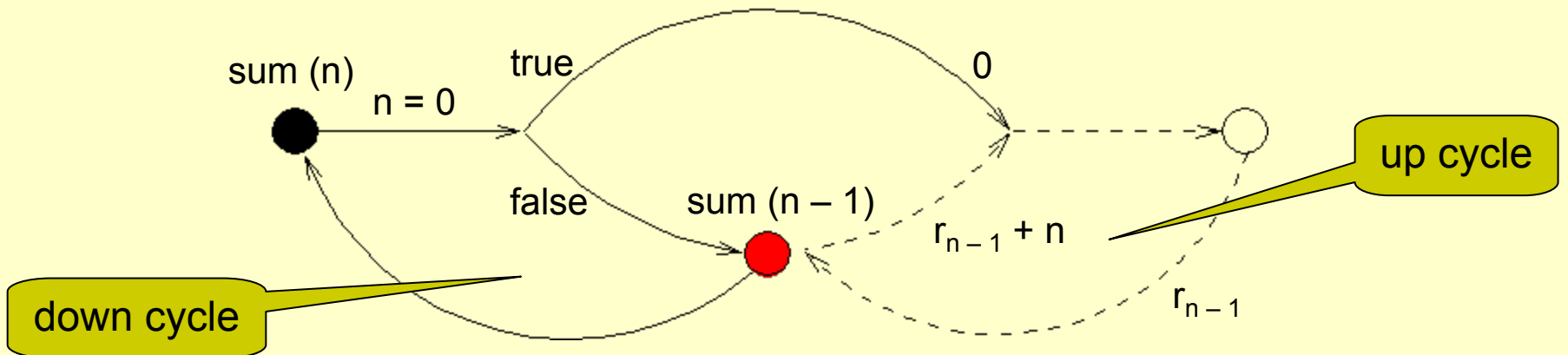
- Execute some piece of code *infinitely* often
  - unbounded recursion  $\Rightarrow$  unbounded stack size
  - $(\lambda x. x \ x) \ (\lambda x. x \ x)$
- Crux of a Sound Decidable Closure ( $\Omega$ -Closure)
  - bounded stack size
    - *fix-point* for recursive computations
  - *prune-rerun* technique





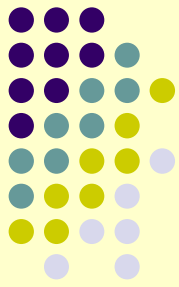
# Structure of Recursion

$\lambda_{\text{sum}} n.$  **if**  $n = 0$  **then**  
    0  
**else**  
    sum (n - 1) + n

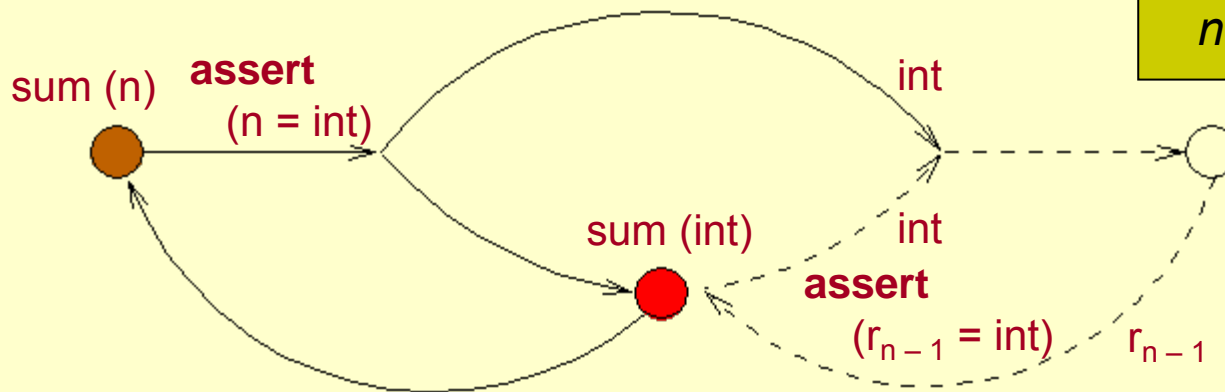
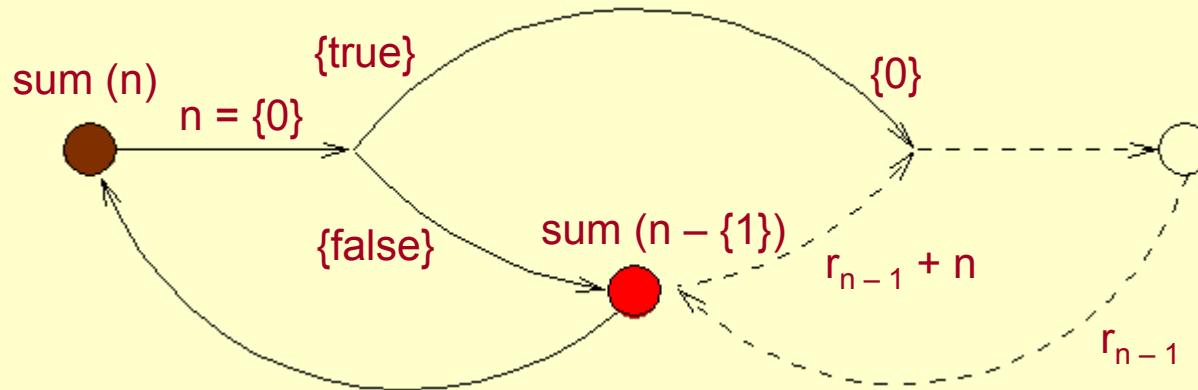


Control-flow graph (CFG) for operational behavior of 'sum'

*...will tweak this CFG to bound the stack*



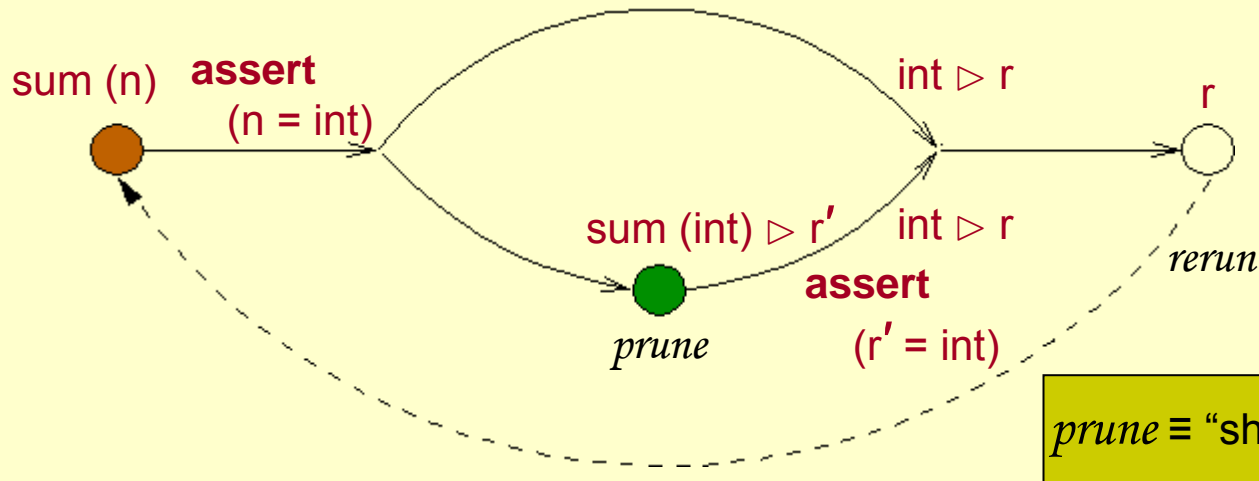
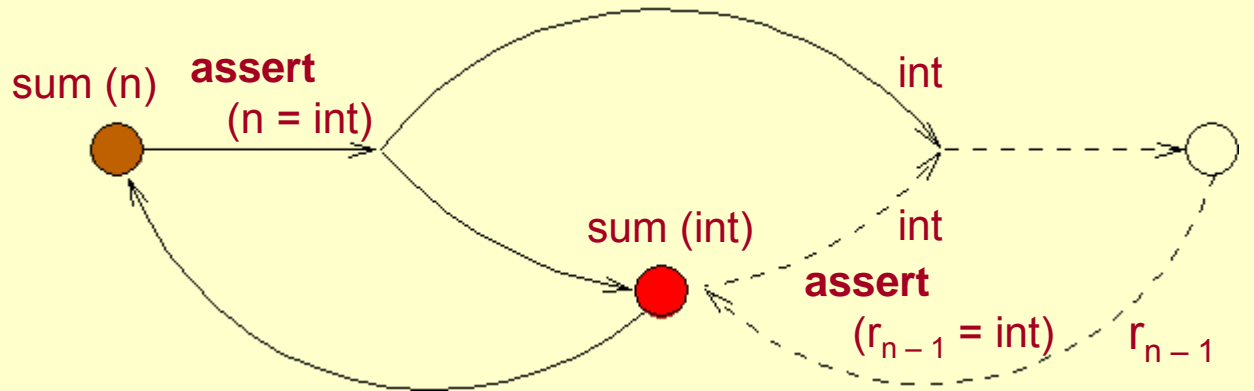
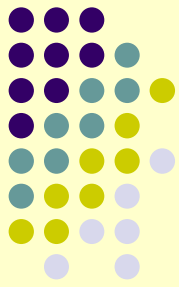
# Abstract Int/Bool (...for now)



only data is abstracted  
*not* the control-flow

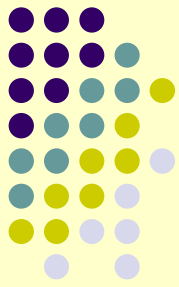
CFG for operational behavior of *abstracted* 'sum'

# *prune-rerun* Technique

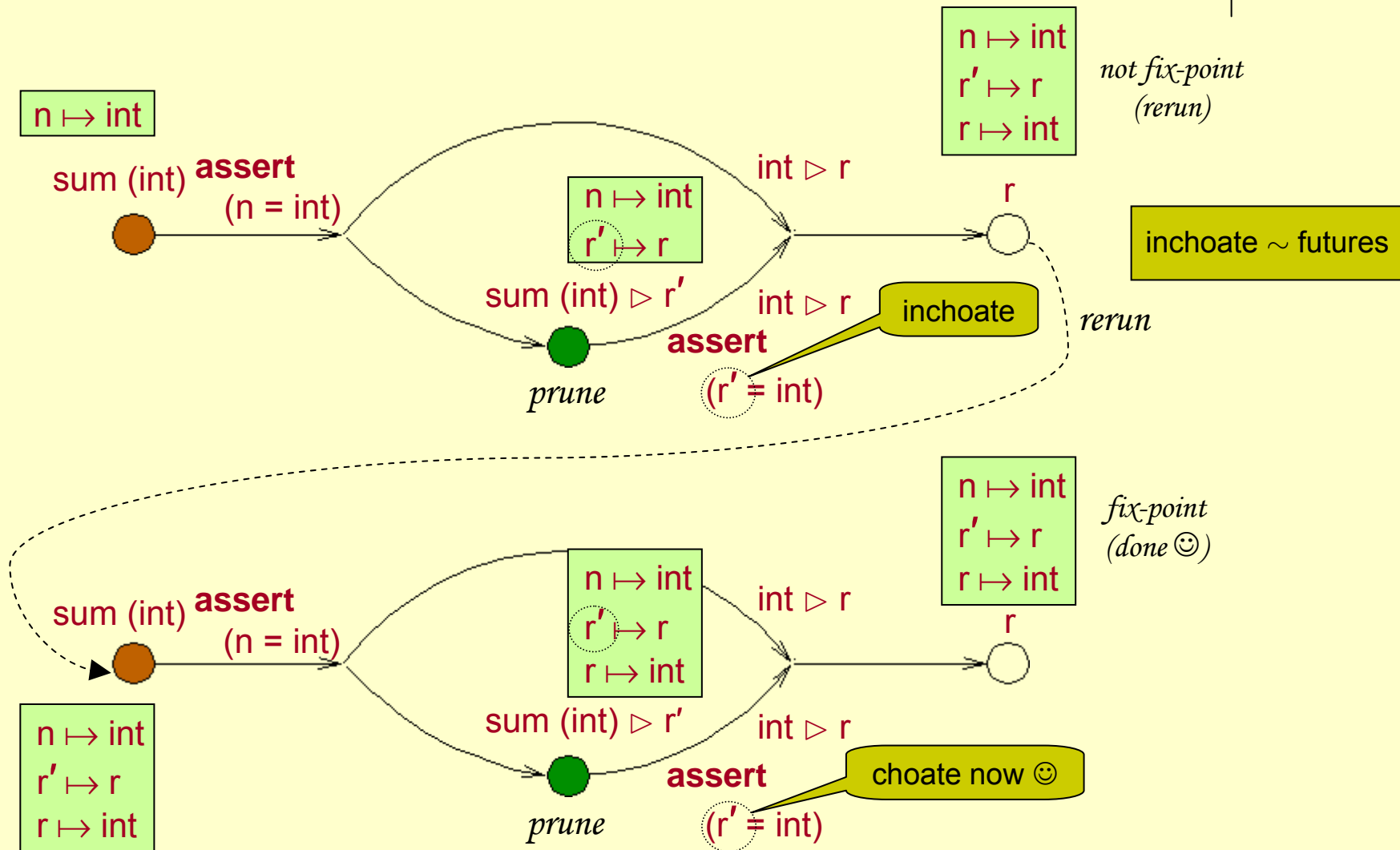


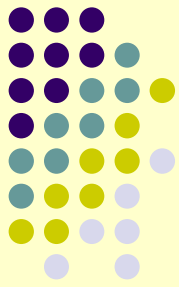
*prune* ≡ "short-circuit" function call

CFG for type closure of 'sum' via *prune-rerun* technique



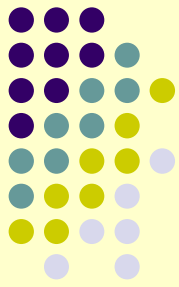
# $\Omega$ -Closure: sum (int)





# Summary of $\Omega$ -Closure

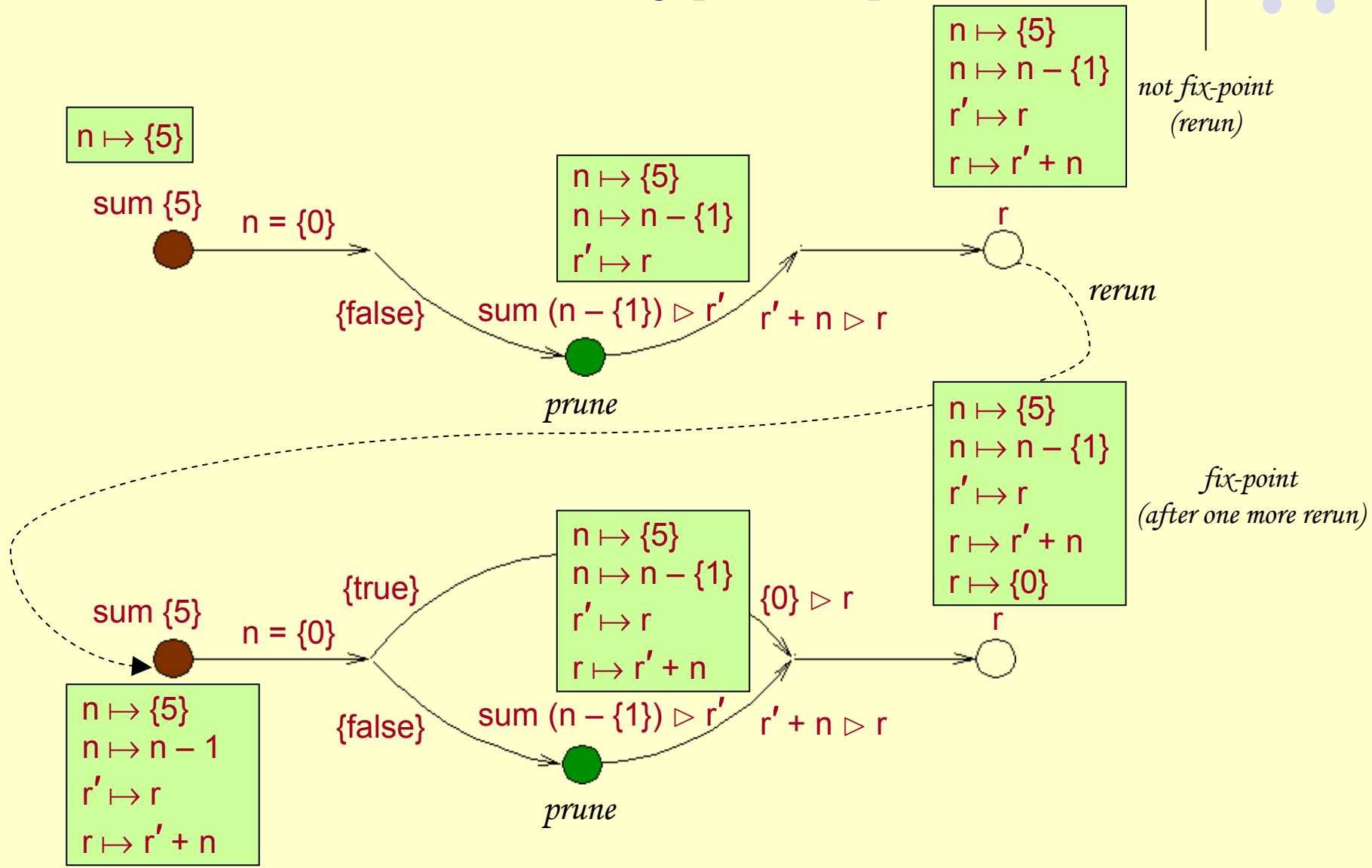
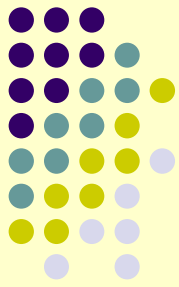
- Environment-based
  - monotonic
- Non Recursive Computations
  - simply *run*
- Recursive Computations
  - *prune* recursive calls
  - *rerun* until fix-point (or an *error*) is found



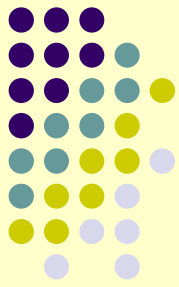
# Extensions to $\Omega$ -Closure

- A. Singleton Types via *Type Operators*
- $\{\text{true}\}, \{\text{false}\}, \{1\}, \{2\}, \dots$
  - $\wedge, \vee, =, +, -, *, /, \dots$ 
    - $\{1\} + \{2\}, \{\text{true}\} \wedge \{\text{false}\}$
- B. Higher-Order Parametric Polymorphism via *Argument Tagging*
- $(\lambda \text{id}.\text{id} (5) + 1; \text{id} (\text{true}) \wedge \text{false}) (\lambda x.x)$
  - CPA-style [Ole Agesen, ECOOP'95]
- C. Mutable State via *Abstract Heap*

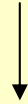
# Singleton Types via Type Operators



# Higher-Order Parametric Polymorphism via *Argument Tagging*

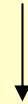


$(\lambda \text{id}.\text{id } \{5\} + \{1\}; \text{id } \{\text{true}\} \wedge \{\text{false}\}) (\lambda x.x)$



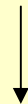
$\text{id } \{5\} + \{1\}; \text{id } \{\text{true}\} \wedge \{\text{false}\}$

$\text{id} \mapsto \lambda x.x$



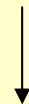
$x + \{1\}; \text{id } \{\text{true}\} \wedge \{\text{false}\}$

$\text{id} \mapsto \lambda x.x$   
 $x \mapsto \{5\}$



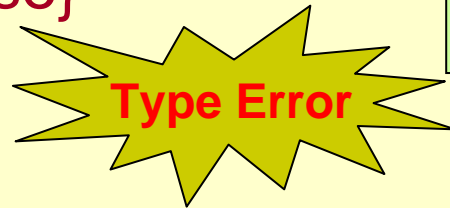
$\text{id } \{\text{true}\} \wedge \{\text{false}\}$

$\text{id} \mapsto \lambda x.x$   
 $x \mapsto \{5\}$



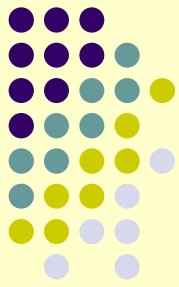
$x \wedge \{\text{false}\}$

$\text{id} \mapsto \lambda x.x$   
 $x \mapsto \{5\}$   
 $x \mapsto \{\text{true}\}$





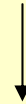
# Higher-Order Parametric Polymorphism via *Argument Tagging*



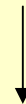
$(\lambda \text{id}.\text{id } \{5\} + \{1\}; \text{id } \{\text{true}\} \wedge \{\text{false}\}) (\lambda x.x)$



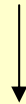
$\text{id } \{5\} + \{1\}; \text{id } \{\text{true}\} \wedge \{\text{false}\}$



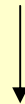
$\mathbf{x}^{\{5\}} + \{1\}; \text{id } \{\text{true}\} \wedge \{\text{false}\}$



$\text{id } \{\text{true}\} \wedge \{\text{false}\}$



$\mathbf{x}^{\{\text{true}\}} \wedge \{\text{false}\}$



$\{\text{false}\}$

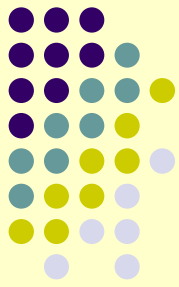
$\text{id} \mapsto \lambda x.x$

$\text{id} \mapsto \lambda x.x$   
 $\mathbf{x}^{\{5\}} \mapsto \{5\}$

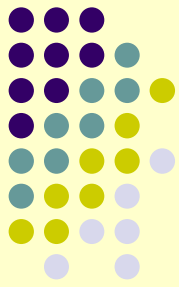
$\text{id} \mapsto \lambda x.x$   
 $\mathbf{x}^{\{5\}} \mapsto \{5\}$

$\text{id} \mapsto \lambda x.x$   
 $\mathbf{x}^{\{5\}} \mapsto \{5\}$   
 $\mathbf{x}^{\{\text{true}\}} \mapsto \{\text{true}\}$

# Mutable State via *Abstract Heap*



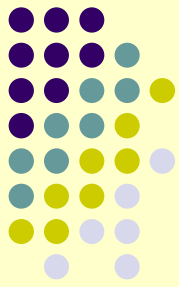
- Mutable abstract heap
- **ref**, **get**, **set** mimic run-time heap operations
- Recursive data structures
  - collapsed to single abstract heap locations
    - E.g. linked-list
- No need for ML-style value restriction
  - heap operations are flow-sensitive
  - memory-based fixed points
- Verifying Temporal Heap Properties [Yahav *et al*, ESOP'03]



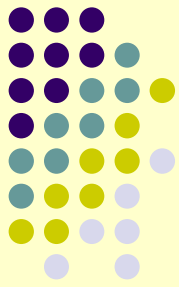
# Properties of $\Omega$ -Closure

- ❖ **Soundness** If  $e$  has a type closure then it either diverges or computes to a value.
  - ❖ finite automaton simulates the execution of  $e$
- ❖ **Computability** Type closure is computable for any  $e$ .
  - ❖ bounded stack depth, to number of functions in  $e$
  - ❖ monotonic environment
  - ❖ *no* new type creation  $\Rightarrow$  bounded environment

# Conjectures about $\Omega$ -Closure

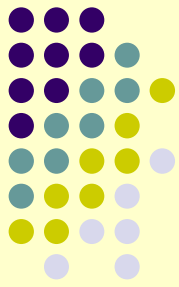


- ❖ **Completeness**  $\Omega$ -closure based flow-effect type system is HM-complete.
  - ❖ *ordered* subtyping constraint closure
- ❖ **Complexity**  $\Omega$ -closure is computable in exponential time.



# Applications

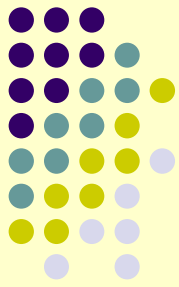
- Model Checking
  - finite automaton of program execution
  - control-flow + data-flow
- Automated Verification of Programs
  - higher-order
    - *akin to* ESP, ARCHER, SLAM for first-order
  - **assert** ( $x = y$ ): verify program equivalences
- Program Analysis in Compilers



# Future Work

- Apply to Java and ML-like languages
  - object-oriented  $\Rightarrow$  higher-order features
- Path-sensitivity
  - tag branches before merging
  - split branches based on tag when needed
- Inductive Assertions
  - **assert** ( $n \geq 0$ )
- Static array bounds check

# Download



$\Omega$ -Closure based type system has been implemented

`www.cs.jhu.edu/~pari/floweffecttypes`