

# Small Ramsey Numbers

Exposition by **William Gasarch**

April 15, 2022

# Lets Party Like Its January of 2019

Recall the first theorem one usually hears in Ramsey Theory and can tell your non-math friends about.

*If there are 6 people at a party, either 3 know each other or 3 do not know each other.*

# Lets Party Like Its January of 2019

Recall the first theorem one usually hears in Ramsey Theory and can tell your non-math friends about.

*If there are 6 people at a party, either 3 know each other or 3 do not know each other.*

We state this in terms of colorings of edges of graphs.

*For all 2-coloring of the edges of  $K_6$  there is a mono  $K_3$ .*

# Lets Party Like Its January of 2019

Recall the first theorem one usually hears in Ramsey Theory and can tell your non-math friends about.

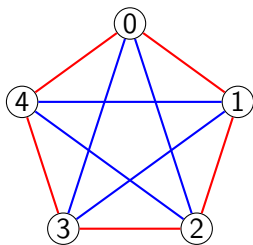
*If there are 6 people at a party, either 3 know each other or 3 do not know each other.*

We state this in terms of colorings of edges of graphs.

*For all 2-coloring of the edges of  $K_6$  there is a mono  $K_3$ .*

**Question** What if we color the edges of  $K_5$ ?

## Coloring of $K_5$ with no Mono $K_3$



This graph is not arbitrary.

$$SQ_5 = \{x^2 \pmod{5} : 0 \leq x \leq 4\} = \{0, 1, 4\}.$$

- ▶ If  $i - j \in SQ_5$  then RED.
- ▶ If  $i - j \notin SQ_5$  then BLUE.

# Asymmetric Ramsey Numbers

**Definition**  $R(a, b)$  is least  $n$  such that for all 2-colorings of  $K_n$  there is **either** a red  $K_a$  or a blue  $K_b$ .

1.  $R(a, b) = R(b, a)$ .
2.  $R(2, b) = b$
3.  $R(a, 2) = a$

$$R(a, b) \leq R(a - 1, b) + R(a, b - 1)$$

**Theorem**  $R(a, b) \leq R(a - 1, b) + R(a, b - 1)$

**Proof**

Let  $n = R(a - 1, b) + R(a, b - 1)$ . COL:  $\binom{[n]}{2} \rightarrow [2]$ .

**Case 1**  $(\exists v)[\deg_R(v) \geq R(a - 1, b)]$ . Look at the  $R(a - 1, b)$  vertices that are RED to  $v$ . By Definition of  $R(a - 1, b)$  either

- ▶ There is a RED  $K_{a-1}$ . Combine with  $v$  to get RED  $K_a$ .
- ▶ There is a BLUE  $K_b$ .

$$R(a, b) \leq R(a - 1, b) + R(a, b - 1)$$

**Theorem**  $R(a, b) \leq R(a - 1, b) + R(a, b - 1)$

**Proof**

Let  $n = R(a - 1, b) + R(a, b - 1)$ . COL:  $\binom{[n]}{2} \rightarrow [2]$ .

**Case 1**  $(\exists v)[\deg_R(v) \geq R(a - 1, b)]$ . Look at the  $R(a - 1, b)$  vertices that are RED to  $v$ . By Definition of  $R(a - 1, b)$  either

- ▶ There is a RED  $K_{a-1}$ . Combine with  $v$  to get RED  $K_a$ .
- ▶ There is a BLUE  $K_b$ .

**Case 2**  $(\exists v)[\deg_B(v) \geq R(a, b - 1)]$ . Similar to Case 1.



$$R(a, b) \leq R(a - 1, b) + R(a, b - 1)$$

**Theorem**  $R(a, b) \leq R(a - 1, b) + R(a, b - 1)$

**Proof**

Let  $n = R(a - 1, b) + R(a, b - 1)$ . COL:  $\binom{[n]}{2} \rightarrow [2]$ .

**Case 1**  $(\exists v)[\deg_R(v) \geq R(a - 1, b)]$ . Look at the  $R(a - 1, b)$  vertices that are RED to  $v$ . By Definition of  $R(a - 1, b)$  either

- ▶ There is a RED  $K_{a-1}$ . Combine with  $v$  to get RED  $K_a$ .
- ▶ There is a BLUE  $K_b$ .

**Case 2**  $(\exists v)[\deg_B(v) \geq R(a, b - 1)]$ . Similar to Case 1.

**Case 3**

$(\forall v)[\deg_R(v) \leq R(a - 1, b) - 1 \wedge \deg_B(v) \leq R(a, b - 1) - 1]$

$(\forall v)[\deg(v) \leq R(a - 1, b) + R(a, b - 1) - 2 = n - 2]$

Not possible since every vertex of  $K_n$  has degree  $n - 1$ .

## Lets Compute Bounds on $R(a, b)$

- ▶  $R(3, 3) \leq R(2, 3) + R(3, 2) \leq 3 + 3 = 6$
- ▶  $R(3, 4) \leq R(2, 4) + R(3, 3) \leq 4 + 6 = 10$
- ▶  $R(3, 5) \leq R(2, 5) + R(3, 4) \leq 5 + 10 = 15$
- ▶  $R(3, 6) \leq R(2, 6) + R(3, 5) \leq 6 + 15 = 21$
- ▶  $R(3, 7) \leq R(2, 7) + R(3, 6) \leq 7 + 21 = 28$

## Lets Compute Bounds on $R(a, b)$

- ▶  $R(3, 3) \leq R(2, 3) + R(3, 2) \leq 3 + 3 = 6$
- ▶  $R(3, 4) \leq R(2, 4) + R(3, 3) \leq 4 + 6 = 10$
- ▶  $R(3, 5) \leq R(2, 5) + R(3, 4) \leq 5 + 10 = 15$
- ▶  $R(3, 6) \leq R(2, 6) + R(3, 5) \leq 6 + 15 = 21$
- ▶  $R(3, 7) \leq R(2, 7) + R(3, 6) \leq 7 + 21 = 28$

Can we make some improvements to this?

## Lets Compute Bounds on $R(a, b)$

- ▶  $R(3, 3) \leq R(2, 3) + R(3, 2) \leq 3 + 3 = 6$
- ▶  $R(3, 4) \leq R(2, 4) + R(3, 3) \leq 4 + 6 = 10$
- ▶  $R(3, 5) \leq R(2, 5) + R(3, 4) \leq 5 + 10 = 15$
- ▶  $R(3, 6) \leq R(2, 6) + R(3, 5) \leq 6 + 15 = 21$
- ▶  $R(3, 7) \leq R(2, 7) + R(3, 6) \leq 7 + 21 = 28$

Can we make some improvements to this? YES!

$$R(3, 4) \leq 9$$

**Theorem**  $R(3, 4) \leq 9$ .

Let  $COL$  be a 2-coloring of the edges of  $K_9$ .

**Case 1**  $(\exists v)[\deg_R(v) \geq 4]$ .  $v_1, v_2, v_3, v_4$  are RED to  $v$ .

$$R(3, 4) \leq 9$$

**Theorem**  $R(3, 4) \leq 9$ .

Let  $COL$  be a 2-coloring of the edges of  $K_9$ .

**Case 1**  $(\exists v)[\deg_R(v) \geq 4]$ .  $v_1, v_2, v_3, v_4$  are RED to  $v$ .

If any of  $v_i, v_j$  is RED, then  $v, v_i, v_j$  are RED  $K_3$ .

# $R(3, 4) \leq 9$

**Theorem**  $R(3, 4) \leq 9$ .

Let  $COL$  be a 2-coloring of the edges of  $K_9$ .

**Case 1**  $(\exists v)[\deg_R(v) \geq 4]$ .  $v_1, v_2, v_3, v_4$  are RED to  $v$ .

If any of  $v_i, v_j$  is RED, then  $v, v_i, v_j$  are RED  $K_3$ .

If not then  $v_1, v_2, v_3, v_4$  is BLUE  $K_4$ .

$$R(3, 4) \leq 9$$

**Theorem**  $R(3, 4) \leq 9$ .

Let  $COL$  be a 2-coloring of the edges of  $K_9$ .

**Case 1**  $(\exists v)[\deg_R(v) \geq 4]$ .  $v_1, v_2, v_3, v_4$  are RED to  $v$ .

If any of  $v_i, v_j$  is RED, then  $v, v_i, v_j$  are RED  $K_3$ .

If not then  $v_1, v_2, v_3, v_4$  is BLUE  $K_4$ .

**Case 2**  $(\exists v)[\deg_B(v) \geq 6]$ .  $v_1, v_2, v_3, v_4, v_5, v_6$  are BLUE to  $v$ .

Either:

(1) a RED  $K_3$ , or

(2) a BLUE  $K_3$ , which together with  $v$  is a BLUE  $K_4$ .

**NOTE** Can't have any  $\deg_R(v) \leq 2$ .



# $R(3, 4) \leq 9$

**Theorem**  $R(3, 4) \leq 9$ .

Let  $COL$  be a 2-coloring of the edges of  $K_9$ .

**Case 1**  $(\exists v)[\deg_R(v) \geq 4]$ .  $v_1, v_2, v_3, v_4$  are RED to  $v$ .

If any of  $v_i, v_j$  is RED, then  $v, v_i, v_j$  are RED  $K_3$ .

If not then  $v_1, v_2, v_3, v_4$  is BLUE  $K_4$ .

**Case 2**  $(\exists v)[\deg_B(v) \geq 6]$ .  $v_1, v_2, v_3, v_4, v_5, v_6$  are BLUE to  $v$ .

Either:

(1) a RED  $K_3$ , or

(2) a BLUE  $K_3$ , which together with  $v$  is a BLUE  $K_4$ .

**NOTE** Can't have any  $\deg_R(v) \leq 2$ .

**Case 3**  $(\forall v)[\deg_R(v) = 3]$ . The RED subgraph has 9 nodes each of degree 3. Impossible!

# Reminder of the Odd Vertex Things

**Lemma** Let  $G = (V, E)$  be a graph.

$$V_{\text{even}} = \{v : \deg(v) \equiv 0 \pmod{2}\}$$

$$V_{\text{odd}} = \{v : \deg(v) \equiv 1 \pmod{2}\}$$

Then  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ .

# Reminder of the Odd Vertex Things

**Lemma** Let  $G = (V, E)$  be a graph.

$$V_{\text{even}} = \{v : \deg(v) \equiv 0 \pmod{2}\}$$

$$V_{\text{odd}} = \{v : \deg(v) \equiv 1 \pmod{2}\}$$

Then  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ .

Recall that for any graph  $G = (V, E)$ :

$$\sum_{v \in V_{\text{even}}} \deg(v) + \sum_{v \in V_{\text{odd}}} \deg(v) = \sum_{v \in V} \deg(v) = 2|E| \equiv 0 \pmod{2}.$$

# Reminder of the Odd Vertex Things

**Lemma** Let  $G = (V, E)$  be a graph.

$$V_{\text{even}} = \{v : \deg(v) \equiv 0 \pmod{2}\}$$

$$V_{\text{odd}} = \{v : \deg(v) \equiv 1 \pmod{2}\}$$

Then  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ .

Recall that for any graph  $G = (V, E)$ :

$$\sum_{v \in V_{\text{even}}} \deg(v) + \sum_{v \in V_{\text{odd}}} \deg(v) = \sum_{v \in V} \deg(v) = 2|E| \equiv 0 \pmod{2}.$$

$$\sum_{v \in V_{\text{odd}}} \deg(v) \equiv 0 \pmod{2}.$$

# Reminder of the Odd Vertex Things

**Lemma** Let  $G = (V, E)$  be a graph.

$$V_{\text{even}} = \{v : \deg(v) \equiv 0 \pmod{2}\}$$

$$V_{\text{odd}} = \{v : \deg(v) \equiv 1 \pmod{2}\}$$

Then  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ .

Recall that for any graph  $G = (V, E)$ :

$$\sum_{v \in V_{\text{even}}} \deg(v) + \sum_{v \in V_{\text{odd}}} \deg(v) = \sum_{v \in V} \deg(v) = 2|E| \equiv 0 \pmod{2}.$$

$$\sum_{v \in V_{\text{odd}}} \deg(v) \equiv 0 \pmod{2}.$$

Sum of odds  $\equiv 0 \pmod{2}$ . Must have even num of them. So  $|V_{\text{odd}}| \equiv 0 \pmod{2}$ .

# A Generalization of this Trick

What was it about  $R(3,4)$  that made that trick work?

## A Generalization of this Trick

What was it about  $R(3, 4)$  that made that trick work?

We originally had

$$R(3, 4) \leq R(2, 4) + R(3, 3) \leq 4 + 6 \leq 10$$

## A Generalization of this Trick

What was it about  $R(3, 4)$  that made that trick work?  
We originally had

$$R(3, 4) \leq R(2, 4) + R(3, 3) \leq 4 + 6 \leq 10$$

**Key:**  $R(2, 4)$  and  $R(3, 3)$  were both **even!**



## A Generalization of this Trick

What was it about  $R(3, 4)$  that made that trick work?  
We originally had

$$R(3, 4) \leq R(2, 4) + R(3, 3) \leq 4 + 6 \leq 10$$

**Key:**  $R(2, 4)$  and  $R(3, 3)$  were both **even!**

**Theorem**  $R(a, b) \leq$

1.  $R(a, b - 1) + R(a - 1, b)$  always.
2.  $R(a, b - 1) + R(a - 1, b) - 1$  if  
 $R(a, b - 1) \equiv R(a - 1, b) \equiv 0 \pmod{2}$

## Some Better Upper Bounds

- ▶  $R(3, 3) \leq R(2, 3) + R(3, 2) \leq 3 + 3 = 6.$
- ▶  $R(3, 4) \leq R(2, 4) + R(3, 3) \leq 4 + 6 - 1 = 9.$
- ▶  $R(3, 5) \leq R(2, 5) + R(3, 4) \leq 5 + 9 = 14.$
- ▶  $R(3, 6) \leq R(2, 6) + R(3, 5) \leq 6 + 14 - 1 = 19.$
- ▶  $R(3, 7) \leq R(2, 7) + R(3, 6) \leq 7 + 19 = 26$
- ▶  $R(4, 4) \leq R(3, 4) + R(4, 3) \leq 9 + 9 = 18.$
- ▶  $R(4, 5) \leq R(3, 5) + R(4, 4) \leq 14 + 18 - 1 = 31.$
- ▶  $R(5, 5) \leq R(4, 5) + R(5, 4) = 62.$

Are these tight?

$$R(3, 3) \geq 6$$

$R(3, 3) \geq 6$ : Need coloring of  $K_5$  w/o mono  $K_3$ .

$$R(3, 3) \geq 6$$

$R(3, 3) \geq 6$ : Need coloring of  $K_5$  w/o mono  $K_3$ .

Vertices are  $\{0, 1, 2, 3, 4\}$ .

$$R(3, 3) \geq 6$$

$R(3, 3) \geq 6$ : Need coloring of  $K_5$  w/o mono  $K_3$ .

Vertices are  $\{0, 1, 2, 3, 4\}$ .

$COL(a, b) = \text{RED}$  if  $a - b \equiv SQ \pmod{5}$ ,  $\text{BLUE}$  OW.

$$R(3, 3) \geq 6$$

$R(3, 3) \geq 6$ : Need coloring of  $K_5$  w/o mono  $K_3$ .

Vertices are  $\{0, 1, 2, 3, 4\}$ .

$COL(a, b) =$  RED if  $a - b \equiv SQ \pmod{5}$ , BLUE OW.

**Note**  $-1 = 2^2 \pmod{5}$ . Hence  $a - b \in SQ$  iff  $b - a \in SQ$ . So the coloring is well defined.

# $R(3, 3) \geq 6$

$COL(a, b) = \text{RED}$  if  $a - b \equiv \text{SQ} \pmod{5}$ , BLUE OW.

- ▶ Squares mod 5: 1,4.
- ▶ If there is a RED triangle then  $a - b, b - c, c - a$  all SQ's. SUM is 0. So

$$x^2 + y^2 + z^2 \equiv 0 \pmod{5} \text{ Can show impossible}$$

- ▶ If there is a BLUE triangle then  $a - b, b - c, c - a$  all non-SQ's. Product of nonsq's is a sq. So  $2(a - b), 2(b - c), 2(c - a)$  all squares. SUM to zero- same proof.

**UPSHOT**  $R(3, 3) = 6$  and the coloring used math of interest!

$$R(4, 4) = 18$$

$R(4, 4) \geq 18$ : Need coloring of  $K_{17}$  w/o mono  $K_4$ .



$$R(4, 4) = 18$$

$R(4, 4) \geq 18$ : Need coloring of  $K_{17}$  w/o mono  $K_4$ .

Vertices are  $\{0, \dots, 16\}$ .

Use

$COL(a, b) = \text{RED}$  if  $a - b \equiv SQ \pmod{17}$ , BLUE OW.

$$R(4, 4) = 18$$

$R(4, 4) \geq 18$ : Need coloring of  $K_{17}$  w/o mono  $K_4$ .

Vertices are  $\{0, \dots, 16\}$ .

Use

$COL(a, b) = \text{RED}$  if  $a - b \equiv SQ \pmod{17}$ , BLUE OW.

Same idea as above for  $K_5$ , but more cases.

**UPSHOT**  $R(4, 4) = 18$  and the coloring used math of interest!

$$R(3, 5) = 14$$

$R(3, 5) \geq 14$ : Need coloring of  $K_{13}$  w/o RED  $K_3$  or BLUE  $K_5$ .

$$R(3, 5) = 14$$

$R(3, 5) \geq 14$ : Need coloring of  $K_{13}$  w/o RED  $K_3$  or BLUE  $K_5$ .

Vertices are  $\{0, \dots, 13\}$ .

Use

$COL(a, b) = \text{RED}$  if  $a - b \equiv CUBE \pmod{14}$ , BLUE OW.

$$R(3, 5) = 14$$

$R(3, 5) \geq 14$ : Need coloring of  $K_{13}$  w/o RED  $K_3$  or BLUE  $K_5$ .

Vertices are  $\{0, \dots, 13\}$ .

Use

$COL(a, b) = \text{RED}$  if  $a - b \equiv \text{CUBE} \pmod{14}$ , BLUE OW.

Same idea as above for  $K_5$ , but more cases.

$$R(3, 5) = 14$$

$R(3, 5) \geq 14$ : Need coloring of  $K_{13}$  w/o RED  $K_3$  or BLUE  $K_5$ .

Vertices are  $\{0, \dots, 13\}$ .

Use

$COL(a, b) = \text{RED}$  if  $a - b \equiv \text{CUBE} \pmod{14}$ , BLUE OW.

Same idea as above for  $K_5$ , but more cases.

**UPSHOT**  $R(3, 5) = 14$  and the coloring used math of interest!

$$R(3, 4) = 9$$

This is a subgraph of the  $R(3, 5)$  graph

$$R(3, 4) = 9$$

This is a subgraph of the  $R(3, 5)$  graph

**UPSHOT**  $R(3, 4) = 9$  and the coloring used math of interest!



# Can we extend these Patterns?

**Good news**  $R(4, 5) = 25$ .

# Can we extend these Patterns?

**Good news**  $R(4, 5) = 25$ .

**Bad news**

# Can we extend these Patterns?

**Good news**  $R(4, 5) = 25$ .

**Bad news**

THATS IT.

# Can we extend these Patterns?

**Good news**  $R(4, 5) = 25$ .

**Bad news**

THATS IT.

No other  $R(a, b)$  are known using NICE methods.

# Can we extend these Patterns?

**Good news**  $R(4, 5) = 25$ .

**Bad news**

THATS IT.

No other  $R(a, b)$  are known using NICE methods.

$R(5, 5)$ – I will give you a paper to read on that soon.

# Revisit those Numbers

Int means Interesting Math. Bor means Boring Math.

- ▶  $R(3,3) \leq 6$ . TIGHT. Int
- ▶  $R(3,4) \leq 9$ . TIGHT. Int
- ▶  $R(3,5) \leq 14$ . TIGHT. Int
- ▶  $R(3,6) \leq 19$ . KNOWN: 18. Upper Bd Bor, Lower Bd Int
- ▶  $R(3,7) \leq 26$ . KNOWN: 23. Upper Bd Bor, Lower Bd Int
- ▶  $R(4,4) \leq 18$ . TIGHT. Int
- ▶  $R(4,5) \leq 31$ . KNOWN: 25. Both bd Bor
- ▶  $R(5,5) \leq 62$ . KNOWN: Will see it in the paper I give out.

# Moral of the Story

1. At first there seemed to be **interesting mathematics** with mods and primes leading to nice graphs.

# Moral of the Story

1. At first there seemed to be **interesting mathematics** with mods and primes leading to nice graphs.  
*(Joel Spencer) The Law of Small Numbers: Patterns that persist for small numbers will vanish when the calculations get to hard.*



# Moral of the Story

1. At first there seemed to be **interesting mathematics** with mods and primes leading to nice graphs.  
*(Joel Spencer) The Law of Small Numbers: Patterns that persist for small numbers will vanish when the calculations get to hard.*
2. Seemed like a nice **Math** problem that would involve interesting and perhaps deep mathematics. No. The work on it is interesting and clever, but (1) the math is not deep, and (2) progress is slow.