

PROOF THREE of the Finite Canonical Ramsey Theorem: Mileti's SECOND Proof

William Gasarch-U of MD

PROOF THREE: a -ary Case

MODIFY PROOF TWO by GETTING RID of R_{a-1} .

It is often easier to prove something harder.

Theorem: For all a , for all $\alpha \in \mathbb{N}$, for all k there exists n such that for all $COL : \binom{[n]}{a} \rightarrow \omega \times [\alpha]$ there exists a set H of size k such that

1. There exists $I \subseteq [a]$ such that H is I -homog with respect to $\Pi_1(COL)$.
2. H is homog with respect to $\Pi_2(COL)$.

Definition: $GER_a(k, \alpha)$ is the least n that works.

How to Use This

1. $GER_1(k, \alpha)$ EASY to bound (your HW)
2. Using modification of PROOF THREE can bound $GER_a(k, \alpha)$ using $GER_{a-1}(-, -)$ WITHOUT using R_{a-1} or any R at all!

Proof in the Style of Ramsey

Given $COL : \binom{[n]}{a} \rightarrow \omega \times [\alpha]$ define a sequence.

Stage $a - 2$ ($\forall 1 \leq i \leq a - 2$) $[x_i = i]$. $X = \{x_1, \dots, x_{a-1}\}$.

$A_{a-1} = [n] - X$.

Stage s : Have $X = \{x_1, \dots, x_{s-1}\}$,

$COL' : \binom{X}{a-1} \rightarrow \omega \times [\alpha] \times \{\text{homog, rain}\}$, and A_{s-1} .

KEY: Will use $GER_{a-1}(k', 2\alpha)$ for some k' later.

Let $A_s^0 = A_{s-1}$ and x_s be least element of A_{s-1} .

Form $A_s^0, A_s^1, \dots, A_s^{\binom{s}{a-2}}$

Assume have A_s^{L-1} and $COL'(X_1, x_s), \dots, COL'(X_{L-1}, x_s)$ defined.

Notation: We denote A_s^L by A_L throughout.

THE REAL KEY DIFFERENCE

This is the KEY diff from PROOF TWO.

Before doing ANYTHING else we do the following: Let i be the number that MAXIMIZES

$$\{y \in A_{L-1} \mid \Pi_2(\text{COL}(X_L, x_s, y)) = i\}.$$

We ONLY work with these, we KILL all of the others. Let

$$A_0^{L-1} = \{y \in A_{L-1} \mid \Pi_2(\text{COL}(X_L, x_s, y)) = i\}.$$

Note

$$|A_0^{L-1}| \geq |A_{L-1}|/\alpha.$$

Case 1: $(\exists c)[|\{x \in A_0^{L-1} : COL(X_L, x_S, x) = c\}| \geq \sqrt{|A_0^{L-1}|}]$.

$$COL'(X_L, x_S) = (c, (i, \text{homog}))$$

$$A_L = \{x \in A_0^{L-1} : COL(X_L, x_S, x) = (c, i)\}$$

Note: $|A_L| \geq \sqrt{|A_0^{L-1}|} \geq \sqrt{|A_{L-1}|/\alpha}$.

Can Ramsey Proof

Case 2: $(\forall c)[|\{x \in A_{L-1} : COL(X_L, x_s, x) = (c, i)\}| < \sqrt{|A_{L-1}|}$.

Make all colors coming out of (X_L, x_s) to the right different:

Let A_L be the set of all $x \in A_{L-1}$ such that x is the LEAST number with the color $COL(X_L, x_s, x)$.

Formally $A_L = \{x \in A_{L-1} :$

$$COL(X_L, x_s, x) \notin \{COL(X_L, x_s, y) : x_s < y < x \wedge y \in A_{L-1}\}$$

Now have

$$(\forall y, y' \in A_L)[COL(X_L, x_s, y) \neq COL(X_L, x_s, y')].$$

Note: $|A_L| \geq \sqrt{|A_{L-1}|/\alpha}$.

Want to make colors DIFF

Important Note and Convention: For the rest of Case 2 we only care about $Z \in \binom{X}{a-1}$ such that $COL'(Z) = (-, (i, \text{rain}))$.

Want to make the following true

$$(\forall Z \in \binom{X}{a-1})(\forall y, y' \in A_S)[COL(Z, y') \neq COL(X^L, y)]$$

Its OKAY if $COL(Z, y) = COL(X^L, y)$.

For each $y \in A_L$ we thin out A_L so that:

- ▶ $(\forall Z \in \binom{X}{a-1})(\forall y' \in A_L - \{y\})[COL(Z, y') \neq COL(X^L, y)]$.
- ▶ $(\forall Z \in \binom{X}{a-1})(\forall y' \in A_L - \{y\})[COL(Z, y) \neq COL(X^L, y')]$.

More to do!

Use C for COL for space

$T = A_L$ (elements to process)

```
while  $T \neq \emptyset$ 
   $y =$  least element of  $T$ .
   $T = T - \{y\}$  (but  $y$  stays in  $A_L$ )
  If  $(\exists Z \in \binom{X}{a-1}, y' \in T)[C(X_L, x_s, y) = C(Z, y')]$  then
     $T = T - \{y'\}$   $A_L = A_L - \{y'\}$ 
  If  $(\exists Z \in \binom{X}{a-1}, y' \in T)[C(X_L, x_s, y') = C(Z, y)]$  then
     $T = T - \{y'\}$   $A_L = A_L - \{y'\}$ 
```

Note: At end $|A_L| \geq \sqrt{|A_{L-1}|} / \sqrt{\alpha s}^{\alpha-1}$.

Note: At end

$(\forall Z \in \binom{X}{a-1}, y' \in A_L)[COL(X_L, x_s, y) \neq COL(Z, y')]$.

OKAY- What is $COL'(X_L, x_s)$?

RECAP:

- ▶ $(\forall y, y' \in A_L)[COL(X_L, x_s, y) \neq COL(X_L, x_s, y')]$
- ▶ $(\forall y, y' \in A_L)(\forall Z \in \binom{X}{a-1})[COL(X_L, x_s, x) \neq COL(Z, y')]$

$f(s)$ TBD. Let $t = |A_L| \geq \frac{\sqrt{|A_{L-1}|}}{\sqrt{\alpha s^{a-1}}}$

Case 2.1:

$(\exists Z \in \binom{X}{a-1})[|\{y : COL(X_L, x_s, y) = COL(Z, y)\}| \geq \frac{t}{f(s)}]$.

$$\begin{aligned} COL'(X_L, x_s) &= COL(X_L, x_s) \\ A_L &= \{y \in A_L : COL(X_L, x_s, y) = COL(Z, y)\} \end{aligned}$$

Note: This will be a color of the form $(-, (i, \text{rain}))$.

Note: $|A_L| \geq \frac{t}{f(s)}$.

OKAY- What is $COL'(X_L, x_s)$

Case 2.2:

$$(\forall Z \in \binom{X}{a-1}) [|\{y \in A_L : COL(X_L, x_s, y) = COL(Z, y)\}| < \frac{t}{f(s)}].$$

$COL'(X_L, x_s) = (\ell, (i, \text{rain}))$ ℓ is least not-used-for-rain color.

$$A_L = A_L - \{y : (\exists Z \in \binom{X}{a-1}) [COL(X_L, x_s, y) = COL(Z, y)]\}.$$

Note: $|A_L| \geq t - \binom{s-1}{a-1} \frac{t}{f(s)} \geq t(1 - \binom{s-1}{a-1} \frac{1}{f(s)})$

Picking $f(s)$

Case 1 yields $|A_L| \geq \frac{t}{f(s)}$.

Case 2 yields $|A_L| \geq t(1 - \binom{s-1}{a-1} \frac{1}{f(s)})$

Take $f(s) = 1 + \binom{s-1}{a-1} \leq s^a/a!$. Both cases yield:

$$|A_L| \geq \frac{t}{f(s)} \geq \frac{\sqrt{|A_{L-1}|} a!}{\sqrt{\alpha} s^{a-1} s^a} \geq c \sqrt{|A_{L-1}|}$$

where $c = \frac{a!}{\sqrt{\alpha} s^{2a}}$ (could have used s^{2a-1} but that would not gain us much).

We later see how far we need to go.

Whats Really Going on?

$c = \frac{a!}{\sqrt{\alpha s^{2a}}}$. We assume $c < 1$. (If $c \geq 1$ then we ignore it.)

$$\begin{aligned} b_0 &= b = a_{s-1} \\ b_L &\geq c\sqrt{b_{L-1}} \end{aligned}$$

By Rec Lemma

$$b_L \geq c^2 b^{1/2^L}.$$

In stage s do this for $\leq s^{a-1}$ times. Hence

$$a_s \geq b_{s^{a-1}} \geq c^2 b^{1/2^{s^{a-1}}} \geq \frac{(a!)^2}{\alpha s^{4a}} b^{1/2^{s^{a-1}}} \geq d m^{1/2^{s^{a-1}}}$$

Where $d = \frac{(a!)^2}{s^{4a}\alpha}$.

Bound on A_s

Let $a_s = |A_s|$.

$$\begin{aligned}a_0 &= n \\ a_s &\geq d a_{s-1}^{1/2^{s^{a-1}}}.\end{aligned}$$

By Rec Lemma

$$a_s \geq d^2 n^{1/2^{s^a}}$$

We later see how far we need to go.

AVOID RAMSEY

We will run the construction until X has r elements— we determine r later

Have $X = \{x_1, x_2, \dots, x_r\}$,

$COL' : \binom{X}{a-1} \rightarrow \omega \times ([\alpha] \times \{\text{homog}, \text{rain}\})$. We can apply $GER_{a-1}(k, 2\alpha)$

KEY: In PROOF TWO we applied Ramsey at this step to get either all homog or all rain. Here we don't need to since GER will take care of that.

Get I -homog set wrt to $\Pi_1 \circ COL'$ that is also homog wrt $\Pi_2 \circ COL'$.

$$H = \{z_1, z_2, \dots, z_k\}.$$

Cases depend on if $\Pi_2 \circ COL'$ homog color is $(-, \text{homog})$ or $(-, \text{rain})$.

Homog of color $(-, \text{homog})$

Case 1: Π_2 Color is $(-, \text{homog})$ (real colors).

Have

$$(\forall Y \in \binom{H}{a-1}, z_1, z_2 \in H)[\text{COL}(Y, z_1) = \text{COL}(Y, z_2)].$$

H is I -homog set where $I \subseteq [a-1]$ wrt $\Pi_1 \circ \text{COL}'$.

$$\text{COL}(y_1, \dots, y_a) = \text{COL}(z_1, \dots, z_a) \text{ iff}$$

$$\text{COL}'(y_1, \dots, y_{a-1}) = \text{COL}'(z_1, \dots, z_{a-1}) \text{ (def of } \text{COL}' \text{ iff}$$

$$(\forall i \in I)[y_i = z_i]) \text{ (def of } I\text{-homog)}$$

So H is I -homog set.

Homog of color rain

Case 2: Π_2 Color is $(-, \text{rain})$.

Have

$$(\forall Y \in \binom{H}{a-1}, z_1, z_2)[COL(Y, z_1) \neq COL(Y, z_2)].$$

H is I -homog set where $I \subseteq [a-1]$ wrt $\Pi_1 \circ COL'$.

$$COL(y_1, \dots, y_a) = COL(z_1, \dots, z_a) \text{ iff}$$

$$y_a = z_a \wedge COL'(y_1, \dots, y_{a-1}) = COL'(z_1, \dots, z_{a-1}) \text{ (from const.)}$$

$$\text{iff } y_a = z_a \wedge (\forall i \in I)[y_i = z_i] \text{ (def of } I\text{-homog)}).$$

So H is $I \cup \{a\}$ -homog set.

Need $r = GER_{a-1}(k, 2\alpha)$.

Estimate n

LET $r = GER_{a-1}(k, 2\alpha)$.

NEED

$$a_r \geq d^2 \frac{n^{1/2r^a}}{r^{8a}} \geq 1$$

$$a_r \geq (a!/\alpha r^{4a} \alpha)^2 n^{1/2r^a} \geq 1$$

$$n^{1/2r^a} \geq \frac{e^{4a}}{r} \text{ where } e = \frac{1}{d^2} = \frac{\alpha^2}{(a!)^4}$$

$$n \geq er^{4a2r^a}$$

If suffices to take

$$n = \Gamma_2(ea r^a)$$

$$GER_1(k, \alpha) = \alpha k^2.$$

$$GER_a(k) \leq \Gamma_2\left(\frac{\alpha^2}{(a!)^4} \times a \times GER_{a-1}(k, 2\alpha)\right)$$

For simplicity lets not use the a . (The reader is challenged to get a better bound using it.)

$$GER_a(k) \leq \Gamma_2(\alpha^2 GER_{a-1}(k, 2\alpha))$$

$$GER_1(k, \alpha) = \alpha k^2.$$

$$GER_1(k, 2\alpha) = 2\alpha k^2.$$

$$GER_2(k, \alpha) \leq \Gamma_2(\alpha^2 GER_1(k, 2\alpha)) \leq \Gamma_2(\alpha^2 2\alpha^2 k^2) = \Gamma_2(2\alpha^4 k^2)$$

$$GER_2(k, 2\alpha) \leq \Gamma_2(2(2\alpha)^4 k^2) = \Gamma_2(2^5 \alpha^4 k^2)$$

$$GER_3(k, \alpha) \leq \Gamma_2(\alpha^2 \Gamma_2(2^5 \alpha^4 k^2)) \leq \Gamma_4(2^5 \alpha^6 k^2)$$

$$GER_3(k, 2\alpha) \leq \Gamma_4(2^{11} \alpha^6 k^2)$$

$$GER_4(k, \alpha) \leq \Gamma_2(\alpha^2 \Gamma_4(2^{11} \alpha^6 k^2)) \leq \Gamma_6(2^{11} \alpha^8 k^2)$$

$$GER_4(k, 2\alpha) \leq \Gamma_6(2^{19} \alpha^8 k^2)$$

$$GER_5(k, \alpha) \leq \Gamma_2(\alpha^2 \Gamma_6(2^{19} \alpha^8 k^2)) \leq \Gamma_8(2^{19} \alpha^{10} k^2)$$

$$GER_5(k, 2\alpha) \leq \Gamma_8(2^{29} \alpha^{10} k^2)$$

Can show

$$GER_a(k, \alpha) \leq \Gamma_{2^{a-2}}(2^{a+(a+1)^2} \alpha^{2^a} k^2)$$

In particular:

$$ER_a(k) = GER_a(k, 1) \leq \Gamma_{2^{a-2}}(2^{a+(a+1)^2} k^2)$$

PROS and CONS

1. GOOD-Proof reminiscent of Ramsey Proof.
2. GOOD-Seemed to be able to avoid alot of cases.
3. BAD-Proof complicated(?).
4. GOOD: $ER_a(k) \leq \Gamma_{2a-2}(2^{a+(a+1)^2} k^2)$ BIG improvement!