

BILL AND NATHAN, RECORD LECTURE!!!!

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Upper and Lower Bounds (PCP) on Approx For MAX3SAT

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In this section we assume $P \neq NP$.

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If we say **rand Alg A** we mean **Randomized Poly time Alg A**.

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Next Slide

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Note This rand alg can be made det by method of cond prob.

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Did they succeed? No. Now What?

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(An alg that does better and better is a **Poly Time Approx Scheme (PTAS)**. We show there is no PTAS for MAX3SAT.)

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1. The rand and poly app-algs that got $\frac{7}{8}\text{MAX3SAT}(\phi)$ are easy.
2. The lower bound uses PCP machinery.
3. The alg and the lower bounds have **nothing to do with each other** and yet yield **matching** upper and lower bounds at $\frac{7}{8}$.

Turning PCP's into Formulas

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The Vars For every $\tau\sigma \in \{0, 1\}^{d \lg n + q}$ one can run the PCP with random string τ and bit-answers σ . From these simulations you can find **all** possible bit-queries. There are $\leq 2^{d \lg n + q} = 2^q n^d$ bit queries. These will be variables.

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Parts of the Formula For every $\tau \in \{0, 1\}^{d \lg n}$ we form ψ_τ . Use τ as the random string. Simulate all possible query paths to find the relevant vars.

ψ_τ is the formula on those vars that is TRUE exactly when that setting of the variables makes this path accept.

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If bit 17 is 1 then query bit 84. If bit 17 is 0 then query bit 5.

If bit 17 is 1 and then bit 84 is 1 then accept.

If bit 17 is 0 and then bit 5 is 0 then accept.

All else reject.

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Using $\tau = 1101$ the PCP will query bit 17.

If bit 17 is 1 then query bit 84. If bit 17 is 0 then query bit 5.

If bit 17 is 1 and then bit 84 is 1 then accept.

If bit 17 is 0 and then bit 5 is 0 then accept.

All else reject.

$$\psi_{1101} = (q_{17} \wedge q_{84}) \vee (\neg q_{17} \wedge \neg q_5).$$

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Def $C(q)$ is max numb of clauses a 3CNF fml on 2^q vars has.

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We will use $C(q)$ later.

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Let $A \in \text{NP}$ and $\epsilon > 0$. Then $\exists q, d \in \mathbb{N}$
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Going from x to ψ_x takes time poly in $|x| = n$.

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We use **app-alg** and **the PCP** to obtain $A \in \text{P}$.

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We will then finish the algorithm.

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Assume $x \in A$.

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Then there is an oracle y so that, **for all** τ , the PCP, with τ , and using y for answers, **accepts**.

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$$(\exists y)(\forall \tau \in \{0, 1\}^{d \lg n})[\text{PCP}^y(x, \tau) \text{ ACCEPTS}].$$

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Hence there is a way to satisfy all $n^d C(q)$ clauses of ψ_τ simul.
So $\text{OPT}(\psi_x) = n^d C(q)$.

MAX3SAT is Not PTAS: $x \notin A$ Case

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For all oracles y , for **at most ϵ of the τ** , the PCP, with τ , and using y for answers, **accepts**.

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$$(\forall y \in \{0, 1\}^q)$$

For $\leq \epsilon(2^{d \lg n})$ of the $\tau \in \{0, 1\}^{d \lg n}[\text{PCP}^y(x, \tau) \text{ACCEPTS}]$.

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Let y be the oracle (Truth Assignment) that yields $\text{OPT}(\psi_x)$

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At most ϵ of the τ 's are satisfied.

Worst case For $\phi_\tau \notin \text{SAT}$, $\text{OPT}(\phi_\tau) = C(q) - 1$.

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So Number of clauses satisfied is

$$\epsilon n^d C(q) + (1 - \epsilon)n^d (C(q) - 1) = n^d (\epsilon C(q) + (1 - \epsilon)(C(q) - 1))$$

$$= n^d (\epsilon C(q) + C(q) - \epsilon C(q) - 1 + \epsilon) = n^d (C(q) - 1 + \epsilon)$$

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For Gap Need

$$n^d(C(q) - 1 + \epsilon) < (1 - \delta)n^d C(q)$$

$$\delta < \frac{1 - \epsilon}{C(q)}$$

We Won't Pick ϵ Cleverly

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Let $\epsilon = \frac{1}{4}$. Let q, d be such that $A \in \text{PCP}(q, d \lg n, \epsilon)$.

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By the commentary in the last few slides, and the choice of δ , exactly one of the inequalities for Y holds.