

CMSC 330: Organization of Programming Languages

Functional Programming with OCaml

Background

- 1973 – ML developed at Univ. of Edinburgh
 - Part of a theorem proving system LCF
 - The Logic of Computable Functions
- SML/NJ (“Standard ML of New Jersey”)
 - <http://www.smlnj.org>
 - Developed at Bell Labs and Princeton; now Yale, AT&T Research, Univ. of Chicago (among others)
- OCaml
 - <http://www.ocaml.org>
 - Developed at INRIA (The French National Institute for Research in Computer Science)

Dialects of ML

- Other dialects include MoscowML, ML Kit, Concurrent ML, etc.
 - But SML/NJ and OCaml are most popular
 - O = “Objective,” but probably won’t cover objects
- Languages all have the same core ideas
 - But small and annoying syntactic differences
 - So you should not buy a book with ML in the title
 - Because it probably won’t cover OCaml

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Features of ML

- Higher-order functions
 - Functions can be parameters and return values
- “Mostly functional”
- Data types and pattern matching
 - Convenient for certain kinds of data structures
- Type inference
 - No need to write types in the source language, but the language is statically typed
 - Supports *parametric polymorphism* (*generics* in Java, *templates* in C++)
- Exceptions
- Garbage collection

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Functional languages

- In a pure functional language, every program is just an expression evaluation

```
let add1 x = x + 1;;
```

```
let rec add (x,y) = if x=0 then y else add(x-1, add1(y));;
```

```
add(2,3) = add(1,add1(3)) = add(0,add1(add1(3)))  
         = add1(add1(3)) = add1(3+1) = 3+1+1  
         = 5
```

OCaml has this basic behavior, but has additional features to ease the programming process.

- Less emphasis on data storage
- More emphasis on function execution

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A Small OCaml Program- Things to Notice

```
(* A small OCaml program *)  
let x = 37;;  
let y = x + 5;;  
print_int y;;  
print_string  
  "\n";;
```

Use (* *) for comments (may nest)

Use let to bind variables

No type declarations

Need to use correct print function (OCaml also has printf)

;; ends a top-level expression

Line breaks, spacing ignored (like C, C++, Java, not like Ruby)

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Run, OCaml, Run

- OCaml programs can be compiled using `ocamlc`
 - Produces `.cmo` (“compiled object”) and `.cmi` (“compiled interface”) files
 - We’ll talk about interface files later
 - By default, also links to produce executable `a.out`
 - Use `-o` to set output file name
 - Use `-c` to compile only to `.cmo/.cmi` and not to link
 - You can use a `Makefile` if you need to compile your files

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Run, OCaml, Run (cont’d)

- Compiling and running the previous small program:

`ocaml1.ml:`

```
(* A small OCaml program *)  
let x = 37;;  
let y = x + 5;;  
print_int y;;  
print_string "\n";;
```

```
% ocamlc ocaml1.ml  
% ./a.out  
42  
%
```

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Run, OCaml, Run (cont'd)

Expressions can also be typed and evaluated at the top-level:

```
# 3 + 4;;  
- : int = 7  
# let x = 37;;  
val x : int = 37  
# x;;  
- : int = 37  
# let y = 5;;  
val y : int = 5  
# let z = 5 + x;;  
val z : int = 42  
# print_int z;;  
42- : unit = ()  
# print_string "Colorless green ideas sleep furiously";;  
Colorless green ideas sleep furiously- : unit = ()  
# print_int "Colorless green ideas sleep furiously";;  
This expression has type string but is here used with type int  
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```

gives type and value of each expr

"-" = "the expression you just typed"

unit = "no interesting value" (like void)

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Run, OCaml, Run (cont'd)

- Files can be loaded at the top-level

```
% ocaml  
Objective Caml version 3.08.3
```

```
# #use "ocaml1.ml";;  
val x : int = 37  
val y : int = 42  
42- : unit = ()
```

```
- : unit = ()  
# x;;  
- : int = 37
```

ocaml1.ml:

```
(* A small OCaml program *)  
let x = 37;;  
let y = x + 5;;  
print_int y;;  
print_string "\n";;
```

#use loads in a file one line at a time

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Basic Types in OCaml

- Read `e : t` as “expression `e` has type `t`”
 - `42 : int`
 - `true : bool`
 - `"hello" : string`
 - `'c' : char`
 - `3.14 : float`
 - `() : unit` (* don't care value *)
- OCaml has static types to help you avoid errors
 - Note: Sometimes the messages are a bit confusing

```
# 1 + true;;
This expression has type bool but is here used with
type int
```
 - Watch for the underline as a hint to what went wrong
 - But not always reliable

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More on the Let Construct

- `let` is more often used for local variables
 - `let x = e1 in e2` means
 - Evaluate `e1`
 - Then evaluate `e2`, with `x` bound to result of evaluating `e1`
 - `x` is *not* visible outside of `e2`

```
let pi = 3.14 in pi *. 3.0 *. 3.0;;
pi;;
```

error

bind pi in body of let

floating point multiplication

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More on the Let Construct (cont'd)

- Compare to similar usage in Java/C

```
let pi = 3.14 in
  pi *. 3.0 *. 3.0;;
pi;;
```

```
{
  float pi = 3.14;

  pi * 3.0 * 3.0;
}
pi;
```

- In the top-level, omitting **in** means “from now on”:
let pi = 3.14;;
(* pi is now bound in the rest of the top-level scope *)

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Nested Let

- Uses of **let** can be nested

```
let pi = 3.14 in
let r = 3.0 in
  pi *. r *. r;;
(* pi, r no longer in scope *)
```

```
{
  float pi = 3.14;
  float r = 3.0;

  pi * r * r;
}
/* pi, r not in scope */
```

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Defining Functions

use let to define functions

list parameters after function name

```
let next x = x + 1;;  
next 3;;  
let plus (x, y) = x + y;;  
plus (3, 4);;
```

no parentheses on function calls

no return statement

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Local Variables

- You can use **let** inside of functions for locals

```
let area r =  
  let pi = 3.14 in  
  pi *. r *. r
```

- And you can use as many **lets** as you want

```
let area d =  
  let pi = 3.14 in  
  let r = d /. 2.0 in  
  pi *. r *. r
```

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Function Types

- In OCaml, `->` is the function type constructor
 - The type `t1 -> t2` is a function with argument or *domain* type `t1` and return or *range* type `t2`
- Examples
 - `let next x = x + 1 (* type int -> int *)`
 - `let fn x = (float_of_int x) *. 3.14`
`(* type int -> float *)`
 - `print_string (* type string -> unit *)`
- Type a function name at top level to get its type

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Type Annotations

- The syntax `(e : t)` asserts that “`e` has type `t`”
 - This can be added anywhere you like

```
let (x : int) = 3
let z = (x : int) + 5
```
- Use to give functions parameter and return types

```
let fn (x:int):float =
  (float_of_int x) *. 3.14
```

 - Note special position for return type
 - Thus `let g x:int = ...` means `g` returns `int`
- Very useful for debugging, especially for more complicated types

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:: versus ;

- `::` ends an expression in the top-level of OCaml
 - Use it to say: “Give me the value of this expression”
 - Not used in the body of a function
 - Not needed after each function definition
 - Though for now it won’t hurt if used there
- `e1; e2` evaluates `e1` and then `e2`, and returns `e2`

```
let print_both (s, t) = print_string s; print_string t;  
                        "Printed s and t."  
  
– notice no ; at end---it's a separator, not a terminator  
print_both ("Colorless green ", "ideas sleep")  
Prints "Colorless green ideas sleep", and returns  
"Printed s and t."
```

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Lists in OCaml

- The basic data structure in OCaml is the list
 - Lists are written as `[e1; e2; ...; en]`
 - # [1;2;3]
 - : int list = [1;2;3]
 - Notice `int list` – lists must be *homogeneous*
 - The empty list is `[]`
 - # []
 - : 'a list
 - The `'a` means “a list containing anything”
 - we’ll see more about this later
 - Warning: Don’t use a comma instead of a semicolon
 - Means something different (we’ll see in a bit)

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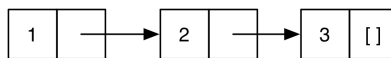
Consider a Linked List in C

```
struct list {  
    int elt;  
    struct list *next;  
};  
...  
struct list *l;  
...  
i = 0;  
while (l != NULL) {  
    i++;  
    l = l->next;  
}
```

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Lists in OCaml are Linked

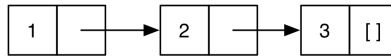


- `[1;2;3]` is represented above
 - A nonempty list is a pair (element, rest of list)
 - The element is the *head* of the list
 - The pointer is the *tail* or *rest* of the list
 - ...which is itself a list!
- Thus in math a list is either
 - The empty list `[]`
 - Or a pair consisting of an element and a list
 - This recursive structure will come in handy shortly

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Lists are Linked (cont'd)



- `::` prepends an element to a list
 - `h::t` is the list with `h` as the element at the beginning and `t` as the “rest”
 - `::` is called a *constructor*, because it builds a list
 - Although it’s not emphasized, `::` does allocate memory
- Examples
 - `3::[]` (* The list [3] *)
 - `2::(3::[])` (* The list [2; 3] *)
 - `1::(2::(3::[]))` (* The list [1; 2; 3] *)

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More Examples

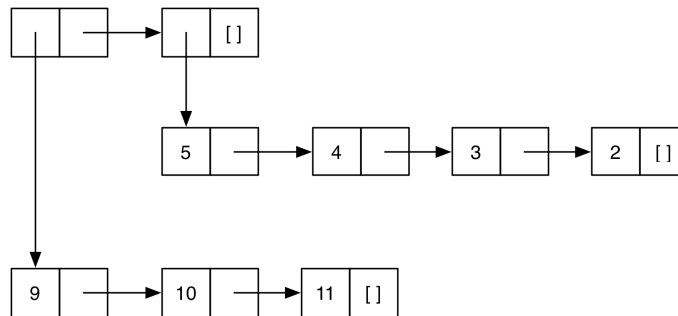
```
# let y = [1;2;3] ;;
val y : int list = [1; 2; 3]
# let x = 4::y ;;
val x : int list = [4; 1; 2; 3]
# let z = 5::y ;;
val z : int list = [5; 1; 2; 3]
  • not modifying existing lists, just creating new lists
# let w = [1;2]::y ;;
This expression has type int list but is here
used with type int list list
  • The left argument of :: is an element
  • Can you construct a list y such that [1;2]::y makes sense?
```

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Lists of Lists

- Lists can be nested arbitrarily
 - Example: `[[9; 10; 11]; [5; 4; 3; 2]]`
 - (Type `int list list`)



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Practice

- What is the type of:
 - `[1;2;3]` `int list`
 - `[[[]; []; [1.3;2.4]]]` `float list list list`
 - `let func x = x::(0::[])` `int -> int list`

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Pattern Matching

- To pull lists apart, use the `match` construct

```
match e with p1 -> e1 | ... | pn -> en
```
- `p1...pn` are *patterns* made up of `[]`, `::`, and *pattern variables*
- `match` finds the first `pk` that matches the shape of `e`
 - Then `ek` is evaluated and returned
 - During evaluation of `pk`, pattern variables in `pk` are bound to the corresponding parts of `e`
- An underscore `_` is a wildcard pattern
 - Matches anything
 - Doesn't add any bindings
 - Useful when you want to know something matches, but don't care what its value is

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Example

```
match e with p1 -> e1 | ... | pn -> en
```

```
let is_empty l = match l with  
  [] -> true  
  | (h::t) -> false
```

```
is_empty []           (* evaluates to true *)  
is_empty [1]          (* evaluates to false *)  
is_empty [1;2;3]      (* evaluates to false *)
```

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Pattern Matching (cont'd)

- `let hd l = match l with (h::t) -> h`
 - `hd [1;2;3]` (* evaluates to 1 *)
- `let hd l = match l with (h::_) -> h`
 - `hd []` (* error! no pattern matches *)
- `let tl l = match l with (h::t) -> t`
 - `tl [1;2;3]` (* evaluates to [2; 3] *)

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Missing Cases

- Exceptions for inputs that don't match any pattern
 - OCaml will warn you about non-exhaustive matches
- Example:

```
# let hd l = match l with (h::_) -> h;;
Warning: this pattern-matching is not exhaustive.
Here is an example of a value that is not matched:
[]
```

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