

CMSC 330: Organization of Programming Languages

Lambda Calculus and Types

Lambda Calculus

- A lambda calculus expression is defined as

| | |
|---------------|----------------------|
| $e ::= x$ | variable |
| $\lambda x.e$ | function |
| $e e$ | function application |

- $\lambda x.e$ is like `(fun x -> e)` in OCaml
- That's it! Only higher-order functions

Beta-Reduction, Again

- Whenever we do a step of beta reduction...
 - $(\lambda x. e1) e2 \rightarrow e1[x/e2]$
 - ...alpha-convert variables as necessary
- Examples:
 - $(\lambda x. x (\lambda x. x)) z = (\lambda x. x (\lambda y. y)) z \rightarrow z (\lambda y. y)$
 - $(\lambda x. \lambda y. x y) y = (\lambda x. \lambda z. x z) y \rightarrow \lambda z. y z$

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Encodings

- It turns out that this language is Turing complete
- That means we can encode any computation we want in it
 - ...if we're sufficiently clever...

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Booleans

The lambda calculus was created by logician Alonzo Church in the 1930's to formulate a mathematical logical system

$\text{true} = \lambda x. \lambda y. x$

$\text{false} = \lambda x. \lambda y. y$

if a then b else c is defined to be the λ expression: $a \ b \ c$

- Examples:

- if true then b else $c \rightarrow (\lambda x. \lambda y. x) \ b \ c \rightarrow (\lambda y. b) \ c \rightarrow b$

- if false then b else $c \rightarrow (\lambda x. \lambda y. y) \ b \ c \rightarrow (\lambda y. y) \ c \rightarrow c$

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Booleans (continued)

Other Boolean operations:

- $\text{not} = \lambda x. ((x \ \text{false}) \ \text{true})$

- $\text{not true} \rightarrow \lambda x. ((x \ \text{false}) \ \text{true}) \ \text{true} \rightarrow$
 $((\text{true} \ \text{false}) \ \text{true}) \rightarrow \text{false}$

- $\text{and} = \lambda x. \lambda y. ((xy) \ \text{false})$

- $\text{or} = \lambda x. \lambda y. ((x \ \text{true}) \ y)$

- Show **not**, **and** and **or** have the desired properties, ...

- Given these operations, can build up a logical inference system

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Pairs

$(a,b) = \lambda x. \text{if } x \text{ then } a \text{ else } b$

$\text{fst} = \lambda f. f \text{ true}$

$\text{snd} = \lambda f. f \text{ false}$

- Examples:

- $\text{fst } (a,b) = (\lambda f. f \text{ true}) (\lambda x. \text{if } x \text{ then } a \text{ else } b) \rightarrow$
 $(\lambda x. \text{if } x \text{ then } a \text{ else } b) \text{ true} \rightarrow$
 $\text{if true then } a \text{ else } b \rightarrow a$

- $\text{snd } (a,b) = (\lambda f. f \text{ false}) (\lambda x. \text{if } x \text{ then } a \text{ else } b) \rightarrow$
 $(\lambda x. \text{if } x \text{ then } a \text{ else } b) \text{ false} \rightarrow$
 $\text{if false then } a \text{ else } b \rightarrow b$

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Natural Numbers (Church*)

*(Named after Alonzo Church, developer of lambda calculus)

$0 = \lambda f. \lambda y. y$

$1 = \lambda f. \lambda y. f y$

$2 = \lambda f. \lambda y. f (f y)$

$3 = \lambda f. \lambda y. f (f (f y))$

i.e., $n = \lambda f. \lambda y. \langle \text{apply } f \text{ } n \text{ times to } y \rangle$

$\text{succ} = \lambda z. \lambda f. \lambda y. f (z f y)$

$\text{iszero} = \lambda g. g (\lambda y. \text{false}) \text{ true}$

- Recall that this is equivalent to $\lambda g. ((g (\lambda y. \text{false})) \text{ true})$

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Natural Numbers (cont'd)

- Examples:

$\text{succ } 0 =$
 $(\lambda z. \lambda f. \lambda y. f (z f y)) (\lambda f. \lambda y. y) \rightarrow$
 $\lambda f. \lambda y. f ((\lambda f. \lambda y. y) f y) \rightarrow$
 $\lambda f. \lambda y. f y = 1$

$\text{iszero } 0 =$
 $(\lambda z. z (\lambda y. \text{false}) \text{true}) (\lambda f. \lambda y. y) \rightarrow$
 $(\lambda f. \lambda y. y) (\lambda y. \text{false}) \text{true} \rightarrow$
 $(\lambda y. y) \text{true} \rightarrow$
 true

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Arithmetic defined

- Addition, if M and N are integers (as λ expressions):

$M + N = \lambda x. \lambda y. (M x)((N x) y)$

Equivalently: $+ = \lambda M. \lambda N. \lambda x. \lambda y. (M x)((N x) y)$

- Multiplication: $M * N = \lambda x. (M (N x))$

- Prove $1+1 = 2$.

$1+1 = \lambda x. \lambda y. (1 x)((1 x) y) \rightarrow$
 $\lambda x. \lambda y. ((\lambda x. \lambda y. x y) x)((\lambda x. \lambda y. x y) x) y \rightarrow$
 $\lambda x. \lambda y. (\lambda y. x y)((\lambda x. \lambda y. x y) x) y \rightarrow$
 $\lambda x. \lambda y. (\lambda y. x y)(\lambda y. x y) y \rightarrow$
 $\lambda x. \lambda y. x ((\lambda y. x y) y) \rightarrow$
 $\lambda x. \lambda y. x (x y) = 2$

- With these definitions, can build a theory of integer arithmetic.

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Looping

- Define $D = \lambda x. x x$
- Then
 - $D D = (\lambda x. x x) (\lambda x. x x) \rightarrow (\lambda x. x x) (\lambda x. x x) = D D$
- So $D D$ is an infinite loop
 - In general, *self application* is how we get looping

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The “Paradoxical” Combinator

$Y = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$

- Then
$$\begin{aligned} Y F &= \\ & (\lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))) F \rightarrow \\ & (\lambda x. F (x x)) (\lambda x. F (x x)) \rightarrow \\ & F ((\lambda x. F (x x)) (\lambda x. F (x x))) \\ & = F (Y F) \end{aligned}$$
- Thus $Y F = F (Y F) = F (F (Y F)) = \dots$

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Example

$\text{fact} = \lambda f. \lambda n. \text{if } n = 0 \text{ then } 1 \text{ else } n * (f (n-1))$

- The second argument to fact is the integer
- The first argument is the function to call in the body
 - We'll use Y to make this recursively call fact

$(Y \text{ fact}) 1 = (\text{fact } (Y \text{ fact})) 1$

- if $1 = 0$ then 1 else $1 * ((Y \text{ fact}) 0)$
- $1 * ((Y \text{ fact}) 0)$
- $1 * (\text{fact } (Y \text{ fact}) 0)$
- $1 * (\text{if } 0 = 0 \text{ then } 1 \text{ else } 0 * ((Y \text{ fact}) (-1)))$
- $1 * 1 \rightarrow 1$

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Discussion

- Using encodings we can represent pretty much anything we have in a “real” language
 - But programs would be pretty slow if we really implemented things this way
 - In practice, we use richer languages that include built-in primitives
- Lambda calculus shows all the issues with scoping and higher-order functions
- It's useful for understanding how languages work

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The Need for Types

- Consider the untyped lambda calculus
 - $\text{false} = \lambda x.\lambda y.y$
 - $0 = \lambda x.\lambda y.y$
- Since everything is encoded as a function...
 - We can easily misuse terms
 - $\text{false } 0 \rightarrow \lambda y.y$
 - $\text{if } 0 \text{ then } \dots$
 - Everything evaluates to some function
- The same thing happens in assembly language
 - Everything is a machine word (a bunch of bits)
 - All operations take machine words to machine words

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What is a Type System?

- A *type system* is some mechanism for distinguishing good programs from bad
 - Good = well typed
 - Bad = ill typed or not typable; has a *type error*
- Examples
 - $0 + 1$ // well typed
 - $\text{false } 0$ // ill-typed; can't apply a boolean

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Static versus Dynamic Typing

- In a *static type system*, we guarantee at compile time that all program executions will be free of type errors
 - OCaml and C have static type systems
- In a *dynamic type system*, we wait until runtime, and halt a program (or raise an exception) if we detect a type error
 - Ruby has a dynamic type system
- Java, C++ have a combination of the two

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Simply-Typed Lambda Calculus

- $e ::= n \mid x \mid \lambda x:t.e \mid e e$
 - We've added integers n as primitives
 - Without at least two distinct types (integer and function), can't have any type errors
 - Functions now include the type of their argument
- $t ::= \text{int} \mid t \rightarrow t$
 - int is the type of integers
 - $t_1 \rightarrow t_2$ is the type of a function that takes arguments of type t_1 and returns a result of type t_2
 - t_1 is the *domain* and t_2 is the *range*
 - Notice this is a recursive definition, so that we can give types to higher-order functions

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Type Judgments

- We will construct a type system that proves *judgments* of the form

$$A \vdash e : t$$

- “In type environment A , expression e has type t ”
- If for a program e we can prove that it has some type, then the program type checks
 - Otherwise the program has a type error, and we’ll reject the program as bad

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Type Environments

- A *type environment* is a map from variables names to their types
 - Just like in our operational semantics for Scheme
- \bullet is the empty type environment
- $A, x:t$ is just like A , except x now has type t
- When we see a variable in the program, we’ll look up its type in the environment

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Type Rules

$e ::= n \mid x \mid \lambda x:t.e \mid e e$

$$\begin{array}{c}
 \frac{}{A \vdash n : \text{int}} \qquad \frac{x \in A}{A \vdash x : A(x)} \\
 \\
 \frac{A, x:t \vdash e : t'}{A \vdash \lambda x:t.e : t \rightarrow t'} \qquad \frac{A \vdash e : t \rightarrow t' \quad A \vdash e' : t}{A \vdash e e' : t'}
 \end{array}$$

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Example

$A = + : \text{int} \rightarrow \text{int} \rightarrow \text{int}$
 $B = A, x : \text{int}$

$$\begin{array}{c}
 \frac{B \vdash + : i \rightarrow i \rightarrow i \quad B \vdash x : \text{int}}{B \vdash + x : \text{int} \rightarrow \text{int}} \quad B \vdash 3 : \text{int} \\
 \frac{B \vdash + x 3 : \text{int}}{A \vdash (\lambda x:\text{int}. + x 3) : \text{int} \rightarrow \text{int}} \quad A \vdash 4 : \text{int} \\
 \frac{A \vdash (\lambda x:\text{int}. + x 3) : \text{int} \rightarrow \text{int} \quad A \vdash 4 : \text{int}}{A \vdash (\lambda x:\text{int}. + x 3) 4 : \text{int}}
 \end{array}$$

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Discussion

- The type rules are a kind of logic for reasoning about types of programs
 - The tree of judgments we just saw is a kind of *proof* in this logic that the program has a valid type
- So the *type checking* problem is like solving a jigsaw puzzle
 - Can we apply the rules to a program in such a way as to produce a typing proof?
 - It turns out we can easily decide whether or not we can do this.

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An Algorithm for Type Checking

(Write this in OCaml!)

TypeCheck : type env \times expression \rightarrow type

```
TypeCheck(A, n) = int
TypeCheck(A, x) = if x in A then A(x) else fail
TypeCheck(A,  $\lambda x:t.e$ ) =
  let t' = TypeCheck((A, x:t), e) in t  $\rightarrow$  t'
TypeCheck(A, e1 e2) =
  let t1 = TypeCheck(A, e1) in
  let t2 = TypeCheck(A, e2) in
  if dom(t1) = t2 then range(t1) else fail
```

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Type Inference

- We could extend the rules to show how a language could figure out, even if types aren't specified, what the types of everything are in a program
 - Can you believe there are languages which can actually do this?
- We could do these things, but we actually won't.

Summary

- Lambda calculus shows all the issues with scoping and higher-order functions
- It's useful for understanding how languages work

Practice

- Reduce the following:
 - $(\lambda x. \lambda y. x \ y \ y) (\lambda a. a) \ b$
 - $(\text{or true}) (\text{and true false})$
 - $(* \ 1 \ 2) \quad (* \ m \ n = \lambda M. \lambda N. \lambda x. (M \ (N \ x)) \)$
- Derive and prove the type of:
 - $(\lambda f: \text{int} \rightarrow \text{int}. \lambda n: \text{int}. f \ n) (\lambda x: \text{int}. 3 + x) \ 6$
 - $\lambda x: \text{int} \rightarrow \text{int} \rightarrow \text{int}. \lambda y: \text{int} \rightarrow \text{int}. \lambda z: \text{int}. x \ z \ (y \ z)$