# Approximate Polytope Membership Queries 

Sunil Arya

Hong Kong University of Science and Technology

## Guilherme da Fonseca

Universidade Federal do Estado do Rio de Janeiro (UNIRIO)
David M. Mount
University of Maryland, College Park

## Polytope Membership Queries

## Polytope Membership Queries

Given a polytope $P$ in $d$-dimensional space, preprocess $P$ to answer membership queries:

Given a point $q$, is $q \in P$ ?

- Assume that dimension $d$ is a constant and $P$ is given as intersection of $n$ halfspaces
- For $d \leq 3$, can be solved with storage $O(n)$ and query time $O(\operatorname{logn})$ [BCKO10]
- Dual of halfspace emptiness searching



## Approximate Polytope Membership Queries

## Approximate Version

- An approximation parameter $\varepsilon$ is given (at preprocessing time)
- Assume the polytope has diameter 1
- If the query point's distance from P's boundary:
- > : answer must be correct
- $\leq \varepsilon$ : either answer is acceptable
- Polytope approximation is a well studied topic
- We consider the first space-time tradeoffs for the query problem



## Approximate Polytope Membership Queries

## Approximate Version

- An approximation parameter $\varepsilon$ is given (at preprocessing time)
- Assume the polytope has diameter 1
- If the query point's distance from P's boundary:
- > : answer must be correct
- $\leq \varepsilon$ : either answer is acceptable
- Polytope approximation is a well studied topic
- We consider the first space-time tradeoffs for the query problem



## Bentley et al. (Outer) Approximation [BFP82]



- Create a grid with cells of diameter $\varepsilon$
- For each column, store the topmost and bottommost cells intersecting $P$
- Query processing:
- Locate the column that contains $q$
- Compare $q$ with the two extreme values

Time-Efficient Solution [BFP82]

- $O\left(1 / \varepsilon^{d-1}\right)$ columns
- Storage: $O\left(1 / \varepsilon^{d-1}\right)$
- Query time: $O(1)$ (by integer division)


## Bentley et al. (Outer) Approximation [BFP82]



- Create a grid with cells of diameter $\varepsilon$
- For each column, store the topmost and bottommost cells intersecting $P$
- Query processing:
- Locate the column that contains $q$
- Compare $q$ with the two extreme values

Time-Efficient Solution [BFP82]

- $O\left(1 / \varepsilon^{d-1}\right)$ columns
- Storage: $O\left(1 / \varepsilon^{d-1}\right)$
- Query time: $O(1)$ (by integer division)


## Bentley et al. (Outer) Approximation [BFP82]



- Create a grid with cells of diameter $\varepsilon$
- For each column, store the topmost and bottommost cells intersecting $P$
- Query processing:
- Locate the column that contains $q$
- Compare $q$ with the two extreme values

Time-Efficient Solution [BFP82]

- $O\left(1 / \varepsilon^{d-1}\right)$ columns
- Storage: $O\left(1 / \varepsilon^{d-1}\right)$
- Query time: $O(1)$ (by integer division)


## Dudley's (Outer) Approximation [Dud74]

Every unit-diameter polytope can be $\varepsilon$-approximated as the intersection of $O\left(1 / \varepsilon^{(d-1) / 2}\right)$ halfspaces [Dud74]

## Space-Efficient Solution

Check whether $q$ lies within each Dudley halfspace:

- Storage: $O\left(1 / \varepsilon^{(d-1) / 2}\right)$
- Query time: $O\left(1 / \varepsilon^{(d-1) / 2}\right)$
- Note: Each halfspace is used to cover a surface patch of size $\sqrt{\varepsilon}$


## Dudley's (Outer) Approximation [Dud74]

Every unit-diameter polytope can be $\varepsilon$-approximated as the intersection of $O\left(1 / \varepsilon^{(d-1) / 2}\right)$ halfspaces [Dud74]

## Space-Efficient Solution

Check whether $q$ lies within each Dudley halfspace:

- Storage: $O\left(1 / \varepsilon^{(d-1) / 2}\right)$
- Query time: $O\left(1 / \varepsilon^{(d-1) / 2}\right)$
- Note: Each halfspace is used to cover a surface patch of size $\sqrt{\varepsilon}$


## Dudley's (Outer) Approximation [Dud74]

Every unit-diameter polytope can be $\varepsilon$-approximated as the intersection of $O\left(1 / \varepsilon^{(d-1) / 2}\right)$ halfspaces [Dud74]

## Space-Efficient Solution

Check whether $q$ lies within each Dudley halfspace:

- Storage: $O\left(1 / \varepsilon^{(d-1) / 2}\right)$
- Query time: $O\left(1 / \varepsilon^{(d-1) / 2}\right)$
- Note: Each halfspace is used to cover a surface patch of size $\sqrt{\varepsilon}$


## A Simple Tradeoff

- Generate a grid of diameter $r \in[\varepsilon, 1]$
- Preprocessing: For each cell $Q$ intersecting $P$ 's boundary:
- Apply Dudley to $P \cap Q$
- $O\left((r / \varepsilon)^{(d-1) / 2}\right)$ halfspaces per cell
- Find the cell containing $q$
- Check whether q lies within every halfspace for this cell


## Tradeoff



## A Simple Tradeoff

- Generate a grid of diameter $r \in[\varepsilon, 1]$
- Preprocessing: For each cell $Q$ intersecting $P$ 's boundary:
- Apply Dudley to $P \cap Q$
- $O\left((r / \varepsilon)^{(d-1) / 2}\right)$ halfspaces per cell
- Query Processing:
- Find the cell containing $q$
- Check whether $q$ lies within every halfspace for this cell



## A Simple Tradeoff

- Generate a grid of diameter $r \in[\varepsilon, 1]$
- Preprocessing: For each cell $Q$ intersecting $P$ 's boundary:
- Apply Dudley to $P \cap Q$
- $O\left((r / \varepsilon)^{(d-1) / 2}\right)$ halfspaces per cell
- Query Processing:
- Find the cell containing $q$
- Check whether $q$ lies within every halfspace for this cell


## Tradeoff

- Storage: $O\left(1 /(r \varepsilon)^{(d-1) / 2}\right)$
- Query time: $O\left((r / \varepsilon)^{(d-1) / 2}\right)$



## Can we do better? Need a little sensitivity

- Dudley tends to oversample regions of very low and very high curvature
- Finding the smallest number of halfspaces reduces to set cover
- A $\log (1 / \varepsilon)$-approximation can be found efficiently (Mitchell and Suri [MS95], Clarkson [Cla93])
- Simple Idea: Recursively subdivide space (quadtree) until the number of approximating halfspaces is small enough


## Split-Reduce

## Preprocess:



- Input $P, \varepsilon$, and desired query time $t$
- $Q \leftarrow$ unit hypercube
- Split-Reduce( $Q$ )


## Split-Reduce(Q)

- Find an $\varepsilon$-approximation of $Q \cap P$
- If at most $t$ facets, then $Q$ stores them
- Otherwise, subdivide $Q$ and recurse
- Query time: $O(\log (1 / \varepsilon)+t)$
- Storage: ???


## Split-Reduce

$t=2$


## Preprocess:

- Input $P, \varepsilon$, and desired query time $t$
- $Q \leftarrow$ unit hypercube
- Split-Reduce( $Q$ )


## Split-Reduce(Q)

- Find an $\varepsilon$-approximation of $Q \cap P$
- If at most $t$ facets, then $Q$ stores them
- Otherwise, subdivide $Q$ and recurse
- Query time: $O(\log (1 / \varepsilon)+t)$
- Storage: ???


## Split-Reduce

## Preprocess:

- Input $P, \varepsilon$, and desired query time $t$
- $Q \leftarrow$ unit hypercube
- Split-Reduce( $Q$ )


## Split-Reduce(Q)

- Find an $\varepsilon$-approximation of $Q \cap P$
- If at most $t$ facets, then $Q$ stores them
- Otherwise, subdivide $Q$ and recurse
- Query time: $O(\log (1 / \varepsilon)+t)$
- Storage: ???


## Split-Reduce

## Preprocess:

- Input $P, \varepsilon$, and desired query time $t$
- $Q \leftarrow$ unit hypercube
- Split-Reduce( $Q$ )


## Split-Reduce(Q)

- Find an $\varepsilon$-approximation of $Q \cap P$
- If at most $t$ facets, then $Q$ stores them
- Otherwise, subdivide $Q$ and recurse
- Query time: $O(\log (1 / \varepsilon)+t)$
- Storage: ???


## Split-Reduce

## Preprocess:

$t=2$


- Input $P, \varepsilon$, and desired query time $t$
- $Q \leftarrow$ unit hypercube
- Split-Reduce $(Q)$


## Split-Reduce(Q)

- Find an $\varepsilon$-approximation of $Q \cap P$
- If at most $t$ facets, then $Q$ stores them
- Otherwise, subdivide $Q$ and recurse
- Query time: $O(\log (1 / \varepsilon)+t)$
- Storage: ???


## Why it pays to be sensitive

## Easy Analysis

Split-Reduce reduces the query time from $1 / \varepsilon^{(d-1) / 2}$ to $1 / \varepsilon^{(d-1) / 4}$ with the same $O\left(1 / \varepsilon^{(d-1) / 2}\right)$ storage


- By Dudley, if diameter $\leq \sqrt{\varepsilon}$, need only $1 / \varepsilon^{(d-1) / 4}$ halfspaces $\Rightarrow$ cells of size $\leq \sqrt{\varepsilon}$ are not subdivided
- Each Dudley halfspace is only needed within a radius of $\sqrt{\varepsilon}$
$\Rightarrow$ Each halfspace hits only $O(1)$ cells of size $\geq \sqrt{\varepsilon}$
$\Rightarrow$ The total number of halfspaces needed is $O\left(1 / \varepsilon^{(d-1) / 2}\right)$


## General Tradeoff

An inductive application of the previous argument yields a space-time tradeoff

## Theorem:

Using Split-Reduce we can answer $\varepsilon$-approximate polytope membership queries with
Storage: $O\left(1 / \varepsilon^{(d-1) /\left(1-k / 2^{k}\right)}\right)$ Query time: $O\left(1 / \varepsilon^{(d-1) / 2^{k}}\right)$


## Lower Bound

- The above analysis is not necessarily tight
- We establish a lower bound on Split-Reduce
- The input polytope is a cylinder formed by extruding a $(d-k)$-dimensional ball in $k$ dimensions
- $k$ is chosen to maximize the storage for a given query time



## Approximate Nearest Neighbor (ANN) Searching



- ANN: Preprocess $n$ points such that, given a query point $q$, can find a point within at most $1+\varepsilon$ times the distance to $q$ 's nearest neighbor
- Arya, et al. [AMM09] gave a solution that is optimal in the extremes of the space-time tradeoff and gave a lower bound
- Our new results improve the tradeoff throughout the middle of the spectrum


## Approximate Nearest Neighbor (ANN) Searching

- Arya et al. show that it is possible to partition space into cells, each associated with candidates to be the ANN for query points in the cell, such that:
- Total number of candidates is $\widetilde{O}(n)$
- All but 1 candidate is inside a constant-radius annulus
- Using lifting we can reduce the search to $\log (1 / \varepsilon)$ approximate polytope membership queries



## Concluding Remarks

- Improved upper bounds for approximate polytope membership queries
- First space-time tradeoffs
- Simple algorithm - Split-Reduce
- Significant improvements to ANN searching
- Open problem: Tighten the analysis


## Thank you!

## Bibliography

- [AMM09] S. Arya, T. Malamatos, and D. M. Mount. Space-time tradeoffs for approximate nearest neighbor searching. J. ACM, 57:1-54, 2009.
- [BFP82] J. L. Bentley, M. G. Faust, and F. P. Preparata. Approximation algorithms for convex hulls. Commun. ACM, 25(1):64-68, 1982.
- [BCKO10] M. de Berg, O. Cheong, M. van Kreveld, and M. Overmars. Computational Geometry: Algorithms and Applications. Springer, 3rd edition, 2010.
- [Cla93] K. L. Clarkson. Algorithms for polytope covering and approximation. In Proc. 3rd Workshop Algorithms Data Struct. (WADS), pages 246-252, 1993.
- [Dud74] R. M. Dudley. Metric entropy of some classes of sets with differentiable boundaries. Approx. Theory, 10(3):227-236, 1974.
- [MS95] J. S. B. Mitchell and S. Suri. Separation and approximation of polyhedral objects. Comput. Geom., 5:95-114, 1995.

