

Proof that $L_{a's=b's} = \{w \mid n_a(w) = n_b(w)\}$ is a Context Free Language
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1 Introduction

Def 1.1 If F is a finite set then a *string over F* is a sequence of elements of F . For example, if $F = \{a, b, A, B\}$ then $aaAba$ is a string, $BaBBaAba$ is a string.

Def 1.2 The string e is the empty string. Its main property is that for any string of symbols α , $\alpha e = \alpha$.

Def 1.3 A *language* is a set of strings.

Def 1.4 If L is a language then $LL = L^2 = \{xy : x \in L \wedge y \in L\}$. You can define L^3, L^4 , etc. Note that $L^1 = L$ and $L^0 = \{e\}$.

Def 1.5 If L is a language then $L^* = L^0 \cup L^1 \cup \dots$. Note that L^* is the set of all strings of symbols from L .

Def 1.6 If α is a string then $\#_a(\alpha)$ is the number of a 's in α . Note that α may have b 's and even nonterminals (like S) in it. $\#_b(\alpha)$ is defined similarly.

Def 1.7

1. A *Context Free Grammar* (henceforth *CFG*) is a tuple (N, Σ, R, S) where
 - (a) N is a finite set of *nonterminals*. We will denote these by capitol letters.
 - (b) Σ is a finite set of *terminals*, also called the *alphabet*. We will denote these by small letters.
 - (c) R is a set of *rules* of the form $A \rightarrow \alpha$ where A is a nonterminal and α is a string of terminals and nonterminals.
 - (d) S is the *start nonterminal*.
2. Let G be a CFG. We write $S \Rightarrow \alpha$ to mean that if you start with S you apply the rules (perhaps many times) and you end up with α . If you use n rules then we write this as $S \Rightarrow_n \alpha$. We call this a *derivation of length n* .
3. $L(G)$ is the set of nonterminals that can be generated from S . Formally

$$L(G) = \{\alpha : S \Rightarrow \alpha\} \cap \Sigma^*$$

2 A CFG for $L_{a's=b's} = \{w \mid n_a(w) = n_b(w)\}$

Let G be the CFG:

$$\begin{aligned} S &\rightarrow aSb \\ S &\rightarrow bSa \\ S &\rightarrow SS \\ S &\rightarrow e \end{aligned}$$

Theorem 2.1 $L_{a's=b's} = L(G)$.

Proof:

1) $L(G) \subseteq L_{a's=b's}$.

KEY: Look at the set $L'(G) = \{\alpha : S \Rightarrow \alpha\}$. Note that we DID NOT intersect with Σ^* . This is ALL of the sequences that can be generated, including those that have S in them.

Claim: For all n , if $S \Rightarrow_n \alpha$ then $\#_a(\alpha) = \#_b(\alpha)$.

We prove this by induction on n .

Base Case: $n = 1$. $S \Rightarrow_1 \alpha$ means just $S \rightarrow \alpha$. The only such α are aSb and bSa and SS and e . All of these strings have an equal number of a 's and b 's.

IH: If $S \Rightarrow_{n-1} \alpha$ then $\#_a(\alpha) = \#_b(\alpha)$.

IS: We show that if $S \Rightarrow_n \alpha$ then $\#_a(\alpha) = \#_b(\alpha)$.

We decompose $S \Rightarrow_n \alpha$ into its first $n - 1$ steps and its n th step. Since there is an n th step the $(n - 1)$ st step must result in a string that has an S in it which is then used in a rule to get the n th step. So we have

$$S \Rightarrow_{n-1} \beta S \gamma$$

and then we have the next step. By the IH $\#_a(\beta S \gamma) = \#_b(\beta S \gamma)$. The n th step will be to replace S with either aSb or bSa or SS or e . Clearly the resulting string will have the same number of a 's as b 's.

End of Proof of Claim

Since

$$L'(G) = \{\alpha \in \{S, a, b\}^* : \#_a(w) = \#_b(w)\}$$

clearly

$$L(G) = \{\alpha \in \{a, b\}^* : \#_a(w) = \#_b(w)\}.$$

Hence $L(G) \subseteq L_{a's=b's}$.

2) $L_{a's=b's} \subseteq L(G)$.

We proof this by induction on $|w|$.

Base Case: If $|w| = 0$ then use $S \rightarrow e$.

IH: All w' such that $|w'| = n - 1$ and $w' \in L_{a's=b's}$ are in $L(G)$.

IS: Let w such that $|w| = n$ and $w \in L_{a's=b's}$. We show that $w \in L(G)$.

Case 1: $w = aw'b$. Clearly $w' \in L(G)$. By the IH $S \Rightarrow w'$. To obtain w we do the following:

$$S \rightarrow aSb \Rightarrow aw'b = w.$$

Case 2: $w = bw'a$. Similar to Case 1.

Case 3: $w = axa$.

Claim: $w = w'w''$ where $|w'|, |w''| < n$, $w', w'' \in L_{a's=b's}$.

Look at the strings

$$w_0 = a$$

$$w_1 = a\sigma_1$$

$$w_2 = a\sigma_1\sigma_2$$

$\vdots$

$$w_i = a\sigma_1\sigma_2 \cdots \sigma_i$$

$\vdots$

$$w_{n-2} = a\sigma_1 \cdots \sigma_{n-2}$$

$$w_{n-1} = a\sigma_1 \cdots \sigma_{n-2}a$$

Note that

$$\#_a(w_0) - \#_b(w_0) = 1 > 0$$

$$\#_a(w_{n-1}) - \#_b(w_{n-1}) = 0$$

Since $w_{n-1} = w_{n-2}a$, we must also have

$$\#_a(w_{n-2}) - \#_b(w_{n-2}) < 0$$

We rewrite just two of the equations:

$$\#_a(w_0) - \#_b(w_0) > 0$$

$$\#_a(w_{n-2}) - \#_b(w_{n-2}) < 0$$

Since each w_i is obtained by adding just one letter there must be an i such that

$$\#_a(w_i) - \#_b(w_i) = 0$$

This $w_i \in L_{a's=b's}$. Since $w \in L_{a's=b's}$ we must also have that $w = w_iw''$ and $w'' \in L_{a's=b's}$.

Let $w_i = w'$.

End of Proof of Claim

So we now have $w = w'w''$ where $w' \in L_{a's=b's}$ and $w'' \in L_{a's=b's}$. By the IH $S \Rightarrow w'$ and $S \Rightarrow w''$. To derive w use

$$S \rightarrow SS \Rightarrow w'S \Rightarrow w'w'' = w$$

Case 4: $w = bxb$. Similar to Case 3.

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