

START

RECORDING

Techniques of proof

Proving *universal / Existential statements true or false*
Direct and indirect proof strategies

Direct Proofs

Basic definitions: Parity

- n is even iff $n \equiv 0 \pmod{2}$
- n is odd iff $n \equiv 1 \pmod{2}$
- If $n \equiv b \pmod{2}$ where $b \in \{0,1\}$ then b is the parity of n .

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- If a is an integer, then $a^2 + a$ is even.
 - a even, so $a \equiv 0 \pmod{2}$
 - $0^2 + 0 \equiv 0 \pmod{2}$
 - a odd, so $a \equiv 1 \pmod{2}$
 - $1^2 + 1 \equiv 0 \pmod{2}$

Statements of claims / theorems

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- If $x \equiv 1 \pmod{3}$ and $y \equiv 2 \pmod{3}$ then $x + y \equiv 0 \pmod{3}$.
- For all x , $x^2 \equiv 0$ or 1 or $4 \pmod{8}$
 - (We will use this later.)

Here's some more!

- Let's prove the following claims **true**
 1. The square of an odd integer is also odd.

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 1. The square of an odd integer is also odd.
 2. If a is an integer, then $a^2 + a$ is even.
 3. *If m is an even integer and n is an odd integer, $m^2 + 3n$ is odd.*

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 4. *If n is odd, $n^2 = 8m + 1$ for some integer m .*

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 3. *If m is an even integer and n is an odd integer, $m^2 + 3n$ is odd.*
 4. *If n is odd, $n^2 = 8m + 1$ for some integer m .*
 5. If a, b are **rational**, $(a+b)/2$ is also rational

Proof By Contraposition

Indirect Proofs of Number Theory

- Sometimes, proving a fact *directly* is tough.
- In such cases, we can attempt an *indirect* proof
- Those are split in two categories
 1. Proofs by *contraposition*
 2. Proofs by *contradiction*
- We will see examples of both.

Proof by contraposition

- Applicable to all kinds of statements of type

$$(\forall x \in D)[P(x) \Rightarrow Q(x)]$$

- Sometimes, proving the implication in this way can be **hard**.
- On the other hand, proving its *contrapositive*

$$(\forall x \in D)[\sim Q(x) \Rightarrow \sim P(x)]$$

might be easier! 😊

Examples

- $(\forall a \in \mathbb{Z})[(a^2 \equiv 0 \pmod{2}) \Rightarrow (a \equiv 0 \pmod{2})]$

Examples

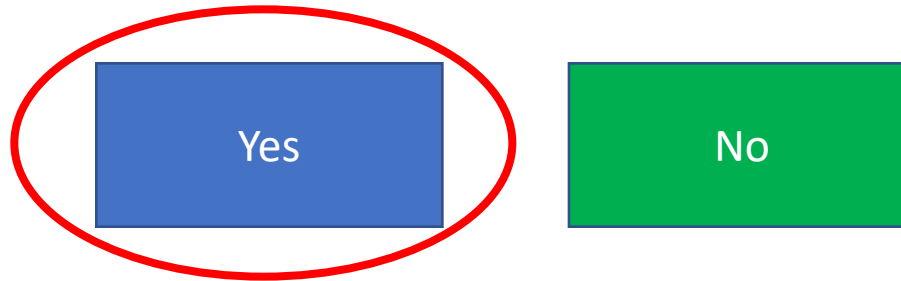
- $(\forall a \in \mathbb{Z})[(a^2 \equiv 0 \pmod{2}) \Rightarrow (a \equiv 0 \pmod{2})]$
- Do we believe this to be true?

Yes

No

Examples

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- Do we believe this to be true?



- So we should aim for a proof of the **affirmative!**

Examples

- $(\forall a \in \mathbb{Z})[(a^2 \equiv 0 \pmod{2}) \Rightarrow (a \equiv 0 \pmod{2})]$
- Proving this **directly** is somewhat **hard**
- On the other hand, the **contrapositive**

$$(\forall a \in \mathbb{Z})[(a \equiv 1 \pmod{2}) \Rightarrow (a^2 \equiv 1 \pmod{2})]$$

is much easier!

Proof that $(\forall a \in \mathbb{Z}) [(a \equiv 1 \pmod{2}) \Rightarrow (a^2 \equiv 1 \pmod{2})]$

1. Suppose a is an **odd** integer.
2. Then, $a \equiv 1 \pmod{2}$.
3. By algebra, $a^2 \equiv 1^2 \equiv 1 \pmod{2}$.
4. Done.

Proof that $(\forall a \in \mathbb{Z}) [(a^2 \equiv 0 \pmod{3}) \Rightarrow (a \equiv 0 \pmod{3})]$

1. Contrapositive $(a \not\equiv 0 \pmod{3}) \Rightarrow (a^2 \not\equiv 0 \pmod{3})$

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4. Fails when $a \equiv 2 \pmod{4}$

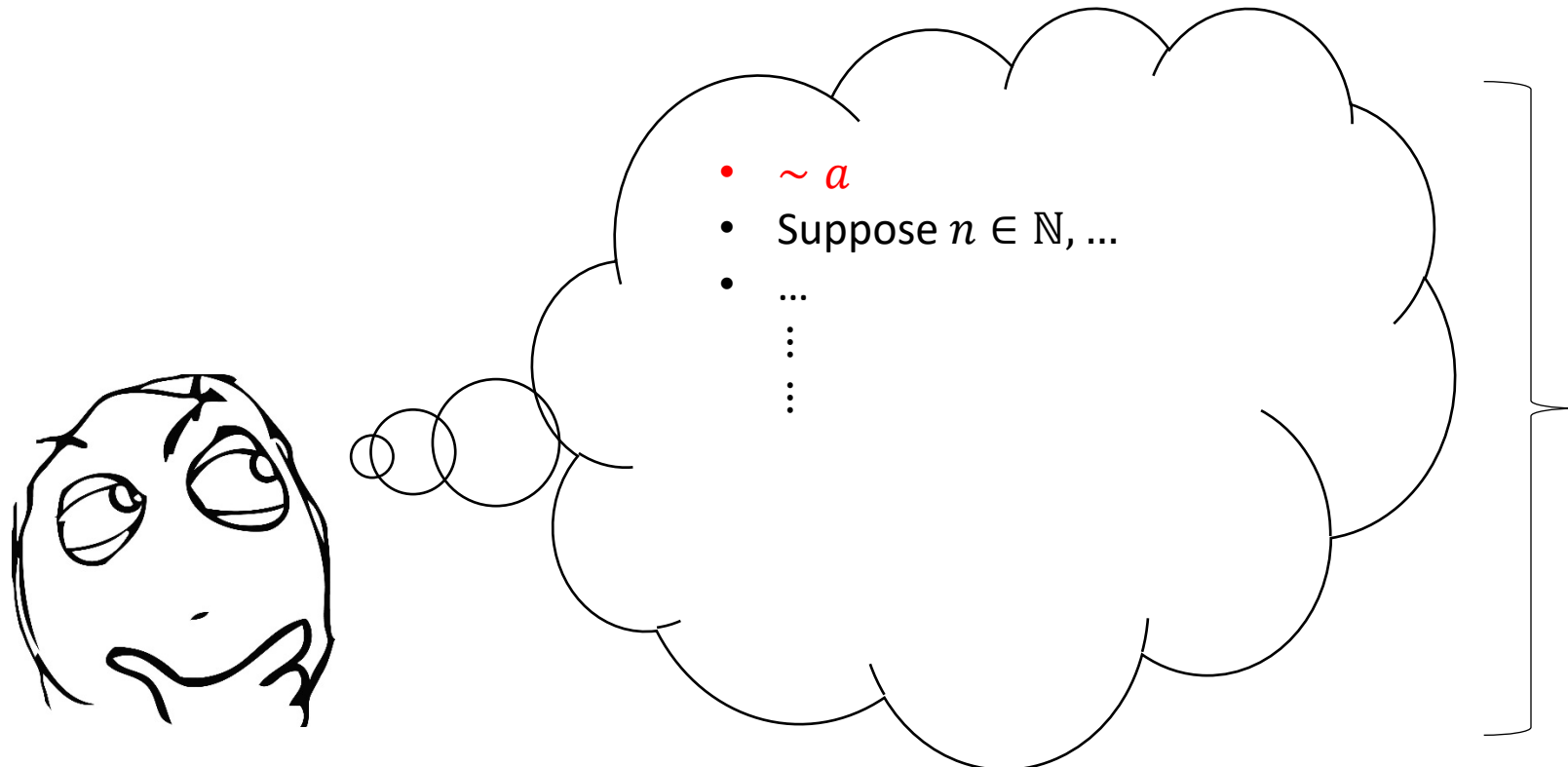
Proof by Contradiction

Proof by contradiction

- The most common type of indirect proof is *proof by contradiction*
- **Briefly** We want to prove a fact a , so **we assume** $\sim a$ and **hope that we reach a contradiction** (a falsehood).

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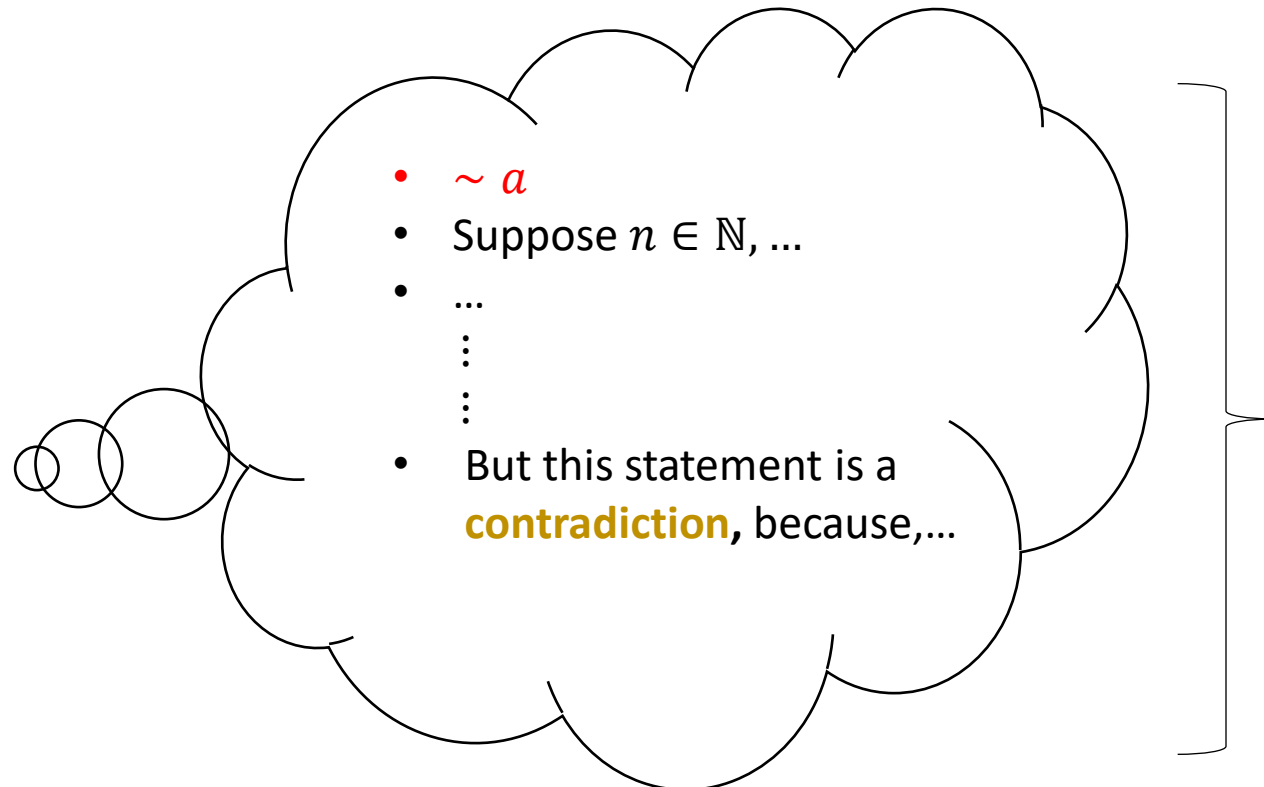
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This is a so-called
“conditional world” It’s a
“version” of our
world **where we
assume $\sim a$.**

Proof by contradiction

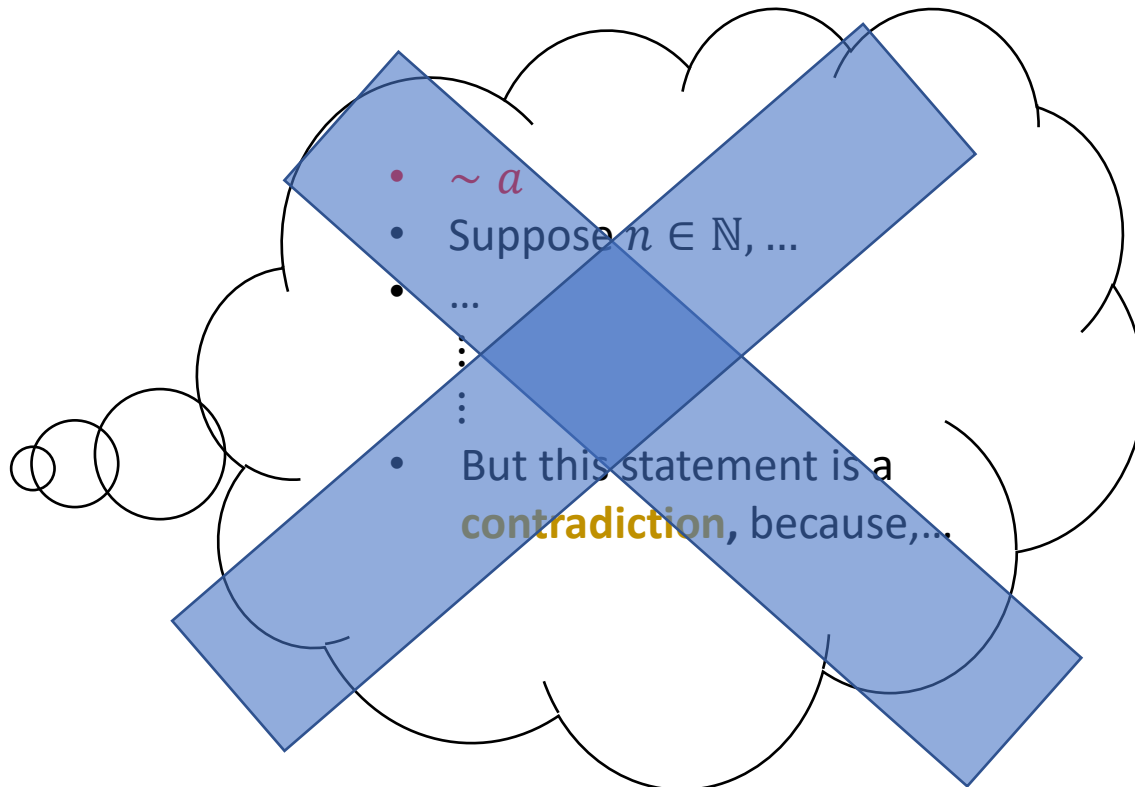
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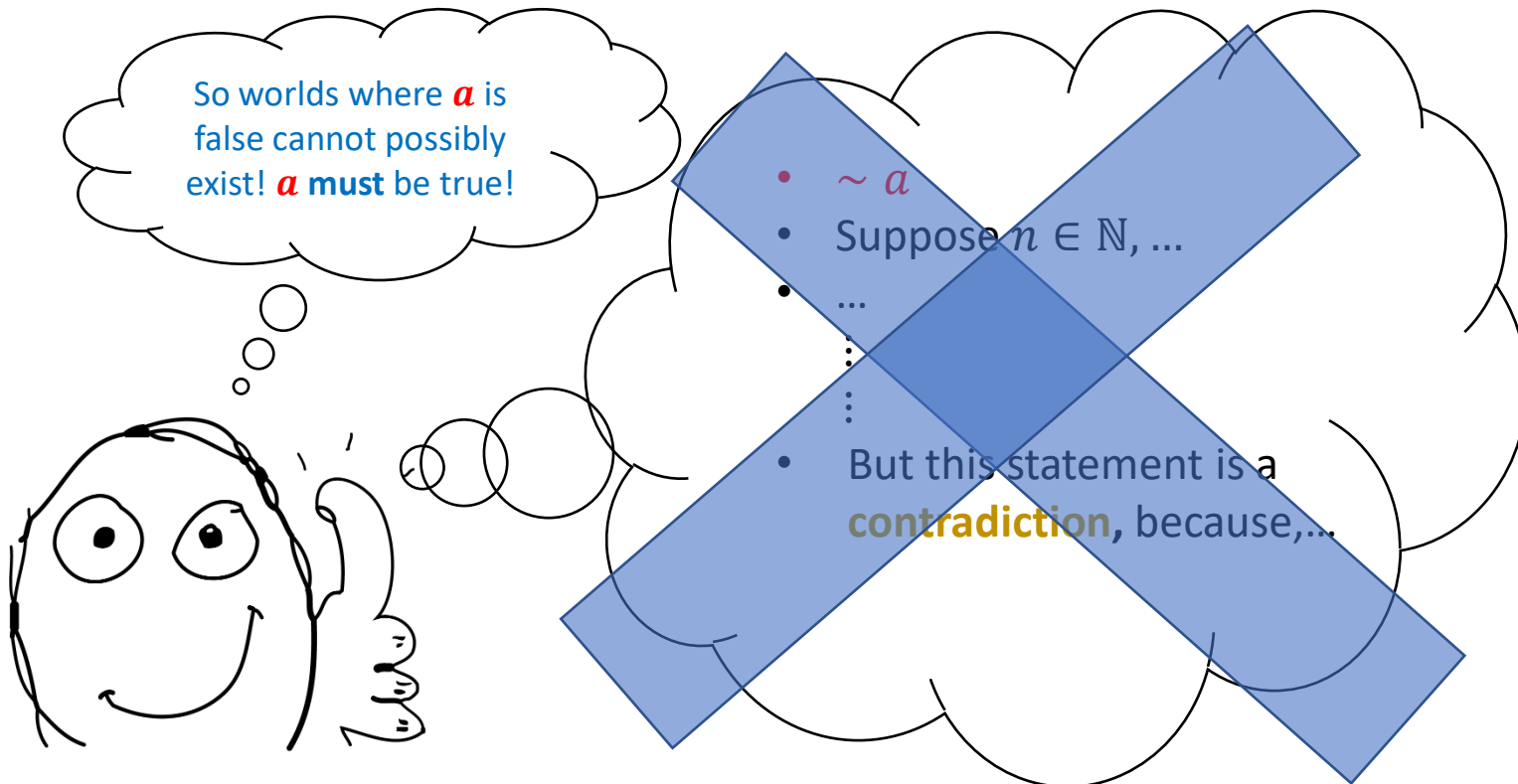


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- **Example** We will prove that there is no greatest integer.
- **Proof**
 1. Assume that the statement is false. Then, there is a greatest integer.
 2. Call the integer assumed in step 1 N .
 3. By closure of \mathbb{Z} over addition, we have that $N + 1 \in \mathbb{Z}$.
 4. But $N + 1 > N$.
 5. Steps 4 and 1 are a contradiction. Therefore, there does **not** exist a greatest integer.

Your turn!

- Prove that the square root of any **irrational** is **also** irrational

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 $\sqrt{2}$ is irrational

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9. So both a and b are both even, which means that they have common factor of 2.
10. Contradiction.

Proof of a lemma

- Proof (via contraposition) We prove the **contrapositive**, i.e

If a^2 is a multiple of 5, then so is a



If a is not a multiple of 5, then a^2 isn't one either.

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Proof of lemma

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if a is not a multiple of 5, then a^2 isn't one either.

1. Suppose that $a \in \mathbb{Z}$ is **not** a multiple of 5.
2. Then, one of the following has to be the case (all \equiv are mod 5)
 - $a \equiv 1 \Rightarrow a^2 \equiv 1^2 \equiv 1 \not\equiv 0$
 - $a \equiv 2 \Rightarrow a^2 \equiv 4 \equiv 4 \not\equiv 0$
 - $a \equiv 3 \Rightarrow a^2 \equiv 3^2 \equiv 4 \not\equiv 0$
 - $a \equiv 4 \Rightarrow a^2 \equiv 16 \equiv 1 \not\equiv 0$

Adjustment: Proof that $\sqrt{5}$ is irrational

- Let's assume BY WAY OF CONTRADICTION that $\sqrt{5}$ is rational.
- So $\sqrt{5} = \frac{a}{b}$, $a, b \in \mathbb{Z}, b \neq 0$ and a, b do not have common factors.
- So $a = \sqrt{5} \cdot b \Rightarrow a^2 = 5b^2$ so $a^2 = 5k$ for $k \in \mathbb{Z}$ **(1)**

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- So $a \equiv 0 \pmod{5}$ **(2)**

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- So $a = \sqrt{5} \cdot b \Rightarrow a^2 = 5b^2$ so $a^2 = 5k$ for $k \in \mathbb{Z}$ **(1)**
- **By the previous theorem**, this means that $a = 5j$ for $j \in \mathbb{Z}$
- So $a \equiv 0 \pmod{5}$ **(2)**
- **Substituting (2) into (1) yields** $0^2 \pmod{5} \equiv 5b^2 \Rightarrow b^2 \equiv 0 \pmod{5} \Rightarrow b^2 = 5x$ for $x \in \mathbb{Z} \Rightarrow b = 5y$ for $y \in \mathbb{Z}$ by same theorem
- So, b is $b^2 \equiv 0 \pmod{5}$
- **Since a and b are both multiples of 5, they have a common factor of 5.**
- Contradiction.

Proof of $\sqrt{7} \notin \mathbb{Q}$ with Euclidean Argument

Proof that $\sqrt{4}$ is irrational (???)

- Why can we **not** use this machinery to prove that $\sqrt{4}$ is irrational (which is wrong anyway)?

Proof that $\sqrt{4}$ is irrational (???)

- Why can we **not** use this machinery to prove that $\sqrt{4}$ is irrational (which is wrong anyway)?
- Observe that to prove $\sqrt{2}$ irrational, we needed lemma x^2 even $\Rightarrow x$ even

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- To prove $\sqrt{3}$ irrational, we need lemma x^2 mult 3 $\Rightarrow x$ mult 3
- To prove $\sqrt{4}$ irrational, we would need lemma x^2 mult 4 $\Rightarrow x$ mult 4.
- But this is **not** actually true! Counter-example $x = 2$

Enroute to an alternative proof
that numbers are irrational

Exercise

- Please go ahead and find **the smallest possible positive factors** for the following numbers (excluding the trivial factor 1)
 - 15
 - 22
 - 29
 - 121
 - 1024
 - 1027

Exercise

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 - $15 = 3 \times 5 = 3^1 \times 5^1$
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 - $1027 = 13 \times 79 = 13^1 \times 79^1$

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They are all primes!

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- Every positive integer $n \geq 2$ can be factored into a product of **exclusively** prime numbers

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- Every positive integer $n \geq 2$ can be factored into a product of **exclusively** prime numbers
- Moreover, this representation is *unique*, up to re-ordering of the individual factors in the product! For example
 - $15 = 3^1 \times 5^1 = 5^1 \times 3^1$
 - $1400 = 2^3 \times 5^2 \times 7^1 = 2^3 \times 7^1 \times 5^2 =$
 $= 5^2 \times 2^3 \times 7^1 = 5^2 \times 7^1 \times 2^3 =$
 $= 7^1 \times 2^3 \times 5^2 = 7^1 \times 5^2 \times 2^3$

Unique Prime Factorization Theorem

- Every number $n \in \mathbb{N}^{\geq 2}$ can be **uniquely** factored into a product of prime numbers p_1, p_2, \dots, p_k like so

$$n = p_1^{e_1} \cdot p_2^{e_2} \cdot \dots \cdot p_k^{e_k}, \quad e_i \in \mathbb{N}^{>0}$$

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- Proving **existence** is **easy** (Formally needs induction which we will do later in this course)
- Proving **uniqueness** is **harder**

Examples of “uniqueness”

- By “uniqueness” we mean that the product is unique up to reordering of the factors $p_i^{e_i}$.
- Examples
 - $30 = 3^1 \times 2^1 \times 5^1 = 5^1 \times 2^1 \times 3^1$
 - $88 = 2^3 \times 11^1 = 11^1 \times 2^3$
 - $1026 = 2^1 \times 3^3 \times 19^1 = 2^1 \times 19^1 \times 3^3 = 19^1 \times 2^1 \times 3^3 = 3^3 \times 19^1 \times 2^1$

A necessary lemma

- Claim: Let $p \in \mathbf{P}$, $a \in \mathbb{N}$. Then, if $p \mid a$, then $p \nmid (a + 1)$.

A necessary lemma

Set of primes

- Claim: Let $p \in \mathbf{P}$, $a \in \mathbb{N}$. Then, if $p \mid a$, then $p \nmid (a + 1)$.
- Proof:
 - Assume that $p \mid (a + 1)$. Then, this means that $(\exists r_1 \in \mathbb{Z})[a + 1 = p \cdot r_1]$ (I)
 - We already know that $p \mid a \Rightarrow (\exists r_2 \in \mathbb{Z})[a = p \cdot r_2]$ (II)
 - Substituting (II) into (I) yields: $p \cdot r_2 + 1 = p \cdot r_1 \Rightarrow p(r_1 - r_2) = 1 \Rightarrow p \mid 1$ which is a **contradiction**. Therefore, $p \nmid (a + 1)$.

STOP

RECORDING