### Undecidability of CFG Complementation Exposition by William Gasarch

## 1 The Problem

Given a CFG G we want to know if  $\overline{L(G)}$  is also a CFG. We will show this is undecidable. The proof we give was emailed to us by Harry Lewis. It is likely well known.

# 2 Needed Lemmas

**Lemma 2.1** Let G be a CFG over  $\Sigma$ . Let  $\$ \in \Sigma$ . Let Let L' be the set of strings w such that

- w does not contain \$, and
- there exists  $w' \in L(G)$  such that  $w' = w \$ \Sigma^*$ .

Then L' is a CFL.

#### **Proof:**

We show how to transform the CFG G into a CFG for L'. Replace every rule of the form

$$X \to \alpha \$ \beta$$
 where  $\alpha \in (\Sigma - \$)$ 

with the rule

 $X \to \alpha$ .

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#### Def 2.2

- 1.  $D(M_e) = \{x : M_e(x) \downarrow\}.$
- 2. A *promise problem* is a problem where you are given *e* and promised something about it. We give the only example of a promise we will use in the next item.
- 3. PROM is the following promise:  $D(M_e)$  is either  $\emptyset$  OR is NOT a CFL.

**Lemma 2.3** The following promise problem, which we denote PROMEMPTY, is undecidable: Given e which satisfies PROM, determine if  $D(M_e) = \emptyset$ .

**Proof:** Assume, BWOC, that PROMEMPTY decidable. We show HALTONZ is undecidable.

- 1. Input x (so we want to know if  $M_x(0) \downarrow$ ).
- 2. CREATE a machine  $M_e$  as follows:
  - (a) Input y. If  $y \notin \{a^n b^n c^n : n \in \mathbb{N}\}$  then go into an infinite loop.
  - (b) If you got here then there exists n such that  $y = a^n b^n c^n$ . Run  $M_x(0)$  for n steps. If it halts then HALT otherwise go into an infinite loop.
- 3. (This is a program comment. Note that 1)  $M_x(0) \downarrow$  implies there exists  $n_o$  (the number of steps it took to halt) such that

$$\{y: M_e(y) \downarrow\} = \{a^n b^n c^n : n \ge n_o\}$$

which is NOT a CFL.

2)  $M_x(0) \uparrow$  implies that  $D(M_e) = \emptyset$ .

4. Note that either  $D(M_e) = \emptyset$  or  $D(M_e)$  is NOT a CFL. Hence *e* satisfies PROM. Since PROMEMPTY is decidable we can determine if  $D(M_e) = \emptyset$ . If  $D(M_e) = \emptyset$  then  $e \notin HALTONZ$ , so output NO. If  $D(M_e) \neq \emptyset$  then  $e \in HALTONZ$ , so output YES.

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### 3 Main Theorem

**Def 3.1** The CFG-COMP problem is as follows. Given a CFG G, determine if L(G) is CFL.

**Theorem 3.2** CFG-COMP is undecidable.

#### **Proof:**

Assume, by way of contradiction, that CFG-COMP is solvable. We use this to show that PROMEMPTY is decidable.

- 1. Input e
- 2. Construct a CFG  $G_1$  that generates the COMPLEMENT of strings of the form START CONFIG of  $M_e$   $(w \$ w^R)^*$  END CONFIG OF  $M_e$ .
- 3. Construct a CFG  $G_2$  that generates the COMPLEMENT of strings of the form

$$C_1 \$ C_1 \$ C_2 \$ C_2 \$ \cdots \$ C_L \$ C_L^R$$

where  $C_{i+1}$  is the next config after  $C_i$ .

4. Using  $G_1$  and  $G_2$  (easily) construct a CFG G such that

$$L(G) = L(G_1) \cup L(G_2)$$

 (This is a program comment. Look at

$$\overline{L(G)} = \overline{L(G_1) \cup L(G_2)} = \overline{L(G_1)} \cap \overline{L(G_2)}$$

This is the set of all strings that represent accepting computations of  $M_e$ .

We were promised that  $D(M_e)$  was either empty or NOT a CFL.

If  $D(M_e) = \emptyset$  then  $\overline{L(G)} = \emptyset$  and hence a CFL.

If  $D(M_e)$  is NOT a CFL, then, by Lemma 2.1,  $\overline{L(G)}$  is not a CFL.)

Since CFG-COMP is decidable we can determine  $\overline{L(G)}$  is a CFL. If the answer is YES then  $D(M_e) = \emptyset$  so we output EMPTY. If the answer is NO then  $D(M_e)$  is NOT CFL so we output NOT CFL.