

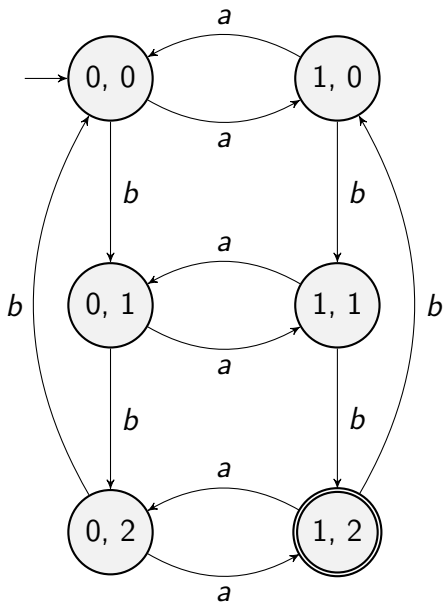
# Review for CMSC 452

## Final

# Deterministic Finite Automata (DFA)

$$\{w : \#_a(w) \equiv 1 \pmod{2} \wedge \#_b(w) \equiv 2 \pmod{3}\}$$

$$\{w : \#_a(w) \equiv 1 \pmod{2} \wedge \#_b(w) \equiv 2 \pmod{3}\}$$



# Nondeterministic Finite Automata (NFA)

# NFA's Intuitively

1. An NFA is a DFA that can guess.
2. NFAs do not really exist.
3. Good for  $\cup$  since can guess which one.
4. An NFA accepts iff SOME guess accepts.

# Every NFA-lang a DFA-lang!

**Thm** If  $L$  is accepted by an NFA then  $L$  is accepted by a DFA.

**Pf Sketch**  $L$  is accepted by NFA  $(Q, \Sigma, \Delta, s, F)$  where

1. Get rid of  $\epsilon$ -transitions using reachability.
2. Get rid of non-determinism by using power sets. Possibly  $2^n$  blowup.

# Regular Expressions



# Examples

1.  $b^*(ab^*ab^*)^*ab^*$
2.  $b^*(ab^*ab^*ab^*)^*$
3.  $(b^*(ab^*ab^*)^*ab^*) \cup (b^*(ab^*ab^*ab^*)^*)$

# DFA = NFA = REGEX

NFA  $\subseteq$  DFA: Use Power Set Construction. Exp Blowup.

# DFA = NFA = REGEX

NFA  $\subseteq$  DFA: Use Power Set Construction. Exp Blowup.

DFA  $\subseteq$  REGEX: Use  $R(i, j, k)$  construction.

# DFA = NFA = REGEX

NFA  $\subseteq$  DFA: Use Power Set Construction. Exp Blowup.

DFA  $\subseteq$  REGEX: Use  $R(i, j, k)$  construction.

REGEX  $\subseteq$  NFA: Induction on formation of regex. Linear.

# Closure Properties

# Summary of Proofs of Closure Properties

# Summary of Proofs of Closure Properties

**Prod** means product construction where you use  $Q_1 \times Q_2$

# Summary of Proofs of Closure Properties

**Prod** means product construction where you use  $Q_1 \times Q_2$

**Def** means by Definition, e.g.,  $L_1 \cup L_2$  for regex.



# Summary of Proofs of Closure Properties

**Prod** means product construction where you use  $Q_1 \times Q_2$

**Def** means by Definition, e.g.,  $L_1 \cup L_2$  for regex.

**Swap** means swapping final and non-final states.

# Summary of Proofs of Closure Properties

**Prod** means product construction where you use  $Q_1 \times Q_2$

**Def** means by Definition, e.g.,  $L_1 \cup L_2$  for regex.

**Swap** means swapping final and non-final states.

**e-trans** means by using e-transitions, e.g.,  $L_1 \cdot L_2$  for NFAs.

# Summary of Proofs of Closure Properties

**Prod** means product construction where you use  $Q_1 \times Q_2$

**Def** means by Definition, e.g.,  $L_1 \cup L_2$  for regex.

**Swap** means swapping final and non-final states.

**e-trans** means by using e-transitions, e.g.,  $L_1 \cdot L_2$  for NFAs.

**X** means hard to prove, e.g.,  $\bar{L}$  for NFA.

# Summary of Proofs of Closure Properties

**Prod** means product construction where you use  $Q_1 \times Q_2$

**Def** means by Definition, e.g.,  $L_1 \cup L_2$  for regex.

**Swap** means swapping final and non-final states.

**e-trans** means by using e-transitions, e.g.,  $L_1 \cdot L_2$  for NFAs.

**X** means hard to prove, e.g.,  $\bar{L}$  for NFA.

Property	DFA	NFA	regex
$L_1 \cup L_2$	Prod	e-trans	Def
$L_1 \cap L_2$	Prod	Prod	X
$\bar{L}$	Swap	X	X
$L_1 \cdot L_2$	X	e-trans	Def
$L^*$	X	e-trans	Def

# Summary of Blowup for Closure Properties

X means **Can't Prove Easily**

# Summary of Blowup for Closure Properties

X means **Can't Prove Easily**

$n_1, n_2$  are number of states in a DFA or NFA.

# Summary of Blowup for Closure Properties

X means **Can't Prove Easily**

$n_1, n_2$  are number of states in a DFA or NFA.

$\ell, \ell_2$  are length of regex.

# Summary of Blowup for Closure Properties

X means **Can't Prove Easily**

$n_1, n_2$  are number of states in a DFA or NFA.

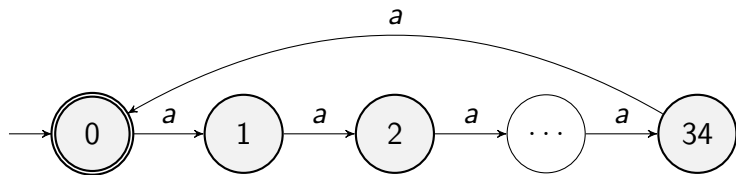
$\ell, \ell_2$  are length of regex.

Closure Property	DFA	NFA	Regex
$L_1 \cup L_2$	$n_1 n_2$	$n_1 + n_2 + 1$	$\ell_1 + \ell_2$
$L_1 \cap L_2$	$n_1 n_2$	$n_1 n_2$	X
$L_1 \cdot L_2$	X	$n_1 + n_2$	$\ell_1 + \ell_2$
$\bar{L}$	$n$	X	X
$L^*$	X	$n + 1$	$\ell + 1$



# Number of States for DFAs and NFAs

# Minimal DFA for $L_1 = \{a^i : i \equiv 0 \pmod{35}\}$



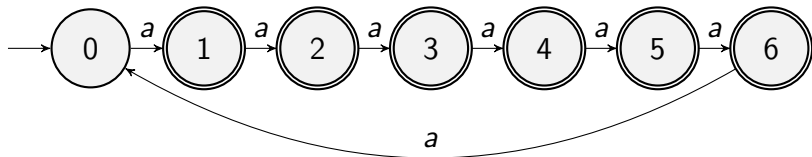
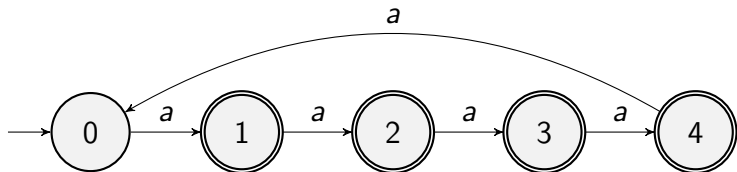
Min DFA for  $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

Min DFA for  $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

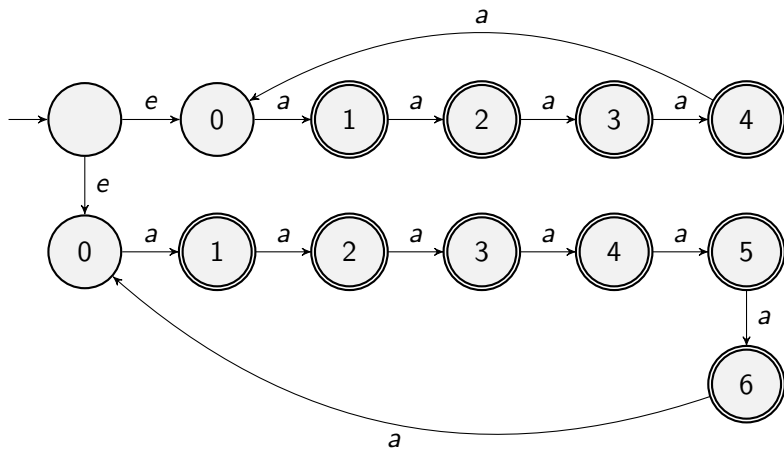
$\exists$  DFA for  $L_2$ : 35 states: swap final- $\overline{\text{final}}$  states in DFA for  $L_1$ .

## Small NFA for $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

Need these two NFA's.



## Small NFA for $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$



$$L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$$

$$L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$$

DFA for  $L_2$  requires 35 states.



$$L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$$

DFA for  $L_2$  requires 35 states.

NFA for  $L_2$  can be done with  $1 + 5 + 7 = 13$  states.

# Proving That a Language Is Not Regular

# Pumping Lemma

# Pumping Lemma

**Pumping Lemma (PL)** If  $L$  is regular then there exist  $n_0$  and  $n_1$  such that the following holds:

# Pumping Lemma

**Pumping Lemma (PL)** If  $L$  is regular then there exist  $n_0$  and  $n_1$  such that the following holds:

For all  $w \in L$ ,  $|w| \geq n_0$  there exist  $x, y, z$  such that:

# Pumping Lemma

**Pumping Lemma (PL)** If  $L$  is regular then there exist  $n_0$  and  $n_1$  such that the following holds:

For all  $w \in L$ ,  $|w| \geq n_0$  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq \epsilon$ .

# Pumping Lemma

**Pumping Lemma (PL)** If  $L$  is regular then there exist  $n_0$  and  $n_1$  such that the following holds:

For all  $w \in L$ ,  $|w| \geq n_0$  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq \epsilon$ .
2.  $|xy| \leq n_1$  (or can take  $|yz| \leq n_1$  but not both.)

# Pumping Lemma

**Pumping Lemma (PL)** If  $L$  is regular then there exist  $n_0$  and  $n_1$  such that the following holds:

For all  $w \in L$ ,  $|w| \geq n_0$  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq \epsilon$ .
2.  $|xy| \leq n_1$  (or can take  $|yz| \leq n_1$  but not both.)
3. For all  $i \geq 0$ ,  $xy^iz \in L$ .



# Pumping Lemma

**Pumping Lemma (PL)** If  $L$  is regular then there exist  $n_0$  and  $n_1$  such that the following holds:

For all  $w \in L$ ,  $|w| \geq n_0$  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq \epsilon$ .
2.  $|xy| \leq n_1$  (or can take  $|yz| \leq n_1$  but not both.)
3. For all  $i \geq 0$ ,  $xy^iz \in L$ .

**Proof by picture**

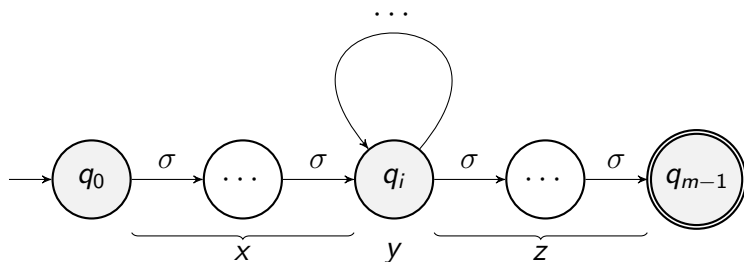
# Pumping Lemma

**Pumping Lemma (PL)** If  $L$  is regular then there exist  $n_0$  and  $n_1$  such that the following holds:

For all  $w \in L$ ,  $|w| \geq n_0$  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq \epsilon$ .
2.  $|xy| \leq n_1$  (or can take  $|yz| \leq n_1$  but not both.)
3. For all  $i \geq 0$ ,  $xy^iz \in L$ .

**Proof by picture**



# How We Use the PL

# How We Use the PL

We restate it in the way that we use it.

# How We Use the PL

We restate it in the way that we use it.

**PL** If  $L$  is reg then **for large enough strings  $w$  in  $L$**  there exist  $x, y, z$  such that:

# How We Use the PL

We restate it in the way that we use it.

**PL** If  $L$  is reg then **for large enough strings  $w$  in  $L$**  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq e$ .

# How We Use the PL

We restate it in the way that we use it.

**PL** If  $L$  is reg then **for large enough strings  $w$  in  $L$**  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq e$ .
2.  $|xy|$  **is short**.

# How We Use the PL

We restate it in the way that we use it.

**PL** If  $L$  is reg then **for large enough strings  $w$  in  $L$**  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq \epsilon$ .
2.  $|xy|$  **is short**.
3. for all  $i$ ,  $xy^iz \in L$ .



# How We Use the PL

We restate it in the way that we use it.

**PL** If  $L$  is reg then **for large enough strings  $w$  in  $L$**  there exist  $x, y, z$  such that:

1.  $w = xyz$  and  $y \neq e$ .
2.  $|xy|$  **is short**.
3. for all  $i$ ,  $xy^iz \in L$ .

We then find some  $i$  such that  $xy^iz \notin L$  for the contradiction.

# REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

# REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.



## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ .

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ . Note  $k \geq 1$ .

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ . Note  $k \geq 1$ .

By the PL, all of the words

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ . Note  $k \geq 1$ .

By the PL, all of the words

$$a^j (a^k)^i a^{n-j-k} b^n$$

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ . Note  $k \geq 1$ .

By the PL, all of the words

$$a^j (a^k)^i a^{n-j-k} b^n = a^{n+k(i-1)} b^n$$

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ . Note  $k \geq 1$ .

By the PL, all of the words

$$a^j (a^k)^i a^{n-j-k} b^n = a^{n+k(i-1)} b^n$$

are in  $L_1$ .

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ . Note  $k \geq 1$ .

By the PL, all of the words

$$a^j (a^k)^i a^{n-j-k} b^n = a^{n+k(i-1)} b^n$$

are in  $L_1$ .

Take  $i = 2$  to get

## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ . Note  $k \geq 1$ .

By the PL, all of the words

$$a^j (a^k)^i a^{n-j-k} b^n = a^{n+k(i-1)} b^n$$

are in  $L_1$ .

Take  $i = 2$  to get

$$a^{n+k} b^n \in L_1$$



## REDO: $L_1 = \{a^n b^n : n \in \mathbb{N}\}$ is Not Regular

Assume  $L_1$  is regular.

By PL, for long  $a^n b^n \in L_1$ ,  $\exists x, y, z$ :

1.  $y \neq \epsilon$ .
2.  $|xy|$  is short.
3. For all  $i \geq 0$ ,  $xy^i z \in L_1$ .

Take  $w$  long enough so that the  $xy$  part only has  $a$ 's.

$x = a^j$ ,  $y = a^k$ ,  $z = a^{n-j-k} b^n$ . Note  $k \geq 1$ .

By the PL, all of the words

$$a^j (a^k)^i a^{n-j-k} b^n = a^{n+k(i-1)} b^n$$

are in  $L_1$ .

Take  $i = 2$  to get

$$a^{n+k} b^n \in L_1$$

Contradiction since  $k \geq 1$ .

$L_3 = \{w : \#_a(w) \neq \#_b(w)\}$  is Not Regular

## $L_3 = \{w : \#_a(w) \neq \#_b(w)\}$ is Not Regular

**PL Does Not Help.** When you increase the number of  $y$ 's there is no way to control it so carefully to make the number of  $a$ 's EQUAL the number of  $b$ 's.

## $L_3 = \{w : \#_a(w) \neq \#_b(w)\}$ is Not Regular

**PL Does Not Help.** When you increase the number of  $y$ 's there is no way to control it so carefully to make the number of  $a$ 's EQUAL the number of  $b$ 's.

So what do to?

## $L_3 = \{w : \#_a(w) \neq \#_b(w)\}$ is Not Regular

**PL Does Not Help.** When you increase the number of  $y$ 's there is no way to control it so carefully to make the number of  $a$ 's EQUAL the number of  $b$ 's.

So what do to?

If  $L_3$  is regular then  $L_2 = \overline{L_3}$  is regular. But we know that  $L_2$  is not regular. DONE!

$L_4 = \{a^{n^2} : n \in \mathbb{N}\}$  is Not Regular

# $L_4 = \{a^{n^2} : n \in \mathbb{N}\}$ is Not Regular

**Intuition** Perfect squares keep getting further apart.

# $L_4 = \{a^{n^2} : n \in \mathbb{N}\}$ is Not Regular

**Intuition** Perfect squares keep getting further apart.  
PL says you can always add some constant  $k$  to produce a word in the language.  
We omit details.



# Applications of DFAs

# Applications of DFAs

1. Lexical Analyzer for compilers (we didn't do this).

# Applications of DFAs

1. Lexical Analyzer for compilers (we didn't do this).
2. Pattern Matching Algorithms like grep (we didn't do this).

# Applications of DFAs

1. Lexical Analyzer for compilers (we didn't do this).
2. Pattern Matching Algorithms like grep (we didn't do this).
3. Decidability of WS1S (we did this).