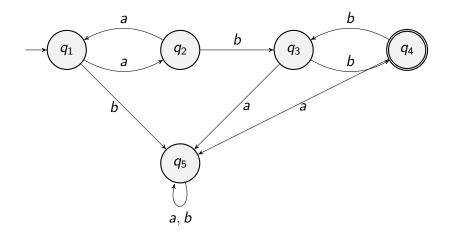
Review for CMSC 452 Midterm

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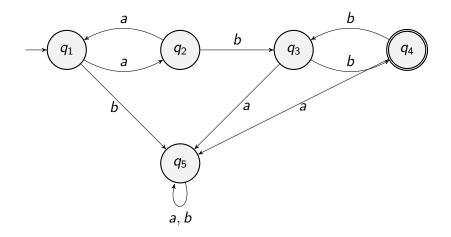
Deterministic Finite Automata (DFA)

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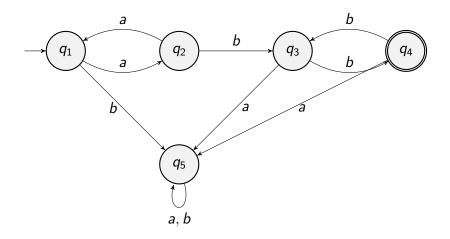


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What is the language?



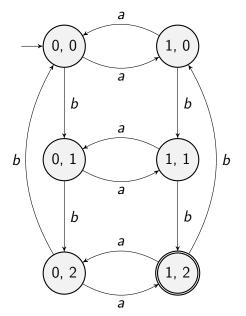
What is the language?

Odd number of *a*'s followed by an even number of *b*'s, but at least two.

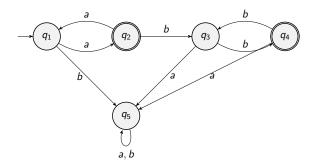
$\{w: \#_a(w) \equiv 1 \pmod{2} \land \#_b(w) \equiv 2 \pmod{3}\}$

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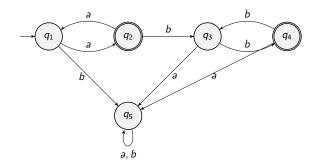
 $\{w: \#_a(w) \equiv 1 \pmod{2} \land \#_b(w) \equiv 2 \pmod{3}\}$



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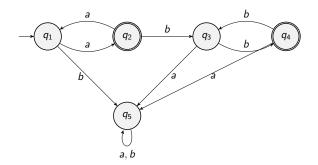


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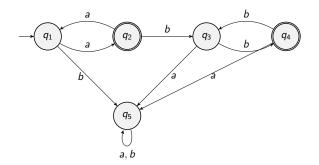
Transition Table:



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Transition Table:

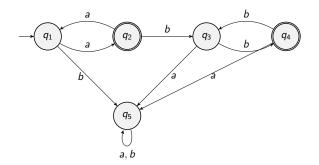
• States:
$$\{q_1, q_2, q_3, q_4, q_5\}$$



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Transition Table:

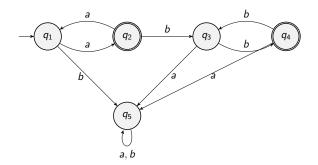
States: {q₁, q₂, q₃, q₄, q₅}
 Alphabet: {a, b}



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Transition Table:

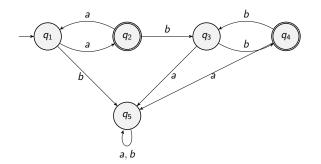
- States: $\{q_1, q_2, q_3, q_4, q_5\}$
- ► Alphabet: {*a*, *b*}
- Start state: q₁



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Transition Table:

- States: $\{q_1, q_2, q_3, q_4, q_5\}$
- ► Alphabet: {*a*, *b*}
- Start state: q₁
- Final states: $\{q_2, q_4\}$



Transition Table:

- States: $\{q_1, q_2, q_3, q_4, q_5\}$
- ► Alphabet: {*a*, *b*}
- Start state: q₁
- ▶ Final states: {q₂, q₄}

Transition function

		а	b
	q_1	q_2	q_5
	q_2	q_1	q 3
	q 3	q_5	q_4
	q_4	q_5	<i>q</i> ₃
• • • •	q_5	q_5	q 5

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Divisibility

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Divisibility

We get a DFA (a trick?) for Mod 11.

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Is there a trick for mod 11?



Is there a trick for mod 11? We derive it together!



Is there a trick for mod 11? We derive it together! $10^0 \equiv 1$



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Is there a trick for mod 11? We derive it together! 10^0 \equiv 110^1 \equiv 10 \equiv -1
```

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Is there a trick for mod 11?
We derive it together!
10^0 \equiv 1
10^1 \equiv 10 \equiv -1
10^2 \equiv 10 \equiv 10 \equiv -1 \times -1 \equiv 1.
```

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Is there a trick for mod 11?
We derive it together!
10^0 \equiv 1
10^1 \equiv 10 \equiv -1
10^2 \equiv 10 \equiv 10 \equiv -1 \times -1 \equiv 1.
10^3 \equiv 10^2 \times 10 \equiv 1 \times -1 \equiv -1.
Pattern is 1, -1, 1, -1, ....
```

```
Is there a trick for mod 11?

We derive it together!

10^0 \equiv 1

10^1 \equiv 10 \equiv -1

10^2 \equiv 10 \equiv 10 \equiv -1 \times -1 \equiv 1.

10^3 \equiv 10^2 \times 10 \equiv 1 \times -1 \equiv -1.

Pattern is 1, -1, 1, -1, \dots

Thm d_n \cdots d_0 \equiv d_0 - d_1 + d_2 - \dots \pm d_n.
```

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```
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Pattern is 1, -1, 1, -1, \dots

Thm d_n \cdots d_0 \equiv d_0 - d_1 + d_2 - \dots \pm d_n.
```

Proof may be on HW or Midterm or Final or some combination.

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Need to keep track of both the running weighted sum mod 11 and if you are reading an even or odd place.

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 $Q=\{0,\ldots,10\}\times\{0,1\}$

Need to keep track of both the running weighted sum mod 11 and if you are reading an even or odd place.

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$$Q = \{0, \dots, 10\} \times \{0, 1\}$$

 $s = (0, 0).$

Need to keep track of both the running weighted sum mod 11 and if you are reading an even or odd place.

$$Q = \{0, \dots, 10\} \times \{0, 1\}$$

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Final state: Not going to have these, this is DFA-classifier.

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$$\delta((i,j),\sigma) \begin{cases} (i+\sigma \pmod{11}, j+1 \pmod{2}) & \text{if } j=0\\ (i-\sigma \pmod{11}, j+1 \pmod{2}) & \text{if } j=1\\ \end{cases}$$
(1)

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(1)

We keep track of a running weighted sum mod 11 and position of the symbol mod 2.

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Need to keep track of both the running weighted sum mod 11 and if you are reading an even or odd place.

$$Q = \{0, \dots, 10\} \times \{0, 1\}$$

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22 states.

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Final state: Not going to have these, this is DFA-classifier.

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(1)

We keep track of a running weighted sum mod 11 and position of the symbol mod 2.

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22 states.

Classifier If end in (i, 0) or (i, 1) then number is $\equiv i$.

Mods Can Get More Complicated: Mod 224 n = 224.

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Mods Can Get More Complicated: Mod 224

n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

We define δ first. Then the ${\it Q}$ will be all the states we encountered.

n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

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Plan Tiers for the 1, 10, 100, 104 weights then a grid machine.

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n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

We define δ first. Then the Q will be all the states we encountered.

Plan Tiers for the 1, 10, 100, 104 weights then a grid machine. Distinguish tier-states from grid-states with marker g. Start State s. $\delta(s, \sigma) = (\sigma, 0)$.

n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

We define δ first. Then the Q will be all the states we encountered.

Plan Tiers for the 1, 10, 100, 104 weights then a grid machine. Distinguish tier-states from grid-states with marker g. Start State s. $\delta(s, \sigma) = (\sigma, 0)$. $\delta((i, 0), \sigma) = (i + 10\sigma \pmod{224}, 1)$.

n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

We define δ first. Then the Q will be all the states we encountered.

Plan Tiers for the 1, 10, 100, 104 weights then a grid machine. Distinguish tier-states from grid-states with marker g. Start State s. $\delta(s, \sigma) = (\sigma, 0)$. $\delta((i, 0), \sigma) = (i + 10\sigma \pmod{224}, 1)$. $\delta((i, 1), \sigma) = (i + 100\sigma \pmod{224}, 2)$.

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n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

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n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

We define δ first. Then the Q will be all the states we encountered.

Plan Tiers for the 1, 10, 100, 104 weights then a grid machine. Distinguish tier-states from grid-states with marker *g*. Start State *s*. $\delta(s, \sigma) = (\sigma, 0)$. $\delta((i, 0), \sigma) = (i + 10\sigma \pmod{224}, 1)$. $\delta((i, 1), \sigma) = (i + 100\sigma \pmod{224}, 2)$. $\delta((i, 2), \sigma) = (i + 104\sigma \pmod{224}, 3)$. $\delta((i, 3), \sigma) = (i + 144\sigma \pmod{224}, 0, g)$. $\delta((i, 0, g), \sigma) = (i + 96\sigma \pmod{224}, 1, g)$. $\delta((i, 1, g), \sigma) = (i + 64\sigma \pmod{224}, 2, g)$.

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n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

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n = 224. Pattern is (1, 10, 100, 104, 144, $\overline{96, 64, 192, 121, 160, 32}$)

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Plan Tiers for the 1, 10, 100, 104 weights then a grid machine. Distinguish tier-states from grid-states with marker g. Start State *s*. $\delta(s, \sigma) = (\sigma, 0)$. $\delta((i, 0), \sigma) = (i + 10\sigma \pmod{224}, 1).$ $\delta((i, 1), \sigma) = (i + 100\sigma \pmod{224}, 2).$ $\delta((i, 2), \sigma) = (i + 104\sigma \pmod{224}, 3).$ $\delta((i,3),\sigma) = (i + 144\sigma \pmod{224}, 0, g).$ $\delta((i, 0, g), \sigma) = (i + 96\sigma \pmod{224}, 1, g).$ $\delta((i, 1, g), \sigma) = (i + 64\sigma \pmod{224}, 2, g).$ $\delta((i, 2, g), \sigma) = (i + 192\sigma \pmod{224}, 3, g).$ $\delta((i, 3, g), \sigma) = (i + 121\sigma \pmod{224}, 4, g).$ $\delta((i, 4, g), \sigma) = (i + 160\sigma \pmod{224}, 5, g).$ $\delta((i, 5, g), \sigma) = (i + 32\sigma \pmod{224}, 0, g) \longrightarrow (g) \longrightarrow (g)$

Nondeterministic Finite Automata (NFA)

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NFA's Intuitively

- 1. An NFA is a DFA that can guess.
- 2. NFAs do not really exist.
- 3. Good for \cup since can guess which one.
- 4. An NFA accepts iff SOME guess accepts.

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Every NFA-lang a DFA-lang!

Thm If *L* is accepted by an NFA then *L* is accepted by a DFA. **Pf Sketch** *L* is accepted by NFA $(Q, \Sigma, \Delta, s, F)$ where

- 1. Get rid of *e*-transitions using reachability.
- Get rid of non-determinism by using power sets. Possibly 2ⁿ blowup.

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Regular Expressions

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Examples

- 1. *b**(*ab***ab**)**ab**
- 2. b*(ab*ab*ab*)*
- 3. $(b^*(ab^*ab^*)^*ab^*) \cup (b^*(ab^*ab^*ab^*)^*)$

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Lemma If a language is generated by a regular expression, it is recognized by an NFA.

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Lemma If a language is generated by a regular expression, it is recognized by an NFA. **Pf** By **strong induction** on the length of α .

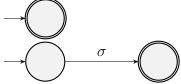
Lemma If a language is generated by a regular expression, it is recognized by an NFA. **Pf** By **strong induction** on the length of α . **Base Cases** $|\alpha| = 1$. Then $\alpha = e$ or $\alpha = \sigma$.

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Lemma If a language is generated by a regular expression, it is recognized by an NFA. **Pf** By **strong induction** on the length of α . **Base Cases** $|\alpha| = 1$. Then $\alpha = e$ or $\alpha = \sigma$.

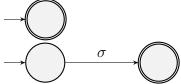
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Lemma If a language is generated by a regular expression, it is recognized by an NFA. **Pf** By **strong induction** on the length of α . **Base Cases** $|\alpha| = 1$. Then $\alpha = e$ or $\alpha = \sigma$.



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Lemma If a language is generated by a regular expression, it is recognized by an NFA. **Pf** By **strong induction** on the length of α . **Base Cases** $|\alpha| = 1$. Then $\alpha = e$ or $\alpha = \sigma$.



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We skip rest of the proof.

$\mathbf{DFA} \subseteq \mathbf{REGEX}$

Given a DFA M we want a Regex for L(M).

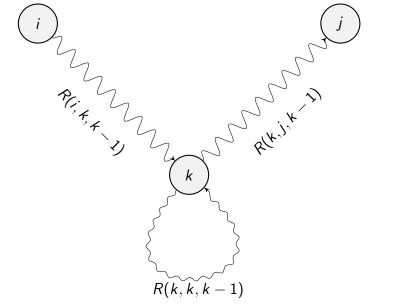
Given a DFA M we want a Regex for L(M). **Key** We will find, for every pair of states (i, j) the regex that represents strings that take you from state i to state j. Will assume M has state set $\{1, \ldots, n\}$.

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Given a DFA M we want a Regex for L(M). **Key** We will find, for every pair of states (i, j) the regex that represents strings that take you from state i to state j. Will assume M has state set $\{1, \ldots, n\}$.

 $R(i,j,k) = \{w : \delta(i,w) = j \text{ but only use states in } \{1,\ldots,k\} \}.$

Inductive Step R(i, j, k) as a Picture



For all
$$1 \le i, j \le n$$
:

$$R(i, j, 0) = \begin{cases} \{\sigma : \delta(i, \sigma) = j\} & \text{if } i \ne j \} \\ \{\sigma : \delta(i, \sigma) = j\} \cup \{e\} & \text{if } i = j \end{cases}$$
(2)

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For all $1 \leq i,j \leq n$ and all $0 \leq k \leq n$

 $R(i,j,k) = R(i,j,k-1) \bigcup R(i,k,k-1)R(k,k,k-1)^*R(k,j,k-1)$

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If ALL R(i, j, k - 1) are Regex, then ALL R(i, j, k) are Regex.

Textbook Regular Expressions

We allow numbers as exponents. For example the following is not a regex but is a trex:

 $\{a,b\}^*a\{a,b\}^n.$

Textbook Regular Expressions

We allow numbers as exponents. For example the following is not a regex but is a trex:

 $\{a,b\}^*a\{a,b\}^n.$

Often the trex is shorter than the regex.

Closure Properties

Prod means product construction where you use $Q_1 imes Q_2$

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Property	DFA	NFA	regex
$L_1 \cup L_2$	Prod	<i>e</i> -trans	Def
$L_1 \cap L_2$	Prod	Prod	Х
Ī	Swap	Х	Х
$L_1 \cdot L_2$	Х	<i>e</i> -trans	Def
L*	Х	<i>e</i> -trans	Def

X means Can't Prove Easily



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X means Can't Prove Easily

 n_1, n_2 are number of states in a DFA or NFA.

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X means Can't Prove Easily

 n_1, n_2 are number of states in a DFA or NFA. $\ell_1 \ell_2$ are length of regex.

X means Can't Prove Easily

 n_1, n_2 are number of states in a DFA or NFA.

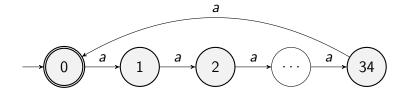
 $\ell_1\ell_2$ are length of regex.

Closure Property	DFA	NFA	Regex
$L_1 \cup L_2$	<i>n</i> ₁ <i>n</i> ₂	$n_1 + n_2 + 1$	$\ell_1 + \ell_2$
$L_1 \cap L_2$	<i>n</i> ₁ <i>n</i> ₂	<i>n</i> ₁ <i>n</i> ₂	Х
$L_1 \cdot L_2$	X	$n_1 + n_2$	$\ell_1+\ell_2$
Ī	n	Х	Х
L*	Х	n+1	$\ell+1$

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Number of States for DFAs and NFAs

Minimal DFA for $L_1 = \{a^i : i \equiv 0 \pmod{35}\}$



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Min DFA for $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

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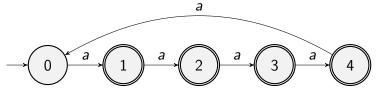
Min DFA for $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

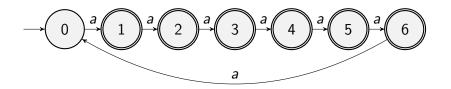
 \exists DFA for L_2 : 35 states: swap final-final states in DFA for L_1 .

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Small NFA for $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

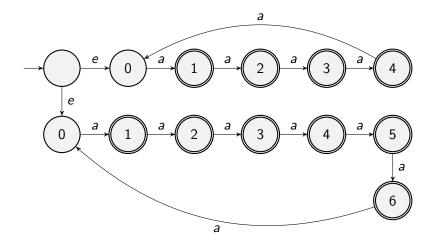
Need these two NFA's.





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Small NFA for $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$



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$L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

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$L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

DFA for L_2 requires 35 states.

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 $L_2 = \{a^i : i \not\equiv 0 \pmod{35}\}$

DFA for L_2 requires 35 states. NFA for L_2 can be done with 1 + 5 + 7 = 13 states.

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DFA for $L_4 = \{a^i : i \neq 1000\}$

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DFA for $L_4 = \{a^i : i \neq 1000\}$

1. There is a DFA for L_4 that has 1000 states.

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2. Any DFA for L_3 has ≥ 1000 states.

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Two NFA's:



Two NFA's: NFA A:

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Two NFA's: NFA A: ► Does NOT accept *a*¹⁰⁰⁰.

Two NFA's:

NFA A:

- ▶ Does NOT accept *a*¹⁰⁰⁰.
- Accepts all words longer than 1000.

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Two NFA's:

NFA A:

- ▶ Does NOT accept *a*¹⁰⁰⁰.
- Accepts all words longer than 1000.
- Do not care about words shorter than 1000.

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Two NFA's:

NFA A:

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- Accepts all words longer than 1000.
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NFA B:

Two NFA's:

NFA A:

- Does NOT accept a¹⁰⁰⁰.
- Accepts all words longer than 1000.
- Do not care about words shorter than 1000.

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NFA B:

Does NOT accept a¹⁰⁰⁰.

Two NFA's:

NFA A:

- Does NOT accept a¹⁰⁰⁰.
- Accepts all words longer than 1000.
- Do not care about words shorter than 1000.

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NFA B:

- Does NOT accept a¹⁰⁰⁰.
- Accepts all words shorter than 1000.

Two NFA's:

NFA A:

- ▶ Does NOT accept *a*¹⁰⁰⁰.
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Create the union of NFA's A and B.

Sums of 32's and 33's

Thm 1) $(\forall n \ge 1001)(\exists x, y \in \mathbb{N})[n = 32x + 33y + 9].$

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Sums of 32's and 33's

Thm 1) $(\forall n \ge 1001)(\exists x, y \in \mathbb{N})[n = 32x + 33y + 9].$ 2) $(\neg \exists x, y \in \mathbb{N})[1000 = 32x + 33y + 9].$

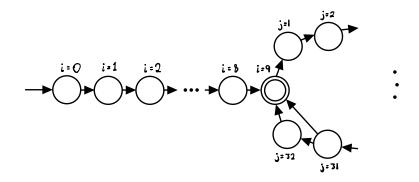
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NFA A

Idea Start state, then 8 states, then a loop of size 33 with a shortcut at 32.

NFA A

Idea Start state, then 8 states, then a loop of size 33 with a shortcut at 32.



Why Works for $\{a^i : i \ge 1001\}$ and More

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By the loop Theorem for 32, 33, the NFA

- 1. Accepts $\{a^i : i \ge 1001\}$.
- 2. Might accept more.
- 3. DOES NOT accept a^{1000} .

1. Start state



- 1. Start state
- 2. A chain of 9 states including the start state.

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- 1. Start state
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- 1. Start state
- 2. A chain of 9 states including the start state.
- 3. A loop of 33 states. The shortcut on 32 does not affect the number of states.

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Total number of states: 9 + 33 = 42.

Still Need NFA B

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Still Need NFA B

Idea

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1000 \equiv 0 (mod 2) 2-state DFA for { $a^i : i \not\equiv 0 \pmod{2}$ }.



1000 \equiv 0 (mod 2) 2-state DFA for $\{a^i : i \not\equiv 0 \pmod{2}\}$.

1000 \equiv 1 (mod 3) 3-state DFA for $\{a^i : i \not\equiv 1 \pmod{3}\}$.

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- 1000 \equiv 1 (mod 3) 3-state DFA for { $a^i : i \not\equiv 1 \pmod{3}$ }.
- 1000 \equiv 0 (mod 5) 5-state DFA for { $a^i : i \not\equiv 0 \pmod{5}$ }.

- \equiv 0 (mod 2) 2-state DFA for $\{a^i : i \not\equiv 0 \pmod{2}\}$.
- \equiv 1 (mod 3) 3-state DFA for { $a^i : i \not\equiv 1 \pmod{3}$ }.
- \equiv 0 (mod 5) 5-state DFA for { $a^i : i \neq 0 \pmod{5}$ }.
- \equiv 6 (mod 7) 7-state DFA for { $a^i : i \not\equiv 6 \pmod{7}$ }.

 $1000 \equiv 0 \pmod{2} \text{ 2-state DFA for } \{a^i : i \neq 0 \pmod{2}\}.$ $1000 \equiv 1 \pmod{3} \text{ 3-state DFA for } \{a^i : i \neq 1 \pmod{3}\}.$ $1000 \equiv 0 \pmod{5} \text{ 5-state DFA for } \{a^i : i \neq 0 \pmod{5}\}.$ $1000 \equiv 6 \pmod{7} \text{ 7-state DFA for } \{a^i : i \neq 6 \pmod{7}\}.$

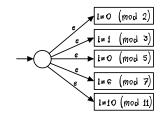
 $1000 \equiv 10 \pmod{11}$ 11-state DFA for $\{a^i : i \neq 10 \pmod{11}\}$.

 \equiv 0 (mod 2) 2-state DFA for { $a^i : i \neq 0 \pmod{2}$ }. \equiv 1 (mod 3) 3-state DFA for { $a^i : i \neq 1 \pmod{3}$ }. \equiv 0 (mod 5) 5-state DFA for { $a^i : i \neq 0 \pmod{5}$ }. \equiv 6 (mod 7) 7-state DFA for { $a^i : i \neq 6 \pmod{7}$ }. \equiv 10 (mod 11) 11-state DFA for { $a^i : i \neq 10 \pmod{11}$ }. Could go on to 13,17, etc. But we will see we can stop here.

Machine B

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Machine B



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NFA for $\{a^i : i \leq 999\}$ AND More, but NOT a^{1000}

Thm Let *M* be the NFA from the last slide with the Mods. $M(a^{1000})$ is rejected. This is obvious.

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NFA for $\{a^i : i \leq 999\}$ AND More, but NOT a^{1000}

Thm Let *M* be the NFA from the last slide with the Mods. $M(a^{1000})$ is rejected. This is obvious.

We omit the proof that it works but note that we use that the product of the mods

 $2 \times 3 \times 5 \times 7 \times 11 = 2310 > 1000.$

How Many States for $\{a^i : i \leq 999\}$ AND More, but NOT a^{1000} ?

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2 + 3 + 5 + 7 + 11 = 28 states. Plus the start state, so 29.

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1. We have an NFA on 42 states that accepts $\{a^i : i \ge 1001\}$ This includes the start state.

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- 2. We have an NFA on 29 states that accepts $\{a^i : i \le 999\}$ and other stuff, but NOT a^{1000} . This includes the start state.

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Take NFA of union using *e*-transitions for an NFA and do not count start state twice, so have

42 + 29 - 1 = 70 states.

Can We Do Better than 70 States?

YES-59 states:

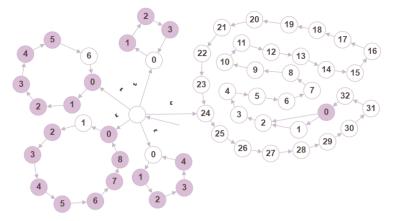


Figure: 59 State NFA for L_4

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Frobenius Thm (aka The Chicken McNugget Thm)

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Frobenius Thm (aka The Chicken McNugget Thm)

Thm If x, y are relatively prime then

For all $z \ge xy - x - y + 1$ there exists $c, d \in \mathbb{N}$ such that z = cx + dy.

▶ There is no $c, d \in \mathbb{N}$ such that xy - x - y = cx + dy.

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We use this to get an NFA for $\{a^i : i \ge n+1\}$ by using $x, y \approx \sqrt{n}$. Want to get $xy - x - y \le n$ so can use the tail to get xy - x - y + t = n + 1. This leads to loops and tail that are roughly $\le 2\sqrt{n}$ states.

Proving That a Language Is Not Regular

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Pumping Lemma (PL)

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Proof

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Proof Assume L_1 is regular via DFA M with m states.

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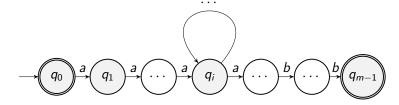
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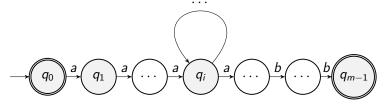
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 $a^{n+k}b^n$ is accepted by following the loop again. Contradiction.

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Proof by picture

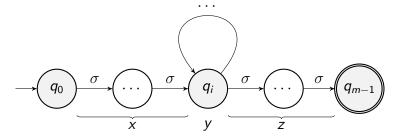
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We then find some *i* such that $xy^i z \notin L$ for the contradiction.

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ight)^{i}a^{n-j-k}b^{n} = a^{n+k(i-1)}b^{n}$$

are in L_1 . Take i = 2 to get

$$a^{n+k}b^n \in L_1$$

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Contradiction since $k \ge 1$.

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PL Does Not Help. When you increase the number of *y*'s there is no way to control it so carefully to make the number of *a*'s EQUAL the number of *b*'s.

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So what do to?

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So what do to?

If L_3 is regular then $L_2 = \overline{L_3}$ is regular. But we know that L_2 is not regular. DONE!

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Intuition Perfect squares keep getting further apart.



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We Omit the Formal Proof.