

# Public Key Crypto: Math Needed and DH

# Private-Key Ciphers

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1. Shift Cipher
2. Affine Cipher
3. Vig Cipher
4. General Sub
5. Matrix Cipher
6. One-Time Pad

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**Aim:** We present three such schemes: Diffie-Helman, ElGamal, and RSA. (Diffie-Helman is not quite an encryption scheme.)

# General Philosophy

A good crypto system is such that:

1. The computational task to **encrypt** and **decrypt** is **easy**.
2. The computational task to **crack** is **hard**.

Caveats:

1. Hard to achieve information-theoretic hardness (1-time pad).
2. Hard to achieve comp-hardness. Few problems provably hard.
3. Can use hardness assumptions (e.g., factoring is hard)

# What is Easy? What is Hard?

How hard is a problem based on the length of the input

## Examples

1. SAT on a formula with  $n$  variables seems to require  $2^{O(n)}$  steps. We do not know this.
2. Polynomial vs Exp time is our notion of easy vs hard.
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3. Factoring  $n$  can be done in  $O(\sqrt{n})$  time: **Discuss**. Easy!  
**NO!!**:  $n$  is of **length**  $\lg n + O(1)$  (henceforth just  $\lg n$ ).  
 $\sqrt{n} = 2^{(0.5)\lg n}$ . Exponential. Slightly better algs known.

**Upshot**: For numeric problems length is  $\lg n$ . We want (or don't want) algorithms polynomial in  $\lg n$ .

**What We Count**: We will count arithmetic operations as taking 1 time step. This could be an issue with enormous numbers.

# Math Needed for Both Diffie-Helman and RSA

# Notation

Let  $p$  be a prime.

1.  $\mathbb{Z}_p$  is the numbers  $\{0, \dots, p - 1\}$  with modular addition and multiplication.
2.  $\mathbb{Z}_p^*$  is the numbers  $\{1, \dots, p - 1\}$  with modular multiplication.

# Exponentiation mod $p$

**Problem:** Given  $a, n, p$  find  $a^n \pmod{p}$

First Attempt

1.  $x_0 = a$
2. For  $i = 1$  to  $n$ ,  $x_i = ax_{i-1}$ .
3. Let  $x = x_n \pmod{p}$ .
4. Output  $x$ .

Is this a good idea?

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Takes  $n$  steps and also  $x$  gets really large.

Can mod  $p$  every step so  $x$  not large. But still takes  $n$  steps.

# Exponentiation mod $p$

## Example of a Good Algorithm

Want  $3^{64} \pmod{101}$ . All arithmetic is mod 101.

$$x_0 = 3$$

$$x_1 = x_0^2 \equiv 9 \text{ This is } 3^2.$$

$$x_2 = x_1^2 \equiv 9^2 \equiv 81. \text{ This is } 3^4.$$

$$x_3 = x_2^2 \equiv 81^2 \equiv 97. \text{ This is } 3^8.$$

$$x_4 = x_3^2 \equiv 97^2 \equiv 16. \text{ This is } 3^{16}.$$

$$x_5 = x_4^2 \equiv 16^2 \equiv 54. \text{ This is } 3^{32}.$$

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So in 6 steps we got the answer!

**Discuss** how many steps this take for  $a^n \pmod{p}$ . **Answer:**  $\lg n$ .

**Discuss** how we can generalize to when  $n$  is **not** a power of 2.

# Repeated Squaring Algorithm

All arithmetic is mod  $p$ .

1. Input  $(a, n, p)$
2. Convert  $n$  to base 2:  $n = 2^{n_L} + \dots + 2^{n_0}$ .
3.  $x_0 = a$
4. For  $i = 1$  to  $n_L$ ,  $x_i = x_{i-1}^2$ .
5. (Now have  $a^{2^{n_0}}, \dots, a^{2^{n_L}}$ ) Answer is  $a^{2^{n_0}} \times \dots \times a^{2^{n_L}}$

Number of operations:  $O(\log n)$ .

# Diffie-Helman Key Exchange

## Generators mod $p$

Lets take powers of 3 mod 7. All arithmetic is mod 7.

$$3^0 \equiv 1$$

$$3^1 \equiv 3$$

$$3^2 \equiv 3 \times 3^1 \equiv 9 \equiv 2$$

$$3^3 \equiv 3 \times 3^2 \equiv 3 \times 2 \equiv 6$$

$$3^4 \equiv 3 \times 3^3 \equiv 3 \times 6 \equiv 18 \equiv 4$$

$$3^5 \equiv 3 \times 3^4 \equiv 3 \times 4 \equiv 12 \equiv 5$$

$$3^6 \equiv 3 \times 3^5 \equiv 3 \times 5 \equiv 15 \equiv 1$$

$$\{3^0, 3^1, 3^2, 3^3, 3^4, 3^5, 3^6\} = \{1, 2, 3, 4, 5, 6\} \text{ Not in order}$$

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3 is a **generator** for  $\mathbb{Z}_7$ .

**Definition:** If  $p$  is a prime and  $\{g^0, g^1, \dots, g^{p-1}\} = \{1, \dots, p-1\}$  then  $g$  is a **generator** for  $\mathbb{Z}_p$ .

# Discrete Log-Example

**Fact:** 5 is a generator mod 73. All arithmetic is mod 73.

**Discuss** the following with your neighbor:

1. Find  $x$  such that  $5^x \equiv 25$
2. Find  $x$  such that  $5^x \equiv 26$

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1. Find  $x$  such that  $5^x \equiv 25$ .  $x = 2$  obv works.

2. Find  $x$  such that  $5^x \equiv 26$ . Do not know. Could try computing  $5^3, 5^4, \dots$ , until you get 26. Might take  $\sim 70$  steps.

The second problem seems hard.

# Discrete Log-General

**Definition** Let  $p$  be a prime and  $g$  be a generator mod  $p$ .  
The **Discrete Log Problem** is:  
given  $y$ , find  $x$  such that  $g^x = y$ .

**Discuss:** Is this problem computationally hard?

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**Discuss:** Is this problem computationally hard?

1. If  $g, y$  are small so that then could be easy.

**Example:**  $7^x \equiv 49 \pmod{1009}$  is easy.

2. If  $g$  small,  $y$  large, then the problem is sometimes easy (HW).
3. If  $g, y \in \{\frac{p}{3}, \dots, \frac{2p}{3}\}$  then problem suspected hard.
4. Obv alg:  $O(p)$  steps. There is an  $O(\sqrt{p})$  alg. Still too slow.

# Consider What We Already Have Here

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# Consider What We Already Have Here

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Can we come up with a crypto system where Alice and Bob do Exponentiation to encrypt and decrypt, while Eve has to do Discrete Log to crack it?

No. But we'll come close.

# Finding Generators

First Attempt at, given  $p$ , find a gen for  $\mathbb{Z}_p$

1. Input  $p$
2. For  $g = 2$  to  $p - 1$

*Compute  $g^1, g^2, \dots, g^{p-1}$  until either hit a repeat or finish. If repeats then  $g$  is NOT a generator, so goto the next  $g$ . If finishes then output  $g$  and stop.*

**PRO:**  $\sim p/2$   $g$ 's are gens so  $O(1)$  iterations.

**CON:** Computing  $g^1, \dots, g^{p-1}$  is  $O(p \log p)$  operations.

# Finding Generators

**Theorem:** If  $g$  is **not** a generator then there exists  $x$  that  
(1)  $x$  divides  $p - 1$ , (2)  $x \neq p - 1$ , and (3)  $g^x = 1$ .

Second Attempt at, given  $p$ , find a gen for  $\mathbb{Z}_p$

1. Input  $p$
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3. For  $g = 2$  to  $p - 1$

*Compute  $g^x$  for all  $x \in F$ . If any  $= 1$  then  $g$  **not** generator. If none are 1 then output  $g$  and stop.*

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**BIG CON:** Factoring  $p - 1$ ? Really? Darn!

# Finding Generators

Idea: Pick  $p$  such that  $p - 1 = 2q$  where  $q$  is prime.

Third Attempt at, given  $p$ , find a gen for  $\mathbb{Z}_p$

1. Input  $p$  a prime such that  $p - 1 = 2q$  where  $q$  is prime.
2. Factor  $p - 1$ . Let  $F$  be the set of its factors except  $p - 1$ .  
That's EASY:  $F = \{2, q\}$ .
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**PRO:** As noted above  $O(1)$  iterations.

**PRO:** Every iteration does  $O(|F|(\log p)) = O(\log p)$  operations.

**CON:** None. But need both  $p$  and  $\frac{p-1}{2}$  are primes.

# Primality Testing

**Warning:** The next few slides will culminate in a test for primality that may FAIL. It is NOT used. But ideas are used in real algorithm.

## Lemma

$p$  prime,  $2 \leq i \leq p - 1$ , then  $\frac{p!}{i!(p-i)!} \in \mathbb{N}$  and is divisible by  $p$ .

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## Proof.

The expression is the answer to a question that has a  $\mathbb{N}$  solution:

How many ways can you choose  $i$  items out of  $p$ ?

Since  $\frac{p!}{i!(p-i)!} \in \mathbb{N}$ ,  $p$  divides the numerator,  $p$  does not divide the denominator,  $p$  divides the number.



**Note:**  $\binom{p}{i} = \frac{p!}{(p-i)!i!}$ .

# Primality Testing

## Lemma

For any  $n \in \mathbb{N}$ ,  $(x + y)^n = \sum_{i=0}^n \binom{n}{i} x^i y^{n-i}$

## Lemma

$p$  prime,  $a \in \mathbb{N}$ ,  $a^p \equiv a \pmod{p}$ .

## Proof.

Fix prime  $p$ . By induction on  $a$ . **Base Case:**  $1^p \equiv 1$ .

**Ind Hyp:**  $a^p \equiv a \pmod{p}$

**Ind Step:**

$$(a + 1)^p = \sum_{i=0}^p \binom{p}{i} a^i 1^{p-i} = \sum_{i=0}^p \binom{p}{i} a^i \equiv a^p + a^0 \equiv a + 1$$



# Primality Testing

**Prior Slides:** If  $p$  is prime and  $a \in \mathbb{N}$  then  $a^p \equiv a \pmod{p}$ .

**What has been observed:** If  $p$  is NOT prime then USUALLY for MOST  $a$ ,  $a^p \not\equiv a \pmod{p}$ .

**Primality Algorithm:**

1. Input  $p$ . (In algorithm all arithmetic is mod  $p$ .)
2. Form random set  $R$  of  $a \in \{2, \dots, p-1\}$  of size  $2 \lceil \lg p \rceil$   
(Could take  $c \lceil \lg p \rceil$  for any  $c$ . Use  $O(\lg p)$  so that this step is efficient.)
3. For each  $a \in R$  compute  $a^p$ .
  - 3.1 If ever get  $a^p \not\equiv a$  then  $p$  NOT PRIME (We are SURE.)
  - 3.2 If for all  $a$ ,  $a^p \equiv a$  then PRIME (We are NOT SURE.)

Two reasons for our uncertainty

- ▶ If  $p$  is composite but we were unlucky with  $R$ .
- ▶ There are some composite  $p$  such that for all  $a$ ,  $a^p \equiv a$ .

# Primality Testing – What is Really True

1. Exists algorithm that only has first problem, possible bad luck.
2. That algorithm has prob of failure  $\leq \frac{1}{2^p}$ . Good enough!
3. Exists deterministic poly time algorithm but is much slower.
4.  $n$  is a **Carmichael Numbers** if, for all  $a$ ,  $a^n \equiv a$ . These are the numbers my algorithm FAILS on.
5. The first seven Carmichael Numbers:  
561, 1105, 1729, 2465, 2821, 6601, 8911
6. Carmichael numbers are rare.

# Generating Primes (also needed for RSA)

Take as given: Primality Testing is FAST.

First Attempt at, given  $n$ , generate a prime of length  $n$ .

1. Input( $n$ )
2. Pick  $y \in \{0, 1\}^{n-1}$  at random.
3.  $x = 1y$  (so  $x$  is a true  $n$ -bit number)
4. Test if  $x$  is prime.
5. If  $x$  is prime then output  $x$  and stop, else goto step 2.

Is this a good algorithm?

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**PRO:** NT tells us returns a prime within  $3n^2$  tries with high prob.

**CON:** None! Algorithm is fine! Can speed it up a bit (HW).

# Generating Safe Primes

## Definition

$p$  is a *safe prime* if  $p$  is prime and  $\frac{p-1}{2}$  is prime.

First Attempt at, given  $n$ , generate a safe prime of length  $n$

1. Input( $n$ )
2. Pick  $y \in \{0, 1\}^{n-2}1$  at random.
3.  $x = 1y$  (note that  $x$  is odd).
4. Test if  $x$  and  $\frac{x-1}{2}$  are prime.
5. If they both are then output  $x$  and stop, else goto step 2.

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1. Input( $n$ )
2. Pick  $y \in \{0, 1\}^{n-2}1$  at random.
3.  $x = 1y$  (note that  $x$  is odd).
4. Test if  $x$  and  $\frac{x-1}{2}$  are prime.
5. If they both are then output  $x$  and stop, else goto step 2.

Is this a good algorithm?

**PRO:** NT tells us returns prime quickly with high prob.

# Generating Safe Primes

## Definition

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**CON:** None. Algorithm is fine! Can speed it up a bit (HW).

# The Diffie-Helman Key Exchange

Alice and Bob will share a secret  $s$ .

1. Alice finds a  $(p, g)$ ,  $p$  of length  $n$ ,  $g$  gen for  $\mathbb{Z}_p$ . Arith mod  $p$ .
2. Alice sends  $(p, g)$  to Bob in the clear (Eve can see it).
3. Alice picks random  $a \in \{\frac{p}{3}, \dots, \frac{2p}{3}\}$ . Alice computes  $g^a$  and sends it to Bob in the clear (Eve can see it).
4. Bob picks random  $b \in \{\frac{p}{3}, \dots, \frac{2p}{3}\}$ . Bob computes  $g^b$  and sends it to Alice in the clear (Eve can see it).
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**Question:** Can Eve find out  $s$ ?