

# Perfect Security, One-Time Pad, Randomness

# Perfect secrecy

- ▶ “Regardless of any *prior* information the attacker has about the plaintext, the ciphertext should leak no *additional* information about the plaintext”
  - ▶ The right notion!
  - ▶ How to formalize?

# Probability review

- ▶ *Random variable (r.v.):* variable that takes on (discrete) values with certain probabilities
- ▶ Probability distribution for a r.v. specifies the probabilities with which the variable takes on each possible value
  - ▶ Each probability must be between 0 and 1
  - ▶ The probabilities must sum to 1

# Probability review

- ▶ *Event*: a particular occurrence in some experiment
  - ▶  $\Pr[E]$ : probability of event  $E$
- ▶ Conditional probability: probability that one event occurs, *given that some other event occurred*
  - ▶  $\Pr[A|B] = \frac{\Pr[A \wedge B]}{\Pr[B]}$
- ▶ To RV's  $X, Y$  are *independent* if for all  $x, y$ :  
 $\Pr[X = x | Y = y] = \Pr[X = x]$

**Important:**  $X, Y$  independent if Knowing that  $Y = y$  does not help you figure out if  $X = x$ .

**Discuss:** Why will this notion be important for defining perfect security?

# Probability distributions

Normally a RV returns a number. We allow it to be a message. Below are all the messages I could send, with the prob that I send them (unrelated to whether they are true).

1. Today 456 went well.  $\Pr = \frac{1}{2}$ .
2. Today 456 went badly.  $\Pr = \frac{1}{100}$ .
3. All 456 students submitted HW Monday.  $\Pr = \frac{1}{100}$ .
4. I proved a new result in crypto.  $\Pr = \frac{1}{50}$ .
5. I proved a new result about The Muffin Problem.  $\Pr = \frac{9}{25}$ .
6. I saw a student in office hours.  $\Pr = \frac{1}{10}$

Note:

Should we assume that Eve knows this distribution? **Discuss.**

# Probability distributions

Normally a RV returns a number. We allow it to be a message.  
Below are all the messages I could send, with the prob that I send them (unrelated to whether they are true).

1. Today 456 went well.  $\Pr = \frac{1}{2}$ .
2. Today 456 went badly.  $\Pr = \frac{1}{100}$ .
3. All 456 students submitted HW Monday.  $\Pr = \frac{1}{100}$ .
4. I proved a new result in crypto.  $\Pr = \frac{1}{50}$ .
5. I proved a new result about The Muffin Problem.  $\Pr = \frac{9}{25}$ .
6. I saw a student in office hours.  $\Pr = \frac{1}{10}$

## Note:

Should we assume that Eve knows this distribution? **Discuss. YES.**  
Recall that we assume Eve knows distribution of letters in English.

# Recall

- ▶ A **private-key encryption scheme** is defined by a message space  $\mathcal{M}$  and algorithms  $(\text{Gen}, \text{Enc}, \text{Dec})$ :
  - ▶  $\text{Gen}$  (key generation algorithm) generates  $k$
  - ▶  $\text{Enc}$  (encryption algorithm): takes key  $k$  and message  $m \in \mathcal{M}$  as input; outputs ciphertext  $c$

$$c \leftarrow \text{Enc}_k(m)$$

- ▶  $\text{Dec}$  (decryption algorithm): takes key  $k$  and ciphertext  $c$  as input; outputs  $m$

$$m \leftarrow \text{Dec}_k(c)$$

# Notation

- ▶  $\mathcal{K}$  (key space) — set of all possible keys
- ▶  $\mathcal{M}$  (message space) — set of all possible messages
- ▶  $\mathcal{C}$  (ciphertext space) — set of all possible ciphertexts



# Distribution on Keys

- ▶ Let  $K$  be the random variable denoting the key
  - ▶  $K$  ranges over  $\mathcal{K}$
- ▶ Fix some encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$ 
  - ▶  $\text{Gen}$  defines a probability distribution for  $K$ :

$$\Pr[K = k] = \Pr[\text{Gen outputs key } k]$$

Usually Uniform.

# Message and Key Independent

- ▶ Random variables  $M$  and  $K$  are *independent*
  - ▶ i.e., the message that a party sends does not depend on the key used to encrypt that message

# Distribution on Ciphertext

- ▶ Fix some encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$  and some distribution for  $M$
- ▶ Consider the following (randomized) experiment:
  1. Choose a message  $m$ , according to the given distribution
  2. Generate a key  $k$  using  $\text{Gen}$
  3. Compute  $c \leftarrow \text{Enc}_k(m)$
- ▶ This defines a distribution on the ciphertext!
- ▶ Let  $C$  be a random variable denoting the value of the ciphertext in this experiment

# Perfect secrecy (formal)

- Encryption scheme  $(\text{Gen}, \text{Enc}, \text{Dec})$  with message space  $\mathcal{M}$  and ciphertext space  $\mathcal{C}$  is **perfectly secret** if for **every distribution** over  $\mathcal{M}$ , every  $m \in \mathcal{M}$ , and every  $c \in \mathcal{C}$  with  $\Pr[C = c] > 0$ , it holds that

$$\Pr[M = m | C = c] = \Pr[M = m]$$

- i.e. the distribution of  $M$  does not change conditioned on observing the ciphertext

# Bayes's theorem

$$\blacktriangleright \Pr[A|B] = \Pr[B|A] \cdot \frac{\Pr[A]}{\Pr[B]}$$

Note: This is very useful in both this course and in life.

## Example of Application of Bayes's theorem

$\Pr[A|B] = \Pr[B|A] \cdot \frac{\Pr[A]}{\Pr[B]}$ . There are two coins:

- 1) Coin F is fair:  $\Pr(H) = \Pr(T) = \frac{1}{2}$ .
- 2) Coin B is biased:  $\Pr(H) = \frac{3}{4}$ ,  $\Pr(T) = \frac{1}{4}$ .

Alice picks coin at random, flips 10 times, gets all H.  
Is the coin definitely biased?

## Example of Application of Bayes's theorem

$\Pr[A|B] = \Pr[B|A] \cdot \frac{\Pr[A]}{\Pr[B]}$ . There are two coins:

- 1) Coin F is fair:  $\Pr(H) = \Pr(T) = \frac{1}{2}$ .
- 2) Coin B is biased:  $\Pr(H) = \frac{3}{4}$ ,  $\Pr(T) = \frac{1}{4}$ .

Alice picks coin at random, flips 10 times, gets all H.

Is the coin definitely biased? No.

## Example of Application of Bayes's theorem

$\Pr[A|B] = \Pr[B|A] \cdot \frac{\Pr[A]}{\Pr[B]}$ . There are two coins:

- 1) Coin F is fair:  $\Pr(H) = \Pr(T) = \frac{1}{2}$ .
- 2) Coin B is biased:  $\Pr(H) = \frac{3}{4}$ ,  $\Pr(T) = \frac{1}{4}$ .

Alice picks coin at random, flips 10 times, gets all H.  
Is the coin definitely biased? No.

What is Prob that it is biased? VOTE:

1. Between 0.99 and 1.0
2. Between 0.98 and 0.99
3. Between 0.97 and 0.98
4. Less than 0.97



## Example of Application of Bayes's theorem

$\Pr[A|B] = \Pr[B|A] \cdot \frac{\Pr[A]}{\Pr[B]}$ . There are two coins:

- 1) Coin F is fair:  $\Pr(H) = \Pr(T) = \frac{1}{2}$ .
- 2) Coin B is biased:  $\Pr(H) = \frac{3}{4}$ ,  $\Pr(T) = \frac{1}{4}$ .

Alice picks coin at random, flips 10 times, gets all H.  
Is the coin definitely biased? No.

What is Prob that it is biased? VOTE:

1. Between 0.99 and 1.0
2. Between 0.98 and 0.99
3. Between 0.97 and 0.98
4. Less than 0.97

We will see that it is 0.982954, so between 0.98 and 0.99.

## Example of Application of Bayes's theorem

$$\Pr(B|H^{10}) = \frac{\Pr(B)\Pr(H^{10}|B)}{P(H^{10})}$$

$$\Pr(B) = \frac{1}{2}$$

$$\Pr(H^{10}|B) = \left(\frac{3}{4}\right)^{10}$$

$$\Pr(H^{10}) = \Pr(H^{10} \cap F) + \Pr(H^{10} \cap B)$$

$$\begin{aligned}\Pr(H^{10} \cap F) &= \Pr(H^{10}|F)\Pr(F) + \Pr(H^{10}|B)\Pr(B) = \\ &\frac{1}{2} \left( \left(\frac{1}{2}\right)^{10} + \left(\frac{3}{4}\right)^{10} \right)\end{aligned}$$

Put it together to get

$$\Pr(B|H^{10}) = \frac{1}{1 + (2/3)^{10}} = 0.982954.$$

## Example of Application of Bayes's theorem

$$\Pr(B|H^{10}) = \frac{\Pr(B)\Pr(H^{10}|B)}{\Pr(H^{10})}$$

$$\Pr(B) = \frac{1}{2}$$

$$\Pr(H^{10}|B) = \left(\frac{3}{4}\right)^{10}$$

$$\Pr(H^{10}) = \Pr(H^{10} \cap F) + \Pr(H^{10} \cap B)$$

$$\begin{aligned}\Pr(H^{10} \cap F) &= \Pr(H^{10}|F)\Pr(F) + \Pr(H^{10}|B)\Pr(B) = \\ &\frac{1}{2} \left( \left(\frac{1}{2}\right)^{10} + \left(\frac{3}{4}\right)^{10} \right)\end{aligned}$$

Put it together to get

$$\Pr(B|H^{10}) = \frac{1}{1 + (2/3)^{10}} = 0.982954.$$

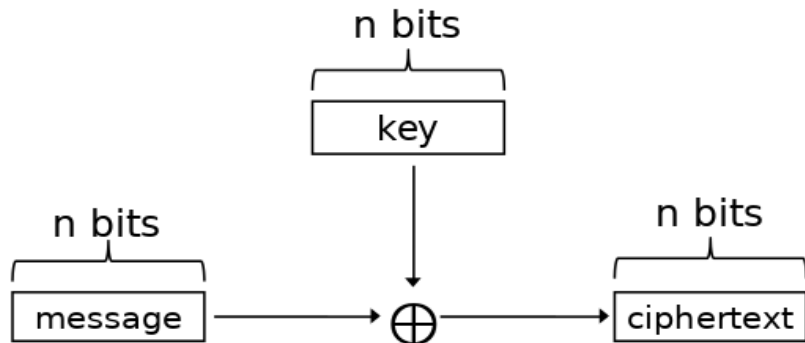
$$\Pr(B|H^n) = \frac{1}{1 + (2/3)^n}.$$

# One-time pad

- ▶ Let  $m = \{0, 1\}^n$
- ▶ *Gen*: choose a uniform key  $k \in \{0, 1\}^n$
- ▶  $Enc_k(m) = k \oplus m$
- ▶  $Dec_k(c) = k \oplus c$
- ▶ Correctness:

$$\begin{aligned} Dec_k(Enc_k(m)) &= k \oplus (k \oplus m) \\ &= (k \oplus k) \oplus m \\ &= m \end{aligned}$$

# One-time pad



# Perfect secrecy of one-time pad

- ▶ Note that *any* observed ciphertext can correspond to *any* message (why?)
  - ▶ (This is necessary, but not sufficient, for perfect secrecy)
- ▶ So, having observed a ciphertext, the attacker cannot conclude for certain which message was sent

# Perfect secrecy of one-time pad for $n$ -bit messages

Fix arbitrary distribution over  $\mathcal{M} = \{0, 1\}^n$ , and arbitrary  $m, c \in \{0, 1\}^n$

**Want:**  $\Pr[M = m | C = c] = \Pr[M = m]$

By Bayes's Theorem:

$$\Pr[M = m | C = c] = \Pr[C = c | M = m] \cdot \frac{\Pr[M=m]}{\Pr[C=c]}$$

So need

1.  $\Pr[C = c | M = m] = \Pr[K = m \oplus c] = 2^{-n}$
2.  $\Pr[M = m]$ . DO NOT KNOW. Arbitrary Distribution!
3.  $\Pr[C = c] = \Pr[c = K \oplus m] = \Pr[K = m \oplus c] = 2^{-n}$

Hence:  $\Pr[M = m | C = c] = 2^{-n} \cdot \frac{\Pr[M=m]}{2^{-n}} = \Pr[M = m]$ .

# One-time pad

1. The one-time pad achieves perfect secrecy!
2. One-time pad has historically been used in the real world E.g. **red phone** between DC and Moscow
3. It is not widely used today. Why not?



# One-time pad

1. The one-time pad achieves perfect secrecy!
2. One-time pad has historically been used in the real world E.g. **red phone** between DC and Moscow
3. It is not widely used today. Why not?

Drawbacks:

1. Key as long as the message
2. Only secure if each key is used to encrypt *once*
3. Generating **perfectly random** bits is hard!

# One-time pad

1. The one-time pad achieves perfect secrecy!
2. One-time pad has historically been used in the real world E.g. **red phone** between DC and Moscow
3. It is not widely used today. Why not?

Drawbacks:

1. Key as long as the message
2. Only secure if each key is used to encrypt *once*
3. Generating **perfectly random** bits is hard!

Are there any other schemes that are perfectly secure! **Vote:**

1. YES
2. NO
3. OTHER

# One-time pad

1. The one-time pad achieves perfect secrecy!
2. One-time pad has historically been used in the real world E.g. **red phone** between DC and Moscow
3. It is not widely used today. Why not?

Drawbacks:

1. Key as long as the message
2. Only secure if each key is used to encrypt *once*
3. Generating **perfectly random** bits is hard!

Are there any other schemes that are perfectly secure! **Vote:**

1. YES
2. NO
3. OTHER

NO.

# Optimality of the one-time pad – Example

Alice wants to send 10-bit message to Bob. Use (Gen, Enc, Dec).  
Assume number of keys  $< 2^{10} = 1024$ . Say 1023.

Will information be leaked? **Discuss**

# Optimality of the one-time pad – Example

Alice wants to send 10-bit message to Bob. Use (Gen, Enc, Dec).

Assume number of keys  $< 2^{10} = 1024$ . Say 1023.

Will information be leaked? Discuss YES

# Optimality of the one-time pad – Example

Alice wants to send 10-bit message to Bob. Use (Gen, Enc, Dec).  
Assume number of keys  $< 2^{10} = 1024$ . Say 1023.

Will information be leaked? Discuss YES

Eve sees Alice send Bob  $c$ . Eve knows  $\mathcal{K} = \{k_1, \dots, k_{1023}\}$ .

Eve computes  $Dec_{k_1}(c), Dec_{k_2}(c), \dots, Dec_{k_{1023}}(c)$

Let  $m'$  be the one message that Eve did NOT get.

Eve knows  $m \neq m'$ . This is a leak!

Hence  $\mathcal{K}$  must be of size  $2^{10}$  to avoid having a leak!

# Optimality of the one-time pad

**Theorem:** If  $(\text{Gen}, \text{Enc}, \text{Dec})$  with message space  $\mathcal{M}$  is perfectly secret, then  $|\mathcal{K}| \geq |\mathcal{M}|$

**Proof:** Similar to last slide. Might be HW.

**Upshot:** If  $(\text{Gen}, \text{Enc}, \text{Dec})$  has perfect secrecy then  $|\mathcal{K}| \geq |\mathcal{M}|$ .  
Hence **is** 1-time pad or variant (omit proof).

# 1-Time Pad is the Gold Standard

The 1-time pad is hard to really do.

However, it gives us a target.

In future we will ask

Is this encryption system 1-time-pad-like?



# Where do we stand?

- ▶ Defined perfect secrecy
- ▶ One-time pad achieves it!
- ▶ One-time pad is optimal!
- ▶ Are we done. . . ?

# Perfect secrecy

- ▶ Requires that *absolutely no information* about the plaintext is leaked, even to eavesdroppers *with unlimited computational power*
  - ▶ Has some inherent drawbacks
  - ▶ Seems unnecessarily strong

Two directions to go

1. Try to generate random bits so can use 1-time pad (do now).
2. Try to relax definition of **Perfect Secrecy** so that achievable and secure (do later).

# A brief detour: randomness generation

# Key generation

- ▶ When describing algorithms, we assume access to uniformly distributed bits/bytes
- ▶ Where do these actually come from?
- ▶ *Random-number generation*

# Random-number generation

- ▶ Precise details depend on the system
  - ▶ Linux or unix: `/dev/random` or `/dev/urandom`
  - ▶ **Do not use `rand()` or `java.util.Random`**  
Not as random as the name would indicate!
  - ▶ Use crypto libraries instead

# Random-number generation

► Two steps:

1. Continually collect ‘unpredictable’ data.
2. Correct biases in it to make it more random. Called **smoothing**.

Unpredictable: Different models.

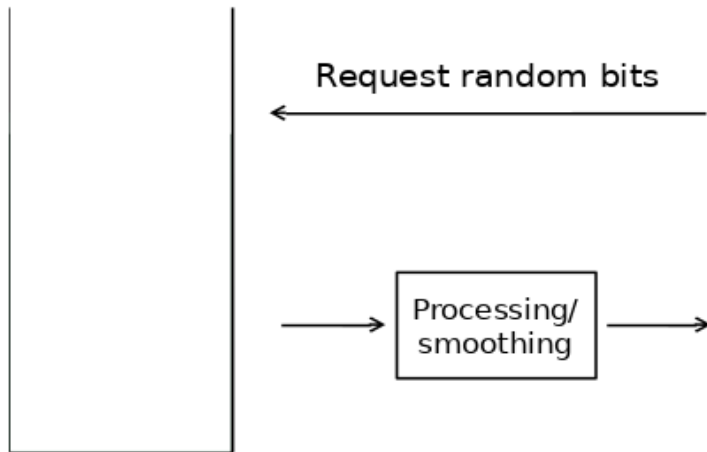
1. There is a  $0 < p < 1$  such that each bit has

$$\Pr(1) = p, \Pr(0) = 1 - p.$$

Note that bits are independent.  $p$  is not known. We will only deal with this.

2. Not independent but simple dependency. For example, if  $b_i = 1$  then  $\Pr(b_{i+1} = 1) = p$ .
3. Complicated dependencies. Depends on last  $x$  bits.

# Random-number generation



# Smoothing via Von Neumann Technique (VN)

- ▶ Need to eliminate both *bias* and *dependencies*
- ▶ VN technique for eliminating bias:
  - ▶ Collect two bits per output bit
    - ▶  $01 \mapsto 0$
    - ▶  $10 \mapsto 1$
    - ▶  $00, 11 \mapsto \text{skip}$
  - ▶ Note that this assumes *independence* (as well as constant bias)



# How Many Random Bits Can We Expect?

Assume that  $\Pr(b = 0) = p$  and  $\Pr(b = 1) = 1 - p$ .

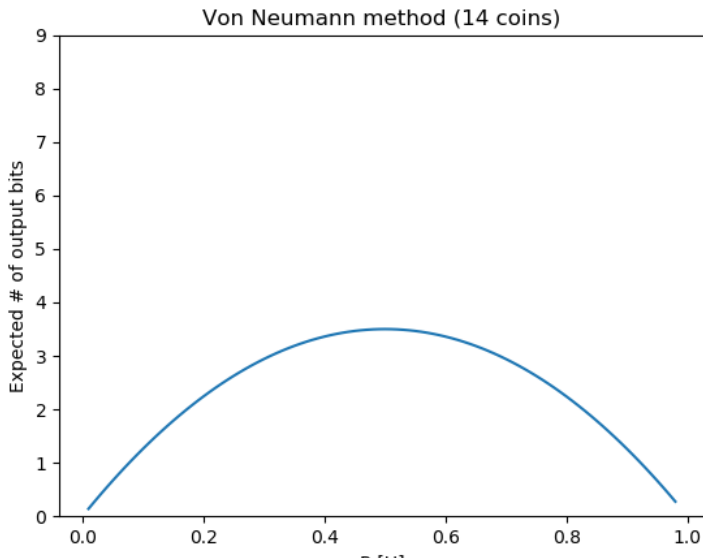
If flip 2 coins then

$$\Pr(01) + \Pr(10) = p(1 - p) + (1 - p)p = 2p(1 - p).$$

If flip  $2n$  coins then expected number of random bits is  $2np(1 - p)$ .

# How Good is VN Method?

If flip 14 coins ( $n = 7$ ) then we get the following graph:



## Step 2: Smoothing via Elias. Prepossess

1. Of the  $\binom{7}{3} = 35$  elts of  $\{0, 1\}^7$  with 4 0's and 3 1's, toss 3 of them out. Let  $B$  be a bijection from whats left to  $\{0, 1\}^5$ .
2. Of the  $\binom{7}{3} = 35$  elts of  $\{0, 1\}^7$  with 3 0's and 4 1's, toss 3 of them out. Let  $B$  be a bijection from whats left to  $\{0, 1\}^5$ .
3. Of the  $\binom{7}{2} = 21$  elts of  $\{0, 1\}^7$  with 5 0's and 2 1's, toss 5 of them out. Let  $B$  be a bijection from whats left to  $\{0, 1\}^4$ .
4. Of the  $\binom{7}{2} = 21$  elts of  $\{0, 1\}^7$  with 2 0's and 5 1's, toss 5 of them out. Let  $B$  be a bijection from whats left to  $\{0, 1\}^4$ .
5. Of the  $\binom{7}{1} = 7$  elts of  $\{0, 1\}^7$  with 6 0's and 1 1's, toss 3 of them out. Let  $B$  be a bijection from whats left to  $\{0, 1\}^2$ .
6. Of the  $\binom{7}{1} = 7$  elts of  $\{0, 1\}^7$  with 1 0's and 6 1's, toss 3 of them out. Let  $B$  be a bijection from whats left to  $\{0, 1\}^2$ .

Sequences tossed out are called **bad**

## Step 2: Smoothing via Elias

Assume that  $\Pr(b = 0) = p$  and  $\Pr(b = 1) = 1 - p$ .

1. Flip 7 coins. Let the sequence be  $s$ .
2. If  $s$  is bad then goto step 1.
3. Output  $B(s)$ . (could be 2,4, or 5 bits).

Let  $X$  be the number of bits.

## Expected Number of Random Bits

$$E(X) = 5\Pr(X = 5) + 4\Pr(X = 4) + 2\Pr(X = 2)$$

$$5\Pr(X = 5) = 5 \times (32p^4(1-p)^3 + 32p^3(1-p)^4) = 160p^3(1-p)^3$$

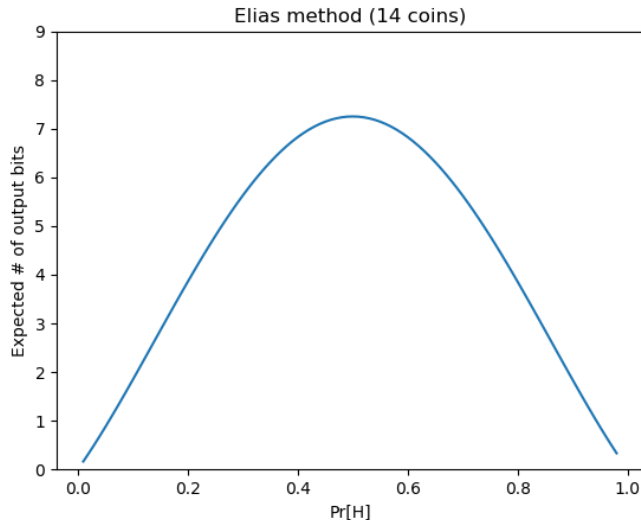
$$4\Pr(X = 4) = 4 \times (16p^5(1-p)^2 + 16p^2(1-p)^5) = 64p^2(1-p)^2(p^3 + (1-p)^3)$$

$$2\Pr(X = 2) = 2 \times (4p^6(1-p) + 4p(1-p)^6) = 8p(1-p)(p^5 + (1-p)^5)$$

$$E(X) = -8p^6 + 24p^5 - 40p^3 + 16p^3 + 8p$$

# How good is Elias Method

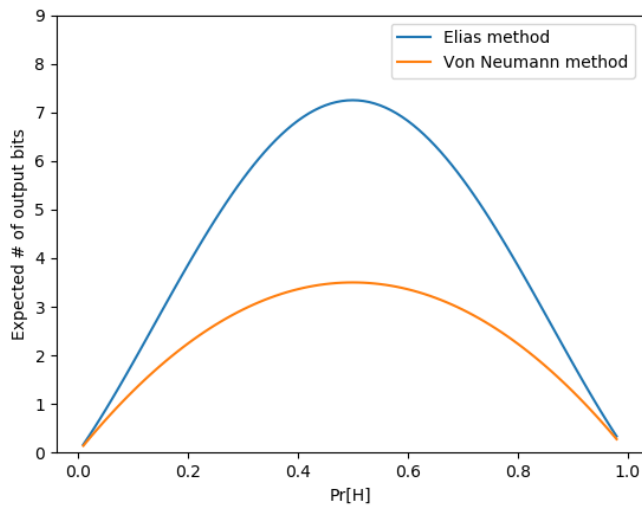
If flip 14 bits:



Much better than VN. Can we do better? Discuss.

# VN vs GMS

If we flip 14 bits:



# Is Elias Actually Used?

No

Discuss why



# Is Elias Actually Used?

No

Discuss why

1. Assumes independent bits with constant bias.
2. Need to wait for all 7 flips to get some bits.
3. If  $p = 0.3$  then 14 flips yields only  $\sim 4$  random bits.

# Is Elias Actually Used?

No

Discuss why

1. Assumes independent bits with constant bias.
2. Need to wait for all 7 flips to get some bits.
3. If  $p = 0.3$  then 14 flips yields only  $\sim 4$  random bits.  
Can improve this (HW).

# Is Elias Actually Used?

No

Discuss why

1. Assumes independent bits with constant bias.
2. Need to wait for all 7 flips to get some bits.
3. If  $p = 0.3$  then 14 flips yields only  $\sim 4$  random bits.  
Can improve this (HW).
4. Perfect randomness not really needed

# Is Elias Actually Used?

No

Discuss why

1. Assumes independent bits with constant bias.
2. Need to wait for all 7 flips to get some bits.
3. If  $p = 0.3$  then 14 flips yields only  $\sim 4$  random bits.  
Can improve this (HW).
4. Perfect randomness not really needed
5. Pseudorandomness good enough. We will discuss later.