BILL RECORDED LECTURE

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Establishing a Shared Secret Key Using Cards

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Motivation and Credit

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I have a website of some of the papers in the area: http://www.cs.umd.edu/~gasarch/TOPICS/sscards/ sscards.html.

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- 4. A and B want to talk out loud and manage to establish a shared secret bit.
- 5. The bit will be information-theoretically secure from E. Even if E had unlimited computing power she cannot determine the bit or even a statement like $Prob(b = 0) \ge 0.51$.

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- E knows that one of them has x and one of them has y but has no info on which is which.

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Called The High-Low Convention or just HL.

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Now what? Next page.

First Attempt: Example Two. Cont.

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- 1. A:{2}, B:{3,4}, E:{6}.
- B picks a random card in his hand and a random card NOT in his hand, say {2,3}. B yells I have 2 ∨ 3.

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- 3. A says I have $2 \lor 3$ (she does!).
- 4. A & B use HL to share a secret bit.

What can go wrong? Discuss.

What if A does not have one of the cards B said?

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What if A does not have one of the cards B said? 1. A:{2}, B:{3,4}, E:{6}.



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What if A does not have one of the cards B said?

- **1**. A:{2}, B:{3,4}, E:{6}.
- B picks a random card in his hand and a random card NOT in his hand, say {3,6}. B yells I have 3 ∨ 6.

3. A says I do not.

What if A does not have one of the cards B said?

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- 3. A says I do not.
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What if A does not have one of the cards B said?

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Now we have $A:\{2\}, B:\{4\}, E:\{\}.$

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A:{2}, B:{4}, E:{}.

A & B can do HL to establish shared secret bit.

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Now we have A:{2}, B:{4}, E:{}. A & B can do HL to establish shared secret bit. What can go wrong? Discuss.

What if A does not have one of the cards B said?

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- 3. A says I do not.
- 4. B yells I have 3, E has 6.

Now we have A:{2}, B:{4}, E:{}. A & B can do HL to establish shared secret bit. What can go wrong? Discuss. Next Page.

First Attempt: What Goes Wrong

I used the phrase **First Attempt** which is a sure giveaway that it does not work.

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First Attempt: What Goes Wrong

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So what can go wrong?

First Attempt: What Goes Wrong

I used the phrase **First Attempt** which is a sure giveaway that it does not work.

So what can go wrong?

Nothing! I used the phrase **First Attempt** to see if you would jump to the wrong conclusion.

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1. A has 1 or 2 cards, B has 1 or 2 cards, E has 1 or 2 cards.



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2. Assume A has 2 cards (B-case similar).

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- Assume A has 2 cards (B-case similar).
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3. If B has one of x, y he yells $x \lor y$ and they do HL.

- 1. A has 1 or 2 cards, B has 1 or 2 cards, E has 1 or 2 cards.
- Assume A has 2 cards (B-case similar).
 A picks a random card from her hand and a random card NOT in her hand, pair is {x, y}. A yells x ∨ y.
- 3. If B has one of x, y he yells $x \vee y$ and they do HL.
- If B does not, he yells I don't. Then A yells A:x, E:y. Remove x from A and y from E. If E is Ø then A and B can do HL. If E is not Ø then recurse.

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If start with (a, b, e) with $a \ge b$ then after A speaks and B responds either you have

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If start with (a, b, e) with $a \ge b$ then after A speaks and B responds either you have

1. One bit is shared and scenario is (a - 1, b - 1, e). We denote this (a - 1, b - 1, e)-1.

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2. Zero bits are shared shared and scenario is (a - 1, b, e - 1). Similar for a < b.

If start with (a, b, e) with $a \ge b$ then after A speaks and B responds either you have

- 1. One bit is shared and scenario is (a 1, b 1, e). We denote this (a 1, b 1, e)-1.
- 2. Zero bits are shared shared and scenario is (a 1, b, e 1). Similar for a < b. All possible paths:

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 $(2,2,2) \Rightarrow (1,1,2)-1.$ $(2,2,2) \Rightarrow (1,2,1) \Rightarrow (0,1,1)-1.$ $(2,2,2) \Rightarrow (1,2,1) \Rightarrow (1,1,0) \Rightarrow HL-1.$

Can A & B share a secret bit if start with (2,1,2)?

We look at all possible paths:



Can A & B share a secret bit if start with (2,1,2)?

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We look at all possible paths:

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We look at all possible paths:

 $(2,1,2) \Rightarrow (1,0,2)$ -1. $(2,1,2) \Rightarrow (1,1,1) \Rightarrow (0,0,1)$ -1.

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We look at all possible paths:

$$(2, 1, 2) \Rightarrow (1, 0, 2)$$
-1.
 $(2, 1, 2) \Rightarrow (1, 1, 1) \Rightarrow (0, 0, 1)$ -1.
 $(2, 1, 2) \Rightarrow (1, 1, 1) \Rightarrow (0, 1, 0)$. STUCK!!

We look at all possible paths:

$$egin{aligned} (2,1,2) &\Rightarrow (1,0,2)\end{aligned} 1,0,2)\end{aligned} 1,2) &\Rightarrow (1,1,1) \Rightarrow (0,0,1)\end{aligned} 1,2) &\Rightarrow (1,1,1) \Rightarrow (0,1,0). \end{aligned}$$

Note We only showed that our approach does not work.

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We look at all possible paths:

 $(2,1,2) \Rightarrow (1,0,2)$ -1. $(2,1,2) \Rightarrow (1,1,1) \Rightarrow (0,0,1)$ -1. $(2,1,2) \Rightarrow (1,1,1) \Rightarrow (0,1,0)$. STUCK!!

Note We only showed that our approach does not work. It is known that **no protocol** works when starting with (2, 1, 2).

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We Generalize to More Bits

For which a, b, e can (a, b, e) always lead to 2 bits? 3 bits?



We Generalize to More Bits

For which a, b, e can (a, b, e) always lead to 2 bits? 3 bits?

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We consider the case of 2 bits.

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Lets start with (3,3,2). Possible outcomes: $(3,3,2) \Rightarrow (2,2,2)-1$. From here can get 1 more bit.

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Lets start with (3,3,2). Possible outcomes: $(3,3,2) \Rightarrow (2,2,2)$ -1. From here can get 1 more bit. $(3,3,2) \Rightarrow (2,3,1) \Rightarrow (2,2,0)$.

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Lets start with (3,3,2). Possible outcomes: $(3,3,2) \Rightarrow (2,2,2)-1$. From here can get 1 more bit. $(3,3,2) \Rightarrow (2,3,1) \Rightarrow (2,2,0)$. This is new! From (2,2,0) how do A & B get **any** bits?

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Lets start with (3,3,2). Possible outcomes: $(3,3,2) \Rightarrow (2,2,2)$ -1. From here can get 1 more bit. $(3,3,2) \Rightarrow (2,3,1) \Rightarrow (2,2,0)$. This is new! From (2,2,0) how do A & B get any bits? Next slide.

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 $A{:}\{1,2\},\;B{:}\{3,4\},\;E{:}\emptyset.$



A:{1,2}, B:{3,4}, E: \emptyset .

E knows that A has two from $\{1,2,3,4\}$ and that B has the other two. That is all E knows.

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A & B know each others hands.

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A & B know each others hands.

A picks 3 elements from $\{1,3\}$, $\{1,4\}$, $\{2,3\}$, $\{2,4\}$, $\{3,4\}$. and orders them. Say $\{2,4\}$, $\{1,4\}$, $\{2,3\}$.

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A picks one of 00, 01, 10, 11 at random, say 10 (3).

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A picks one of 00, 01, 10, 11 at random, say 10 (3).

A yells $\{2,4\}$, $\{1,4\}$, $\{1,2\}$, $\{2,3\}$.

A:{1,2}, B:{3,4}, E:Ø.

E knows that A has two from $\{1, 2, 3, 4\}$ and that B has the other two. That is all E knows.

A & B know each others hands.

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- A yells $\{2,4\}$, $\{1,4\}$, $\{1,2\}$, $\{2,3\}$.
- **B** knows that A has $\{1, 2\}$ so the 2-bits are 10.

A:{1,2}, B:{3,4}, E:Ø.

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A & B know each others hands.

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- A picks one of 00, 01, 10, 11 at random, say 10 (3).
- A yells $\{2,4\}$, $\{1,4\}$, $\{1,2\}$, $\{2,3\}$.
- **B** knows that A has $\{1, 2\}$ so the 2-bits are 10.
- E has no way of knowing what A has, so learns **nothing**.

We will describe what A and B do if

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We will describe what A and B do if

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A has a cards

We will describe what A and B do if

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A has a cards

B has *b* cards

We will describe what A and B do if

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A has a cards

B has b cards

E has 0 cards.

We will describe what A and B do if

A has a cards

B has *b* cards

E has 0 cards.

But first need some notation and conventions.

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We will describe what A and B do if

A has a cards

B has *b* cards

E has 0 cards.

But first need some notation and conventions.

They are on the next few slides.

Boring Notation

If $x \in \mathbb{N}$ then

 $[x] = \{1, \ldots, x\}.$

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Boring Notation

If $x \in \mathbb{N}$ then

$$[x] = \{1, \ldots, x\}.$$

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This will help save space and is standard.

For this slide X is a set.



For this slide X is a set. **Recall** The **powerset** of X has $2^{|X|}$ elements.

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For this slide X is a set. **Recall** The **powerset** of X has $2^{|X|}$ elements. **Notation** Denote the **powerset** of X as 2^{X} .

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For this slide X is a set. **Recall** The **powerset** of X has $2^{|X|}$ elements. **Notation** Denote the **powerset** of X as 2^{X} . Vote: Have you seen that notation before?

For this slide X is a set. **Recall** The **powerset** of X has $2^{|X|}$ elements.

Notation Denote the **powerset** of *X* as 2^X .

Vote: Have you seen that notation before? Vote: Do you like it?

For this slide X is a set. **Recall** The **powerset** of X has $2^{|X|}$ elements.

Notation Denote the **powerset** of X as 2^X . Vote: Have you seen that notation before? Vote: Do you like it?

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Recall The number of *k*-element subsets of *X* is $\binom{|X|}{k}$.

For this slide X is a set. **Recall** The **powerset** of X has $2^{|X|}$ elements. **Notation** Denote the **powerset** of X as 2^X . Vote: Have you seen that notation before? Vote: Do you like it? **Recall** The **number of** *k*-element subsets of X is $\binom{|X|}{k}$. **Notation** Denote the set of *k*-element subsets of X by $\binom{X}{k}$.

For this slide X is a set. **Recall** The **powerset** of X has $2^{|X|}$ elements.

Notation Denote the **powerset** of X as 2^X . Vote: Have you seen that notation before? Vote: Do you like it? **Recall** The **number of** *k*-element subsets of X is $\binom{|X|}{k}$. **Notation** Denote the **set of** *k*-element subsets of X by $\binom{X}{k}$. Vote: Have you seen that notation before?

For this slide X is a set. **Recall** The **powerset** of X has $2^{|X|}$ elements.

Notation Denote the **powerset** of X as 2^X . Vote: Have you seen that notation before? Vote: Do you like it? **Recall** The **number of** *k*-element subsets of X is $\binom{|X|}{k}$. **Notation** Denote the **set of** *k*-element subsets of X by $\binom{X}{k}$. Vote: Have you seen that notation before? Vote: Do you like it?

Convention

If I say

A picks 3 elts from X

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Convention

If I say

A picks 3 elts from X

It means

A picks 3 elements from X at Random

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General: (*a*, *b*, **0**)

A has *a* cards, B has *b* cards, E has 0 cards. A's set of cards is ACARDS. Let *n* be largest number such that $2^n \leq {a+b \choose a}$.

General: (*a*, *b*, **0**)

A has a cards, B has b cards, E has 0 cards. A's set of cards is ACARDS. Let n be largest number such that $2^n \leq {a+b \choose a}$.

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1. A and B know each others cards.

A has a cards, B has b cards, E has 0 cards. A's set of cards is ACARDS. Let n be largest number such that $2^n \leq {a+b \choose a}$.

- 1. A and B know each others cards.
- 2. A picks $2^n 1$ elts of $\binom{[a+b]}{a}$, orders them: Y_1, \ldots, Y_{2^n-1} .

A has a cards, B has b cards, E has 0 cards. A's set of cards is ACARDS. Let n be largest number such that $2^n \leq {a+b \choose a}$.

- 1. A and B know each others cards.
- 2. A picks $2^n 1$ elts of $\binom{[a+b]}{a}$, orders them: Y_1, \ldots, Y_{2^n-1} .

3. A picks a number y between 0 and $2^n - 1$.

A has a cards, B has b cards, E has 0 cards. A's set of cards is ACARDS. Let n be largest number such that $2^n \leq {a+b \choose a}$.

- 1. A and B know each others cards.
- 2. A picks $2^n 1$ elts of $\binom{[a+b]}{a}$, orders them: Y_1, \ldots, Y_{2^n-1} .
- 3. A picks a number y between 0 and $2^n 1$.
- A puts ACARDS in the yth pos in the seq Y's, and yells it.
 E.g., If y = 3, A yells:

 $Y_1, Y_2, ACARDS, Y_3, \ldots, Y_{2^n-1}.$

A has a cards, B has b cards, E has 0 cards. A's set of cards is ACARDS. Let n be largest number such that $2^n \leq {a+b \choose a}$.

- 1. A and B know each others cards.
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 E.g., If y = 3, A yells:

$$Y_1, Y_2, ACARDS, Y_3, \ldots, Y_{2^n-1}.$$

5. B knows that ACARDS is A's cards. He knows they are the yth element in the list. y is the secret shared bit sequence.

A has a cards, B has b cards, E has 0 cards. A's set of cards is ACARDS. Let n be largest number such that $2^n \leq {a+b \choose a}$.

- 1. A and B know each others cards.
- 2. A picks $2^n 1$ elts of $\binom{[a+b]}{a}$, orders them: Y_1, \ldots, Y_{2^n-1} .
- 3. A picks a number y between 0 and $2^n 1$.
- A puts ACARDS in the yth pos in the seq Y's, and yells it.
 E.g., If y = 3, A yells:

$$Y_1, Y_2, ACARDS, Y_3, \ldots, Y_{2^n-1}.$$

B knows that ACARDS is A's cards. He knows they are the yth element in the list. y is the secret shared bit sequence.
 Security E has no info on what ACARDS is.

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Is there a nice expression for n? There is!

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How many bits if a = b = n?

$$\left\lfloor \lg \binom{2n}{n} \right\rfloor \sim \left\lfloor \lg \binom{2^{2n}}{\sqrt{\pi n}} \right\rfloor \sim 2n - 0.5 \lg n - O(1).$$

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A & B want to share n secret bits.

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Will (n, n, n) work?

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In the best case you get

$$(n, n, n) \Rightarrow (n - 1, n - 1, n) - 1 \Rightarrow \cdots \Rightarrow (0, 0, n) - n$$

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Last slide: $n - 0.5 \lg n - O(1)$ bits.

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For what m does (m, m, m) produce n bits? Discuss.

We consider the case where m is even.

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Get $m - 0.5 \lg m$ bits.

We consider the case where m is even.

$$(m,m,m) \Rightarrow \cdots \Rightarrow \left(\frac{m}{2},\frac{m}{2},0\right)$$

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Get $m - 0.5 \lg m$ bits.

Take $m = n + 0.5 \lg n + O(1)$

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$$n + 0.5 \lg n + O(1) - 0.5 \lg (n + 0.5 \lg n + O(1))$$
$$= n + 0.5 \lg n - 0.5 \lg n + O(1) = n + O(1)$$

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