## Some Solutions to Midterm Problems

William Gasarch-U of MD

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Prove the following and fill in the f(k). **Thm** For all k there exists n = f(k) such that the following holds. For all pairs of colorings:  $\operatorname{COL}_1: {[n] \choose 1} \to [2],$  $\operatorname{COL}_2: {[n] \choose 2} \to [2]$ 

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- every element of H is colored  $c_1$ , and
- every element of  $\binom{H}{2}$  is colored  $c_2$ .

$$\begin{split} &\operatorname{COL}_1\colon {[n] \choose 1} \to [2],\\ &\operatorname{COL}_2\colon {[n] \choose 2} \to [2]. \text{ We do the following.} \end{split}$$

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Restrict COL<sub>2</sub> to  $\binom{H_1}{2}$ . Get:  $|H| \ge 0.5 \log_2(|H_1|) = 0.5 \log_2(\frac{n}{2})$ .

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Restrict COL<sub>2</sub> to  $\binom{H_1}{2}$ . Get:  $|H| \ge 0.5 \log_2(|H_1|) = 0.5 \log_2(\frac{n}{2})$ . Need  $0.5 \log_2(\frac{n}{2}) \ge k$ . Take  $n = 2^{2k+1}$ .

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In the lang of graphs (E(x, y)) the question: **Given an**  $E^*A^*$  **statement**  $\phi$ , find spec $(\phi)$  is decidable.

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What if we added a unary predicate to the lang? So every element is colored RED or BLUE. Then we would need to also make every element of Y the same color.

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What if we added a unary predicate to the lang? So every element is colored RED or BLUE. Then we would need to also make every element of Y the same color.

This problem showed that YES we can do BOTH- make every element of Y the same color AND make every pair of elements of Y the same color.

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This is what Ramsey proved in his paper.

Let T be the set of trees and  $\preceq$  be the minor ordering. Show that  $(T, \preceq)$  is a wqo.

Let T be the set of trees and  $\leq$  be the minor ordering. Show that  $(T, \leq)$  is a wqo.

You may use any theorem that was PROVEN in class or on the HW. (Note that we DID NOT prove the Graph Minor Theorem, so you can't use that.)

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 $(\forall i)$  take  $T_i$  and rm root to get **finite set** of trees  $T_{i1}, \ldots, T_{ik_i}$ .

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For the rest goto the next slide.

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$$T_{i_1j_1}, T_{i_2j_2}, \ldots (i_1 \leq i_2, i_3, \ldots)$$



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Claim This is a bad seq.



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- c) NO uptick  $T_i \leq T_{i_k i_k}$  since otherwise  $T_i \leq T_{i_k}$  and  $i < i_k$ .

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(\*) is a bad seq that begins  $T_{i_1}, \ldots, T_{i_1-1}$  and then has  $T_{i_1j_1}$ .

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(\*) is a bad seq that begins  $T_{i_1}, \ldots, T_{i_1-1}$  and then has  $T_{i_1j_1}$ .  $T_{i_1}$  is the smallest tree that is right after  $T_1, \ldots, T_{i_1-1}$  in a bad seq.

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#### Claim This is a bad seq.

a) NO uptick within  $T_1, \ldots, T_{i_1-1}$  since  $T_1, T_2, \ldots$  is Bad Seq. b) NO uptick within  $T_{i_1j_1}, \ldots$  since its a bad seq. c) NO uptick  $T_i \leq T_{i_kj_k}$  since otherwise  $T_i \leq T_{i_k}$  and  $i < i_k$ . End of Proof of Claim

(\*) is a bad seq that begins  $T_{i_1}, \ldots, T_{i_1-1}$  and then has  $T_{i_1j_1}$ .  $T_{i_1}$  is the smallest tree that is right after  $T_1, \ldots, T_{i_1-1}$  in a bad seq.

 $T_{i_1 j_1}$  is smaller than  $T_{i_1}$ , so contradiction.

(\*) 
$$T_{i_1j_1}, T_{i_2j_2}, \ldots$$
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we PREPEND  $T_1, \ldots, T_{i_1-1}$  to the seq to get

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**Recall HW04** 



#### Recall HW04

Assume  $(X, \preceq)$  is a wqo.



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Let PF(X) be the set of finite subsets of X.

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Then  $(PF(X), \preceq')$  is a wqo.

We will use this.

The Original Min Bad Sequence is

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View this as a seq of finite sets of trees from wqo X.  $\{T_{11}, \ldots, T_{1k_1}\}, \{T_{21}, \ldots, T_{2k_2}\}, \cdots$ 

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$$T_{i1} \text{ is a minor of SOME elt of } \{T_{j1}, \dots, T_{jk_j}\}.$$

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 $T_{i1}$  is a minor of SOME elt of  $\{T_{j1}, \ldots, T_{jk_j}\}$ .  $T_{i2}$  is a minor of SOME other elt of  $\{T_{j1}, \ldots, T_{jk_i}\}$ .

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#### Problem 3. View the Min Bad Seq As...

The Original Min Bad Sequence is

$$T_1, T_2, \ldots$$

View this as a seq of finite sets of trees from wqo X.  $\{T_{11}, \ldots, T_{1k_1}\}, \{T_{21}, \ldots, T_{2k_2}\}, \cdots$ By HW there is an uptick in this seq. So there is

$$\{T_{i1},\ldots,T_{ik_i}\} \preceq' \{T_{j1},\ldots,T_{jk_j}\}.$$

 $T_{i1}$  is a minor of SOME elt of  $\{T_{j1}, \ldots, T_{jk_j}\}$ .  $T_{i2}$  is a minor of SOME other elt of  $\{T_{j1}, \ldots, T_{jk_i}\}$ .

 $T_{ik_i}$  is a minor of SOME other elt of  $\{T_{j1}, \ldots, T_{jk_j}\}$ . You can put all this together to get  $T_i$  is a minor of  $T_j$ , which contradicts  $T_1, \ldots$ , being a bad seq.

## **Problem 3: Afterthought**

What did we use about minor in the proof?



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What did we use about **minor** in the proof?

Would the same proof show that the subgraph-ordering for trees is a wqo?

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## **Problem 3: Afterthought**

What did we use about **minor** in the proof?

Would the same proof show that the subgraph-ordering for trees is a wqo?

I leave this for you to ponder.

Let  $\mathcal{G}$  be the set of all graphs and  $\leq$  be the subgraph ordering.

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Let  ${\mathcal G}$  be the set of all graphs and  $\preceq$  be the subgraph ordering. Vote



Let  $\mathcal{G}$  be the set of all graphs and  $\leq$  be the subgraph ordering. Vote a)  $(\mathcal{G}, \leq)$  is a wqo and this is known.

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a)  $(\mathcal{G}, \preceq)$  is a wqo and this is known. a)  $(\mathcal{G}, \preceq)$  is not a wqo and this is known.

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- a)  $(\mathcal{G}, \preceq)$  is a wqo and this is known.
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- c) The question "is  $(\mathcal{G}, \preceq)$  a wqo?" is unknown to science.

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Let  ${\mathcal G}$  be the set of all graphs and  $\preceq$  be the subgraph ordering. Vote

a) (G, ≤) is a wqo and this is known.
a) (G, ≤) is not a wqo and this is known.
c) The question "is (G, ≤) a wqo?" is unknown to science. Answer on next slide.

#### Graphs under Subgraph

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## Graphs under Subgraph

Let  $C_i$  be the cycle on *i* vertices.

#### $\mathit{C}_3, \mathit{C}_4, \mathit{C}_5, \ldots$

is an infinite seq of incomparable elements, so graphs under subgraph are NOT a wqo.

Prove or Disprove:

For every  $\mathrm{COL}\colon \mathsf{Q}\to [100]$  there exists an  $H\subseteq \mathsf{Q}$  such that

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H has the same order type as the rationals:
a) H is countable
b) H is dense: (∀x, y ∈ H)[x < y ⇒ (∃z)[x < z < y].</li>

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every number in H is the same color.

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Advice You should understand both proofs.

**Def** Let *L* be a linear ordering. a)  $L \equiv Q$  means *L* has same order type as Q. Hence *L* is countable, dense, and has no endpoints.

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We use *c* instead of 100 since we can then do an induction on *c*. We use *L* instead of Q since in the induction proof we will have a coloring of (say) (a, b) and want to use the Ind Hyp on a COL restricted to (a, b).

# $(\forall c)(\forall \text{COL}: L \rightarrow [c])(\exists H \subseteq L)H$ is Q-homog

# **Proof One and Proof Two Begin the Same Way** We prove this by induction on *c*.

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#### **Proof One and Proof Two Begin the Same Way** We prove this by induction on c. **IB** c = 1. Obviously true.

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#### Proof One and Proof Two Begin the Same Way

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We prove this by induction on c.

- **IB** c = 1. Obviously true.
- **IH** Assume true for c 1.

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#### Proof One and Proof Two Begin the Same Way

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We prove this by induction on c.

**IB** c = 1. Obviously true.

**IH** Assume true for c - 1. Continued on Next Slide.

Let COL:  $L \rightarrow [c]$ .

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Let COL: 
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**Case 1**  $H \equiv Q$ . DONE!



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Case 1  $H \equiv Q$ . DONE! Case 2  $H \not\equiv Q$ . Three possibilities.

Let COL:  $L \rightarrow [c]$ . Let

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**Case 2**  $H \neq Q$ . Three possibilities.

**Case 2a** *H* is not dense. So  $(\exists x < y \in H)[(x, y) \cap H = \emptyset]$ . Nothing in (x, y) is colored *c*.

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**Case 1**  $H \equiv Q$ . DONE!

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**Case 2a** *H* is not dense. So  $(\exists x < y \in H)[(x, y) \cap H = \emptyset]$ . Nothing in (x, y) is colored *c*. Let COL' be COL restricted to (x, y). This is a c - 1 coloring on  $(x, y) \equiv Q$ . Done by IH. **Case 2b** *H* has a left endpoint. So  $(\exists y)[(-\infty, y) \cap H = \emptyset]$ . Let  $x \in L$  such that x < y. Let COL' be COL restricted to (x, y). This is a c - 1 coloring on  $(x, y) \equiv Q$ . Done by IH.

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Let COL' be COL restricted to (x, y).

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**Case 2b** *H* has a left endpoint. So  $(\exists y)[(-\infty, y) \cap H = \emptyset]$ . Let  $x \in L$  such that x < y. Let COL' be COL restricted to (x, y). This is a c - 1 coloring on  $(x, y) \equiv Q$ . Done by IH.

**Case 2c** *H* has a right endpoint. Similar to Case 2b.

Let COL:  $L \rightarrow [c]$ . Let

$$H = \{x \in L \colon \operatorname{COL}(x) = c\}.$$

**Case 1**  $H \equiv Q$ . DONE!

**Case 2**  $H \neq Q$ . Three possibilities.

**Case 2a** *H* is not dense. So  $(\exists x < y \in H)[(x, y) \cap H = \emptyset]$ . Nothing in (x, y) is colored *c*.

Let COL' be COL restricted to (x, y).

This is a c-1 coloring on  $(x, y) \equiv Q$ . Done by IH.

**Case 2b** *H* has a left endpoint. So  $(\exists y)[(-\infty, y) \cap H = \emptyset]$ . Let  $x \in L$  such that x < y. Let COL' be COL restricted to (x, y). This is a c - 1 coloring on  $(x, y) \equiv Q$ . Done by IH.

**Case 2c** *H* has a right endpoint. Similar to Case 2b. **End of Proof One** 

#### Induction Step for Proof Two: Plan

We will try to **construct** a Q-homog set.

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► We succeed! YEAH!

#### Induction Step for Proof Two: Plan

We will try to **construct** a Q-homog set.

- ► We succeed! YEAH!
- ▶ We fail! Then we will have an open interval (x, y) where COL is never color c. Use IH.

Let COL:  $L \rightarrow [c]$ .

Let COL:  $L \to [c]$ . We define a seq  $q_1, q_2, \ldots$  such that  $\{q_1, q_2, \ldots\}$  is Q-homog OR we fail.

Let COL:  $L \to [c]$ . We define a seq  $q_1, q_2, \ldots$  such that  $\{q_1, q_2, \ldots\}$  is Q-homog OR we fail.

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Let  $q_1 \in L$  such that  $COL(q_1) = c$ . (If no such exists, use IH.)

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Case 1 Const never stops.  $\{q_1, q_2, \ldots\} \equiv Q$  & homog. Done! Case 2 Const stops .  $\exists a < b, \text{ COL}: (a, b) \rightarrow [c - 1]$ . Use IH.