# Primitive Recursive Function and Ramsey Theory

Exposition by William Gasarch-U of MD

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**Def**  $R_a(k)$  is the least *n* such that, for all COL:  $\binom{[n]}{a} \rightarrow [2]$  there exists a homog set of size *k*.

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We need a way to express very fast growing functions.

**Def**  $f(x_1, \ldots, x_n)$  is **PR** if either:



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3.  $f(x_1, ..., x_n) = x_i + 1$ ;  
4.  $g_1(x_1, ..., x_k), ..., g_n(x_1, ..., x_k), h(x_1, ..., x_n)$  PR  $\implies$ 

$$f(x_1,...,x_k) = h(g_1(x_1,...,x_k),...,g_n(x_1,...,x_k))$$
 is PR

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4.  $g_1(x_1, ..., x_k), ..., g_n(x_1, ..., x_k), h(x_1, ..., x_n)$  PR  $\implies$   
 $f(x_1, ..., x_k) = h(g_1(x_1, ..., x_k), ..., g_n(x_1, ..., x_k))$  is PR  
5.  $h(x_1, ..., x_{n+1})$  and  $g(x_1, ..., x_{n-1})$  PR  $\implies$   
 $f(x_1, ..., x_{n-1}, 0) = g(x_1, ..., x_{n-1})$   
 $f(x_1, ..., x_{n-1}, m + 1) = h(x_1, ..., x_{n-1}, m, f(x_1, ..., x_{n-1}, m))$ 

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is PR.

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. Successor.



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f_1(x, 0) = x

f_1(x, y + 1) = f_1(x, y) + 1.

Used Rec Rule Once. Addition.
```

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 $f_2(x, y) = xy$ :

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The PR functions can be put in a hierarchy depending on how many times the recursion rule is used to build up to the function.

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$$f_3(x,y)=x^y$$
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Used Rec Rule three times. Exp.

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$$\begin{split} f_3(x,y) &= x^y:\\ f_3(x,0) &= 1\\ f_3(x,y+1) &= f_3(x,y)x.\\ \text{Used Rec Rule three times. Exp.}\\ f_4(x,y) &= \text{TOW}(x,y).\\ f_4(x,0) &= 1\\ f_4(x,y+1) &= f_4(x,y)^x.\\ \text{Used Rec Rule four times. TOWER.}\\ f_5(x,y) &= \text{WHAT SHOULD WE CALL THIS?} \end{split}$$

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 $f_3(x, y) = x^y$ :  $f_3(x,0) = 1$  $f_3(x, y+1) = f_3(x, y)x.$ Used Rec Rule three times. Exp.  $f_4(x, y) = \mathrm{TOW}(x, y).$  $f_4(x,0) = 1$  $f_4(x, y+1) = f_4(x, y)^x$ . Used Rec Rule four times. TOWER.  $f_5(x, y) =$  WHAT SHOULD WE CALL THIS?  $f_5(x,0) = 1$  $f_5(x, y+1) = TOW(f_5(x, y), x).$ Used Rec Rule five times. What should we call this? Discuss

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f_1 is Addition

f_2 is Multiplication
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 $f_6$  and beyond have no name.

# **Def** $PR_a$ is the set of PR functions that can be defined with $\leq a$ uses of the Recursion rule.

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**Def**  $PR_a$  is the set of PR functions that can be defined with  $\leq a$  uses of the Recursion rule.

Note One can show that any finite number of exponentials is in  $\mathrm{PR}_3$ .

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 $R_2(k) \leq 2^{2k} = f_3(O(k))$ . Level 3.  $R_3(k) \leq \text{TOW}(2k) = f_4(O(k))$ . Level 4.  $R_a(k) \leq f_{a+1}(O(k))$ . Level a + 1. LR(k) I only showed exists but did not show a bound.

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- ▶ Is  $R_3(k)$  in  $PR_3$ ?
- ▶ Is the function  $f(a, k) = R_a(k)$  PR?

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- ▶ Is  $R_3(k)$  in  $PR_3$ ?
- ls the function  $f(a, k) = R_a(k)$  PR?
- ▶ Is LR(k) PR? If so then what level?

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1. f(x, y) = x - y if  $x \ge y$ , 0 otherwise.

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- 5.  $f(x, y) = \operatorname{GCD}(x, y)$ .
- 6. f(x) = 1 if x is prime, 0 if not.
- 7. f(x) = 1 if x is the sum of 2 primes, 0 otherwise.

#### Most Functions are PR

Virtually any computable function from  $N^k$  to N that you encounter in mathematics is primitive recursive.

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Are there any computable functions that are not primitive recursive? Discuss.

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## Most Functions are PR

Virtually any computable function from  $N^k$  to N that you encounter in mathematics is primitive recursive.

Are there any computable functions that are not primitive recursive?

Discuss.

Yes. We will see a contrived one on the next slide.

The PR functions are formed by building up rules. One can encode the derivation of a PR function as a number. One can then assign to every number a PR function easily.

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Let  $f_1, f_2, \ldots$  be all of the PR functions.

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Let  $f_1, f_2, \ldots$  be all of the PR functions.

$$F(x) = f_x(x) + 1$$

is computable but not a PR function.

Def Ackerman's function is the function defined by

$$\begin{array}{rcl} A(0,y) &=& y+1 \\ A(x+1,0) &=& A(x,1) \\ A(x+1,y+1) &=& A(x,A(x+1,y)) \end{array}$$

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- 1. A is obviously computable.
- 2. A grows faster than any PR function.

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- 1. A is obviously computable.
- 2. A grows faster than any PR function.
- 3. Since A is defined using a recursion which involves applying the function to itself there is no obvious way to take the definition and make it PR. Not a proof, an intuition.

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#### Ackerman's Function is Natural: Security

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customer-service.html

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### Ackerman's Function is Natural: Security

https://ackerman-security-systems.pissedconsumer.com/ customer-service.html

They are called Ackerman Security since they claim that Burglar would have to be Ackerman(n)-good to break in.

DS is Data Structure. UNION-FIND DS for sets that supports:

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DS is Data Structure.

UNION-FIND DS for sets that supports:

- (1) If a is a number then make  $\{a\}$  a set.
- (2) If A, B are sets then make  $A \cup B$  a set.
- (3) Given x find which, if any, set it is in.
  - There is a DS for this problem that can do n operations in nA<sup>-1</sup>(n) steps.

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One can show that there is no better DS.

So  $nA^{-1}(n, n)$  is the exact upper and lower bound!

Writing a number as a sum of powers of 2.

$$1000 = 2^9 + 2^8 + 2^7 + 2^6 + 2^5 + 2^3$$

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But we can also write the exponents as sums of power of 2

$$1000 = 2^{2^3 + 2^0} + 2^{2^3} + 2^{2^2 + 2^1 + 2^0} + 2^{2^1 + 2^0}$$

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$$1000 = 2^{2^{2^{1}+2^{0}}+2^{0}} + 2^{2^{2^{1}+2^{0}}} + 2^{2^{2}+2^{1}+2^{0}} + 2^{2^{1}+2^{0}}$$

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This is called Hereditary Base n Notation

$$1000 = 2^{2^{2^1+2^0}+2^0} + 2^{2^{2^1+2^0}} + 2^{2^2+2^1+2^0} + 2^{2^1+2^0}$$

Replace all of the 2's with 3's:

$$3^{3^{3^1+3^0}+3^0}+3^{3^{3^1+3^0}}+3^{3^3+3^1+3^0}+3^{3^1+3^0}$$

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This number just went WAY up. Now subtract 1.

$$3^{3^{3^1+3^0}+3^0}+3^{3^{3^1+3^0}}+3^{3^3+3^1+3^0}+3^{3^1+3^0}-1$$

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Repeat the process:

Replace 3 by 4, and subtract 1, Replace 4 by 5, and subtract 1,  $\cdots$ .

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Replace 3 by 4, and subtract 1, Replace 4 by 5, and subtract  $1, \dots$ . **Vote** Does the sequence:

- Goto infinity (and if so how fast- perhaps Ack-like?)
- Eventually stabilizes (e.g., goes to 18 and then stops there)
- Cycles- goes UP then DOWN then UP then DOWN ....

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Repeat the process:

Replace 3 by 4, and subtract 1, Replace 4 by 5, and subtract 1,  $\cdots$ . **Vote** Does the sequence:

- Goto infinity (and if so how fast- perhaps Ack-like?)
- Eventually stabilizes (e.g., goes to 18 and then stops there)
- Cycles- goes UP then DOWN then UP then DOWN .....

The sequence goes to 0.

The number of steps for *n* to go to 0 is roughly ACK(n, n).

#### R<sub>3</sub>(k) is in PR<sub>3</sub> (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN

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1.  $R_3(k)$  is in  $PR_3$  (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN YES We will show  $R_3(k) \le 2^{2^{O(k)}}$ .

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 R<sub>a</sub>(k) is PR. YES, NO, UNKNOWN

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2. R<sub>a</sub>(k) is PR. YES, NO, UNKNOWN **YES** 

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 LR<sub>2</sub>(k) is PR. YES, NO, UNKNOWN

- 1.  $R_3(k)$  is in PR<sub>3</sub> (finite stack-of-2's rather than TOW) YES, NO, UNKNOWN YES We will show  $R_3(k) \le 2^{2^{O(k)}}$ .
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- 3. LR<sub>2</sub>(k) is PR. YES, NO, UNKNOWN YES LR<sub>2</sub>(k)  $\leq 2^{2^{5k}}$ . Proof Messy.
- 4.  $f(a, k) = LR_a(k)$  is PR YES, NO, UNKNOWN

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- 4.  $f(a, k) = LR_a(k)$  is PR YES, NO, UNKNOWN **NO**. See next slide.

Thm For all a, k there exists  $n = LR_a(k)$  such that for all COL:  $\binom{\{k, \dots, k+n\}}{a} \rightarrow [2] \exists$  a large homog set.

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**Thm** For all a, k there exists  $n = LR_a(k)$  such that for all  $COL: \begin{pmatrix} \{k, \dots, k+n\} \\ a \end{pmatrix} \rightarrow [2] \exists a \text{ large homog set.}$ Let  $f(a, k) = LR_a(k)$ . The following are known.

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**Thm** For all a, k there exists  $n = LR_a(k)$  such that for all  $COL: \binom{\{k, \dots, k+n\}}{a} \to [2] \exists a \text{ large homog set.}$ 

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- 3. We defined  $PR_1$ ,  $PR_2$ . One can define  $PR_{\omega}$  and that is where ACKERMAN is. One can then define  $PR_{\alpha}$  for all countable ordinals  $\alpha < \epsilon_0$  (won't get into that).

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For all  $\alpha < \epsilon_0$ , f(a, k) is not in any  $PR_{\alpha}$ .

For large arity,  $LR_a(k)$  is large.



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We will also vary the number of colors, that can't matter.

Thm For all k there exists  $n = LR_2(k, c)$  such that for all COL:  $\binom{\{k, \dots, k+n\}}{2} \rightarrow [c] \exists$  a large homog set.

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So just on graphs LR grows fast!

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 $LR_2(k, c)$  grows as fast as Ackerman's function!

So just on graphs LR grows fast!

Num of colors matters—1st time in this course!

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- 3. Since then mathematicians have been looking for **interesting** statements that could not be proven in PA.
- Paris & Harrington(1977) showed LR could not be proven in PA, using Model Theory. Solovay & Ketonen (1981) showed LR not provable in PA via f(a, k) growing fast.

**Vote** Is the LR Theorem a natural theorem? YES, NO, UNKNOWN TO SCIENCE.

Commentary on next slide.

1. When did the Large Ramsey Theorem first appear?

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2. LR is far more interesting than Godel's Sentence.

- When did the Large Ramsey Theorem first appear? In Paris-Harrington paper that showed LR was Ind of PA. Thats an argument for LR being contrived.
- 2. LR is far more interesting than Godel's Sentence.
- 3. The proof of LR is interesting since you get it from infinite Ramsey but can't get it a more normal way.