# **Should Tables be Sorted:**

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# **Credit Where Credit is Due**

This is all from the paper **Should Tables be Sorted?** by Andrew Yao.

This was the first paper to apply **Ramsey Theory** to a problem in **Theoretical Computer Science** 

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#### The Cell Probe Model

**Definition** The Cell Probe Model for search is as follows:

- 1. The size of the universe is U. The universe is  $\{1, \ldots, U\}$ .
- 2. The number of elements from the universe that we will store is *n*.
- 3. The function *PUT* takes  $A \in {\binom{[U]}{n}}$  and outputs the elements of *A* in some order. This tells us how to store *A* in an array.
- 4. An algorithm *FIND* that, on input x ∈ U, probes the array (by asking 'What is in cell c'), and based on the answer probes another cell, etc, and then says either x is in A, or x is not in A.

### **Examples One: Sort**

▶ The function *PUT* takes  $A \in {\binom{[U]}{n}}$  and puts them in an *n*-array SORTED.

- ► The algorithm *FIND* does Binary Search.
- Number of Probes  $\lceil (\rceil \log(n+1))$ .

Can we do better?

### **Examples One: Sort**

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- ► The algorithm *FIND* does Binary Search.
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Can we do better?

This depends on how n and U compare.

# **0** Probes But Its Stupid

Silly Example: U = n.

- ▶ The function *PUT* takes  $A \in {\binom{[n]}{n}}$  and puts *A* into an *n*-array. Note that *everything in U is in the table*.
- ▶ Just say YES, since EVERY element is in the table.

#### Number of Probes 0.

**Caveat** The Model only asked us to determine if x is IN the table, not to find WHERE in the table x is.

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# **1 Probes But Its Stupid**

Silly Example: U = n + 1.

- ► The function PUT takes A ∈ (<sup>[n+1]</sup><sub>n</sub>), notes that z is the ONLY element of U A, and puts z − 1 (mod U) into the first spot of the array.
- Given x, look at the first spot of the array and you see w. If x = w + 1 (mod U) then say NO, else say YES.

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Number of Probes 1.

#### **1** Probes and More Interesting

U = 2n - 2. I have notes on this on the website.



#### What makes these examples work?

You probaby think the above examples are silly.

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More rigorously If J isn't that much bigger than n, then there are tricks that lead to a very small number of probes.

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I know what you are thinking What if  $n \ll U$ ? Then do you need log *n* probes? How much bigger than *n* does *U* have to be?

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I know what you are thinking What if  $n \ll U$ ? Then do you need log *n* probes? How much bigger than *n* does *U* have to be? Perhaps a Ramsey Number?

#### Main Result

We saw that if U is small then we can do FIND with  $<< \log n$  probes.

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The main result is that if U is **big** then it REQUIRES log n probes.

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**Lemma** If  $U \ge 2n - 1$  and the elements are always put in in sorted order than ANY probe algorithm requires  $\ge \log(n + 1)$  probes.

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#### Lemma on Any Permutation

Let  $\sigma = (3, 4, 5, 1, 2)$ .

Then we can think of putting elements into an array using this  $\sigma$ .

A[1] would have the 3rd largest elements

- A[2] would have the 4th largest elements
- A[3] would have the 5th largest elements
- A[4] would have the 1st largest elements

A[5] would have the 2nd largest elements

Lemma Let  $\sigma$  be any permutation of  $\{1, \ldots, n\}$ . If  $U \ge 2n - 1$ 

and the elements are always put in in the array using the perm  $\sigma$  then ANY probe algorithm requires  $\geq \log(n+1)$  probes.

We omit the proof. Its in the paper. It is an adversary argument.

# **Main Theorem**

**Theorem** Let  $U \ge R_n(2n-1, n!)$ (*n*-ary Ramsey, 2n-1 homog set, n! color).

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(*n*-ary Ramsey, 2n - 1 homog set, n! color).

Then any Cell Probe Search Algorithm requires  $\log_2(n+1)$  probes. **Proof** Color  $\binom{[U]}{n}$  as follows: Color  $X \in \binom{[U]}{n}$  by  $\sigma$  such that X was put into the array via  $\sigma$ .

By the *n*-ary Ramsey Theorem and the definition of U there exists 2n-1 element that are always put into the array using the SAME perm, which we call  $\sigma$ .

By Lemma above, if you restrict the cell probe algorithm to there 2n-1 elements then ANY probe-algorithm requires  $\log_2(n+1)$  probes.