# Van Der Warden's (VDW) Theorem 

Exposition by William Gasarch

April 21, 2022

## VDW's Theorem

Definition Let $W, k, c \in \mathbb{N}$. Let COL: $[W] \rightarrow[c]$. A mono $k$-AP is an arithmetic progression of length $k$ where every elements has the same color. We often say

$$
a, a+d, \ldots, a+(k-1) d \text { are all he same color }
$$

## VDW's Theorem

Definition Let $W, k, c \in \mathbb{N}$. Let COL: $[W] \rightarrow[c]$. A mono $k$-AP is an arithmetic progression of length $k$ where every elements has the same color. We often say

$$
a, a+d, \ldots, a+(k-1) d \text { are all he same color }
$$

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k-A P$.

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k-A P$.

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k-A P$.
$W(1, c)=$

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k-A P$.
$W(1, c)=1$.

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k-A P$.
$W(1, c)=1$. A mono 1 - AP is just 1 number.

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k-A P$.
$W(1, c)=1$. A mono 1 - AP is just 1 number.
$W(2, c)=$

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k-A P$.
$W(1, c)=1$. A mono 1 - AP is just 1 number.
$W(2, c)=c+1$.

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k$-AP.
$W(1, c)=1$. A mono 1-AP is just 1 number.
$W(2, c)=c+1$. By Pigeon Hole Principle.

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k$-AP.
$W(1, c)=1$. A mono 1-AP is just 1 number.
$W(2, c)=c+1$. By Pigeon Hole Principle.
$W(k, 1)=$

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k$-AP.
$W(1, c)=1$. A mono 1-AP is just 1 number.
$W(2, c)=c+1$. By Pigeon Hole Principle.
$W(k, 1)=k$.

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k$-AP.
$W(1, c)=1$. A mono 1-AP is just 1 number.
$W(2, c)=c+1$. By Pigeon Hole Principle.
$W(k, 1)=k$. The mono $k$-AP is $1,2, \ldots, k$.

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k$-AP.
$W(1, c)=1$. A mono 1-AP is just 1 number.
$W(2, c)=c+1$. By Pigeon Hole Principle.
$W(k, 1)=k$. The mono $k$-AP is $1,2, \ldots, k$.
$W(3,2)=$

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k$-AP.
$W(1, c)=1$. A mono 1-AP is just 1 number.
$W(2, c)=c+1$. By Pigeon Hole Principle.
$W(k, 1)=k$. The mono $k$-AP is $1,2, \ldots, k$.
$W(3,2)=H m m m$,

## VDW Easy Cases

VDW's Theorem For all $k, c$ there exists $W=W(k, c)$ such that for all COL: $[W] \rightarrow[c]$ there exists a mono $k-A P$.
$W(1, c)=1$. A mono 1 - AP is just 1 number.
$W(2, c)=c+1$. By Pigeon Hole Principle.
$W(k, 1)=k$. The mono $k$-AP is $1,2, \ldots, k$.
$W(3,2)=H m m m$, this is the first non-trivial one.

## $W(3,2)$ exists

We will determine $W$ later.
Let COL: $[W] \rightarrow[2]$.

## $W(3,2)$ exists

We will determine $W$ later.
Let COL: $[W] \rightarrow[2]$.
We break $[W]$ into blocks of $5: B_{1}, \ldots, B_{|W| / 5}$.

## $W(3,2)$ exists

We will determine $W$ later.
Let $\mathrm{COL}:[W] \rightarrow[2]$.
We break $[W]$ into blocks of 5: $B_{1}, \ldots, B_{|W| / 5}$.
We view the 2 -coloring of $[W]$ as a $2^{5}$-coloring of the $B_{i}$ 's

## $W(3,2)$ exists

We will determine $W$ later.
Let COL: $[W] \rightarrow[2]$.
We break $[W]$ into blocks of 5: $B_{1}, \ldots, B_{|W| / 5}$.
We view the 2 -coloring of $[W]$ as a $2^{5}$-coloring of the $B_{i}$ 's
We take enough blocks so that

## $W(3,2)$ exists

We will determine $W$ later.
Let COL: $[W] \rightarrow[2]$.
We break $[W]$ into blocks of 5: $B_{1}, \ldots, B_{|W| / 5}$.
We view the 2 -coloring of $[W]$ as a $2^{5}$-coloring of the $B_{i}$ 's
We take enough blocks so that

- Two of the blocks are the same color, say $B_{i}$ and $B_{j}$.


## $W(3,2)$ exists

We will determine $W$ later.
Let COL: $[W] \rightarrow[2]$.
We break $[W]$ into blocks of 5: $B_{1}, \ldots, B_{|W| / 5}$.
We view the 2 -coloring of $[W]$ as a $2^{5}$-coloring of the $B_{i}$ 's
We take enough blocks so that

- Two of the blocks are the same color, say $B_{i}$ and $B_{j}$.
- If $B_{i}$ and $B_{j}$ are the same color then there exists $B_{k}$ such that $B_{i}, B_{j}, B_{k}$ are a 3-AP.


## $W(3,2)$ exists

We will determine $W$ later.
Let COL: $[W] \rightarrow[2]$.
We break $[W]$ into blocks of 5: $B_{1}, \ldots, B_{|W| / 5}$.
We view the 2 -coloring of $[W]$ as a $2^{5}$-coloring of the $B_{i}$ 's
We take enough blocks so that

- Two of the blocks are the same color, say $B_{i}$ and $B_{j}$.
- If $B_{i}$ and $B_{j}$ are the same color then there exists $B_{k}$ such that $B_{i}, B_{j}, B_{k}$ are a 3-AP.
If there are 33 blocks then 2 are the same color.


## $W(3,2)$ exists

We will determine $W$ later.
Let COL: $[W] \rightarrow[2]$.
We break $[W]$ into blocks of 5: $B_{1}, \ldots, B_{|W| / 5}$.
We view the 2 -coloring of $[W]$ as a $2^{5}$-coloring of the $B_{i}$ 's
We take enough blocks so that

- Two of the blocks are the same color, say $B_{i}$ and $B_{j}$.
- If $B_{i}$ and $B_{j}$ are the same color then there exists $B_{k}$ such that $B_{i}, B_{j}, B_{k}$ are a 3-AP.
If there are 33 blocks then 2 are the same color.
Worst Case Scenario $B_{1}$ and $B_{33}$ same color. So need $B_{65}$ to exist.


## Side Note: Can Get By With Less Blocks

Warning This Slide is NOT important.

## Side Note: Can Get By With Less Blocks

Warning This Slide is NOT important.
However, whenever I give this talk someone bring it up.

## Side Note: Can Get By With Less Blocks

Warning This Slide is NOT important.
However, whenever I give this talk someone bring it up. So I will be proactive.

## Side Note: Can Get By With Less Blocks

Warning This Slide is NOT important.
However, whenever I give this talk someone bring it up. So I will be proactive.

If a block is colored RRRBB we are done.

## Side Note: Can Get By With Less Blocks

Warning This Slide is NOT important.
However, whenever I give this talk someone bring it up. So I will be proactive.

If a block is colored RRRBB we are done.
So we don't really have to look at 32 colorings.

## Side Note: Can Get By With Less Blocks

Warning This Slide is NOT important.
However, whenever I give this talk someone bring it up. So I will be proactive.

If a block is colored RRRBB we are done.
So we don't really have to look at 32 colorings.
How many colorings of a block already have a mono 3-AP.

## Side Note: Can Get By With Less Blocks (cont)

RRRXY with $X, Y \in\{R, B\} .4$ colorings.
BBBXY with $X, Y \in\{R, B\} .4$ colorings.
RBRRR
RBRBR
BRBBB
BRBRB
RBBBX with $X \in\{R, B\}$. 2 colorings.
BRRRX with $X \in\{R, B\}$. 2 colorings.
RRBBB
BBRRR

## Side Note: Can Get By With Less Blocks (cont)

RRRXY with $X, Y \in\{R, B\} .4$ colorings.
BBBXY with $X, Y \in\{R, B\} .4$ colorings.
RBRRR
RBRBR
BRBBB
BRBRB
RBBBX with $X \in\{R, B\}$. 2 colorings.
BRRRX with $X \in\{R, B\}$. 2 colorings.
RRBBB
BBRRR
I have 16 blocks which already have a mono 3-AP. I might have missed some. but if not then can replace 32 with 18 .

## Side Note: Can Get By With Less Blocks (cont)

RRRXY with $X, Y \in\{R, B\} .4$ colorings.
BBBXY with $X, Y \in\{R, B\} .4$ colorings.
RBRRR
RBRBR
BRBBB
BRBRB
RBBBX with $X \in\{R, B\}$. 2 colorings.
BRRRX with $X \in\{R, B\}$. 2 colorings.
RRBBB
BBRRR
I have 16 blocks which already have a mono 3-AP. I might have missed some. but if not then can replace 32 with 18.
I really do not care.

## Back to $W(3,2)$

Let COL: $[W] \rightarrow[2]$.

## Back to $W(3,2)$

Let COL: $[W] \rightarrow[2]$.
Break [W] into 65 blocks of size 5 .

## Back to $W(3,2)$

Let COL: $[W] \rightarrow[2]$.
Break [W] into 65 blocks of size 5 .

- Exists $i, j, k$ such that $B_{i}, B_{j}$ same color and $B_{k}$ such that $B_{i}, B_{j}, B_{k}$ is 3-AP exists.


## Back to $W(3,2)$

Let COL: $[W] \rightarrow[2]$.
Break [W] into 65 blocks of size 5 .

- Exists $i, j, k$ such that $B_{i}, B_{j}$ same color and $B_{k}$ such that $B_{i}, B_{j}, B_{k}$ is 3-AP exists.
- In every block there exists $x, y$ same color and $z$ such that $x, y, z$ are 3-AP in same block. (This is why blocks-of-5.)


## Back to $W(3,2)$

Let COL: $[W] \rightarrow[2]$.
Break [W] into 65 blocks of size 5 .

- Exists $i, j, k$ such that $B_{i}, B_{j}$ same color and $B_{k}$ such that $B_{i}, B_{j}, B_{k}$ is 3-AP exists.
- In every block there exists $x, y$ same color and $z$ such that $x, y, z$ are 3-AP in same block. (This is why blocks-of-5.)

Go to White Board to finish proof.

## $W(3,2)$ Really

We got

$$
W(3,2) \leq 5 \times(2 \times 32+1)=365
$$

## $W(3,2)$ Really

We got

$$
W(3,2) \leq 5 \times(2 \times 32+1)=365
$$

If use that 18 of the block colors already get you a $3-\mathrm{AP}$ then

$$
W(3,2) \leq 5 \times(2 \times 14+1)=145 .
$$

## $W(3,2)$ Really

We got

$$
W(3,2) \leq 5 \times(2 \times 32+1)=365
$$

If use that 18 of the block colors already get you a $3-\mathrm{AP}$ then

$$
W(3,2) \leq 5 \times(2 \times 14+1)=145 .
$$

What is $W(3,2)$ ?

## $W(3,2)$ Really

We got

$$
W(3,2) \leq 5 \times(2 \times 32+1)=365
$$

If use that 18 of the block colors already get you a $3-\mathrm{AP}$ then

$$
W(3,2) \leq 5 \times(2 \times 14+1)=145 .
$$

What is $W(3,2)$ ?
One can work out by hand that

$$
W(3,2)=9
$$

$W(3,3)$

COL: $[W] \rightarrow[3]$.
$W(3,3)$

COL: $[W] \rightarrow[3]$.
How big should the blocks be?
$W(3,3)$

COL: $[W] \rightarrow[3]$.
How big should the blocks be? 7.
Then $(\exists x, y)$ same color with $z$ such that $x, y, z$ is 3 -AP all in a block.

COL: $[W] \rightarrow[3]$.
How big should the blocks be? 7.
Then $(\exists x, y)$ same color with $z$ such that $x, y, z$ is 3 -AP all in a block.

We view the 3 -coloring of $[W]$ as a $3^{7}$-coloring of the $B_{i}$ 's

COL: $[W] \rightarrow[3]$.
How big should the blocks be? 7.
Then $(\exists x, y)$ same color with $z$ such that $x, y, z$ is 3 -AP all in a block.

We view the 3 -coloring of $[W]$ as a $3^{7}$-coloring of the $B_{i}$ 's
Need blocks so $B_{i}, B_{j}$ same color, $B_{i}, B_{j}, B_{k} 3-\mathrm{AP}, B_{k}$ exists.

COL: $[W] \rightarrow[3]$.
How big should the blocks be? 7.
Then $(\exists x, y)$ same color with $z$ such that $x, y, z$ is 3 -AP all in a block.

We view the 3 -coloring of $[W]$ as a $3^{7}$-coloring of the $B_{i}$ 's
Need blocks so $B_{i}, B_{j}$ same color, $B_{i}, B_{j}, B_{k} 3-\mathrm{AP}, B_{k}$ exists.
$2 \times\left(3^{7}+1\right)$

COL: $[W] \rightarrow[3]$.
How big should the blocks be? 7.
Then $(\exists x, y)$ same color with $z$ such that $x, y, z$ is 3 -AP all in a block.

We view the 3 -coloring of $[W]$ as a $3^{7}$-coloring of the $B_{i}$ 's
Need blocks so $B_{i}, B_{j}$ same color, $B_{i}, B_{j}, B_{k} 3-\mathrm{AP}, B_{k}$ exists.
$2 \times\left(3^{7}+1\right)$
Go to White Board to finish the proof.
$W(3, c)$

From what you have seen:

From what you have seen:

- You COULD do a proof that $W(3,4)$ exists. You would need to iterate what I did twice.

From what you have seen:

- You COULD do a proof that $W(3,4)$ exists. You would need to iterate what I did twice.
- You can BELIEVE that $W(3, c)$ exists though might wonder how to prove it formally.

From what you have seen:

- You COULD do a proof that $W(3,4)$ exists. You would need to iterate what I did twice.
- You can BELIEVE that $W(3, c)$ exists though might wonder how to prove it formally.
- There are ways to formalize the proof; however, they are not enlightening.


## $W(3, c)$

From what you have seen:

- You COULD do a proof that $W(3,4)$ exists. You would need to iterate what I did twice.
- You can BELIEVE that $W(3, c)$ exists though might wonder how to prove it formally.
- There are ways to formalize the proof; however, they are not enlightening.
- The Hales-Jewitt Theorem is a general theorem from which VDW is a corollary. We won't be doing that.


## What Did We Use to Prove $W(3, c)$ ?

$W(2, c)=c+1$ is just PHP.

## What Did We Use to Prove $W(3, c)$ ?

$W(2, c)=c+1$ is just PHP.
$W\left(2,2^{5}\right) \Longrightarrow W(3,2)$
$W\left(2,3^{2 \times 3^{7}}+1\right) \Longrightarrow W(3,3)$.
$W(2, X) \Longrightarrow W(3,4)$ where $X$ is an Issac-number.

## What Did We Use to Prove $W(3, c)$ ?

$W(2, c)=c+1$ is just PHP.
$W\left(2,2^{5}\right) \Longrightarrow W(3,2)$
$W\left(2,3^{2 \times 3^{7}}+1\right) \Longrightarrow W(3,3)$.
$W(2, X) \Longrightarrow W(3,4)$ where $X$ is an Issac-number.
Note that we do not do
$W(3,2) \Longrightarrow W(3,3)$.
$W(4,2)$

COL: $[W] \rightarrow[3]$.

COL: $[W] \rightarrow[3]$.
Key Take blocks of size $2 W(3,2)$.
Within a block there will be mono 3-AP and fourth elt exists.

COL: $[W] \rightarrow[3]$.
Key Take blocks of size $2 W(3,2)$.
Within a block there will be mono 3-AP and fourth elt exists.
Key Take blocks of size $2 W(3,2)$.

COL: $[W] \rightarrow[3]$.
Key Take blocks of size $2 W(3,2)$.
Within a block there will be mono 3-AP and fourth elt exists.
Key Take blocks of size $2 W(3,2)$.
How many blocks?

COL: $[W] \rightarrow[3]$.
Key Take blocks of size $2 W(3,2)$.
Within a block there will be mono 3-AP and fourth elt exists.
Key Take blocks of size $2 W(3,2)$.
How many blocks?
We want to get a mono 3-AP of blocks and room for a fourth.

COL: $[W] \rightarrow[3]$.
Key Take blocks of size $2 W(3,2)$.
Within a block there will be mono 3-AP and fourth elt exists.
Key Take blocks of size $2 W(3,2)$.
How many blocks?
We want to get a mono 3-AP of blocks and room for a fourth. $W\left(3,2^{2 W(3,2)}\right)$.

COL: $[W] \rightarrow[3]$.
Key Take blocks of size $2 W(3,2)$.
Within a block there will be mono 3-AP and fourth elt exists.
Key Take blocks of size $2 W(3,2)$.
How many blocks?
We want to get a mono 3-AP of blocks and room for a fourth. $W\left(3,2^{2 W(3,2)}\right)$.

Go to White Board to finish proof.
$W(k, c)$
$W(k, c)$

- You COULD do a proof that $W(k, c)$. You would need to iterate what I did ... a lot.
- You COULD do a proof that $W(k, c)$. You would need to iterate what I did ... a lot.
- You can BELIEVE that $W(k, c)$ exists though might wonder how to prove it formally.
- You COULD do a proof that $W(k, c)$. You would need to iterate what I did ... a lot.
- You can BELIEVE that $W(k, c)$ exists though might wonder how to prove it formally.
- There are ways to formalize the proof; however, the are not enlightening.


## $W(k, c)$

- You COULD do a proof that $W(k, c)$. You would need to iterate what I did ... a lot.
- You can BELIEVE that $W(k, c)$ exists though might wonder how to prove it formally.
- There are ways to formalize the proof; however, the are not enlightening.
- The Hales-Jewitt Theorem is a general theorem from which VDW is a corollary. We won't be doing that.


## Induction, But On What?

$(2,2) \prec(2,3) \prec \cdots \prec(3,2) \prec(3,3) \prec \cdots \prec(4,2) \cdots$

## Induction, But On What?

$$
(2,2) \prec(2,3) \prec \cdots \prec(3,2) \prec(3,3) \prec \cdots \prec(4,2) \cdots
$$

This is an $\omega^{2}$ induction. The ordering is well-founded so it works.

## Induction, But On What?

$$
(2,2) \prec(2,3) \prec \cdots \prec(3,2) \prec(3,3) \prec \cdots \prec(4,2) \cdots
$$

This is an $\omega^{2}$ induction. The ordering is well-founded so it works.
This is an $\omega^{2}$ induction. Thats why the numbers are so large.

## Induction, But On What?

$$
(2,2) \prec(2,3) \prec \cdots \prec(3,2) \prec(3,3) \prec \cdots \prec(4,2) \cdots
$$

This is an $\omega^{2}$ induction. The ordering is well-founded so it works.
This is an $\omega^{2}$ induction. Thats why the numbers are so large.
How large?

## Induction, But On What?

$$
(2,2) \prec(2,3) \prec \cdots \prec(3,2) \prec(3,3) \prec \cdots \prec(4,2) \cdots
$$

This is an $\omega^{2}$ induction. The ordering is well-founded so it works.
This is an $\omega^{2}$ induction. Thats why the numbers are so large.
How large? The bounds are not primitive recursive.

## A False Prediction

In 1983 there were two thoughts in the air

## A False Prediction

In 1983 there were two thoughts in the air

1. $W(k, c)$ is not prim rec and a logician will prove this deep result. Perhaps like the Large Ramsey Numbers (1977) though not that big.

## A False Prediction

In 1983 there were two thoughts in the air

1. $W(k, c)$ is not prim rec and a logician will prove this deep result. Perhaps like the Large Ramsey Numbers (1977) though not that big.
2. $W(k, c)$ is surely prim rec and a combinatorist will prove this perhaps with a clever elementary technique.

## A False Prediction

In 1983 there were two thoughts in the air

1. $W(k, c)$ is not prim rec and a logician will prove this deep result. Perhaps like the Large Ramsey Numbers (1977) though not that big.
2. $W(k, c)$ is surely prim rec and a combinatorist will prove this perhaps with a clever elementary technique.
So what happened?

## A False Prediction

In 1983 there were two thoughts in the air

1. $W(k, c)$ is not prim rec and a logician will prove this deep result. Perhaps like the Large Ramsey Numbers (1977) though not that big.
2. $W(k, c)$ is surely prim rec and a combinatorist will prove this perhaps with a clever elementary technique.
So what happened?
Logician (Shelah) proved $W(k, c)$ prim rec: clever!

## A False Prediction

In 1983 there were two thoughts in the air

1. $W(k, c)$ is not prim rec and a logician will prove this deep result. Perhaps like the Large Ramsey Numbers (1977) though not that big.
2. $W(k, c)$ is surely prim rec and a combinatorist will prove this perhaps with a clever elementary technique.
So what happened?
Logician (Shelah) proved $W(k, c)$ prim rec: clever!

- Proof is elementary. Can be in a this class but won't.
- Bounds still large. Not able to write down.


# Deep Math From Search for Better Upper Bounds on VDW Numbers 

Exposition by William Gasarch

April 21, 2022

A Man, A Plan, A Canal: Panama!

## A Man, A Plan, A Canal: Panama!

Well, a plan anyway.

## A Man, A Plan, A Canal: Panama!

Well, a plan anyway.
We outline a plan for getting better upper bounds on $W(k, c)$.

## A Man, A Plan, A Canal: Panama!

Well, a plan anyway.
We outline a plan for getting better upper bounds on $W(k, c)$.
On the one hand, it lead to very deep mathematics.

## A Man, A Plan, A Canal: Panama!

Well, a plan anyway.
We outline a plan for getting better upper bounds on $W(k, c)$.
On the one hand, it lead to very deep mathematics.
On the other hand,

## A Man, A Plan, A Canal: Panama!

Well, a plan anyway.
We outline a plan for getting better upper bounds on $W(k, c)$.
On the one hand, it lead to very deep mathematics.
On the other hand,
It DID succeed! (Oh! Thats a good thing!)

## Upper Density

Definition Let $A \subseteq \mathbb{N}$ The upper density of $\boldsymbol{A}$ is

$$
\limsup _{n \rightarrow \infty} \frac{|A \cap[n]|}{n}
$$

## Upper Density

Definition Let $A \subseteq \mathbb{N}$ The upper density of $\boldsymbol{A}$ is

$$
\limsup _{n \rightarrow \infty} \frac{|A \cap[n]|}{n}
$$

Definition Positive upper density means that the upper density is $>0$.

## Upper Density

Definition Let $A \subseteq \mathbb{N}$ The upper density of $\boldsymbol{A}$ is

$$
\limsup _{n \rightarrow \infty} \frac{|A \cap[n]|}{n}
$$

Definition Positive upper density means that the upper density is $>0$.

## Examples

1. For all $k,\{x: x \equiv 0(\bmod k)\}$ has upper $\operatorname{den} \frac{1}{k}$.

## Upper Density

Definition Let $A \subseteq \mathbb{N}$ The upper density of $\boldsymbol{A}$ is

$$
\limsup _{n \rightarrow \infty} \frac{|A \cap[n]|}{n}
$$

Definition Positive upper density means that the upper density is $>0$.

## Examples

1. For all $k,\{x: x \equiv 0(\bmod k)\}$ has upper $\operatorname{den} \frac{1}{k}$.
2. $\left\{x^{2}: x \in \mathbb{N}\right\}$ has upper den 0 .

## A Conjecture, 1936

Conjecture If $A \subseteq \mathbb{N}$ has positive upper density then, for all $k, A$ has a $k$-AP.

## A Conjecture, 1936

Conjecture If $A \subseteq \mathbb{N}$ has positive upper density then, for all $k, A$ has a $k$-AP.

Theorem Conj implies VDW's Theorem. HW or Final.

## A Conjecture, 1936

Conjecture If $A \subseteq \mathbb{N}$ has positive upper density then, for all $k, A$ has a $k$-AP.

Theorem Conj implies VDW's Theorem. HW or Final.
The hope was that the proof of Conj would require a new proof of VDW's Theorem that would lead to better bounds.

## Roth's Theorem, 1952

Theorem If $A \subseteq \mathbb{N}$ has positive upper density then $A$ has a 3-AP.

## Roth's Theorem, 1952

Theorem If $A \subseteq \mathbb{N}$ has positive upper density then $A$ has a 3-AP.

- The proof used Fourier Analysis so not elementary


## Roth's Theorem, 1952

Theorem If $A \subseteq \mathbb{N}$ has positive upper density then $A$ has a 3-AP.

- The proof used Fourier Analysis so not elementary
- Roth won the Fields Medal in 1958 for his work on Diophantine approximation (so not for this work).


## Szemeredi

Szemeredi Proved the conjecture in 1975.

## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.


## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.
- Even so, it introduced very deep methods.


## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.
- Even so, it introduced very deep methods.
- Proof is elementary but strains the use of the word elementary.


## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.
- Even so, it introduced very deep methods.
- Proof is elementary but strains the use of the word elementary.
- The theorem is known as Szemeredi's Theorem.


## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.
- Even so, it introduced very deep methods.
- Proof is elementary but strains the use of the word elementary.
- The theorem is known as Szemeredi's Theorem.
- Szemeredi should have won Fields Medal $(\$ 15,000)$ but did not since combinatorics was not seen as deep math.


## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.
- Even so, it introduced very deep methods.
- Proof is elementary but strains the use of the word elementary.
- The theorem is known as Szemeredi's Theorem.
- Szemeredi should have won Fields Medal $(\$ 15,000)$ but did not since combinatorics was not seen as deep math.
- Szemeredi won the Abel Prize $(\$ 700,000)$ in 2012 for his work in combinatorics.


## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.
- Even so, it introduced very deep methods.
- Proof is elementary but strains the use of the word elementary.
- The theorem is known as Szemeredi's Theorem.
- Szemeredi should have won Fields Medal $(\$ 15,000)$ but did not since combinatorics was not seen as deep math.
- Szemeredi won the Abel Prize $(\$ 700,000)$ in 2012 for his work in combinatorics. So there!
- What is better financially: Fields Medal when you are 40 or Abel prize when you are 70?


## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.
- Even so, it introduced very deep methods.
- Proof is elementary but strains the use of the word elementary.
- The theorem is known as Szemeredi's Theorem.
- Szemeredi should have won Fields Medal $(\$ 15,000)$ but did not since combinatorics was not seen as deep math.
- Szemeredi won the Abel Prize $(\$ 700,000)$ in 2012 for his work in combinatorics. So there!
- What is better financially: Fields Medal when you are 40 or Abel prize when you are 70? Fields Medal can lead to better jobs and pay while you are still young.


## Szemeredi

Szemeredi Proved the conjecture in 1975.

- Szemeredi's proof used VDW's theorem and hence did not give better bounds.
- Even so, it introduced very deep methods.
- Proof is elementary but strains the use of the word elementary.
- The theorem is known as Szemeredi's Theorem.
- Szemeredi should have won Fields Medal $(\$ 15,000)$ but did not since combinatorics was not seen as deep math.
- Szemeredi won the Abel Prize $(\$ 700,000)$ in 2012 for his work in combinatorics. So there!
- What is better financially: Fields Medal when you are 40 or Abel prize when you are 70? Fields Medal can lead to better jobs and pay while you are still young. I wish this was my dilemma.


## Furstenberg

Furstenberg Proved the conjecture in 1977 using ergodic theory.

## Furstenberg

Furstenberg Proved the conjecture in 1977 using ergodic theory.

- Proof is nonconstructive, so gives no bounds on $W(k, c)$.


## Furstenberg

Furstenberg Proved the conjecture in 1977 using ergodic theory.

- Proof is nonconstructive, so gives no bounds on $W(k, c)$.
- Some proof theorists disagree and say you can get bounds from Furstenberg's proof. The bounds are much worse than VDW's proof.


## Furstenberg

Furstenberg Proved the conjecture in 1977 using ergodic theory.

- Proof is nonconstructive, so gives no bounds on $W(k, c)$.
- Some proof theorists disagree and say you can get bounds from Furstenberg's proof. The bounds are much worse than VDW's proof.
- His technique was later used to prove Poly VDW theorem.


## Furstenberg

Furstenberg Proved the conjecture in 1977 using ergodic theory.

- Proof is nonconstructive, so gives no bounds on $W(k, c)$.
- Some proof theorists disagree and say you can get bounds from Furstenberg's proof. The bounds are much worse than VDW's proof.
- His technique was later used to prove Poly VDW theorem.
- Proof is not elementary.


## Furstenberg

Furstenberg Proved the conjecture in 1977 using ergodic theory.

- Proof is nonconstructive, so gives no bounds on $W(k, c)$.
- Some proof theorists disagree and say you can get bounds from Furstenberg's proof. The bounds are much worse than VDW's proof.
- His technique was later used to prove Poly VDW theorem.
- Proof is not elementary.
- Furstenberg won the Abel Prize $(\$ 700,000)$ in 2020.


## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

- Gowers proof gave upper bounds you can actually write down:


## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

- Gowers proof gave upper bounds you can actually write down:

$$
W(k, c) \leq 2^{2^{c^{2^{k+9}}}}
$$

## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

- Gowers proof gave upper bounds you can actually write down:

$$
W(k, c) \leq 2^{2^{c^{2^{k+9}}}}
$$

- Proof is not elementary.


## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

- Gowers proof gave upper bounds you can actually write down:

$$
W(k, c) \leq 2^{2^{c^{2^{k+9}}}}
$$

- Proof is not elementary.
- Gowers won the Fields Medal $(\$ 15,000)$ in 1998 for this work.


## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

- Gowers proof gave upper bounds you can actually write down:

$$
W(k, c) \leq 2^{2^{c^{2^{k+9}}}}
$$

- Proof is not elementary.
- Gowers won the Fields Medal $(\$ 15,000)$ in 1998 for this work. Why did Gowers win the Fields Medal but not Szemeredi?


## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

- Gowers proof gave upper bounds you can actually write down:

$$
W(k, c) \leq 2^{2^{c^{2^{k+9}}}}
$$

- Proof is not elementary.
- Gowers won the Fields Medal $(\$ 15,000)$ in 1998 for this work. Why did Gowers win the Fields Medal but not Szemeredi?
- Gowers work used traditional deep math. Szemeredi's used new deep math that was not appreciated.


## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

- Gowers proof gave upper bounds you can actually write down:

$$
W(k, c) \leq 2^{2^{c^{2^{k+9}}}}
$$

- Proof is not elementary.
- Gowers won the Fields Medal $(\$ 15,000)$ in 1998 for this work. Why did Gowers win the Fields Medal but not Szemeredi?
- Gowers work used traditional deep math. Szemeredi's used new deep math that was not appreciated.
- Combinatorics was less respected in 1975 then in 1998.


## Gowers

Gowers Proved the conjecture in 2001 using Fourier analysis and combinatorics.

- Gowers proof gave upper bounds you can actually write down:

$$
W(k, c) \leq 2^{2^{c^{2^{k+9}}}}
$$

- Proof is not elementary.
- Gowers won the Fields Medal $(\$ 15,000)$ in 1998 for this work. Why did Gowers win the Fields Medal but not Szemeredi?
- Gowers work used traditional deep math. Szemeredi's used new deep math that was not appreciated.
- Combinatorics was less respected in 1975 then in 1998.
- Causes of change: (1) combinatorics using deep math, (2) CS inspired new problems in combinatorics.


## Known VDW Numbers

$$
\begin{aligned}
& W(3,2)=9 \\
& W(3,3)=27 \\
& W(3,4)=76
\end{aligned}
$$

## Known VDW Numbers

$$
\begin{aligned}
& W(3,2)=9 \\
& W(3,3)=27 \\
& W(3,4)=76 \\
& W(4,2)=35 \\
& W(4,3)=293
\end{aligned}
$$

## Known VDW Numbers

$$
\begin{aligned}
& W(3,2)=9 \\
& W(3,3)=27 \\
& W(3,4)=76 \\
& W(4,2)=35 \\
& W(4,3)=293 \\
& W(5,2)=178
\end{aligned}
$$

## Known VDW Numbers

$$
\begin{aligned}
& W(3,2)=9 \\
& W(3,3)=27 \\
& W(3,4)=76 \\
& W(4,2)=35 \\
& W(4,3)=293 \\
& W(5,2)=178
\end{aligned}
$$

$W(6,2)=1132$ : was Michal Kouril's PhD thesis. Very clever.

## Known VDW Numbers

$$
\begin{aligned}
& W(3,2)=9 \\
& W(3,3)=27 \\
& W(3,4)=76 \\
& W(4,2)=35 \\
& W(4,3)=293 \\
& W(5,2)=178
\end{aligned}
$$

$W(6,2)=1132$ : was Michal Kouril's PhD thesis. Very clever. I've asked Kouril when we will get $W(7,2)$.

## Known VDW Numbers

$$
\begin{aligned}
& W(3,2)=9 \\
& W(3,3)=27 \\
& W(3,4)=76 \\
& W(4,2)=35 \\
& W(4,3)=293 \\
& W(5,2)=178
\end{aligned}
$$

$W(6,2)=1132$ : was Michal Kouril's PhD thesis. Very clever. I've asked Kouril when we will get $W(7,2)$. He said never.

## Known VDW Numbers

$$
\begin{aligned}
& W(3,2)=9 \\
& W(3,3)=27 \\
& W(3,4)=76 \\
& W(4,2)=35 \\
& W(4,3)=293 \\
& W(5,2)=178
\end{aligned}
$$

$W(6,2)=1132$ : was Michal Kouril's PhD thesis. Very clever. I've asked Kouril when we will get $W(7,2)$. He said never.

## Known VDW Numbers

$$
\begin{aligned}
& W(3,2)=9 \\
& W(3,3)=27 \\
& W(3,4)=76 \\
& W(4,2)=35 \\
& W(4,3)=293 \\
& W(5,2)=178
\end{aligned}
$$

$W(6,2)=1132$ : was Michal Kouril's PhD thesis. Very clever. I've asked Kouril when we will get $W(7,2)$. He said never.

None of these results used mathematics of interest.

## Known Lower Bounds

1. Easy Use of Prob Method (was on HW) $W(k, 2) \geq \sqrt{k} 2^{k / 2}$ (Easy extension to 3 colors)
2. Very sophisticated use yields $W(k, 2) \geq \frac{2^{k}}{k^{\epsilon}}$ (Does not extend to 3 colors.)
3. If $p$ is prime then $W(p, 2) \geq p\left(2^{p}-1\right)$. Constructive! (Does not extend to 3 colors.)

## The Green-Tao Theorem

Green-Tao proved the following in 2004.

## The Green-Tao Theorem

Green-Tao proved the following in 2004. Theorem For all $k$ there is a $k$-AP of primes.

## The Green-Tao Theorem

Green-Tao proved the following in 2004.
Theorem For all $k$ there is a $k$-AP of primes.

- Does not follow from Sz Thm, primes do have upper density 0 .


## The Green-Tao Theorem

Green-Tao proved the following in 2004.
Theorem For all $k$ there is a $k$-AP of primes.

- Does not follow from Sz Thm, primes do have upper density 0 .
- Tao won the Field's Medal $(\$ 15,000)$ in 2006, a MacArthur Genius award $(\$ 500,000)$ in 2006, and a Breakthrough Prize ( $\$ 3,000,000$ but not as much prestige) in 2014.


## The Green-Tao Theorem

Green-Tao proved the following in 2004.
Theorem For all $k$ there is a $k$-AP of primes.

- Does not follow from Sz Thm, primes do have upper density 0 .
- Tao won the Field's Medal $(\$ 15,000)$ in 2006, a MacArthur Genius award $(\$ 500,000)$ in 2006, and a Breakthrough Prize ( $\$ 3,000,000$ but not as much prestige) in 2014.
- Green won the ConservaMath Medal (\$0) in 2006.


## The Green-Tao Theorem

Green-Tao proved the following in 2004.
Theorem For all $k$ there is a $k$-AP of primes.

- Does not follow from Sz Thm, primes do have upper density 0 .
- Tao won the Field's Medal $(\$ 15,000)$ in 2006, a MacArthur Genius award $(\$ 500,000)$ in 2006, and a Breakthrough Prize ( $\$ 3,000,000$ but not as much prestige) in 2014.
- Green won the ConservaMath Medal (\$0) in 2006.

The ConservaMath Medal is a merit-based alternative to the Field's Medal. Deserving recipients should solve a real longstanding problem, rather than an invented problem. Green earned this award in 2006 for the Green-Tao Thm to dim the star of Obama-supporter Tao, making Tao less effectively politically

## The Green-Tao Theorem

Green-Tao proved the following in 2004.
Theorem For all $k$ there is a $k$-AP of primes.

- Does not follow from Sz Thm, primes do have upper density 0 .
- Tao won the Field's Medal $(\$ 15,000)$ in 2006, a MacArthur Genius award $(\$ 500,000)$ in 2006, and a Breakthrough Prize ( $\$ 3,000,000$ but not as much prestige) in 2014.
- Green won the ConservaMath Medal (\$0) in 2006.

The ConservaMath Medal is a merit-based alternative to the Field's Medal. Deserving recipients should solve a real longstanding problem, rather than an invented problem. Green earned this award in 2006 for the Green-Tao Thm to dim the star of Obama-supporter Tao, making Tao less effectively politically

- There is also a ConservaMedical Medal- an alternative to the Nobel Prize in Medicine. It went to Donald Trump for his Medical Advice on Covonavirus.


## The Green-Tao Theorem

Green-Tao proved the following in 2004.
Theorem For all $k$ there is a $k$-AP of primes.

- Does not follow from Sz Thm, primes do have upper density 0 .
- Tao won the Field's Medal $(\$ 15,000)$ in 2006, a MacArthur Genius award $(\$ 500,000)$ in 2006, and a Breakthrough Prize ( $\$ 3,000,000$ but not as much prestige) in 2014.
- Green won the ConservaMath Medal (\$0) in 2006.

The ConservaMath Medal is a merit-based alternative to the Field's Medal. Deserving recipients should solve a real longstanding problem, rather than an invented problem. Green earned this award in 2006 for the Green-Tao Thm to dim the star of Obama-supporter Tao, making Tao less effectively politically

- There is also a ConservaMedical Medal- an alternative to the Nobel Prize in Medicine. It went to Donald Trump for his Medical Advice on Covonavirus. I am kidding.

