# $\mathsf{R}^n\to(\ell_2,\ell_{2^{dn}}),\,\mathsf{R}^n\not\to(\ell_2,\ell_{d'n})$ Exposition by William Gasarch, Chaewoon Kyoung, Kelin Zhu

## 1 Introduction

In this paper we present the following theorems:

- 1. (Szlam [5]) There exists d such that  $\mathbb{R}^n \to (\ell_2, \ell_{2^{dn}})$ . (He proved a more general theorem. See his paper for details.)
- 2. (Conlon & Fox [1]) There exists a constant d' such that  $\mathbb{R}^n \not\to (\ell_2, \ell_{2d'n})$ . We will just prove the n=2 case in this paper. (They proved a more general theorem. See their paper for details.)

# **2** There exists d Such That $R^n \to (\ell_2, \ell_{2^{dn}})$

**Notation 2.1** Let  $G_n = (V, E)$  be the graph with  $V = \mathbb{R}^n$  and  $E = \{(x, y) : d(x, y) = 1\}$ . Let c(n) be the chromatic number of  $G_n$ .

It is well known that  $5 \le c(2) \le 7$ .

The following are known:

## Theorem 2.2

- 1. (Larman and Rogers [3])  $c(n) \leq (3 + o(1))^n$
- 2.  $(Raigorodskii [4]) c(n) \le (1.239...+o(1))^n$
- 3. (Frankl and Wilson [2])  $c(n) \ge (1 + o(1))(1.2)^n$ .

We give the proof by Frankl and Wilson and then use the result to obtain  $\mathbb{R}^n \to (\ell_2, \ell_{2^{dn}})$ . KELIN-LOOK AT THE OTHER PAPERS AND SEE IF THEY ARE WORTH INCLUDING AS WELL.

## 2.1 Set System Lemma that Frankl and Wilson Used

**Theorem 2.3** Let  $k, n, s \in \mathbb{N}$ . Let p be a prime. Let  $\mu_0, \ldots, \mu_s$  be distinct element of  $\{0, \ldots, p-1\}$ . Assume  $k \equiv \mu_0 \pmod{p}$ . Let  $F_1, \ldots, F_L \in \binom{[n]}{k}$  be such that:

$$\forall 1 \le i < j \le s)(\exists \mu \in \{\mu_1, \dots, \mu_s\})|F_i \cap F_j| \equiv \mu \pmod{p}.$$

Then  $L \leq \binom{n}{s}$ .

## **Proof:**

KELIN: DURING THE PROOF (PAGE 361) THEY HAVE THE FOLLOWING:

Let us choose  $0 \le a_i < p$  for  $0 \le i \le s_0$  [I THINK  $s_0$  SHOULD BE S, BUT PLEASE CHECK] in such a way that for every integer x we have

$$\prod_{i=1}^{s} (x - \mu_i) \equiv \sum_{i=1}^{s} a_i \binom{x}{i} \pmod{p}.$$

THIS DOES NOT LOOK POSSIBLE. THE LEFT HAND SIDE HAS CONSTANT TERM  $\mu_1\mu_2\cdots\mu_s$ .

THE RIGHT HAND SIDE HAS CONSTANT TERM 0.

It is quite possible that none of the  $\mu$  are 0.

MY FIRST THOUGHT WAS: MAYBE THERE IS A TYPO AND THEY MEANT TO BEGIN THE SUMS AND PRODUCTS AT 0. THIS DOES NOT WORK SINCE THEN THE LHS HAS HIGHER DEGREE THAN THE RHS.

The following theorem is obtained by a modification of Theorem 2.3. KELIN- WE'LL PROVE THEOREM 2.4 ONCE WE FIGURE OUT THEOREM 2.3.

**Theorem 2.4** Let  $k, n \in \mathbb{N}$ . Let q be a prime power.

1. Let  $F_1, \ldots, F_L \in \binom{[n]}{k}$  be such that:

$$(\forall 1 < i < j < s)[|F_i \cap F_j| \not\equiv k \pmod{q}].$$

Then  $L \leq \binom{n}{q-1}$ .

- 2. If  $F_1, \ldots, F_{\binom{[n]}{q-1}+1} \in \binom{[n]}{k}$  then there exists  $1 \le i < j \le \binom{[n]}{q-1} + 1$  with  $|F_i \cap F_j| \equiv k \pmod{q}$ . (This is the contrapositive of Part 1.)
- 3. If  $F_1, \ldots, F_{\binom{[n]}{q-1}+1} \in \binom{[n]}{2q-1}$  then there exists  $1 \le i < j \le \binom{[n]}{q-1} + 1$  with  $|F_i \cap F_j| = q-1$ . (This is Part 2 with k = 2q-1 coupled with the observation that if  $|F_i \cap F_j| \equiv 2q-1 \pmod{q}$  then  $|F_i \cap F_j| = q-1$  since otherwise  $F_i = F_j$ .)

#### 2.2 The Chromatic Number of $\mathbb{R}^n$

#### Theorem 2.5

1. For all n,

$$c(n) > \max_{\substack{q \ prime \ power}} \frac{\binom{n}{2q-1}}{\binom{n}{q-1}+1}$$

KELIN: WRITE A PROGRAM THAT COMPUTES c FOR  $10 \le n \le 100$ . PRE-COMPUT THE BINOMIAL COEFFICIENTS WITH THE RECURRENCE. DO SOME CURVE FITTING TO FIND d SUCH THAT  $c \sim 2^{dn}$ .

2. There exists d such that, for all  $n, c(n) \ge 2^{dn}$ .

### **Proof:**

- 1) Let  $S \subseteq \mathbb{R}^n$  be all of the vectors such that
  - n-2q-1 of the components are 0.
  - 2q-1 of the components are  $\frac{1}{\sqrt{2q}}$ .

Let  $F: S \to \binom{[n]}{2q-1}$  by viewing each vector in S as a bit vector though with  $\frac{1}{\sqrt{2q}}$  instead of 1. Claim Let  $u, v \in S$ . If  $|F(u) \cap F(v)| = f$  then  $d(u, v) = 2 - \frac{f+1}{q}$ . Hence d(u, v) = 1 iff  $|F(u) \cap F(v)| = q-1$ .

**Proof of Claim:** Assume  $|F(u) \cap F(v)| = f$  then:

- There are f coordinates where u and v both have  $\frac{1}{\sqrt{2q}}$ .
- There are 2q 1 f coordinates where u has  $\frac{1}{2q}$  and v has 0.
- There are 2q-1-f coordinates where v has  $\frac{1}{2q}$  and u has 0.
- There are n 4q + f + 2 coordinates where u and v are both 0.

Hence  $d(u, v) = 2 \times (2q - 1 - f) \times \frac{1}{2q} = \frac{2q - 1 - f}{q} = 2 - \frac{f + 1}{q}$ .

## End of Proof of Claim

Restrict COL to S. Since  $|S| = \binom{n}{2q-1}$  and there are c colors, some color must occur  $\geq \binom{n}{2q-1}/c = \binom{n}{q-1}+1$  times. Let S' be the subset of S that has that color. Since  $S' \subseteq \binom{[n]}{2q-1}$  and  $|S'| \geq \binom{n}{q-1}+1$ , by Theorem 2.4.3, there exists two elements of S with intersection of size q-1. Let those two elements be F(u) and F(v). Since  $|F(u) \cap F(v)| = q-1$ , by the Claim, d(u,v) = 1.

2) We obtain an approximation to the optimal value of c.

KELIN- THE PAPER TAKES q TO BE  $(1+o(1))\frac{2-\sqrt{2}}{2}n$  I WANT TO KNOW HOW HE GETS A PRIME POWER LIKE THAT. PLEASE EXPAND ON THAT.

## 2.3 There exists d Such That $R^n \to (\ell_2, \ell_{2^{dn}})$

**Theorem 2.6** (Szlam [5]) There exists d such that  $\mathbb{R}^n \to (\ell_2, \ell_{2^{dn}})$ .

**Proof:** We will need the following notation:  $\vec{1}$  is the vector (1, 0, ..., 0) in  $\mathbb{R}^n$ . Let COL:  $\mathbb{R}^n \to [2]$ .

Case 1 There is a BLUE  $\ell_m$ . Done

**Case 2** There is no BLUE  $\ell_m$ . We form a coloring COL:  $\mathbb{R}^n \to [m]$  as follows: Given point  $p \in \mathbb{R}^n$  look at

$$p + \vec{1}, p + 2\vec{1}, \dots, p + m\vec{1}.$$

Since there is no BLUE  $\ell_m$ , there exists i such that  $COL(p+i\vec{1})$  is RED. Color p with the least such i.

By Theorem 2.5 there exists points  $u, v \in \mathbb{R}^n$  and  $1 \le i \le m$  such that d(u, v) = 1 and u, v are the same color. Hence  $u + i\vec{1}$  and  $v + i\vec{1}$  are both RED. Since d(u, v) = 1,  $d(u + i\vec{1}, v + i\vec{1}) = 1$ . Hence  $u + i\vec{1}$  and  $v + i\vec{1}$  form a RED  $\ell_2$ .

## 2.4 While We're Here, Constructive Ramsey Lower Bounds

KELIN- BEFORE ADDING THIS SECTION TO THE MONOGRAPH I WILL GIVE IT MORE CONTEXT.

Frankl and Wilson also used Theorem 2.4 to obtain a constructive lower bound on the Ramsey number R(k).

## Theorem 2.7

1. Let  $n \in \mathbb{N}$ . Let p be a prime (though we will also use that its a prime power).

KELIN- THE PAPER USES q A PRIME POWER, BUT LATER SETS IT TO p WHICH I ASSUME IS A PRIME. SO I JUST SET IT TO A PRIME IN THE FIRST PLACE.

Let G = (V, E) be defined as follows.

- V is  $\{F \subseteq [n]: |F| = p^2 1\}$ . Note that  $|V| = \binom{n}{p^2 1}$ .
- E is (F, F') such that  $|F \cap F'| \not\equiv -1 \pmod{p}$ .

Then G contains no  $\binom{n}{p-1}$ -clique or  $\binom{n}{p-1}$ -ind. set.

2.  $R(k) \ge 2^{(1+o(1)\log^2 k/4\log\log k)}$  with a constructive proof. (Note that this use of k is different than the use of k in Theorem 2.3, 2.4, and the first part of this theorem.)

#### **Proof:**

1a) Let  $F_1, \ldots, F_L$  be a complete subgraph of G. By the definition of G,

- $F_1,\ldots,F_L\in\binom{[n]}{p^2-1}$ .
- $(\forall 1 \le i < j \le L)[|F_i \cap F_j| \not\equiv -1 \pmod{p}]$ .

By Theorem 2.4, with q = p and  $k = p^2 - 1$ , we obtain  $L \leq \binom{n}{p-1}$ .

1b) Let  $F_1, \ldots, F_L$  be an independent set in G.

By the definition of G,

- $F_1,\ldots,F_L\in\binom{[n]}{p^2-1}$ .
- $\bullet \ (\forall 1 \leq i < j \leq L)[|F_i \cap F_j| \equiv -1 \equiv p-1 \ (\text{mod } p).$

By Theorem 2.3, with  $k = p^2 - 1$ ,  $\mu_0 = p - 1$ ,  $\mu_1 = p - 1$ , we obtain  $L \leq \binom{n}{2}$ .

KELIN: THE ABOVE LINE DOES NOT WORK SINCE  $\mu_0 = \mu_1$ . THE PAPER DOES NOT USE THEOREM 1. THE PAPER INSTEAD REFERS TO EQUATION (2) WHICH IS FROM A RESULT BY RAY-CHADHUIRI AND WILSON. BUT THEY LATER SAY, RIGHT AFTER THEOREM 1 Clearly Theorem 1 generalizes (2). HENCE I THOUGHT I COULD AVOID USING RAY-CHAD....

ALSO,  $\binom{n}{2}$  SEEMS WAY TO GOOD A BOUND TO GET- FAR MORE THAN WE NEED. I SUSPECT MY TWO CONFUSIONS ARE RELATED AND WHEN YOU FIGURE OUT ONE, YOU WILL FIGURE OUT THE OTHER.

2) Setting  $n = p^3$  we obtain the result.

KELIN- WORK THIS OUT.

#### Lemmas Needed To Show there exists $d, \mathbb{R}^n \to (\ell_2, \ell_{2^{dn}})$ 3

We will be 2-coloring the  $m \times m$  square and then use that to form a periodic coloring of  $\mathbb{R}^2$ . Hence we think of coloring the  $m \times m$  square with the two horizontal sides identified and the new vertical sides identified. We denote this  $T_m^2$ . (The T is for Taurus.)

BILL- THE PAPER USES  $m \times m$ . I WILL LATER SAY WHY I USE  $m \times m$ .

KELIN: WE NEED A PICTURE FOR AN EXAMPLE. YOU CAN DO A COLOR PICTURE OF A colored square.

We need several lemmas.

**Definition 3.1** Let  $t \in \mathbb{R}^+$ . Let  $P \subseteq T_m^2$ .

- 1. P is t-separated if, for all  $p, q \in P$ ,  $d(p, q) \ge t$ .
- 2. P is maximally t-separated (1) if P is t-separated and (2) for all  $r \notin P$ ,  $P \cup \{r\}$  is not t-separated.

**Lemma 3.2** Let  $t \in \mathbb{R}^+$  and  $m \in \mathbb{N}$ .

- 1. There exists  $P \subseteq T_m^2$  that is maximally t-separated.
- 2. If  $P \subseteq T_m^2$  is maximally t-separated then  $|P| \leq \frac{(m/t)^2}{\pi}$ .
- 3. If  $P \subseteq T_m^2$  is maximally  $\frac{1}{3}$ -separated then  $|P| \leq (1.7m)^2$ . This follows from Part 2.

#### **Proof:**

1) A greedy algorithm forms a maximally t-seperated set.

BILL: How fast is this? Can we get a faster algorithm?

2) Let  $p \in P$ . Then there is no element of P inside the circle centered at p of radius t. This circle has area  $\pi t^2$ . The set  $T_m^2$  has area  $m^2$ . Hence  $|P| \times \pi t^2 \le m^2$ , so  $|P| \le \frac{(m/t)^2}{\pi}$ .

$$|P| \times \pi t^2 \le m^2$$
, so  $|P| \le \frac{(m/t)^2}{\pi}$ .

**Lemma 3.3** Let  $t \in \mathbb{R}^+$ . Let  $S \subseteq \mathbb{R}^2$  be t-separated. Let  $\vec{p} \in \mathbb{R}^2$ . Let  $s \geq 0$ . The number of points of S within s of  $\vec{p}$  is at most  $(2s/t+1)^2$ .

**Proof:** Let T be the set of points within t of  $\vec{p}$ . For every  $\vec{q} \in T$  we look at the circle centered at  $\vec{q}$  of radius t/2 (we can't use radius t since then the circles would not be disjoint). These circles have no other points of T in them and are disjoint. These circles have area  $\pi(t/2)^2$ . The union of these circles is contained in the circle around  $\vec{p}$  of radius s + t/2 which has area  $\pi(s + t/2)^2$ . Hence

$$\begin{split} |T| \times \pi t^2/4 & \leq \pi (s+t/2)^2 \\ |T| \times (t/2)^2 & \leq (s+t/2)^2 \\ |T| & \leq (\frac{s+t/2}{t/2})^2 = (2s/t+1)^2. \end{split}$$

**Definition 3.4** Assume  $S \subseteq \mathbb{R}^2$  or  $S \subseteq T_2^m$ . If  $p \in S$  then  $V_p$  is the set of points of  $\mathbb{R}^2$  or  $T_2^m$  that are closer (or tied) to p then to any other point of S. The Voronoi Diagram of S is the set of all the  $V_p$ 's.

#### BILL- DO EXAMPLES

- 1. A NORMAL EXAMPLE
- 2. AN EXAMPLE WHERE THE VORONOI CELL IS A POLYGON WITH LOTS OF SIDES. I THINK IF THE SET OF POINTS IS A p AND m POINTS ON THE CIRCLE OF RADIUS 1 AROUND x THEN  $V_p$  would be a m-sided convex polygon.

**Note 3.5** There exists  $S \subseteq \mathbb{R}^n$  and an  $s \in S$  such that  $V_p$  is a convex |S|-gon. See BILL-WILL NEED FIGURE NUMBER.

**Lemma 3.6** Let  $S \subseteq \mathbb{R}^2$  be a maximal t-separated set. We form the Voronoi diagram of S. The Voronoi cells are  $\{V_p\}_{p \in S}$ .

- 1. If  $x \in V_p$  then  $d(x, p) \leq t$ .
- 2. If  $p, p' \in V_p$  then  $d(p, p') \leq 2t$ . (This follows from Part 1.)
- 3. If  $p, p' \in S$  and  $V_p$ ,  $V_p$ , share a boundary then  $d(p, p') \leq 2t$ .
- 4.  $V_p$  is convex polygon with  $\leq 25$  sides.

#### **Proof:**

- 1) Assume, by way of contradiction, that there is an  $x \in V_p$  and d(x,p) > t. Since  $x \in V_p$ , d(x,p) is the smallest distance from x to a point of S. Hence x is greater than t away from any point in S. Since S is maximal,  $x \in S$  which is a contradiction.
- 3) Draw a line from p to p'. It will hit a point x that is on both the boundary of  $V_p$  and the boundary of  $V_{p'}$ . By Part 1

$$d(p, p') = d(p, x) + d(x, p') \le t + t = 2t.$$

4)  $V_p$  is a convex polygon. Map each side of  $V_p$  to the p' such that  $V_p$  and  $V_{p'}$  share that side. Using Part 2 we get that the number of sides is bounded above by the number of points of  $p' \in S$  such that  $d(p,p') \leq 2t$ . By Lemma 3.3 the number of such points is  $\leq ((2 \times 2t)/t + 1)^2 = 5^2 = 25$ .

BILL- I DO NOT THINK I NEED THE LEMMA BELOW FOR THE THEOREM. THEY NEED TO USE A SET OF SIZE m/5 THAT HAS POINTS 5 APART. WE WILL JUST NEED THAT  $\ell_m$  DOES NOT HIT TWO ANALOGOUS VORONOI CELLS FROM DIFF TILES. THIS WILL BE ACCOMPLISHED BY MAKING THE TILES  $m \times m$  SINCE THE MAX DISTANCE BETWEEN POINTS OF  $\ell_m$  IS m-1. THE PAPER DOES MORE COMPLICATED THINGS

**Lemma 3.7** Let K be a 1-separated set. Let  $s \ge 1$ . There is a set  $K' \subseteq K$  that is s-separated such that  $|K'| \ge |K|/(2s+1)^2$ .

#### There exists d', $\mathbb{R}^n \not\to (\ell_2, \ell_{2d'n})$ 4

**Theorem 4.1** There exists d' such that  $R^2 
ightharpoonup (\ell_2, \ell_{2d'n})$ .

Let P be a maximal  $\frac{1}{3}$ -separated subset of  $T_2^m$ . We create the Voronoi diagram of P.

Let  $Q \subseteq P$  be formed by, for each  $p \in P$ , choose it with probability x (we will determine xlater).

Let  $S \subseteq Q$  be the set of points  $s \in Q$  such that, for all  $s' \in Q$ , d(s, s') > 5/3.

Recall that we have a Voronoi diagram formed by the points in P. Let the Voronoi cells that have a point of S in them be denoted  $V_1, \ldots, V_{|S|}$ .

We will color each  $V_i$ , including boundary, RED. We will color every other point in  $T_2^m$  BLUE. We will then use this to periodically color  $R^2$ . We view this as tiling the plane with  $m \times m$  tiles and coloring all the tiles the same.

We will show that if you take a nine tiles arrange  $3 \times 3$  then there is no RED  $\ell_2$  or BLUE  $\ell_m$ with a point in the middle tile. This will suffice.

**No RED**  $\ell_2$  This part does not use probability.

Let q, q' both be RED.

Case 1: q, q' are in the same Voronoi cell. By Lemma 3.6.2  $d(q, q') \le 1/3$ .

Case 2: q, q' are in the same tile but in different Voronoi cells. Let the Voronoi cells have centers p, p'. Then

$$d(p,p') \le d(p,q) + d(q,q') + d(q',p') \le \frac{1}{3} + 1 + \frac{1}{3} = \frac{5}{3}.$$

But by definition of S,  $d(p, p') > \frac{5}{3}$ .

Case 3: q, q' are in different tiles but in the analogous Voronoi cells. Let the Voronoi cells have centers p, p'. Since  $d(p, p') = m, d(q, q') \ge m - \frac{1}{3} > 1$ .

Case 4: q, q' are in different tiles and non-analogous Voronoi cells. Since the Voronoi diagram was on a Taurus this is identical to Case 2.

## No BLUE $\ell_m$

Let  $L=(q_1,\ldots,q_m)$  be an  $\ell_m$ . We bound the probability that L is BLUE. Let  $\{p_i\}_{i=0}^{m'-1}$  be such that, for  $0 \le i \le m'-1$ ,  $q_i \in V_{p_i}$ . We need to bound the probability that  $V_{p_i}$  is BLUE. Not so fast! We need to show that all of the  $V_{p_i}$  are distinct.

Let  $q, q' \in \{q_0, \dots, q_{m'-1}\}$ . Let  $\{p, p'\}$  be such that  $q \in V_p$  and  $q' \in V_{p'}$ .

Case 1 q, q' are in the same tile and in the same Voronoi cell. This cannot happen since  $d(q, q') \ge 1$ and by Lemma 3.6.2 the diameter of these cells is 2/3.

Case 2 q, q' are in the different tiles but in analogous Voronoi cells. Two points in analogous cells are at least  $m-\frac{2}{3}$  apart. Since  $d(q,q')\leq m-1, q,q'$  cannot be in different tiles but in analogous

The probability that L is BLUE is the prob that  $V_{p_1}, V_{p_2}, ..., V_{p_m}$  are all BLUE.

Let  $p \in P$ . We determine a lower bound on the probability that  $V_p$  is RED. Recall that  $V_p$  is RED iff  $p \in S$ .

BILL TO BILL- I NEED TO FINISH THIS. IT REQUIRES THAT LEMMA ABOUT SIGN PATTERNS.

## References

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