BILL, RECORD LECTURE!!!!

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Poly Van Der Warden's (PVDW) Theorem

Exposition by William Gasarch

April 12, 2025

Convention

Whenever I write a, d or a, d_1 or anything of that sort we are assuming $a, d \in \mathbb{N}$ and $a, d \geq 1$.

VDW's Theorem For all k, c there exists W = W(k, c) such that for all COL: $[W] \rightarrow [c]$ there exists a, d such that

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Credit Where Credit is Due

Poly VDW theorem first proven by Bergelson and Leibman in **Polynomial Extensions of van der Waerden's and Szemeredi's Theorem** Journal of the AMS, Vol 9, 1996. Their paper is here: https:

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The first Elementary proof was by Walters in Combinatorial proofs of the Polynomial Van Der Waerden Theorem and the Polynomial Hales-Jewitt Theorem Journal of the London Math Soc., Vol 61, 2000.

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//www.cs.umd.edu/~gasarch/TOPICS/vdw/walters.pdf We present his proof.

Notation

```
 \begin{aligned} \mathbf{PVDW}(\pmb{p}_1(\pmb{x}),\dots,\pmb{p}_k(\pmb{x});\pmb{c}) \text{ means} \\ \text{There exists } \pmb{W} &= \pmb{W}(p_1,\dots,p_k;\pmb{c}) \text{ such that for all } \\ \text{COL: } [\pmb{W}] \to [\pmb{c}] \text{ there exists a } \pmb{a},\pmb{d} \text{ such that} \\ \pmb{a},\pmb{a}+p_1(\pmb{d}),\dots,\pmb{a}+p_k(\pmb{d}) \text{ same col.} \end{aligned}
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There are some other easy cases.

We will discuss them later to contrast with todays proof.

Poly Van Der Warden's (PVDW) Theorem: $PVDW(x^2)$

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$$U(1) = 2$$
. Take $a = d = d_1 = 1$.

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We need to prove U(r+1) exists.

GOTO WHITE BOARD to prove

$$U(r+1) \leq$$

$$(U(r)W(2U(r),c^{U(r)}))^2 + U(r)W(2U(r),c^{U(r)}).$$



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We denote that informally as: $PVDW(x, 2x, 3x,...) \implies PVDW(x^2).$

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Poly Van Der Warden's (PVDW) Theorem: $PVDW(x^2 + x)$

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Thm Let $A, B \in \mathbb{Z}$. For all $c \in \mathbb{N}$ there exists $W = W(Ax^2 + Bx; c)$ st for all COL: $[W] \to [c]$, $(\exists a, d)[a, a + Ad^2 + Bd]$ same color].

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Poly Van Der Warden's (PVDW) Theorem: $PVDW(x^2, x^2 + x)$

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We Begin Proof of $PVDW(x^2, x^2 + x)$

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 $[a, \{a + d_1^2, a + d_1^2 + d_1\}, \dots, \{a + d_r^2, a + d_r^2 + d_r\}$ diff colors]. (The pair in $\{\}$ are same col.)

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 diff colors].

$$U(1)=W(2,c).$$

Will get a', d_1 st a', $a' + d_1$ are same col.

Rewrite:
$$a' = (a' - d_1^2) + d_1^2$$
. Let $a = a' - d_1^2$.

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The bounds are so big that we don't care.

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GOTO WHITE BOARD

Poly Van Der Warden's (PVDW) Theorem: $PVDW(x^2, x)$

Exposition by William Gasarch

April 12, 2025

We Begin Proof of $PVDW(x^2, x)$

Thm For all $c \in \mathbb{N}$ there exists $W = W(x, x^2; c)$ st, for all COL: $[W] \to [c]$, there exists a, d st

 $a, a + d, a + d^2$ are same col.

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Lemma proves Theorem by taking r = c. Second part can't happen, so first part does.

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Proof of Base Case of Lemma

Proof of Lemma is by induction on r. $\mathbf{r} = \mathbf{1}$ For all COL: $[U] \rightarrow [c]$ EITHER i) $(\exists a, d)[a, a + d, a + d^2 \text{ same color}]$, OR ii) $(\exists a, d_1)[a, \{a + d_1, a + d_1^2\} \text{ diff colors}]$. Let $U(1) = W(x^2 - x; c)$. For a c-colorings of [U(1)] get a', d_1 st $a', a' + d_1^2 - d_1$ are same col. Rewrite: $a' = (a' - d_1) + d_1$. Let $a = a' - d_1$.

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GOTO WHITE BOARD

A Powerful Notation and a General Approach

Exposition by William Gasarch

April 12, 2025

Proofs of all $PVDW(x^2 - \Box x \dots, x^2, \dots, x^2 + \Box x)$ are similar.

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Proofs used $PVDW(x^2 - \Box x \dots, x^2, \dots, x^2 + \Box x)$ for Base and Ind.

Key There are two lead coefficients and they are for quadratic-degree 2 and linear-degree 1. We will denote this (1,1): 1 quad lead coeff, 1 linear lead coeffs.

Notation Let P be a finite subset of $\mathbb{Z}[x]$ such that $(\forall p \in P)[p(0) = 0]$.

Assume the max degree of a poly is d.

For $1 \le i \le d$ let n_i be the number of lead coefficients of polys in P of degree i.

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 has index (1, 1, 3). $\{x^4, 2x^4 + \Box x^3, x^2, 2x^2, 100x^2, x, 100000x\}$ has index (2, 0, 3, 2).

 $\mathrm{PVDW}(1,0)$ means $(\forall P\subseteq \mathbb{Z}[x]),\ P\ \text{of index}\ (1,0),\ \mathrm{PVDW}(P)$ is true.

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But what about PVDW(1,0)? That was proven by VDW.

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Notation Let N^+ be $N \cup \{\omega\}$.

Let $n_d, \ldots, n_1 \in \mathbb{N}^+$ is defined in the obvious way.

What Did We Prove?

Our proof of $PVDW(x^2)$ has all the ideas to prove $PVDW(\omega) \implies PVDW(1,0)$.

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Our proof of $PVDW(x, x^2)$ has all the ideas to prove $PVDW(1, 0) \implies PVDW(1, 1)$.

Actual Proof of Poly VDW Theorem

Poly VDW thm proven by ind on the indexes of sets. Ordering:

$$(1) \prec (2) \prec \cdots \prec (\omega) \prec (1,0) \prec (1,1) \prec \cdots \prec (1,\omega)$$

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1. Let $0 \le i \le d$. Let $n_d, \ldots, n_i \in \mathbb{N}^+$ with $n_i \in \mathbb{N}$.

$$PVDW(n_d, ..., n_i, \omega, ..., \omega) \implies PVDW(n_d, ..., n_i+1, \omega, ..., \omega).$$

Actual Proof of Poly VDW Theorem

Poly VDW thm proven by ind on the indexes of sets. Ordering:

$$(1) \prec (2) \prec \cdots \prec (\omega) \prec (1,0) \prec (1,1) \prec \cdots \prec (1,\omega)$$

$$\prec$$
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2. $PVDW(\omega, ..., \omega) \implies PVDW(1, 0, ..., 0)$. $d \omega$'s in the 1st part; d 0's in the 2nd part.

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 - The Prim Rec hierarchy had functions of levels $1,2,3,\ldots$. The bounds from proof of VDW theorem are at level ω^2 . The bounds from proof of POLVDW theorem are at level ω^{ω} .
- 3. Are better bounds known? See next slide.

A False Prediction

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Logician (Shelah) proved $PVDW(\vec{n})$ prim rec: clever!

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- ▶ Bill- remember to tell them how you learned of Shelah's result.



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So we can obtain a proof of VDW that you can write down nicely.

- 1. The proof really is the proof I already showed you.
- While one COULD obtain a clean proof of VDW nobody has bothered writing this up (except me).