

# Better Lower Bounds on $R(k)$

Exposition by William Gasarch

# Recall

# Recall

I presented a proof that  $(k - 1)^2 \leq R(k)$ .

# Recall

I presented a proof that  $(k - 1)^2 \leq R(k)$ .

Hence we have

$$(k - 1)^2 \leq R(k) \leq 2^{2k}$$

# Recall

I presented a proof that  $(k - 1)^2 \leq R(k)$ .

Hence we have

$$(k - 1)^2 \leq R(k) \leq 2^{2k}$$

We want much better lower bounds.

# Restating What We Need

## PROBLEM

We want to **find** a coloring of the edges of  $K_n$  without a mono  $K_k$  for some large  $n$ .

# Restating What We Need

## PROBLEM

We want to **find** a coloring of the edges of  $K_n$  without a mono  $K_k$  for some large  $n$ . We want  $n$  to be exponential.

# Restating What We Need

## PROBLEM

We want to **find** a coloring of the edges of  $K_n$  without a mono  $K_k$  for some large  $n$ . We want  $n$  to be exponential.

## WRONG QUESTION

# Restating What We Need

## PROBLEM

We want to **find** a coloring of the edges of  $K_n$  without a mono  $K_k$  for some large  $n$ . We want  $n$  to be exponential.

## WRONG QUESTION

I only need show that such a coloring **exists**.

# Restating What We Need

## PROBLEM

We want to **find** a coloring of the edges of  $K_n$  without a mono  $K_k$  for some large  $n$ . We want  $n$  to be exponential.

## WRONG QUESTION

I only need show that such a coloring **exists**.

**Key** This was Erdős 's big breakthrough.

Pick a coloring at Random!

# Pick a coloring at Random!

Numb of colorings:  $2^{\binom{n}{2}}$ .

# Pick a coloring at Random!

Numb of colorings:  $2^{\binom{n}{2}}$ .

Numb of colorings: that have mono  $K_k$  is bounded by

# Pick a coloring at Random!

Numb of colorings:  $2^{\binom{n}{2}}$ .

Numb of colorings: that have mono  $K_k$  is bounded by

$$\binom{n}{k} \times 2 \times 2^{\binom{n}{2} - \binom{k}{2}}$$

## Pick a coloring at Random!

Numb of colorings:  $2^{\binom{n}{2}}$ .

Numb of colorings: that have mono  $K_k$  is bounded by

$$\binom{n}{k} \times 2 \times 2^{\binom{n}{2} - \binom{k}{2}}$$

Prob that a random 2-coloring HAS a homog set is bounded by

$$\frac{\binom{n}{k} \times 2 \times 2^{\binom{n}{2} - \binom{k}{2}}}{2^{\binom{n}{2}}} \leq \frac{\binom{n}{k} \times 2}{2^{\binom{k}{2}}} \leq \frac{n^k}{k! 2^{k(k-1)/2}}$$

## Pick a coloring at Random! (cont)

**Recap** If we color the edges of  $K_n$  at random then

## Pick a coloring at Random! (cont)

**Recap** If we color the edges of  $K_n$  at random then

Prob that the coloring HAS a homog set of size  $k$  is  $\leq \frac{n^k}{k!2^{k(k-1)/2}}$ .

## Pick a coloring at Random! (cont)

**Recap** If we color the edges of  $K_n$  at random then

Prob that the coloring HAS a homog set of size  $k$  is  $\leq \frac{n^k}{k!2^{k(k-1)/2}}$ .

IF this prob is  $< 1$  then **there exists** a coloring of the edges with **no homog set of size  $k$** .

## Pick a coloring at Random! (cont)

**Recap** If we color the edges of  $K_n$  at random then

Prob that the coloring HAS a homog set of size  $k$  is  $\leq \frac{n^k}{k!2^{k(k-1)/2}}$ .

IF this prob is  $< 1$  then **there exists** a coloring of the edges with **no homog set of size  $k$** .

So if  $\frac{n^k}{k!2^{k(k-1)/2}} < 1$  then **there exists** a coloring of the edges with **no homog set of size  $k$** .

## Pick a coloring at Random! (cont)

**Recap** If we color the edges of  $K_n$  at random then

Prob that the coloring HAS a homog set of size  $k$  is  $\leq \frac{n^k}{k!2^{k(k-1)/2}}$ .

IF this prob is  $< 1$  then **there exists** a coloring of the edges with **no homog set of size  $k$** .

So if  $\frac{n^k}{k!2^{k(k-1)/2}} < 1$  then **there exists** a coloring of the edges with **no homog set of size  $k$** .

We will work out the algebra of  $\frac{n^k}{k!2^{k(k-1)/2}} < 1$  on the next slide; however, the real innovation here is that we show that a coloring exists by showing that the prob that it does not exist is  $< 1$ .

## Pick a coloring at Random! (cont)

**Recap** If we color the edges of  $K_n$  at random then

Prob that the coloring HAS a homog set of size  $k$  is  $\leq \frac{n^k}{k!2^{k(k-1)/2}}$ .

IF this prob is  $< 1$  then **there exists** a coloring of the edges with **no homog set of size  $k$** .

So if  $\frac{n^k}{k!2^{k(k-1)/2}} < 1$  then **there exists** a coloring of the edges with **no homog set of size  $k$** .

We will work out the algebra of  $\frac{n^k}{k!2^{k(k-1)/2}} < 1$  on the next slide; however, the real innovation here is that we show that a coloring exists by showing that the prob that it does not exist is  $< 1$ .

This is **The Probabilistic Method**.

# Working Out the Inequality

$$\text{Want } \frac{n^k}{k!2^{k(k-1)/2}} < 1$$

## Working Out the Inequality

Want  $\frac{n^k}{k!2^{k(k-1)/2}} < 1$

$$n < (k!)^{1/k} 2^{(k-1)/2} = (k!)^{1/k} \frac{1}{\sqrt{2}} 2^{k/2}$$

## Working Out the Inequality

Want  $\frac{n^k}{k!2^{k(k-1)/2}} < 1$

$$n < (k!)^{1/k} 2^{(k-1)/2} = (k!)^{1/k} \frac{1}{\sqrt{2}} 2^{k/2}$$

**Stirling's Fml**  $k! \sim (2\pi k)^{1/2} \left(\frac{k}{e}\right)^k$ , so  $(k!)^{1/k} \sim (2\pi k)^{1/2k} \left(\frac{k}{e}\right)$

## Working Out the Inequality

$$\text{Want } \frac{n^k}{k!2^{k(k-1)/2}} < 1$$

$$n < (k!)^{1/k} 2^{(k-1)/2} = (k!)^{1/k} \frac{1}{\sqrt{2}} 2^{k/2}$$

**Stirling's Fml**  $k! \sim (2\pi k)^{1/2} \left(\frac{k}{e}\right)^k$ , so  $(k!)^{1/k} \sim (2\pi k)^{1/2k} \left(\frac{k}{e}\right)$

$$\begin{aligned} n &< (k!)^{1/k} \frac{1}{\sqrt{2}} 2^{k/2} \sim (2\pi k)^{1/2k} \left(\frac{k}{e}\right) \frac{1}{\sqrt{2}} 2^{k/2} \\ &\sim (2\pi k)^{1/2k} \frac{1}{e\sqrt{2}} k 2^{k/2} \end{aligned}$$

## Working Out the Inequality

$$\text{Want } \frac{n^k}{k!2^{k(k-1)/2}} < 1$$

$$n < (k!)^{1/k} 2^{(k-1)/2} = (k!)^{1/k} \frac{1}{\sqrt{2}} 2^{k/2}$$

**Stirling's Fml**  $k! \sim (2\pi k)^{1/2} \left(\frac{k}{e}\right)^k$ , so  $(k!)^{1/k} \sim (2\pi k)^{1/2k} \left(\frac{k}{e}\right)$

$$\begin{aligned} n &< (k!)^{1/k} \frac{1}{\sqrt{2}} 2^{k/2} \sim (2\pi k)^{1/2k} \left(\frac{k}{e}\right) \frac{1}{\sqrt{2}} 2^{k/2} \\ &\sim (2\pi k)^{1/2k} \frac{1}{e\sqrt{2}} k 2^{k/2} \end{aligned}$$

Want  $n$  large.  $n = \frac{1}{e\sqrt{2}} k 2^{k/2}$  works.

# Upper and Lower Bounds

$$\frac{1}{e\sqrt{2}} k 2^{k/2} \leq R(k) \leq \frac{2^{2k}}{\sqrt{k}}$$

# Upper and Lower Bounds

$$\frac{1}{e\sqrt{2}} k 2^{k/2} \leq R(k) \leq \frac{2^{2k}}{\sqrt{k}}$$

**Note**  $\frac{1}{e\sqrt{2}} \sim 0.26$ .

# Upper and Lower Bounds

$$\frac{1}{e\sqrt{2}} k 2^{k/2} \leq R(k) \leq \frac{2^{2k}}{\sqrt{k}}$$

**Note**  $\frac{1}{e\sqrt{2}} \sim 0.26$ .

Joel Spencer using sophisticated methods improved the lower bound to:

# Upper and Lower Bounds

$$\frac{1}{e\sqrt{2}}k2^{k/2} \leq R(k) \leq \frac{2^{2k}}{\sqrt{k}}$$

**Note**  $\frac{1}{e\sqrt{2}} \sim 0.26$ .

Joel Spencer using sophisticated methods improved the lower bound to:

$$\frac{\sqrt{2}}{e}k2^{k/2} \leq R(k).$$

# Upper and Lower Bounds

$$\frac{1}{e\sqrt{2}}k2^{k/2} \leq R(k) \leq \frac{2^{2k}}{\sqrt{k}}$$

**Note**  $\frac{1}{e\sqrt{2}} \sim 0.26$ .

Joel Spencer using sophisticated methods improved the lower bound to:

$$\frac{\sqrt{2}}{e}k2^{k/2} \leq R(k).$$

**Note**  $\frac{\sqrt{2}}{e} \sim 0.52$ .

# Upper and Lower Bounds

$$\frac{1}{e\sqrt{2}}k2^{k/2} \leq R(k) \leq \frac{2^{2k}}{\sqrt{k}}$$

**Note**  $\frac{1}{e\sqrt{2}} \sim 0.26$ .

Joel Spencer using sophisticated methods improved the lower bound to:

$$\frac{\sqrt{2}}{e}k2^{k/2} \leq R(k).$$

**Note**  $\frac{\sqrt{2}}{e} \sim 0.52$ .

Joel Spencer told me he was hoping for a better improvement.

# The Prob Method

**The Prob Method** Showing that an object exists by showing that the prob that it exists is nonzero.

# The Prob Method

**The Prob Method** Showing that an object exists by showing that the prob that it exists is nonzero.

- ▶ Used **a lot** in combinatorics, algorithms, complexity theory.

# The Prob Method

**The Prob Method** Showing that an object exists by showing that the prob that it exists is nonzero.

- ▶ Used **a lot** in combinatorics, algorithms, complexity theory.
- ▶ Uses very sophisticated probability and has been the motivation for new theorems in probability.

# The Prob Method

**The Prob Method** Showing that an object exists by showing that the prob that it exists is nonzero.

- ▶ Used **a lot** in combinatorics, algorithms, complexity theory.
- ▶ Uses very sophisticated probability and has been the motivation for new theorems in probability.
- ▶ Origin is Ramsey Theory. Erdős developed it to get better lower bounds on  $R(k)$  as shown here.

# The Prob Method

**The Prob Method** Showing that an object exists by showing that the prob that it exists is nonzero.

- ▶ Used **a lot** in combinatorics, algorithms, complexity theory.
- ▶ Uses very sophisticated probability and has been the motivation for new theorems in probability.
- ▶ Origin is Ramsey Theory. Erdős developed it to get better lower bounds on  $R(k)$  as shown here.
- ▶ I would **not** call the Prob Method and application of Ramsey. (Some articles do.)

# The Prob Method

**The Prob Method** Showing that an object exists by showing that the prob that it exists is nonzero.

- ▶ Used **a lot** in combinatorics, algorithms, complexity theory.
- ▶ Uses very sophisticated probability and has been the motivation for new theorems in probability.
- ▶ Origin is Ramsey Theory. Erdős developed it to get better lower bounds on  $R(k)$  as shown here.
- ▶ I would **not** call the Prob Method and application of Ramsey. (Some articles do.)
- ▶ I would say that Ramsey Theory was the initial motivation for the Prob Method which is now used for many other things, some of which are practical.