The Muffin Problem: Complexity Issues

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(4 HS, 3 ugrad, 2 prof, 9 total)
How it Began

A Recreational Math Conference
(Gathering for Gardner)
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I found a pamphlet:

The Julia Robinson Mathematics Festival:
A Sample of Mathematical Puzzles
Compiled by Nancy Blachman

which had this problem, proposed by Alan Frank:

*How can you divide and distribute 5 muffins to 3 students so that every student gets $\frac{5}{3}$ where nobody gets a tiny sliver?*
Five Muffins, Three Students, Proc by Picture

<table>
<thead>
<tr>
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<td>Alice</td>
<td>RED</td>
<td>$1 + \frac{2}{3} = \frac{5}{3}$</td>
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Smallest Piece: $\frac{1}{3}$
Can We Do Better?

The smallest piece in the above solution is $\frac{1}{3}$.

**Is there a procedure with a larger smallest piece?**
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Is there a procedure with a larger smallest piece?

YES WE CAN!
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Smallest Piece: $\frac{5}{12}$
Can We Do Better?

The smallest piece in the above solution is \( \frac{5}{12} \).

Is there a procedure with a larger smallest piece?

NO WE CAN’T!
There is a procedure for 5 muffins, 3 students where each student gets $\frac{5}{3}$ muffins, smallest piece $N$. We want $N \leq \frac{5}{12}$.

**Case 0:** Some muffin is uncut. Cut it $(\frac{1}{2}, \frac{1}{2})$ and give both $\frac{1}{2}$-sized pieces to whoever got the uncut muffin. (Note $\frac{1}{2} > \frac{5}{12}$.) Reduces to other cases.

(*Henceforth:* All muffins are cut into $\geq 2$ pieces.)

**Case 1:** Some muffin is cut into $\geq 3$ pieces. Then $N \leq \frac{1}{3} < \frac{5}{12}$.

(*Henceforth:* All muffins are cut into 2 pieces.)

**Case 2:** All muffins are cut into 2 pieces. 10 pieces, 3 students: Someone gets $\geq 4$ pieces. He has some piece

$$\leq \frac{5}{3} \times \frac{1}{4} = \frac{5}{12}$$

Great to see $\frac{5}{12}$
General Problem

*How can you divide and distribute m muffins to s students so that each student gets \( \frac{m}{s} \) AND the MIN piece is MAXIMIZED?*

An \((m, s)\)-*procedure* is a way to divide and distribute \(m\) muffins to \(s\) students so that each student gets \(\frac{m}{s}\) muffins.

An \((m, s)\)-procedure is *optimal* if it has the largest smallest piece of any procedure.

\[ f(m, s) \] be the smallest piece in an optimal \((m, s)\)-procedure.

We have shown \(f(5, 3) = \frac{5}{12}\).

**Note:** \(f(m, s) \geq \frac{1}{s}\): divide each muffin into \(s\) pieces of size \(\frac{1}{s}\) and give each student \(m\) of them.
Floor-Ceiling Theorem (Generalize \(f(5, 3) \leq \frac{5}{12}\))

\[ f(m, s) \leq \max\left\{ \frac{1}{3}, \min\left\{ \frac{m}{s \lceil 2m/s \rceil}, 1 - \frac{m}{s \lfloor 2m/s \rfloor} \right\}\right\}. \]

**Case 0:** Some muffin is uncut. Cut it \((\frac{1}{2}, \frac{1}{2})\) and give both halves to whoever got the uncut muffin, so reduces to other cases.

**Case 1:** Some muffin is cut into \(\geq 3\) pieces. Some piece \(\leq \frac{1}{3}\).

**Case 2:** Every muffin is cut into 2 pieces, so \(2m\) pieces.

**Someone** gets \(\geq \left\lceil \frac{2m}{s} \right\rceil\) pieces. \(\exists\) piece \(\leq \frac{m}{s} \times \frac{1}{\lfloor 2m/s \rfloor} = \frac{m}{s \lfloor 2m/s \rfloor}\.\)

**Someone** gets \(\leq \left\lfloor \frac{2m}{s} \right\rfloor\) pieces. \(\exists\) piece \(\geq \frac{m}{s} \frac{1}{\lceil 2m/s \rceil} = \frac{m}{s \lceil 2m/s \rceil}\).  

The other piece from that muffin is of size \(\leq 1 - \frac{m}{s \lfloor 2m/s \rfloor}\).  

**Notation:** FC\((m, s)\) is the upper bound given by this Theorem.
Duality

\[ f(5, 3) \geq \frac{5}{12} \]

1. Divide 4 muffins \([\frac{5}{12}, \frac{7}{12}]\)
2. Divide 1 muffin \([\frac{6}{12}, \frac{6}{12}]\)
3. Give 2 students \((\frac{6}{12}, \frac{7}{12}, \frac{7}{12})\)
4. Give 1 students \((\frac{5}{12}, \frac{5}{12}, \frac{5}{12}, \frac{5}{12})\)
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\[ f(3, 5) \geq \frac{1}{4} \]

1. Divide 2 muffin \( \left[ \frac{6}{20}, \frac{7}{20}, \frac{7}{20} \right] \)
2. Divide 1 muffin \( \left[ \frac{5}{20}, \frac{5}{20}, \frac{5}{20}, \frac{5}{20} \right] \)
3. Give 4 students \( \left( \frac{5}{20}, \frac{7}{20} \right) \)
4. Give 1 students \( \left( \frac{6}{20}, \frac{6}{20} \right) \)
Duality

\[ f(5, 3) \geq \frac{5}{12} \]

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\[ f(3, 5) \geq \frac{1}{4} \]

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3. Give 4 students \( \left( \frac{5}{20}, \frac{7}{20} \right) \)
4. Give 1 students \( \left( \frac{6}{20}, \frac{6}{20} \right) \)

**Theorem** \( f(m, s) = \frac{m}{s} f(s, m). \) (Ind by Erich Friedman)
We know and use the following:

1. By duality can assume $m > s$
2. If $s$ divides $m$ then $f(m, s) = 1$ so assume $s$ does not divide $m$.
3. All muffins are cut in $\geq 2$ pcs. Replace uncut muff with $2 \frac{1}{2}$'s
4. If assuming $f(m, s) > \alpha > \frac{1}{3}$, assume all muffin in $\leq 2$ pcs.
5. $f(m, s) > \alpha > \frac{1}{3}$, so exactly 2 pcs, is common case.

We do not know this but still use: $f(m, s)$ only depends on $\frac{m}{s}$. All of our techniques that hold for $(m, s)$ hold for $(Am, As)$. For particular numbers, we only look at $m, s$ rel prime.
Does it exist?
If so then is it Rational?
If yes and yes, is it computable?
Exists? Rational? Computable?

**Exists:** Does $f(m,s)$ always exist? Plausible that

$$(\forall \epsilon > 0)[\exists \text{ Proc for (24,11) with all pieces } \geq \frac{19}{44} - \epsilon]$$

but

$$(\forall) \text{ Proc for (24,11) some piece } \leq \frac{19}{44}$$
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Do not worry- does not happen! Yeah!

**Rational:** Plausible that $f(24,11) = \frac{\pi}{7}$.

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**Solvable:** Plausible that $f(m, s)$ is not computable.

Do not worry- there is a procedure to compute $f(m, s)$.
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**Solvable:** Plausible that $f(m, s)$ is not computable.

Do not worry- There is a procedure to compute $f(m, s)$
We try to formulate \( f(m, s) \) as a Linear Program

For \( 1 \leq i \leq m, 1 \leq j \leq s \), \( x_{ij} \) is the fraction of \( M_i \) that goes to \( S_j \).

**Constraints:**

- \( M_i \) is size 1: \( x_{i1} + \cdots + x_{im} = 1 \).
- \( S_j \) gets \( \frac{m}{s} \): \( x_{1j} + \cdots + x_{sj} = \frac{m}{s} \).
- Sanity: \( 0 \leq x_{ij} \leq 1 \)
- New Var \( z \) is min of the \( x_{ij} \): \( (\forall i)(\forall j)[z \leq x_{ij}] \)

**Objective Function:** Maximize \( z \)

This does not work. **Discuss**
We try to formula $f(m, s)$ as a Linear Program
For $1 \leq i \leq m$, $1 \leq j \leq s$, $x_{ij}$ is the fraction of $M_i$ that goes to $S_j$.

**Constraints:**

- $M_i$ is size 1: $x_{i1} + \cdots + x_{im} = 1$.
- $S_j$ gets $\frac{m}{s}$: $x_{1j} + \cdots + x_{sj} = \frac{m}{s}$.
- Sanity: $0 \leq x_{ij} \leq 1$

New Var $z$ is min of the $x_{ij}$: $(\forall i)(\forall j)[z \leq x_{ij}]$

**Objective Function:** Maximize $z$

This does not work. **Discuss**

Possible that $M_1$ gives NONE to $S_2$. So $x_{12} = 0$. What do do?
An Example where LP Does Not Work

\[ f(5, 3) \geq \frac{5}{12} \]

1. Divide \( M_1 \left( \frac{6}{12}, \frac{6}{12} \right) \).
2. Divide \( M_2 \left( \frac{5}{12}, \frac{7}{12} \right) \).
3. Divide \( M_3 \left( \frac{5}{12}, \frac{7}{12} \right) \).
4. Divide \( M_4 \left( \frac{5}{12}, \frac{7}{12} \right) \).
5. Divide \( M_5 \left( \frac{5}{12}, \frac{7}{12} \right) \).
6. Give \( S_1 \left[ \frac{6}{12}, \frac{7}{12}, \frac{7}{12} \right] \) From \( M_1, M_2, M_3 \)
7. Give \( S_2 \left[ \frac{6}{12}, \frac{7}{12}, \frac{7}{12} \right] \) From \( M_1, M_2, M_3 \)
8. Give \( S_3 \left[ \frac{5}{12}, \frac{5}{12}, \frac{5}{12}, \frac{5}{12} \right] \) From \( M_1, M_2, M_3, M_4 \)

\( M_4 \) gives NO piece to from \( S_1 \), so \( x_{41} = 0 \). But we don't want to count that.
Theorem: $f(m, s)$ exists, is rational, and can be computed.

Proof One: Formulate as an LP.

Issue: Some $x_{ij}$’s are 0. Don’t want to count them for max $z$.

$(\forall)A \subseteq \{x_{ij}\}$ set all vars in $A$ to 0. Forms $LP_A$. Solve to get $z_A$.

Max of the $z_A$ is $f(m, s)$.

1. Since $0 \leq x_{ij} \leq 1$, for every $A$, $z_A$ exists.
2. Since all the coefficient in $\mathbb{Q}$, for all $A$, $z_A \in \mathbb{Q}$.
3. Since LP is computable, for all $A$, $z_A$ can be computed.
4. Since every $z_A$ exists, is rational, and is computable, $f(m, s)$ exist, is rational, and is computable.

Note: Would NEVER use this algorithm!
Theorem: $f(m, s)$ exists, is rational, and can be computed.

Proof Two: Formulate as an LP as on prior slide.

Issue: Some $x_{ij}$’s are 0. Don’t want to count them for max $z$.

Introduce new 0-1 valued variables $y_{ij}$ and constraints:

(1) $x_{ij} + y_{ij} \geq \frac{1}{s}$
(2) $x_{ij} + y_{ij} \leq 1$

1) By Eq (1) $x_{ij} = 0 \implies y_{ij} = 1$. Eq (2) satisfied.
2) By Eq (2) $x_{ij} > 0 \implies x_{ij} \geq \frac{1}{s} \implies y_{ij} = 0$. Eq (1) satisfied.

Diff Constraints on $z$: $\forall i \forall j [z \leq x_{ij} + y_{ij}]$.

$x_{ij} = 0 \implies y_{ij} = 1 \implies$ Constraint is $z \leq 1$ easily satisfied

$x_{ij} > 0 \implies y_{ij} = 0 \implies$ Constraint is $z \leq x_{ij}$ as it should be

Objective Function: maximize $z$. 
Can compute $f(m, s)$ with MIP on $O(ms)$ variables and coefficients in $\{-m, \ldots, m\}$. So time $2^{O(ms)}$. 
Can compute $f(m, s)$ with MIP on $O(ms)$ variables and coefficients in $\{-m, \ldots, m\}$.
So time $2^{O(ms)}$.

MIP is worse than it sounds: input is of length $\lg m + \lg s$. 

Upper Bound on Complexity of Muffin Problem
Can compute $f(m, s)$ with MIP on $O(ms)$ variables and coefficients in $\{-m, \ldots, m\}$. So time $2^{O(ms)}$.

MIP is worse than it sounds: input is of length $\lg m + \lg s$. We have coded it up and used an MIP package.

**Empirical Observations:**

1. If we provided a very good upper bound then MIP sometimes worked well.

2. MIP had a much harder time when $m$ is prime. Do not know if this means anything.
Fast(?) Algorithm:

**Input:** $(m, s), \frac{a}{b}$

**Output:**
$(m, s)$-proc, smallest piece $\frac{a}{b}$

OR

Gee, Could not find such a proc
MATRIX Technique: \( f(5, 3) \geq \frac{5}{12} \)

Want proc for \( f(5, 3) \geq \frac{5}{12} \).

1) **Guess** that the only piece sizes are \( \frac{5}{12}, \frac{6}{12}, \frac{7}{12} \).

2) **Muffin**=pieces add to 1: \( \{ \frac{6}{12}, \frac{6}{12} \}, \{ \frac{5}{12}, \frac{7}{12} \} \). Vectors
\( \{ \frac{6}{12}, \frac{6}{12} \} \) is (0, 2, 0), \( m_1 \) muffins of this type.
\( \{ \frac{5}{12}, \frac{7}{12} \} \) is (1, 0, 1), \( m_2 \) muffins of this type.

3) **Student**=pieces add to \( \frac{5}{3} \)
\( \{ \frac{6}{12}, \frac{7}{12}, \frac{7}{12} \} \) is (0, 1, 2), \( s_1 \) students of this type.
\( \{ \frac{5}{12}, \frac{5}{12}, \frac{5}{12}, \frac{5}{12} \} \) is (4, 0, 0), \( s_2 \) students of this type.

4) **Set up equations:**
\[ m_1(0, 2, 0) + m_2(1, 0, 1) = s_1(0, 1, 2) + s_2(4, 0, 0) \]
\[ m_1 + m_2 = 5 \]
\[ s_1 + s_2 = 3 \]

**Natural Number Solution:** \( m_1 = 1, m_2 = 4, s_1 = 2, s_2 = 1 \)
MATRIX Technique

Want proc for \( f(m, s) \geq \frac{a}{b} \).

1) **Guess** that the only piece sizes are \( \frac{a}{b}, \ldots, \frac{b-a}{b} \).

2) **Muffin**=pieces add to 1: Vectors \( \vec{v}_i \). \( x \) types.
   \( m_i \) muffins of type \( \vec{v}_i \)

3) **Student**=pieces add to \( \frac{m}{s} \): Vectors \( \vec{u}_j \). \( y \) types.
   \( s_j \) students of type \( \vec{u}_j \)

4) **Set up equations**:
   \[
   m_1 \vec{v}_1 + \cdots + m_x \vec{v}_x = s_1 \vec{u}_1 + \cdots + s_y \vec{u}_y
   \]
   \[
   m_1 + \cdots + m_x = m
   \]
   \[
   s_1 + \cdots + s_y = s
   \]

5) **Look for Nat Numb sol.** If find can translate into procedure.
**MATRIX Technique: Clear Fracs version**

Want proc for \( f(m, s) \geq \frac{a}{b} \). Clear Fracs version.

1) **Guess** that the only piece sizes are \( a, \ldots, b - a \)

2) **Muffin** pieces add to \( b \times 1 = b \): Vectors \( \vec{v}_i \). \( x \) types. \( m_i \) muffins of type \( \vec{v}_i \)

3) **Student** pieces add to \( b \times \frac{m}{s} = \frac{bm}{s} \): Vectors \( \vec{u}_j \). \( y \) types. \( s_j \) students of type \( \vec{u}_j \)

4) **Set up equations:**
\[
m_1 \vec{v}_1 + \cdots + m_x \vec{v}_x = s_1 \vec{u}_1 + \cdots + s_y \vec{u}_y
\]
\[
m_1 + \cdots + m_x = m
\]
\[
s_1 + \cdots + s_y = s
\]

5) **Look for Nat Numb sol.** If find can translate into procedure.
Analysis of \text{MATRIX}(m, s, \frac{a}{b})

1) By \textbf{Dynamic Programming} can find sums in $O((b - 2a)^{\frac{bm}{s}})$.  
2) \textbf{Empirical}: $b - 2a = O(s^2)$. So $O(bms)$.  
3) \textbf{Empirical}: number of sums is \textbf{small}.  
4) \textbf{Empirical}: If answer is $\frac{a}{b}$ then pieces all have denom $b$.  
5) \textbf{Open}: Is \text{MATRIX}(m, s, \frac{a}{b}) poly in $a, b, m, s$?
\( f(m, s) \): Upper Bounds
Floor-Ceiling Bound

Recall:

\[
FC(m, s) = \left\{ \frac{1}{3}, \min\left\{ \frac{m}{s \lceil 2m/s \rceil}, 1 - \frac{m}{s \lfloor 2m/s \rfloor} \right\} \right\}.
\]

For all \( m, s, f(m, s) \leq FC(m, s) \).

1. Can compute \( FC(m, s) \) in \( O(\log m) \). Note: do not need to know the answer ahead of time.

2. For all \( m \geq 3 \), \( f(m, 3) = FC(m, 3) \).

3. For all \( m \geq 4 \), \( f(m, 4) = FC(m, 4) \).

4. For \( 3 \leq s \leq 60, s < m \leq 70 \), \( m, s \) rel prime:
   4.1 There are 1360 cases total.
   4.2 For 927 of the \( (m, s) \), \( f(m, s) = FC(m, s) \). \( \sim 68\% \)
   4.3 The cases not covered use interesting new techniques!
Assume that in some protocol every muffin is cut into two pieces.

Let $x$ be a piece from muffin $M$. The other piece from muffin $M$ is the *buddy of* $x$.

Note that the buddy of $x$ is of size $1 - x$. 
Example of INT Technique: \( f(24, 11) \leq \frac{19}{44} \)

Assume \((24, 11)\)-procedure with smallest piece \(> \frac{19}{44}\). Can assume all muffin cut in two and all student gets \(\geq 2\) shares. We show that there is a piece \(\leq \frac{19}{44}\).

**Case 1:** A student gets \(\geq 6\) shares. Some piece \(\leq \frac{24}{11 \times 6} < \frac{19}{44}\).

**Case 2:** A student gets \(\leq 3\) shares. Some piece \(\geq \frac{24}{11 \times 3} = \frac{8}{11}\). Buddy of that piece \(\leq 1 - \frac{8}{11} \leq \frac{3}{11} < \frac{19}{44}\).

**Case 3:** Every muffin is cut in 2 pieces and every student gets either 4 or 5 shares. Total number of shares is 48.
How many students get 4? 5? Where are the Shares?

4-students: a student who gets 4 shares. \( s_4 \) is the number of them.
5-students: a student who gets 5 shares. \( s_5 \) is the number of them.

4-share: a share that a 4-student who gets.
5-share: a share that a 5-student who gets.

\[
4s_4 + 5s_5 = 48
\]
\[
s_4 + s_5 = 11
\]

\( s_4 = 7 \). Hence there are \( 4s_4 = 4 \times 7 = 28 \) 4-shares.
\( s_5 = 4 \). Hence there are \( 5s_5 = 5 \times 4 = 20 \) 5-shares.
Case 3.1 and 3.2: Too Big or Too Small

**Case 3.1:** There is a share $\geq \frac{25}{44}$. Then its buddy is

\[
\leq 1 - \frac{25}{44} = \frac{19}{44}
\]

**Case 3.2:** There is a share $\leq \frac{19}{44}$. Duh.

Henceforth assume that all shares are in

\[
\left(\frac{19}{44}, \frac{25}{44}\right)
\]
Case 3.3: Some 5-shares $\geq \frac{20}{44}$

5-share: a share that a 5-student who gets.

Claim: If some 5-shares is $\geq \frac{20}{44}$ then some share $\leq \frac{19}{44}$.

Proof: Assume that Alice 5 pieces $A, B, C, D, E$ and $E \geq \frac{20}{44}$.

Since $A + B + C + D + E = \frac{24}{11}$ and $E > \frac{20}{44}$

$$A + B + C + D \leq \frac{24}{11} - \frac{20}{44} = \frac{76}{44}$$

Assume $A$ is the smallest of $A, B, C, D$.

$$A \leq \frac{76}{44} \times \frac{1}{4} = \frac{19}{44}$$

Henceforth we assume all 5-shares are in

$$\left(\frac{19}{44}, \frac{20}{44}\right).$$
Case 3.4: Some 4-shares \( \leq \frac{21}{44} \)

4-share: a share that a 4-student who gets.

Claim: If some 4-shares is \( \leq \frac{21}{44} \) then some share \( \leq \frac{19}{44} \).

Proof: Assume that Alice 4 pieces \( A, B, C, D \) and \( D \leq \frac{21}{44} \).

Since \( A + B + C + D = \frac{24}{11} \) and \( D \leq \frac{21}{44} \),

\[
A + B + C \geq \frac{24}{11} - \frac{21}{44} = \frac{75}{44}
\]

Assume \( A \) is the largest of \( A, B, C \).

\[
A \geq \frac{75}{44} \times \frac{1}{3} = \frac{25}{44}
\]

The buddy of \( A \) is of size

\[
\leq 1 - \frac{25}{44} = \frac{19}{44}
\]

Henceforth we assume all 4-shares are in

\[
\left( \frac{21}{44}, \frac{25}{44} \right).
\]
Case 3.5: 4-shares in \( \left( \frac{21}{44}, \frac{25}{44} \right) \), 5-shares in \( \left( \frac{19}{44}, \frac{20}{44} \right) \).

\[
\begin{pmatrix}
\frac{19}{44} & \text{?? 5-shs} & \frac{20}{44} & 0 \text{ shs} & \frac{21}{44} & \text{?? 4-shs} & \frac{25}{44}
\end{pmatrix}
\]
Case 3.5: 4-shares in \((\frac{21}{44}, \frac{25}{44})\), 5-shares in \((\frac{19}{44}, \frac{20}{44})\).

\[
\begin{pmatrix}
\text{?? 5-shs} & \text{0 shs} & \text{?? 4-shs} \\
\frac{19}{44} & \frac{20}{44} & \frac{21}{44} & \frac{25}{44}
\end{pmatrix}
\]

Recall: there are \(4s_4 = 4 \times 7 = 28\) 4-shares.
Recall: there are \(5s_5 = 5 \times 4 = 20\) 5-shares.
Case 3.5: 4-shares in \(( \frac{21}{44}, \frac{25}{44} )\), 5-shares in \(( \frac{19}{44}, \frac{20}{44} )\).

( ?? 5-shs )[ 0 shs ]( ?? 4-shs )
\begin{array}{c}
\frac{19}{44} \\
\frac{20}{44} \\
\frac{21}{44} \\
\frac{25}{44}
\end{array}

Recall: there are \(4s_4 = 4 \times 7 = 28\) 4-shares.

Recall: there are \(5s_5 = 5 \times 4 = 20\) 5-shares.

( 20 5-shs )[ 0 shs ]( 28 4-shs )
\begin{array}{c}
\frac{19}{44} \\
\frac{20}{44} \\
\frac{21}{44} \\
\frac{25}{44}
\end{array}
More Refined Picture of What is Going On

\[
\begin{pmatrix}
\frac{19}{44} & \frac{20}{44} & \frac{21}{44} & \frac{25}{44}
\end{pmatrix}
\begin{pmatrix}
0 \text{ shs}
\end{pmatrix}
\begin{pmatrix}
\frac{23}{44} & \frac{24}{44}
\end{pmatrix}
\begin{pmatrix}
\frac{28}{44} \text{ 4-shs}
\end{pmatrix}
\]

Claim 1: There are no shares \( x \in \left[ \frac{23}{44}, \frac{24}{44} \right] \).

If there was such a share then buddy is in \( \left[ \frac{20}{44}, \frac{21}{44} \right] \).
More Refined Picture of What is Going On

\[
\begin{pmatrix}
20 \\ 19 \\
\end{pmatrix}
\begin{pmatrix}
0 \\ 20 \\
\end{pmatrix}
\begin{pmatrix}
28 \\ 21 \\
\end{pmatrix}
\begin{pmatrix}
4-shs \\ 4-shs \\
\end{pmatrix}
\begin{pmatrix}
25 \\ 24 \\
\end{pmatrix}
\begin{pmatrix}
4-shs \\ 4-shs \\
\end{pmatrix}
\]

**Claim 1:** There are no shares \( x \in \left[\frac{23}{44}, \frac{24}{44}\right]\).

If there was such a share then buddy is in \( \left[\frac{20}{44}, \frac{21}{44}\right]\).

The following picture captures what we know so far.

\[
\begin{pmatrix}
20 \\ 19 \\
\end{pmatrix}
\begin{pmatrix}
0 \\ 20 \\
\end{pmatrix}
\begin{pmatrix}
8 \\ 21 \\
\end{pmatrix}
\begin{pmatrix}
S4-shs \\ S4-shs \\
\end{pmatrix}
\begin{pmatrix}
0 \\ 23 \\
\end{pmatrix}
\begin{pmatrix}
20 \\ 24 \\
\end{pmatrix}
\begin{pmatrix}
L4-shs \\ L4-shs \\
\end{pmatrix}
\begin{pmatrix}
25 \\ 24 \\
\end{pmatrix}
\]

S4 = Small 4-shares
L4 = Large 4-shares. L4 shares, 5-share: **buddies**, so \(|L4|=20\).
Claim 2: Every 4-student has at least 3 L4 shares.

If a 4-student had \( \leq 2 \) L4 shares then he has

\[
< 2 \times \left( \frac{23}{44} \right) + 2 \times \left( \frac{25}{44} \right) = \frac{24}{11}.
\]
Claim 2: Every 4-student has at least 3 L4 shares.

If a 4-student had \( \leq 2 \) L4 shares then he has

\[
< 2 \times \left( \frac{23}{44} \right) + 2 \times \left( \frac{25}{44} \right) = \frac{24}{11}.
\]

Contradiction: Each 4-student gets \( \geq 3 \) L4 shares. There are \( s_4 = 7 \) 4-students. Hence there are \( \geq 21 \) L4-shares. But there are only 20.
INT Technique

INT is generalization of $f(24, 11) \leq \frac{19}{44}$ proof.

**Definition:** Let $\text{INT}(m, s)$ be the bound obtained.

1. INT proofs can get more complicated than this one.
2. $\text{INT}(m, s)$ can be computed in $O\left(\frac{2m\log m}{s}\right)$. Note: do not need to know the answer ahead of time.
3. For $1 \leq s \leq 60$, $s < m \leq 70$, $m, s$ rel prime:
   3.1 There are 1360 cases total.
   3.2 For 927 of the $(m, s)$, $f(m, s) = \text{FC}(m, s)$. $\sim 68\%$
   3.3 For 268 of the $(m, s)$, $f(m, s) = \text{INT}(m, s)$. $\sim 20\%$
   3.4 The cases not covered use **interesting** new techniques!
Example of GAPS Technique: $f(31, 19) \leq \frac{54}{133}$

We show $f(31, 19) \leq \frac{54}{133}$. Assume $(31, 19)$-procedure with smallest piece $> \frac{54}{133}$. By INT-technique methods obtain:

$s_3 = 14, s_4 = 5.$

\[
\begin{pmatrix}
54/133 & 55/133 & 59/133 & 74/133 & 78/133 & 79/133 \\
20 4-shs & 0 & S3 shs & 0 & 20 L3-shs
\end{pmatrix}
\]

We just look at the 3-shares:

\[
\begin{pmatrix}
59/133 & 74/133 & 78/133 & 79/133 \\
S3 shs & 0 & 20 L3-shs
\end{pmatrix}
\]
**GAPS Technique:**  \( f(31, 19) \leq \frac{54}{133} \)

\[
\begin{pmatrix}
\frac{59}{133} & \text{S3 shs} & 0 \\
\frac{74}{133} & & \\
\frac{78}{133} & & \frac{79}{133} & 20 \text{ L3-shs}
\end{pmatrix}
\]

1. \( J_1 = (\frac{59}{133}, \frac{66.5}{133}) \)
2. \( J_2 = (\frac{66.5}{133}, \frac{74}{133}) \) (\(|J_1| = |J_2|\))
3. \( J_3 = (\frac{78}{133}, \frac{79}{133}) \) (\(|J_3| = 20\))

**Note:** Split the shares of size 66.5 between \( J_1 \) and \( J_2 \).

**Notation:** An \( e(1, 1, 3) \) students is a student who has a \( J_1 \)-share, a \( J_1 \)-share, and a \( J_3 \)-share.

Generalize to \( e(i, j, k) \) easily.
GAPS Technique: \( f(31, 19) \leq \frac{54}{133} \)

1. \( J_1 = \left( \frac{59}{133}, \frac{66.5}{133} \right) \)
2. \( J_2 = \left( \frac{66.5}{133}, \frac{74}{133} \right) \) (\(|J_1| = |J_2|\))
3. \( J_3 = \left( \frac{78}{133}, \frac{79}{133} \right) \) (\(|J_3| = 20\))

1) Only students allowed: \( e(1, 2, 3), e(1, 3, 3), e(2, 2, 2), e(2, 2, 3) \). All others have either \(< \frac{31}{19}\) or \(> \frac{31}{19}\).

2) No shares in \([\frac{61}{133}, \frac{64}{133}]\). Look at \( J_1 \)-shares:
An \( e(1, 2, 3) \)-student has \( J_1 \)-share \(> \frac{31}{19} - \frac{74}{133} - \frac{79}{133} = \frac{64}{133} \).
An \( e(1, 3, 3) \)-student has \( J_1 \)-share \(< \frac{31}{19} - 2 \times \frac{78}{133} = \frac{61}{133} \).

3) No shares in \([\frac{69}{133}, \frac{72}{133}]\): \( x \in \left[ \frac{69}{133}, \frac{72}{133} \right] \implies 1 - x \in \left[ \frac{61}{133}, \frac{64}{133} \right] \).
GAPS Technique: $f(31, 19) \leq \frac{54}{133}$

1. $J_1 = (\frac{59}{133}, \frac{61}{133})$
2. $J_2 = (\frac{64}{133}, \frac{66.5}{133})$
3. $J_3 = (\frac{66.5}{133}, \frac{69}{133})$ ($|J_2| = |J_3|$)
4. $J_4 = (\frac{72}{133}, \frac{74}{133})$ ($|J_1| = |J_4|$)
5. $J_5 = (\frac{78}{133}, \frac{79}{133})$ ($|J_5| = 20$)

The following are the only students who are allowed.
e(1, 5, 5).
e(2, 4, 5),
e(3, 4, 5).
e(4, 4, 4).
GAPS Technique: $f(31, 19) \leq \frac{54}{133}$

$e(1, 5, 5)$. Let the number of such students be $x$.

$e(2, 4, 5)$. Let the number of such students be $y_1$.

$e(3, 4, 5)$. Let the number of such students be $y_2$.

$e(4, 4, 4)$. Let the number of such students be $z$.

1) $|J_2| = |J_3|$, only students using $J_2$ are $e(2, 4, 5)$ – they use one share each, only students using $J_3$ are $e(3, 4, 5)$ – they use one share each. Hence $y_1 = y_2$. We call them both $y$.

2) Since $|J_1| = |J_4|$, $x = 2y + 3z$.

3) Since $s_3 = 14$, $x + 2y + z = 14$.

$(2y + 3z) + 2y + z = 14 \implies 4(y + z) = 14 \implies y + z = \frac{7}{2}$. Contradiction.
GAPS Method

GAPS is generalization of $f(24, 11) \leq \frac{19}{44}$ proof.

1. GAPS proofs can get MUCH more complicated than this one.
2. GAPS needs to know the answer ahead of time. Can prob modify so that you do not.
3. GAPS fast in practice.
4. For $1 \leq s \leq 60$, $s < m \leq 70$, $m, s$ rel prime:
   4.1 There are 1360 cases total.
   4.2 For 927 of the $(m, s)$, $f(m, s) = \text{FC}(m, s)$. $\sim 68\%$
   4.3 For 268 of the $(m, s)$, $f(m, s) = \text{INT}(m, s)$. $\sim 20\%$
   4.4 For 165 of the $(m, s)$, $f(m, s)"=\text{GAPS}(m, s)$. $\sim 12\%$
   4.5 FC, INT, GAPS took care of ALL cases.

$f(m, s)"=\text{GAPS}(m, s)$: GAPS only verifies upper bounds.
No such thing as GAPS($m, s$), only GAPS($m, s, \alpha$).
ALGORITHM

1) Input($m, s$)
2) MATRIX($m, s, FC(m, s)$). If works DONE.
3) MATRIX($m, s, INT(m, s)$). If works DONE.
4) $\frac{a}{b} = \min\{FC(m, s), INT(m, s)\}$.
5) MATRIX($m, s, \frac{a-1}{b}$), MATRIX($m, s, \frac{a-2}{b}$) until get YES.
6) Now have $\frac{a-i}{b} \leq f(m, s) \leq \frac{a}{b}$. Do BINARY SEARCH with MATRIX to find likely answer $\alpha$ then do GAPS($m, s, \alpha$) to verify.

**Empirical Note:** In Step 5 $i = 1$ always returned YES.
More Is Known

1) For a fixed $s$, $m \geq \frac{s^3 + 2s^2 + s}{2} \implies f(m, s) = FC(m, s)$.

1-E) **Empirical:** $m \geq 0.63s^2 \implies f(m, s) = FC(m, s)$.

2) For all $m \geq s$, $f(m, s) \geq \frac{1}{3}$.

2-E) **Empirical:** 163 times (11%), 97-FC, 46-INT, 20-GAPS

3) Have formulas for $f(m, s)$ with $1 \leq s \leq 12$

3-F) **Future:** Working on using ML to derive procedures.

4) Have formulas for $f(3ad + a + d, 3ad + a)$ for $1 \leq d \leq 8$

4-F) **Future:** We have a bizarre conjecture about fml.

5) There is far more I could talk about.

5-E) **Empirical:** Far more than you want to know.
Open Questions *(Our Opinions)*

1) Is computing $f(m, s)$ poly in $\lg m, \lg s$? NP? *(No, No)*
2) Is computing $f(m, s)$ poly in $m, s$? NP? *(Yes, Yes)*
3) Is the algorithm shown here efficient? *(Yes)*
4) Does the algorithm we showed here always work? *(Yes)*
5) Are there other Upper Bound Techniques? *(Define “other”)*
6) Are there other Lower Bound Techniques? *(Define “other”)*
7) Does $f(m, s)$ only depend on $m/s$? *(Yes)*
7-F) Scott Huddleston claims proof. We are in contact.