

In this class, we are considering a point metric space  $(X, d)$

We have a distance function  $d: X \times X \rightarrow \mathbb{R}_+$  that

$$d(i, i) = 0 \text{ for all } i \in X$$

$$d(i, j) = d(j, i) \text{ for all } i, j \in X, \text{ i.e. it is symmetric}$$

$$d(i, j) \leq d(i, k) + d(k, j) \quad \forall i, j, k \in X, \text{ i.e., satisfies the triangle inequality.}$$

We represent finite metric spaces with  $(X, d)$  and e.g. can be represented by a symmetric matrix  $M$ . Usually to visualize metrics, we represent them by a graph  $G(d)$  where set  $X$  is the set of vertices of the graph and we

have an edge between  $i, j \in X$  with length  $d(i, j)$ . (note that the shortest-path of  $G$  is identical to the original metric  $d$ )

Conversely, given a graph  $G$  on  $n$  vertices endowed with lengths on the edges, we can get a natural metric  $d_G$  by setting, for every  $i, j \in V(G)$ , the distance  $d_G(i, j)$  to be the length of the shortest path between  $i$  and  $j$  in  $G$  (note that  $G$  is always undirected).

note that other than graphs,  $X$  can be a set of points in  $\ell_2$  (i.e. Euclidean space)

or in  $\ell_1$  (i.e. Manhattan metric), but we generally focus on Graph Metrics in this course (though we use graphs and metrics interchangeably in this course)

Given metric spaces  $(X, d)$  and  $(X', d')$ , a map  $f: X \rightarrow X'$  will be called an embedding (a distance-preserving embedding will be called isometric) embedding is a general term for any map from a metric into another

Why embedding? since we can embed a hard problem into line, trees, or even  $\ell_1$  for which we can solve the problems easily,

we focus on embedding of graph metrics into trees in this course

Embeddings with distortion: it is hard to get isometric embedding thus we consider "close" distorted embedding instead.

Given two metrics  $(X, d)$  and  $(X, d')$  and a map  $f: X \rightarrow X'$ , the contraction of  $f$  is the maximum factor by which distances are shrunk, i.e.,

$$\max_{x, y \in X} \frac{d(x, y)}{d'(f(x), f(y))},$$

the expansion or stretch of  $f$  is the maximum factor by which distances are stretched:

$$\max_{x, y \in X} \frac{d'(f(x), f(y))}{d(x, y)}.$$

The distortion of  $f$ ,  $\text{dis}_f$ , is the product of the contraction and the expansion.

A very useful property of distortion is that it is invariant under scaling. Hence in many arguments we assume that the embedding is non-contractive and thus  $\text{dis}_f$  is just its expansion, i.e.

$$d(x, y) \leq d'(f(x), f(y)) \leq \alpha d(x, y), \text{ where } \alpha \text{ is the distortion.}$$

It would be ideal if we could always embed into a tree with low distortion but it is not possible always. e.g. see  $C_n$  a cycle of length  $n$  needs distortion

However much better results can be obtained if one wants to embed the given metric  $(X, d)$  into a distribution of trees, instead of one tree, so that the expected distances are preserved.

Formally, we say a metric  $(X, d)$  embeds probabilistically into a distribution  $D$  of trees with distortion  $\alpha$ :

- Each tree  $T = (V_T, E_T)$  in the support of the distribution  $D$  contains the points of the metric; i.e.  $X \subseteq V_T$ . Furthermore, the distances in  $T$  dominate those in  $d$ ; i.e.,  $d_T(x, y) \geq d(x, y)$  for all  $x, y \in X$ .

$$\text{support of } D = \{T_1, T_2, \dots, T_k\} \rightarrow k \text{ in } \Theta(n \ln n)$$

$$\text{probability: } p_1, p_2, \dots, p_k$$

- Given a pair of vertices  $x, y \in X$ , the expected distance is not too much larger than  $d(x, y)$  i.e.,  $E[d_T(x, y)] = p_1 d_{T_1}(x, y) + p_2 d_{T_2}(x, y) + p_3 d_{T_3}(x, y) + \dots + p_k d_{T_k}(x, y) \leq \alpha d(x, y)$

For example: consider a cycle  $C_n$  of unit length edges:  $D$  can be obtained by taking each tree obtained from deleting an edge. Then  $\alpha$  is  $1 \frac{n-1}{n} + n-1 \frac{1}{n} = 2(1 - \frac{1}{n})$ . seems very good

A place to network and exchange ideas.

Bartal first showed  $\alpha$  is in  $O(\log^2 n)$ , then he showed  $O(\log n \lg \log n)$   
 Fakcharoenphol, Rao and Talwar (FRT) ~~improved~~ improved the result above to  $O(\log n)$ . This tight even for very simple graphs.

[Note that at the beginning  $X$  can be a strict <sup>proper</sup> subset of  $V_T$ .  
 (by a result of Anupam Gupta '01, we can remove steiner nodes ( $V_T - X$ ) by paying an extra constant distortion  $\delta$ )

but still the edge sets and their lengths can be quite different from  $G$ .  
 However Elkin, Ene, Spielman, Teng showed that  $\alpha$  is in  $O(\log^2 n \lg \log n)$  even when the support of  $D$  is from the spanning subtrees of  $G$  (note that the domination is trivial then). Again the lower bound is  $\Omega(\log n)$  but improving the gap is a very important open problem (the conjecture is  $\Theta(\log n)$ ).

Usually working with EEST'05 is easier, but we usually can improve the bounds by using FRT'03. The technique of proving FRT is important and quite simple and useful. Thus, we present it in the next session. Now let's see some applications.

[Find other Applications as an exercise and a homework]  $= O(\log n \cdot \log \log n (\lg \lg \log n)^3)$  in FOCS'08

First example: Steiner tree: given a set of vertices (terminals)  $t_1, t_2, \dots, t_n$  connect them to a root  $s$  in a graph  $G$  in which each edge  $e$  has a buying cost  $c_e$ .

- First metric  $(X, b)$  should be a metric. Otherwise make it a metric!!!
- Use EEST, you have a set of trees in each tree there is a unique path from each  $t_i$  to  $s$ , buy ~~all~~ the edges on these path.
- output the solution with minimum total cost  $C$  (among  $k$  solutions of  $k$  trees in  $D$ )  

$$C = \sum_{\text{in tree } T_C} c_e$$

We prove  $C \leq \log^2 \log n \cdot \text{OPT}_G$ .  
 [Note that since  $T_C$  is spanning subgraph of  $G$ , we have already a solution to  $G$  correct in EEST'05 but not FRT'03]

Let  $opt_G$  be the solution (and its cost) in  $G$ . Now for each edge  $e=(u,v)$  of  $opt_G$  we map that edge to the unique path between  $u,v$  in  $T_C$  and any tree  $T_i$  where  $i \neq C$ .  
 Note that we obtain a solution  $opt_i$  in each tree  $T_i$  where  $opt_i \geq C_i \geq C$  (and by search edge).  
 Now: we want to prove  $C \leq \ln^2 \sum_{i=1}^k p_i opt_i$ .

$$(\ln^2 \sum_{i=1}^k p_i) opt(G) \geq \sum_{i=1}^k p_i opt_i \geq \sum_{i=1}^k p_i C_i \geq \sum_{i=1}^k p_i C \geq C \sum_{i=1}^k p_i = C \quad \checkmark \text{ (A)}$$

this is optimum

Now if we use FRT instead  $C$  might be not a solution in  $G$  since edges of  $T_C$  are not present in  $G$ , but the again smear edges of  $C$  via shortest paths in  $G$ .

The solution can only goes down since  $d_{T_C}(x,y) \geq d_G(x,y)$ , i.e. expansiveness of the embedding.  
 But then we are done (using the argument above). Indeed usually we can use EESTF FRT interchangeably as long as we can compare  $opt_G$  with  $C_T$ .

The above gives  $O(\ln)$  approximation. indeed it works for a more general case when we want to connect  $(s_i, t_i)$  pairs. called the generalized steiner tree or steiner forest.

In deed ~~we can~~ we can obtain constant approximation for both of these **1.38** and  $2 \frac{1}{n}$  in order (we see in next sessions).

For directed graphs we can't use this technique, since it is not collect. Indeed the best approximation is  $n^\epsilon$  for directed steiner tree and there is a hardness of  $\Omega(2^{1/n})$  for directed generalized steiner tree. (open to obtain poly(log))

Group Steiner tree: Gives sets  $S_1, S_2, \dots, S_p$  of vertices of  $G$ , find a tree that connects at least one vertex of  $S_1$ , one vertex  $S_2, \dots$ , one vertex of  $S_p$ .

Again use the probabilistic embedding: use this nontrivial result.  
 Thm: Group steiner tree on trees can be approximated within a factor  $O(\ln^2)$ .

Again consider  $opt_T$ , and you can see that it give a solution which is  $O(\ln)$  larger in expectation on each trees (note that the optimum solution on trees can be embedded in to the original graph with at most the same cost). Thus again we have equation (A) above.