

## Lecture 12

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## 1 Introduction

Our goal is to construct an adaptively-secure non-interactive zero-knowledge (NIZK) proof system for any language in NP; we will do so in several steps. We first define the hidden-bits model, and show how to transform any NIZK proof system for a language  $L$  in the hidden-bits model into an NIZK proof system for  $L$  in the common random string model, using trapdoor permutations. We will then construct an NIZK proof system for any language in NP in the hidden-bits model.<sup>1</sup> Our exposition draws from the work of Feige, Lapidot, and Shamir [6, 2, 1, 3] and also the presentation of [4, Section 4.10].

### 1.1 From the Hidden-Bits Model to the CRS model

We begin with a quick review of the definitions at hand.

**Definition 1** A pair of PPT algorithms  $(\mathcal{P}, \mathcal{V})$  is a *non-adaptive NIZK proof system* for a language  $L \in \text{NP}$  in the *common random string (CRS)* model if:

1. Completeness: For all  $x \in L$  where  $|x| = k$  and all witnesses  $w$  for  $x$ ,

$$\Pr[r \leftarrow \{0, 1\}^{\text{poly}(k)}; \Pi \leftarrow \mathcal{P}(r, x, w) : \mathcal{V}(r, x, \Pi) = 1] = 1.$$

2. (Adaptive) Soundness: For any (unbounded) algorithm  $\mathcal{P}^*$ , the following is negligible:

$$\Pr[r \leftarrow \{0, 1\}^{\text{poly}(k)}; (x, \Pi) \leftarrow \mathcal{P}^*(r) : \mathcal{V}(r, x, \Pi) = 1 \wedge x \notin L].$$

3. Zero-knowledge: There exists a PPT algorithm  $\text{Sim}$  such the following ensembles are computationally indistinguishable for all PPT  $A$ :

$$\begin{aligned} (1) \quad & \{(x, w) \leftarrow A(1^k); r \leftarrow \{0, 1\}^{\text{poly}(k)}; \Pi \leftarrow \mathcal{P}(r, x, w) : (r, x, \Pi)\} \\ (2) \quad & \{(x, w) \leftarrow A(1^k); (r, \Pi) \leftarrow \text{Sim}(x) : (r, x, \Pi)\}, \end{aligned}$$

where  $x \in L$ ,  $|x| = k$ , and  $w$  is any witness for  $x$ .

◇

In the above,  $r$  is called the *common random string*.

<sup>1</sup>We focus on the case of *non-adaptive* NIZK. However, careful examination of the constructions show that we actually end up with *adaptively-secure* NIZK without any additional modifications.

**Definition 2** A pair of PPT algorithms  $(\mathcal{P}, \mathcal{V})$  is a *non-adaptive NIZK proof system* for a language  $L \in \text{NP}$  in the “hidden-bits” model if:

1. Completeness: For all  $x \in L$  where  $|x| = k$  and all witnesses  $w$  for  $x$ ,

$$\Pr[b \leftarrow \{0, 1\}^{\text{poly}(k)}; (\Pi, I) \leftarrow \mathcal{P}(b, x, w) : \mathcal{V}(\{b_i\}_{i \in I}, I, x, \Pi) = 1] = 1.$$

2. (Adaptive) Soundness: For any (unbounded) algorithm  $\mathcal{P}^*$ , the following is negligible:

$$\Pr[b \leftarrow \{0, 1\}^{\text{poly}(k)}; (x, \Pi, I) \leftarrow \mathcal{P}^*(b) : \mathcal{V}(\{b_i\}_{i \in I}, I, x, \Pi) = 1 \wedge x \notin L].$$

3. Zero-knowledge: There exists a PPT algorithm  $\text{Sim}$  such the following ensembles are computationally indistinguishable for any PPT  $A$ :

- (1)  $\{(x, w) \leftarrow A(1^k); b \leftarrow \{0, 1\}^{\text{poly}(k)}; (\Pi, I) \leftarrow \mathcal{P}(b, x, w) : (\{b_i\}_{i \in I}, I, x, \Pi)\}$
- (2)  $\{(x, w) \leftarrow A(1^k); (\{b_i\}_{i \in I}, I, \Pi) \leftarrow \text{Sim}(x) : (\{b_i\}_{i \in I}, I, x, \Pi)\}$ ,

where  $x \in L$ ,  $|x| = k$ , and  $w$  is any witness for  $x$ .

◇

In the above,  $b$  is called the *hidden-bits string* and the  $\{b_i\}_{i \in I}$  are the *revealed bits*. We denote the latter by  $b_I$  for brevity.

Let  $(\mathcal{P}'', \mathcal{V}'')$  be a non-adaptive NIZK proof system for  $L \in \text{NP}$  in the hidden-bits model. First, we convert the system into one with a precise bound on the soundness error; this will be useful in the analysis of our main transformation. The idea is to run the given system enough times in parallel. Assume that on input  $x$  of length  $k$ ,  $(\mathcal{P}'', \mathcal{V}'')$  uses a hidden-bits string of length  $p(k)$ , for some polynomial  $p$ . Define  $(\mathcal{P}', \mathcal{V}')$  as follows<sup>2</sup>:

$\mathcal{P}'(b = b_1 \cdots b_{2k}, x, w) \quad // \quad b_j \in \{0, 1\}^{p(k)}$   
 For  $j = 1$  to  $2k$ , do  
    $(\Pi_j, I_j) \leftarrow \mathcal{P}''(b_j, x, w);$   
 Let  $\Pi = \Pi_1 | \cdots | \Pi_{2k}$  and  $I = \cup_{j=1}^{2k} I_j$   
 Output  $\Pi, I$ .

$\mathcal{V}'(b_I, I, x, \Pi)$   
 parse  $\Pi$  as  $\Pi_1 | \cdots | \Pi_{2k}$  and  $I$  as  $\cup_{j=1}^{2k} I_j$  (for simplicity, we assume this can be done easily, in some uniquely-specified way)  
 If  $\mathcal{V}''(b_{I_j}, I_j, x, \Pi_j) = 1$  for all  $1 \leq j \leq 2k$  then  
   output 1;  
 else, output 0.

**Claim 1** *If  $(\mathcal{P}'', \mathcal{V}'')$  is a non-adaptive NIZK proof system for  $L$  in the hidden-bits model, then  $(\mathcal{P}', \mathcal{V}')$  is a non-adaptive NIZK proof system for  $L$  in the hidden-bits model with soundness error at most  $2^{-2k}$ .*

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<sup>2</sup>We will slightly abuse the notation here, formatting the inputs and outputs of the prover and verifier in a manner that strays from the one specified in the definition, for clarity; this is purely syntactic.

In the previous lecture, we proved a substantially similar result; we therefore omit proof here.

We would now like to convert  $(\mathcal{P}', \mathcal{V}')$  into a non-adaptive NIZK proof system for  $L$  in the CRS model. The idea is to use the CRS to “simulate” the hidden-bits string. This is done by treating the CRS as a sequence of images of a one-way trapdoor permutation, and setting the hidden-bits string to be the hard-core bits of the respective pre-images. By letting the prover have access to the trapdoor, he is able to “see” the hidden-bits and also to reveal bits in positions of his choosing.

As before, assume that  $(\mathcal{P}', \mathcal{V}')$  uses a hidden-bits string of length  $p(k)$  on security parameter  $k$ . Let algorithm  $\text{Gen}$  be a key-generation algorithm for a trapdoor permutation family which, on input  $1^k$ , outputs permutations over  $\{0, 1\}^k$ . Define  $(\mathcal{P}, \mathcal{V})$  as follows:

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 $\mathcal{P}(r = r_0 | \dots | r_{p(k)}, x, w) \quad // \ r_i \in \{0, 1\}^k$ 
 $(f, f^{-1}) \leftarrow \text{Gen}(1^k);$ 
For  $i = 1$  to  $p(k)$  do
 $b_i = r_0 \cdot f^{-1}(r_i); \quad // \text{“} \cdot \text{” denotes the dot product.}$ 
 $(\Pi, I) \leftarrow \mathcal{P}'(b_1 \dots b_{p(k)}, x, w);$ 
Output  $(\Pi, I, \{f^{-1}(r_i)\}_{i \in I}, f)$ .
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 $\mathcal{V}(r, x, (\Pi, I, \{z_i\}_{i \in I}, f))$ 
For all  $i \in I$ 
If  $f(z_i) = r_i$  then
let  $b_i = r_0 \cdot z_i;$ 
else stop and output 0;
Output  $\mathcal{V}'(\{b_i\}_{i \in I}, I, x, \Pi)$ .
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Note that  $b_i$  is computed as in the Goldreich-Levin construction [5], and is a hard-core bit for  $f$ . This particular hardcore-bit construction is used, as it guarantees that the “simulated” hidden bits are uniform with all but negligible probability (as opposed to just negligibly close to uniform when we use a general hardcore bit construction). This follows from that fact that  $r_0 \cdot y = 0$  for precisely half of the strings  $y \in \{0, 1\}^k$ , and from the fact that  $f^{-1}(r_i)$  is uniform in that set, as  $r_i$  is uniform and  $f$  is a permutation. (Of course, this assumes  $r_0 \neq \{0, 1\}^k$ , which occurs with all but negligible probability.)

**Claim 2**  $(\mathcal{P}, \mathcal{V})$  is a non-adaptive NIZK proof system for  $L$  in the CRS model.

**Sketch of Proof** (Informal) A full proof appears in the previous lecture, so we just remind the reader of the highlights here. Completeness of the transformed proof system is easy to see, as the prescribed  $\mathcal{P}$  runs  $\mathcal{P}'$  as a subroutine. For soundness, consider first a *fixed* trapdoor permutation  $(f, f^{-1})$ . As argued above, this (with all but negligible probability) results in a uniformly-random string  $b$  as seen by a cheating prover. So, soundness of the original proof system implies that a prover can only cheat, using this  $(f, f^{-1})$ , with probability at most  $2^{-2k}$ . But a cheating prover can choose whatever  $(f, f^{-1})$  he likes! However, summing over all  $2^k$  possible choices of  $(f, f^{-1})$  (we assume here **(a)** that legitimate output of  $\text{Gen}$  are easily decidable and **(b)** that  $\text{Gen}$  uses at most  $k$  random bits on security

parameter  $k$ ; see last lecture for further discussion) shows that the probability of cheating (e.g., finding a “bad”  $(f, f^{-1})$  that allows cheating) is at most  $2^{-k}$  over the choice of  $r$ .

For zero-knowledge, let  $\text{Sim}'$  be the simulator for  $(\mathcal{P}', \mathcal{V}')$ . Define  $\text{Sim}$  as follows:

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Sim(x)
  ( $\{b_i\}_{i \in I}, I, \Pi$ )  $\leftarrow$  Sim'(x);
  ( $f, f^{-1}$ )  $\leftarrow$  Gen( $1^k$ );
   $r_0 \leftarrow \{0, 1\}^k$ ; // assume  $r_0 \neq 0$ 
  For  $i \in I$  do
    Pick  $z_i \leftarrow \{0, 1\}^k$  subject to  $r_0 \cdot z_i = b_i$ ;
    Set  $r_i = f(z_i)$ ;
  For  $i \notin I, i \leq p(k)$  do
    Pick  $r_i \leftarrow \{0, 1\}^k$ ;
  Output  $(r = r_0 | \dots | r_{p(k)}, (\Pi, I, \{z_i\}_{i \in I}, f))$ .

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Intuitively,  $\text{Sim}$  runs  $\text{Sim}'$ , chooses  $f$ , then comes up with a CRS that is consistent with the  $b_i$ 's that  $\text{Sim}'$  produced. Note that  $\text{Sim}$  does not know the actual distribution of values for the “hidden bits” at positions  $i \notin I$ ; yet, informally, the security of the trapdoor permutation (and its hard-core bit) ensure that just choosing random  $r_i$  at those positions hides the underlying values at those positions anyway.

A complete proof was given in the previous lecture notes. □

## 2 NIZK for any $L \in NP$ in the Hidden-Bits Model

We now construct a non-adaptive NIZK proof system for a particular NP-Complete language  $L_0$  in the hidden-bits model. Note that this implies a similar result for *any*  $L \in NP$ : to obtain a system for any  $L \in NP$ , simply reduce  $L$  to  $L_0$  and proceed with the proof system shown below. Soundness, completeness, and zero-knowledge are all clearly preserved.

Specifically, the language  $L_0$  we consider is Graph Hamiltonicity:

$$L_0 = \{G \mid G \text{ is a directed graph with a Hamiltonian cycle}\}$$

(recall that a Hamiltonian cycle in a graph is a sequence of edges that forms a cycle and passes through every vertex exactly once). In our construction, a graph with  $n$  vertices will be represented as an  $n$  by  $n$  boolean matrix, such that entry  $(i, j)$  in the matrix is 1 iff there is an edge from vertex  $i$  to vertex  $j$  (this is the standard *adjacency matrix* representation). In such representation, an  $n$ -vertex graph can be identified with a string of length  $n^2$ .

For now, we will make the assumption that the hidden-bits string is drawn from a *non-uniform* distribution: instead of being drawn uniformly over strings of length  $n^2$ , we assume it is drawn uniformly from strings of length  $n^2$  representing “cycle graphs” (i.e., directed graphs consisting only of a single Hamiltonian cycle). We will show later how to remove this assumption. Given this assumption, define  $(\mathcal{P}, \mathcal{V})$  as follows:

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P(b, G, w) // b represents a (random) cycle graph; w is a Hamiltonian cycle in G
  Choose a permutation  $\pi$  on the vertices of  $G$  at random from those  $\pi$  that
  map  $w$  onto the directed edges of  $b$ ;

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(Imagine “overlying”  $G$  onto  $b$  such that the cycle  $w$  in  $G$  lies on top of the cycle in  $b$ )

Let  $I$  be the set of positions in  $b$  corresponding (under  $\pi$ ) to *non-edges* in  $G$   
Output  $\pi$  and  $I$ .

$\mathcal{V}(\{b_i\}_{i \in I}, I, G, \pi)$

Verify that  $\pi$  is a permutation, and that  $I$  contains all positions in  $b$  corresponding (under  $\pi$ ) to non-edges in  $G$

If all the revealed bits at those positions are 0, accept; otherwise, reject.

**Claim 3**  $(\mathcal{P}, \mathcal{V})$  is a non-adaptive NIZK proof system for  $L_0$  in the “hidden-bits” model.

**Sketch of Proof** (Informal) Completeness clearly holds. We show that soundness holds with probability 1 (i.e., it is impossible for the prover to cheat). Let  $G$  be a graph and assume the verifier accepts. We know that the hidden-bits string  $b$  is guaranteed to be a cycle graph, by assumption on the distribution of  $b$ . If the verifier accepts, there must be a permutation  $\pi$  under which every non-edge of  $G$  corresponds to a non-edge (i.e., “0”) in  $b$ . But this means, by contrapositive, that every edge (“1”) in  $b$  corresponds to an edge in  $G$ . But since the edges in  $b$  form a cycle, this means there must be a cycle in  $G$  as well, and hence  $G \in L_0$ .

To prove zero-knowledge, define  $\text{Sim}$  as follows:

$\text{Sim}(G)$

Pick a random permutation  $\pi$  on the vertices of  $G$ ;

Let  $I$  be the set of positions corresponding (under  $\pi$ ) to *non-edges* in  $G$

Set the values of all “revealed bits”  $b_I$  to 0

Output  $\pi$ ,  $b_I$ , and  $I$

In fact, this gives a *perfect* simulation of  $\mathcal{P}$  (although seeing this takes some thought). To see why, let  $G \in L_0$  (recall that simulation only needs to work for statements in the language) and consider the distribution over  $(\pi, I, b_I)$  in the real-world. Since  $b$  is a random cycle graph, and  $\pi$  is a random permutation mapping the cycle in  $G$  to the cycle in  $b$ , this means that  $\pi$  is in fact a random permutation.  $I$  is a set of positions to which the non-edges of  $G$  are mapped under  $\pi$ . Finally, the  $b_I$  are all 0. But this is exactly the distribution produced by the simulator.  $\square$

## References

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