

In Section 4.6.3 we described a “birthday attack” for finding a collision in an arbitrary hash function. If the output length of the hash function is  $\ell$  bits, the attack finds a collision with constant probability using  $\Theta(2^{\ell/2})$  hash-function evaluations. A drawback of that approach, however, is that the attack also requires storage of  $\Theta(2^{\ell/2})$  hash outputs. Here, we describe an improved attack using roughly the same time as before, but storing only a *constant* number of hash outputs.

The basic idea is similar to one used also (in a different context) in Section 8.1.2. The attack starts by choosing a random initial value  $x_0 \in \{0, 1\}^{\ell+1}$  and then, for  $i = 1, \dots$ , computing  $x_i := H(x_{i-1})$  and  $x_{2i} := H(H(x_{2(i-1)}))$ . (Note that  $x_i = H^i(x_0)$  for all  $i$ , where  $H^i$  here refers to  $i$ -fold iteration of  $H$ .) In each step the values  $x_i$  and  $x_{2i}$  are compared; if they are equal, there is a collision somewhere in the sequence  $x_0, x_1, \dots, x_{2i-1}$  and the algorithm runs a sub-routine to find it. (This step is described in more detail below.) The key point is that this algorithm only requires storage of the two hash values  $x_i$  and  $x_{2i}$  in each iteration.

We formally describe the algorithm and then give a complete analysis.

**ALGORITHM 0.1**

**A small-space birthday attack**

**Input:** A hash function  $H : \{0, 1\}^* \rightarrow \{0, 1\}^\ell$

**Output:** Distinct  $x, x'$  with  $H(x) = H(x')$

$x_0 \leftarrow \{0, 1\}^{\ell+1}$

$x' := x := x_0$

**for**  $i = 1$  to  $2^{\ell/2} + 1$ :

$x := H(x)$

$x' := H(H(x'))$

    // Now  $x = H^i(x_0)$  and  $x' = H^{2i}(x_0)$

**if**  $x = x'$  **break**

**if**  $x \neq x'$  **return fail**

$x' := x, x := x_0$

**for**  $j = 1$  to  $i$ :

**if**  $H(x) = H(x')$  **return**  $x, x'$  and **halt**

**else**  $x := H(x), x' := H(x')$

        // Now  $x = H^j(x_0)$  and  $x' = H^{j+i}(x_0)$

Let  $q = 2^{\ell/2} + 1$  be the upper bound on the number of iterations run by the algorithm. Consider the sequence of values  $x_1, \dots, x_q$ , where  $x_i = H^i(x_0)$ . If we model  $H$  as a random function, each of these values is uniformly and independently distributed in  $\{0, 1\}^\ell$  until the first repeat occurs. (If  $x_i = x_j$  then we must have  $x_{j+1} = x_{i+1}$ .) Using the same analysis as in Lemma A.10, with probability greater than  $1/4$  there is some repeat in this sequence; we show that whenever such a repeat is present, our algorithm finds a collision.

Assume there is some repeated value in the sequence  $x_1, \dots, x_q$ . The following holds (cf. Claim 8.2):

**CLAIM 0.2** *Let  $x_1, \dots, x_q$  be a sequence of values with  $x_m = H(x_{m-1})$ . If  $x_I = x_J$  with  $I < J$ , then there exists an  $i < J$  such that  $x_i = x_{2i}$ .*

**PROOF** If  $x_I = x_J$ , then the sequence  $x_I, x_{I+1}, \dots$  repeats with period  $J - I$ . (That is, for all  $i \geq I$  and integers  $\delta \geq 0$  it holds that  $x_i = x_{i+\delta(J-I)}$ .) Take  $i$  to be the smallest multiple of  $J - I$  that is greater than or equal to  $I$ ; that is,  $i \stackrel{\text{def}}{=} (J - I) \cdot \lceil I/(J - I) \rceil$ . We must have  $i < J$  since the sequence  $I, I + 1, \dots, I + (J - I - 1)$  contains a multiple of  $J - I$ . Since  $2i - i = i$  is a multiple of the period and  $i \geq I$ , it follows that  $x_i = x_{2i}$ . ■

By the claim above, if there is a repeated value in the sequence  $x_1, \dots, x_q$  then there is some  $i < q$  for which  $x_i = x_{2i}$ . But that means that in iteration  $i$  of our algorithm, we have  $x = x'$  and the algorithm breaks. Next, the algorithm sets  $x' := x (= x_i)$  and  $x := x_0$ . The algorithm then proceeds until it finds the *smallest*  $j \geq 0$  for which  $x_j = x_{j+i}$ , and outputs  $x_{j-1}, x_{j+i-1}$  as a collision. (Note  $j \neq 0$  because  $|x_0| = \ell + 1$  and  $|x_i| = \ell$  and hence  $x_0 \neq x_i$ .) Such a  $j$  exists because taking  $j = i$  works. By construction  $H(x_{j-1}) = H(x_{j+i-1})$ , and  $x_{j-1} \neq x_{j+i-1}$  by minimality of  $j$ .