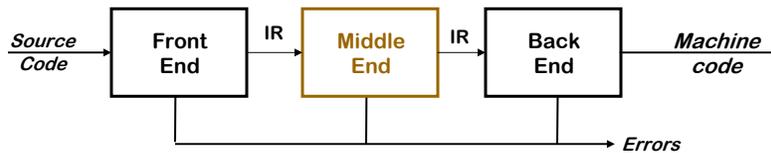


Traditional Three-pass Compiler



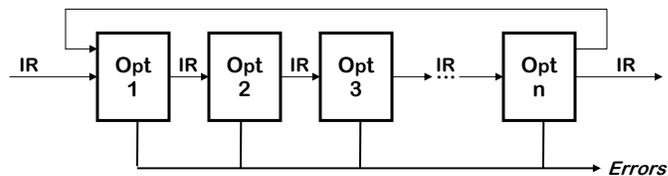
Code Improvement (or Optimization)

- Analyzes IR and rewrites (or transforms) IR
- Primary goal is to reduce running time of the compiled code
 - > May also improve space, power consumption, ...
- Must preserve “meaning” of the code
 - > Measured by values of named variables
 - > A course (or two) unto itself

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The Optimizer (or Middle End)



Modern optimizers are structured as a series of passes

Typical Transformations

- Discover & propagate some constant value
- Move a computation to a less frequently executed place
- Specialize some computation based on context
- Discover a redundant computation & remove it
- Remove useless or unreachable code
- Encode an idiom in some particularly efficient form

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Why are optimizers needed?

Reduce programmer effort

- automatically generate efficient code
- less work for programmer
- below “optimal” hand-optimized code

Undo high-level abstractions

- some optimizations not possible for language
- flatten control flow to branches
- convert method lookups to subroutine calls
- map data structures to addresses

Maintain performance portability

- performance depends on architecture
- optimizations by programmer too specific
- compiler can customize program for processor

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Code optimizations

Reduce execution time

- historically, to avoid assembly coding
- support higher levels of abstraction
- support more complex processors
- important applications: science, databases

Reduce space

- historically, small expensive memories may trade space for speed
- space may reduce speed (caches)
- new areas: internet applets, embedded processors

Level of optimization

- source code
- intermediate representation
- binary machine code
- at run-time

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Code optimization

How can optimizations improve code quality?

Machine-independent transformations

- remove unnecessary computations
- simplify control structures
- move code to a less frequently executed place
- specialize some general purpose code
- find useless code and remove it
- expose opportunities (enable) for other optimizations

Machine-dependent transformations

- replace complex operation with simpler one
- exploit special instructions
- exploit memory hierarchy (registers, cache)

Types of optimization

- classical - reduce the number/cost of instructions execute
- register allocation - keep values in registers, eliminate loads/stores
- instruction scheduling - hide instruction latency, exploit instruction-level parallelism
- data locality - keep data accesses in faster levels of memory hierarchy (registers, cache, TLB, memory)
- Multiprocessing - compute in parallel on multiple processors

Optimization framework

- ideally, maintain separation of concerns
- in practice, integrate optimization algorithms

Code optimization

Three considerations arise in applying a transformation.

- **Safety** - Does applying the transformation change the results of executing the code?
- **Profitability** - Is there a reasonable expectation that applying the transformation will improve the code?
- **Opportunity** - Can we efficiently and frequently find places to apply optimization?

Need a clear understanding of these issues.

Profitability is particularly tricky...

Learn how the compiler decides when transformations will be applicable, safe, and profitable.

Classical transformation examples

- **Assumption:** Anything executed once takes no time. Gains achieved by looping at program loops.
- Break program into straightline code segments, bounded by branches (**Basic Blocks**)
- Connect basic blocks together into a program graph
- Find innermost loop
- Find loop invariant expressions and move them to head of loop
- Find common subexpressions and combine them
- Move loop invariant expressions out of loop
- Repeat process with next outer loop

Classical Transformation Examples

- Unreachable code - eliminate code not reached during program execution

```
goto L:  
unreachable code  
L: (Delete all this code)
```

- Control-flow simplification - remove jumps to jumps by analyzing targets of jumps

```
goto L  
code (goto M)  
L: goto M  
code  
M:
```

- Algebraic simplification - simplify arithmetic expressions by analyzing expression trees

```
A := 0  
C := B + A (C:=B)
```

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Classical Transformation Examples

- Constant folding - replace constant expressions with result

```
A := 5  
B := 6  
C := B + A (C:=11)
```

- Idiom recognition - replace operations with less expensive idioms

```
B := A * 16 (Shift right)  
D := B / 4
```

- Available expressions - reuse values always available

```
C := B + A  
D := B + A (D:=C)
```

- Dead code elimination - eliminate unnecessary computations

```
A := 5 (Delete this statement)  
A := 6
```

- Copy propagation - propagate names into copy instructions

```
B := A (Delete this statement)  
C := B (C:=A)
```

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Classical Transformation Examples

- Procedure integration
call S(A,B) *(expand as inline code)*
- Loop unrolling
for l:= 1 to 100;
 A[l] := A[l] + B[l];
end;
becomes
 for l:= 1 to 100 by 2;
 A[l] := A[l] + B[l];
 A[l+1] := A[l+1] + B[l+1];
 end;
increases opportunity for parallelism (multiple processors or pipelining) but increases program size

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Classical Transformation Examples

- Jamming -- opposite of loop unrolling
for l:= 1 to 100;
 A[l] := A[l] + B[l];
end;
for l:= 1 to 100;
 C[l] := C[l] + D[l];
end;
becomes
 for l:= 1 to 100;
 A[l] := A[l] + B[l];
 C[l] := C[l] + D[l];
 end;
increases opportunity for parallelism (multiple processors or pipelining)
- Common subexpression elimination
 A:= B+C;
 D:= B+C;
becomes
 A:= B+C;
 D:= A;
reduces size of program, reduces execution time and can increase execution time of program (How?)

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Classical Transformation Examples

- Code motion - reduces execution of redundant instructions

```
while X<Y do
  A := B+C;
  X:= X+1;
end;
becomes
A := B+C;
while X<Y do
  X:= X+1;
end;
```

Basic blocks -- Fundamental concept for all code improvement algorithms --
sequence of code where control enters at top, exits at bottom,
no branch/halt except at end

- Construction algorithm (for 3-address code)
determine set of leaders
first statement
target of goto or conditional goto
statement following goto or conditional goto
- add to basic block all statements following leader up to next leader or end of program

```
A := 0           (Block 1)
if (<cond>) goto L
A := 1           (Block 2)
B := 1           (Block 2)
L: C := A        (Block 3)
```

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Scope of optimizations

Scope

- peephole --- across a few instructions
- local --- within basic block
- global --- across basic blocks
- interprocedural --- across procedures

Some optimizations may be applied locally or globally (e.g., dead code elimination):

```
A := 0   A := 0
A := 1   if (<cond>) goto L
B := A   A := 1
         B := A
```

Some optimizations require global analysis (e.g., loop-invariant code motion):

```
while (<cond>) do
  A := B + C
  foo(A)
end
```

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The Role of the Optimizer

- The compiler can implement a procedure in many ways
- The optimizer tries to find an implementation that is “better”
 - > Speed, code size, data space, ...

To accomplish this, it

- Analyzes the code to derive knowledge about run-time behavior
 - > Data-flow analysis, pointer disambiguation, ...
 - > General term is “static analysis”
- Uses that knowledge in an attempt to improve the code
 - > Literally hundreds of transformations have been proposed
 - > Large amount of overlap between them

Nothing “optimal” about optimization

- Proofs of optimality assume restrictive & unrealistic conditions

General optimization process

- Generate graph of program, based on basic blocks
 1. Compute live/dead analysis for all variables
 2. Redundancy
- Look for common subexpressions using liveness analysis to determine if variable values have been changed to see if two expressions have the same value

1. Live/dead variable analysis

- Determine path through a program where a variable's value does not change
- If a and b do not change, then the expression (a+b) anywhere along this path contains the same value
 - > Can compute (a+b) once and use computed value for each occurrence.
- If two variables (e.g., temporaries) are not used along the same path, they can share the same memory location or register
 - > Better use of registers
 - > Less storage to use

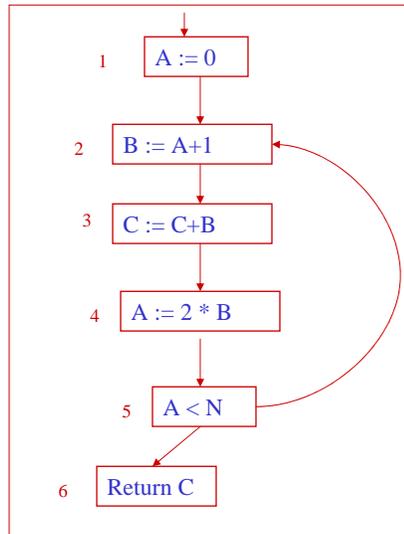
1. Definitions

- **Live** – a variable is live if its value will be used before the variable is redefined
- **Def** – The def of a variable is the set of graph nodes that define a value to that variable
- **Use** – The use of a variable is the set of graph nodes that access the value of a variable

- Def and Use vectors are a syntactic property of a program.
- Give the graph structure and the Def and Use vectors at each node of a program graph, you can compute the liveness of each variable.

1. Example liveness property

- B is live 2→4
 - > Live 1→3,
 - > Live 3→3,
 - > Live 3→6
- C is live on entry,
 - > Live 1→3,
 - > Live 3→3,
 - > Live 3→6
- A is live 1→2
 - > Live 4→2
- Example:
 - > Def(3) = {C}
 - > Use(3) = {B, C}
 - > Live(3) = {B, C}



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1. Computing liveness

- Live-in(n) – Variables live as input to block n
- Live-out(n) – Variables live as output from block n
- $in(n) = use(n) \cup (out(n) - def(n))$
- $out(n) = \cup in(s)$ for $s \in succ(n)$

Solving this set of equations for all n gives the liveness property for each variable (e.g., see page 225 of text).

- > Time to compute is: $O(N^4)$ as worst case
- > Usual time is $O(N)$ to $O(N^2)$

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2. Redundancy Elimination as an Example

An expression $x+y$ is redundant if and only if, along every path from the procedure's entry, it has been evaluated, and its constituent subexpressions (x & y) have not been re-defined.

If the compiler can prove that an expression is redundant

- It can preserve the results of earlier evaluations
- It can replace the current evaluation with a reference

Two pieces to the problem

- Proving that $x+y$ is redundant
- Rewriting the code to eliminate the redundant evaluation

One technique for accomplishing both is called value numbering

2. Data Flow Equations for Availability

- Constants
 - > DEF(b) — subexpressions defined in b and available on exit
 - > NOTKILLED(b) — subexpressions that are not killed in b
 - A subexpression is killed if either input is assigned to
- Computing AVAIL(b) — the set of expressions available on input to block b

$$\text{AVAIL}(b) = \bigcap_{p \text{ in Pred}(b)} (\text{DEF}(p) \cup (\text{AVAIL}(p) \cap \text{NOTKILLED}(p)))$$

- What is the starting value for AVAIL(b)?
- What is the problem with this formulation?

2. Value Numbering

A 1960's Idea

The key notion (Balke 1968 or Ershov 1954)

- Assign an identifying number, $V(n)$, to each expression
 - > $V(x+y) = V(j)$ iff $x+y$ and j have the same value \forall inputs
 - > Use hashing over value numbers to make it efficient
- Use these numbers to improve the code

Improving the code

- Replace redundant expressions
- Simplify algebraic identities
- Discover constant-valued expressions, fold & propagate them
- This technique was invented for low-level, linear IRs
- Equivalent methods exist for trees (build a DAG)

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2. Local Value Numbering

The algorithm

For each operation $o = \langle \text{operator}, o_1, o_2 \rangle$ in the block

- 1 Get value numbers for operands from hash lookup
- 2 Hash $\langle \text{operator}, VN(o_1), VN(o_2) \rangle$ to get a value number for o
- 3 If o already had a value number, replace o with a reference
- 4 If o_1 & o_2 are constant, evaluate it & replace with a load

If hashing behaves, the algorithm runs in linear time

- > If not, try multi-set discrimination

Handling algebraic identities

- Case statement on operator type
- Handle special cases within each operator

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2. Local Value Numbering

An example

Original Code

```
a ← x + y
* b ← x + y
a ← 17
* c ← x + y
```

With VNs

```
a3 ← x1 + y2
* b3 ← x1 + y2
a4 ← 17
* c3 ← x1 + y2
```

Rewritten

```
a3 ← x1 + y2
* b3 ← a3
a4 ← 17
* c3 ← a3 (oops!)
```

Two redundancies:

- Eliminate stmts with a *
- Coalesce results ?

Options:

- Use $c^3 \leftarrow b^3$
- Save a^3 in t^3
- Rename around it

2. Local Value Numbering

Example (continued)

Original Code

```
a0 ← x0 + y0
* b0 ← x0 + y0
a1 ← 17
* c0 ← x0 + y0
```

With VNs

```
a03 ← x01 + y02
* b03 ← x01 + y02
a14 ← 17
* c03 ← x01 + y02
```

Rewritten

```
a03 ← x01 + y02
* b03 ← a03
a14 ← 17
* c03 ← a03
```

Renaming:

- Give each value a unique name
- Makes it clear

Notation:

- While complex, the meaning is clear

Result:

- a_0^3 is available
- rewriting just works

Simple Extensions to Value Numbering

Constant folding

- Add a bit that records when a value is constant
- Evaluate constant values at compile-time
- Replace with load immediate or immediate operand
- No stronger local algorithm

Algebraic identities

- Must check (many) special cases
- Replace result with input VN
- Build a decision tree on operation

Identities: (Click)

$x \leftarrow y$, $x+0$, $x-0$, $x*1$, $x\div 1$, $x-x$, $x*0$,
 $x\div x$, $x\vee 0$, $x \wedge 0xFF\dots FF$,
 $\max(x, \text{MAXINT})$, $\min(x, \text{MININT})$,
 $\max(x,x)$, $\min(y,y)$, and so on ...

With values, not names

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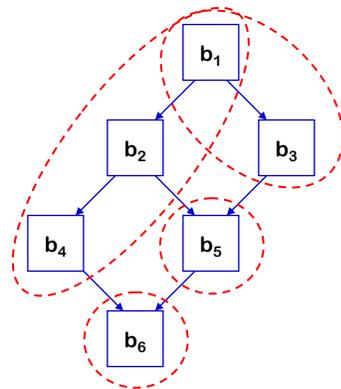
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Handling Larger Scopes

Extended Basic Blocks

- Initialize table for b_i with table from b_{i-1}
- With single-assignment naming, can use scoped hash table

Otherwise, it is complex



The Plan:

- Process b_1 , b_2 , b_4
- Pop two levels
- Process b_3 relative to b_1
- Start clean with b_5
- Start clean with b_6

Using a scoped table makes doing the full tree of EBBs that share a common header efficient.

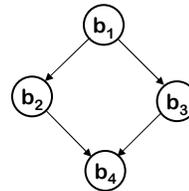
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Handling Larger Scopes

To go further, we must deal with merge points

- Our simple naming scheme falls apart in b_4
- We need more powerful analysis tools
- Naming scheme becomes SSA



This requires global data-flow analysis

“Compile-time reasoning about the run-time flow of values”

- 1 Build a model of control-flow
- 2 Pose questions as sets of simultaneous equations
- 3 Solve the equations
- 4 Use solution to transform the code

Examples: **LIVE, REACHES, AVAIL**

Constant Propagation

- Goal: Produce an algorithm that will propagate all constants in a procedure, replacing constant expressions with the result of evaluating the expression at compile time
- Strategy:
 - > Construct a graph that maps definitions to uses within a procedure — **def-use chains**
 - > Propagate constants forward from points of constant definitions along def-use chains
 - > Evaluate new constant expressions whenever they are identified
 - > Stop when no more constants are available
- Challenges
 - > Constructing def-use chains
 - > Identifying constant expressions

Constructing Def-Use Chains

- Perform REACHES calculation

$$\text{REACHES}(b) = \cup_{p \in \text{pred}(b)} \text{REACHESOUT}(p)$$

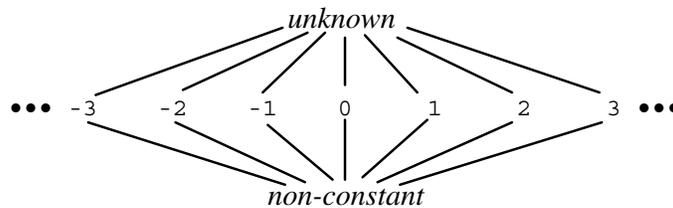
$$\text{REACHESOUT}(b) = \text{DEFSOUT}(b) \cup (\text{REACHES}(b) \cap \text{NOREDEF}(b))$$

- At each use of variable x , construct a DEF-USE chain to x from each definition y in REACHES at x
- Note: REACHES sets easy to propagate forward in basic blocks

Dead Code Elimination

```
worklist := {absolutely useful statements};
while worklist ≠ ∅ do begin
  x := an arbitrary element of worklist;
  worklist := worklist - {x};
  mark x useful;
  for all (y,x) ∈ DefUse do
    if y is not marked useful
      then worklist := worklist ∪ {y};
end
delete every statement that is not marked useful;
```

Constant Propagation Lattice



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Constant Propagation Algorithm

```

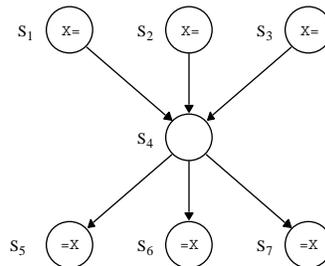
for all statements s in the program do begin
  for each output v of s do valout(v,s) := unknown;
  for each input w of s do
    if w is a variable then valin(w,s) := unknown;
    else valin(w,s) := the constant value of w;
  end
  worklist := {all statements of constant form, e.g., X = 5};
  while worklist ≠ ∅ do begin
    choose and remove an arbitrary statement x from worklist;
    let v denote the output variable for x;
    newval := m(x)(valin(v,x), for all inputs v to x);
    if newval ≠ valout(v,x) then begin
      valout(v,x) := newval;
      for all (x,y) ∈ DefUse do begin
        oldval := valin(v,y);
        valin(v,y) := oldval ∪ valout(v,x);
        if valin(v,y) ≠ oldval then worklist := worklist ∪ {y};
      end
    end
  end
end
  
```

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Advantages and Disadvantages

- Advantages
 - > Linear in the size of the Def-Use graph
 - Why?
- Disadvantage
 - > Def-Use graph could be large

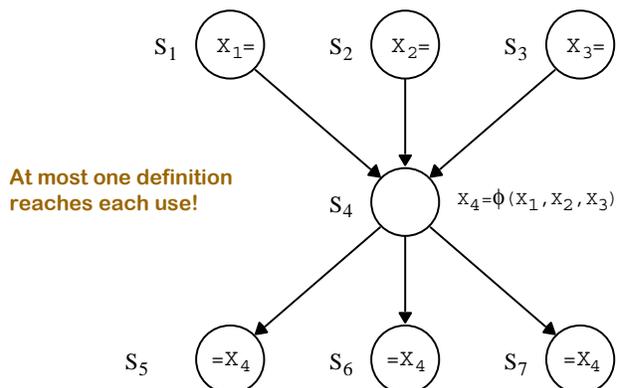


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Shrinking the Graph: SSA

- Static Single-Assignment Form

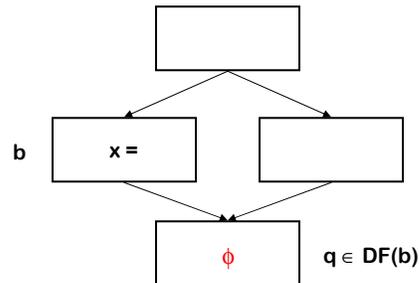


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Constructing SSA

- Find points of insertion for ϕ -functions and insert them
 - > Where should they go?



Put a ϕ -function for x in every block in the dominance frontier for block b

The **dominance frontier** $DF(b)$ for a given block b is the set of blocks q such that some predecessor of q is dominated in the control-flow graph by b, but q itself is not strictly dominated by b.

Dominators

- A node x in directed graph G with a single exit node **predominates** (or **dominates**) node y in G if any path from the entry node of G to y must pass through x.
- The **immediate dominator** of a block x is the block y in $\text{dominators}(x)$ such that $\text{dominators}(y) = \text{dominators}(x) - \{x\}$
- How do we compute **dominators(b)**?

$$\text{DOMINATORS}(b) = \{b\} \cup \bigcap_{p \text{ in preds}(b)} \text{DOMINATORS}(p)$$

- Is it really this easy?

Computing Dominance Frontiers

Find the immediate dominator relation idom for the control-flow graph G ; (For a control-flow graph with a single entry, this relation forms a tree, with the entry node as the root.)

Let I be a topological listing of the dominator tree such that, if x dominates y , then x comes after y in I ;

```
while  $I \neq \emptyset$  do begin
  let  $x$  be the first element of  $I$ ;
  remove  $x$  from  $I$ ;

  for all control flow successors  $y$  of  $x$  do
    if  $\text{idom}(y) \neq x$  then  $\text{DF}(x) = \text{DF}(x) \cup \{y\}$ ;

  for all  $z$  such that  $\text{idom}(z) = x$  do
    for all  $y \in \text{DF}(z)$  do
      if  $\text{idom}(y) \neq x$  then  $\text{DF}(x) = \text{DF}(x) \cup \{y\}$ ;
end
```

Algorithms on SSA

- Dead code elimination and constant propagation work unchanged, assuming a meaning is constructed for ϕ -functions;
 - > The edge set should be much smaller, so the algorithms should run faster
- Many other algorithms can exploit the single-assignment property
 - > What about value numbering?
 - > Since each value has a unique name, you can do value number on SSA in complex control flow