Micromodels of Software: Lightweight Modelling and Analysis with Alloy

Software Design Group
MIT Lab for Computer Science
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Comments on this draft are very welcome. Please send to dnj@mit.edu.
Chapter 1: Introduction

This book is about building and analyzing models of software using a language called Alloy. This chapter sets the scene by explaining why modelling in general is useful, and what's unusual about the approach Alloy takes.

How can you avoid reading this book? Read the quick start introduction to Alloy for experts (Appendix 1), download the tool and start building and analyzing models of your own.

1.1 What Is a Micromodel?

A model is an analyzable representation of a system. To be useful, it must be simpler than the system itself, but faithful to it; there's not much point analyzing a model if it doesn't tell you something about the system itself. The key to a good model is abstraction: capturing the aspects that matter, and ignoring the rest. Models are built to explain and evaluate existing systems, and to explore the design of systems yet to be built.

Many different languages and approaches for modelling software exist. Why another?

- Our models are micromodels: usually orders of magnitude smaller than the systems they model. Ten or twenty lines may be enough to say something useful about a system whose implementation has thousands of lines of code. The modelling language itself is also unusually small and simple. It is easy to learn and easy to use, but powerful and flexible enough for complex applications.

- Our models are analyzable. The language was designed hand-in-hand with a fully automatic tool that can simulate models and check their properties. Simulation generates structures and behaviours without making you provide sample inputs or test cases. Checking generates counterexamples – structures or behaviours for which an expected property does not hold; from a counterexample, it's usually not too hard to figure out what's wrong.

- Our models are declarative. A declarative model describes a system's state and behaviour by listing properties or constraints. Unlike a program, which is operational, it doesn't explain how states are constructed, or how execution obtains a new state from an old state. Instead, it gives constraints that define a well-formed state, and that say how a new state and an old state are related. When you build a program, you ask yourself 'how would I make X happen?'. But when you build a declarative model, you ask 'how would I recognize that X has happened?'.

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• Our models are *structural*. Software systems have (at least) two kinds of complexity. There’s complexity due to event sequencing, and complexity in the structure of the state itself. It’s the structural complexity that Alloy is designed for. There are many tools for analyzing sequences of events, but very few for analyzing structures.

None of these features is new in itself. Martin Fowler’s ‘analysis patterns’ [] are small; formal specifications in Z [] are declarative and structural; and model checking languages such as SMV [] and Promela [] are analyzable. What is new is the combination of features, especially of declarative modelling and analyzability.

It’s been assumed for a long time that a model can’t be executable and declarative at the same time. If you wanted the succinctness and abstraction of a declarative model, you had to give up the benefit of immediate feedback that execution brings; if you wanted execution, you had to write your specifications like programs, and not take advantage of the most powerful feature of specification languages – namely conjunction. With the right technology, however, there is no conflict: you can have your cake and eat it.

A declarative model cannot, admittedly, be executed as efficiently as an imperative program, so it can’t be used directly in an implementation (at least yet). But its execution can be simulated. Viewed as a form of analysis, traditional execution is rather inflexible: you have to provide the initial state, and the model can only be run forwards. Our analysis mechanism can generate before and after states; it can simulate execution backwards; and it can generate sample states from invariants alone.

Let’s look at each of these features in more detail.

### 1.2 Why Micromodels?

You won’t really know why micromodelling is useful until you try it.

The basic motivation for all modelling is that it’s a good way to end up with a high quality system. I have to admit upfront that ‘good’ here is a value judgment. If you’re not building something that has to be very reliable, and can tolerate bugs, and if you’re willing to spend inordinate amounts of time reworking and retesting your code, then modelling might not be good for you.

This is not an entirely frivolous observation. I have noticed, in my teaching, that software engineers are divided, very roughly, into two categories. There are the *thinkers* who get pleasure from getting it right; who like to feel that they’ve nailed the problem; who want to discuss and solve design problems. And there are *tinkers* who like to build mechanisms: they enjoy the thrill of the first successful run more than the satisfaction of knowing that the system really works (and why). Thinkers like to design abstract interfaces; tinkers like to devise low-level hacks. Both are important in a software project, but only thinkers tend to make good modellers.
That said, modelling with pencil and paper alone can be rather a dry business, and making modelling more like programming has brought out the thinker in many a tinker. Developing a model interactively, with a tool generating pictures of unexpected scenarios, feels very different – like the difference between writing pseudo-code on the one hand, and compiling and running a program on the other.

1.2.1 Why Micro?

It should be obvious why a model must be small. After all, if it’s as big as the code itself, you’ll double the effort, and that will usually break the budget. There are models from which code can be generated, but if you look at them carefully, you’ll see that they have two parts: a skeleton, which is the model proper, and the details, which are often written in a programming language anyway.

What’s surprising is how much can be gained from a really tiny model. Instead of modelling all aspects of a system, you can focus on the risky ones: those that are most critical, most likely to fail, most expensive to implement, most likely to be implemented incorrectly, and so on. By factoring out some aspect, you can build a model that allows you to solve an important problem that would be obscured in a larger and more comprehensive model.

If you’ve read this far, you probably aren’t wondering why one would write a model at all. But the question is worth posing. The popularity of extreme programming has been fuelled by the heaviness of modelling languages like UML, and is giving respectability to the idea that well-documented code is enough. But this position is – well, extreme; just because there are bad models doesn’t mean that models are bad. Without models, you can’t talk about designs precisely until you have code. And even if you have code, there’s still good reason to have models. Aspects of a system that can be crystallized in a few lines of model are often buried deep in the code, and dispersed throughout, tangled up with other, less relevant concerns. Code is our greatest asset – after all, it’s the stuff that executes – and, at the same time, our greatest liability. If all you have is the code, you should expect to pay heavily whenever a new programmer is brought on board. And good luck to you if all your programmers leave...

Another objection to modelling comes from programming language researchers (such as Bob Harper) who argue, more convincingly I think, that design representations are enormously important, but only worth maintaining if they are connected to the code, and kept in step with it. This is a laudable goal, but we shouldn’t throw the baby out with the bath water. Just because we don’t yet have means to guarantee conformance of an implementation to a model doesn’t mean that we should abandon the model. The kinds of constraints that can be expressed in our modelling language are far more expressive than those any compiler can currently check. And often the leverage gained in writing a small model is due in large part to an abstraction, in which we
take a view of structure far above that taken in the code.

1.2.2 Why Analysis?

Researchers in the field of formal methods have found, time and time again, that the simple act of writing a careful specification usually exposes misunderstandings and complexities, even if you do nothing else with the specification afterwards. And critics have often joked, with some justification, that formal specifications are ‘write-only’. Even advocates of formal methods have downplayed the value of tools, and many published specifications have been developed with a pretty printer and type checker as the only tool support.

Building a model incrementally with an analyzer, simulating and checking as you go along, is a very different experience from using pencil and paper alone. First, it’s amazing how many simple slips even a competent modeller can make turning ideas into text. These tend to be exposed quickly. Second, the feedback you get from simulation is thought-provoking, and helps bring to mind important properties. Third – and this is the most powerful role of analysis – you can check whether the model has some properties you intended but didn’t state explicitly. Novices worry that this means producing a ‘higher level’ specification, leading the modeller into an infinite regress. But in practice it doesn’t; even rather mundane consequences can expose subtle problems in a design. And finally, using a tool is fun: successfully checking properties and generating plausible simulations gives you a sense of progress, and confidence in the model.

Analysis tends to make models not only more correct but also more succinct. We often rework parts of a model, and ask the analyzer to check that a reformulation of some part doesn’t change its meaning.

I’ve sat up all night many times trying to get an Alloy model right. The analyzer is more ruthless than a compiler, because it finds bugs more quickly and more thoroughly. Initially, it can be disheartening to discover basic flaws in what seemed to be a simple model. But there’s a satisfaction that comes with discovering that a problem is more interesting than it first appeared to be, and a confidence that comes when the model behaves as expected under analysis, and is smaller and cleaner to boot. I now cringe at the thought of all the models I wrote (and even published) that were never analyzed, as I know how error-ridden they must be.

1.2.3 Why Declarative?

Declarative languages are a good fit for incremental modelling. The more you say, the more constrained the system description, and the fewer behaviours are possible. In contrast, with a programming language, roughly speaking, the more you say, the more
happens. So if you want to start with as few commitments as possible, a declarative lan-
guage allows you to start by saying very little. An operational language would require
you to list the possibilities.

Also, because a declarative model is built up by conjunction, often all you need to do
to say more, and to make your model fit the system more closely, is to add a property.
That is, the model can grow steadily. Operational languages make you undo options
that you’ve previously listed.

Incrementality aside, declarative languages make it easier to write partial descriptions.
Half-baked models are partial, but so are most models in their final form. Being com-
plete is an overrated quality of specifications; often the problem is that the specifica-
tion says too much, not too little. The more partial the description of the system to be
implemented, the more freedom is left to the implementer. And the more partial the
description of a system’s environment, the more confidence we can have that the sys-
tem doesn’t rely on some environmental property that may turn out not to hold.

Another advantage of a declarative language is that there’s no need for two languages,
one for system modelling and one for expressing checks. The claim that the system as
described has some property is just an implication: that the property describing the
system implies the property being checked.

One very handy exploitation of the fact that properties look no different when they are
part of the system description is masking. Suppose we check that our system as mod-
elled has some property $P$, and the analyzer finds a counterexample. We might try and
fix the problem, but perhaps we want to carry on, and check a property $Q$. If $Q$ fails too,
we might wonder whether fixing $P$ would fix $Q$ also. To check this, all we need to do is
make $P$ a property of the system, masking any errors that arise from $P$ not holding.

The term ‘declarative’ has been used in the functional languages world to mean free of
side-effects. Of course, our language is declarative in this sense too.

### 1.2.4 Why Structural?

Complex states, involving elaborate configurations of simple elements, are all around
us. Think of our highway systems, postal routes and telephone switches; of company
organizations, family trees and political connections; of shopping lists, library cata-
logues and address books. Structure is there in the problem domain of most comput-
ing systems. And when it’s not there, we introduce it, in the form of hierarchy or nam-
ing schemes – for example, by filing our mail into folders or by giving nicknames to our
friends so we don’t need to remember their email addresses.

The problems of dealing with concurrency have kept researchers occupied so inten-
sively that they have neglected structural complexity. Many problems that seem at first
glance to be about events and concurrency turn out, when viewed more broadly, to
have important structural aspects. Designing a single traffic light may best be viewed in terms of events and transitions between simple states. But designing a system for a network of roads raises considerations of topology – how the roads may be connected, and how the placement of lights affects global flow.

Structural complexity is becoming more pervasive, for two reasons. First, the growth in computational power makes it more feasible to store and manipulate structures, even in small embedded devices. Every cell phone includes a phone book now. Second, systems are more and more often implemented in a style in which they self-assemble, forming complex structures to fulfill particular functions. Many design patterns achieve compile-time decoupling by putting objects together at runtime. Observer, for example, propagates changes from a data object to an 'observer' object anonymously, by including a protocol with which observer objects are registered with data objects for subsequent notifications.

1.3 Risk-Driven Modelling

It’s dogmatic and unrealistic to insist on building a complete model of your system. Any system has parts with elaborate behaviours that are easy to implement and best left expressed in code alone. A graphical user interface, for example, is often best built by experimenting with an implementation, tweaking it in response to feedback from users. The key to improved design is judicious modelling: focusing your modelling effort where it’s most likely to bring benefit.

How do you decide what to model? You have to identify those aspects of the system in which the greatest risks lie. Some parts of the system may be more crucial than others; in a word processor, for example, a latent fault in the code that manipulates the document is more worrisome than a latent fault in the user interface. Modelling need not respect module boundaries. Sometimes the aspect of the system that warrants attention crosses module boundaries. In an email client, for example, a message may be sent to the wrong address because of a fault in the address book, in the reply mechanism, or in the code that structures messages.

You may pick some aspect because your intuition tells you that you’re likely to get it wrong. Other aspects may be no less important, but you’re more confident that you can implement them correctly. A fault in the code that organizes messages into folders is probably less likely than a fault in the code that synchronizes your local folders with a remote server.

A skeptics will say that you can’t know in advance what might go wrong. And of course software, unlike other engineering artifacts, is prone to failure from unexpected couplings. It would be foolish to conclude from this, however, that you shouldn’t try to
identify and prioritize risks. For a safety-critical system, it makes sense to construct models even of mundane aspects. But for most systems, there’s a diminishing benefit as you move down the list of modelling priorities.

Focusing on risk early on in a development demands a new mindset. The tinker asks ‘how do I make that happen?’ and dwells on small aspects that will make the program faster or more featureful. The thinker asks ‘how might I get it wrong?’ and dwells on small aspects that might undo all the work of the project, in failures at runtime, or in design flaws that require massive reworking of the code.

A modelling language must bring clarity to the trickiest aspects of a system. This is why it’s important that the definition of the language itself be crystal clear. The last thing the modeller needs is to struggle with obscurities and complications that have nothing to do with the artifact being modelled.

One way to achieve this is to give the language a mathematical interpretation. Some critics of formal methods have mistakenly equated mathematics with complexity. But the purpose of formalization is exactly the opposite. Saying precisely what a language construct means, in terms of basic set theory for example, is so difficult for any but the simplest constructs, that it rules out complexity from the start. It’s not that set theory is a more complicated and sophisticated way to understand structures than another; it’s that we don’t know any theory that’s simpler.

A classic example of this misconception is the view that informal notations are straightforward and formal specification languages are complicated. If you look behind the surface syntax, and consider notations of comparable expressiveness, you’ll discover that the informal notations are usually far more complicated.

A risk-driven approach suggests that a modelling language should be measured by the tricky cases – after all, these are the ones to which it will be applied in practice. The informal notations mostly fail this test. One of the best known object modelling notations, for example, makes it painful to express multiple and dynamic classifications because, in place of simple mathematical classification notions (sets and subsets), it uses implementation concepts, such as subclassing. And yet the same notation cannot easily express tricky aspects of code (such as views, in which mutations of one object affect observations of another).

**Discussion**

*How does Alloy differ from existing languages?*

Unlike a programming language, an Alloy model is declarative: it can describe the effect of a behaviour without giving its mechanism. This allows very succinct and par-
tial models to be constructed and analyzed. It is similar in spirit to the formal specification languages Z, VDM, Larch, B, OBJ, etc, but, unlike all of these, is amenable to fully automatic analysis in the style of a model checker.

**How is Alloy related to Z?**

Z was a major influence on Alloy. Very roughly, Alloy can be viewed as a subset of Z. Unlike Z, Alloy is first order, which makes it analyzable (but also less expressive). Alloy’s composition mechanisms are designed to have the flexibility of Z’s schema calculus, but are based on different idioms: extension by addition of fields, similar to inheritance in an object-oriented language, and reuse of formulas by explicit parameterization, similar to functions in a functional programming language. Alloy is a pure ASCII notation and doesn’t require special typesetting tools.

**How is Alloy related to UML?**

Alloy is similar to OCL, the Object Language of UML, but it has a more conventional syntax and a simpler semantics, and is designed for automatic analysis. Alloy is a fully declarative language, whereas OCL mixes declarative and operational elements. The ‘Navigational dot’ of Syntropy is a key operator in Alloy, but is given a more uniform and flexible interpretation than in OCL. Because operators can be applied to entire sets and relations, Alloy tends to be more succinct than OCL.

Alloy models can describe object models and operations, as well as properties to be checked. The Alloy Analyzer can check the consistency of an object model expressed in Alloy, and can generate snapshots from it, and can execute operations and check their properties. Alloy can handle relations with arbitrary arity, and has structuring mechanisms to allow reuse of model fragments.

**How does the Alloy Analyzer differ from model checkers?**

The motivation for the Alloy project was to bring to Z-style specification the kind of automation offered by model checkers. The Alloy Analyzer is designed for analyzing state machines with operations over complex states. Model checkers are designed for analyzing state machines that are composed of several state machines running in parallel, each with relatively simple state. Alloy allows structural constraints on the state to be described very directly (with sets and relations), whereas most model checking languages provide only relatively low-level data types (such as arrays and records). The input languages of model checkers do not usually allow you to describe the state with the kinds of data structures easily handled by Alloy (tables, trees, etc); most require even simple data structures to be encoded using low-level primitives such as arrays and enumerations.
Model checkers do a temporal analysis that compares a state machine to another machine or a temporal logic formula. In technical terms, the Alloy Analyzer is a “model finder” and not a “model checker”. Its engine takes a formula and attempts to find a model of it. A model checker, on the other hand, takes a state machine and attempts to show that it is a model of a formula. The Alloy Analyzer’s analysis is not temporal: it checks that an assertion holds by trying to find a counterexample, which may be a particular bad execution of an operation, or a trace that leads to an undesirable state.

Most of the examples we’ve done involve invariant-based reasoning, in which you formulate assertions that claim that an invariant is preserved in an execution step. This has a nice modularity: you can analyze individual operations, and be sure that a particular operation is sound irrespective of the other operations. Recently, we’ve started making assertions about traces. This approach is easier for the user, because you don’t need to find an invariant that characterizes the reachable states, but it can be incomplete (that is, fail to account for some reachable states) if you don’t instruct the analyzer to consider long enough traces.

Most model checkers do not allow state transitions to be specified declaratively: the input is essentially a program that uses assignment statements to describe a transition step. The Alloy Analyzer is designed for declarative specifications, in which invariants and operations are described by arbitrary formulas that may involve conjunction pervasively.

How does the Alloy Analyzer differ from theorem provers?

The Alloy Analyzer’s analysis is fully automatic, and when an assertion is found to be false, the Alloy Analyzer generates a counterexample. It’s a “refuter” rather than a “prover”. When a theorem prover fails to prove a theorem, it can be hard to tell what’s gone wrong: whether the theorem is invalid, or whether the proof strategy failed. If the Alloy Analyzer finds no counterexample, the assertion may still be invalid. But by picking a large enough scope, you can usually make this very unlikely.
Chapter 2: Basic Notions

This chapter introduces the mathematical building blocks that underlie Alloy, our modelling language. In comparison to a standard presentation of first-order logic and basic set theory, it’s notable more for what’s missing than for what’s present. Look out for the treatment of scalars and sets, and the definitions of the dot and arrow operators, which are generalized forms of relational join and cross-product.

We’ll need a little bit of meta-notation to talk about types and the universe of atoms. To make it clear when our notation isn’t Alloy, we’ll use mathematical symbols (such as angle brackets) rather than their ASCII counterparts, and we’ll set identifiers in all caps. The type expressions, for example, are replaced in Alloy proper by ‘field declarations’ within signatures. And none of the ‘display expressions’ used in this chapter to represent relations are legal Alloy. It’s convenient to explain the semantics of Alloy in terms of tuples, sets and so on, but Alloy itself dispenses with these notions. In this chapter, for example, we’ll use the standard notation \{(s,t)\} for the relation that maps \(s\) to \(t\); in Alloy itself, we’d write \(s\rightarrow t\).

2.1 Atoms and Relations

2.1.1 Atoms

The structures in our models will be built from relations and atoms. An atom is a primitive entity that is

- *indivisible*: it can’t be broken down into smaller parts;
- *immutable*: its properties don’t change over time; and
- *uninterpreted*: it doesn’t have any built-in properties, the way numbers do, for example.

Elementary particles aside, very few things in the real world are atomic. But that won’t stop us from modelling them as such. In fact, our modelling approach has no built-in notion of composites at all. To model a part \(a\) that consists of parts \(b\) and \(c\), we’ll treat \(a\) as atomic, along with \(b\) and \(c\), and represent the containment by an explicit relation that maps \(a\) to \(b\) and to \(c\). Containment is just one example of a structural relationship, and there’s little reason to single it out for special treatment.
2.1.2 Relations

A relation is a structure that relates atoms. Mathematically, it's a set of tuples, each tuple consisting of a sequence of atoms. You can think of a relation as a table, in which each entry is an atom. The order in which the columns appear is important, but the order of the rows is irrelevant. Each row must have an entry in every column. Relations are first-order; entries are always atoms, and never relations.

In any model, we’ll partition the universe of atoms into disjoint basic types. Relations are typed, so that in any given column, it will only be permissible to place atoms of a certain type. Suppose we have basic types $S$ and $T$, for example. Then a relation that is declared to have the type $\langle S, T \rangle$ must have atoms of type $S$ in the first column and atoms of type $T$ in the second column.

A relation can have just one column, with a type like $\langle S \rangle$, and it can have more than two columns, with a type like $\langle S, T, U \rangle$. But it must have at least one column. Let’s call a relation with $k$ columns a $k$-relation; $k$ is usually called the relation’s arity. 1-relations, 2-relations and 3-relations are said to be unary, binary and ternary.

A relation can be empty – that is, having no rows – or non-empty. We will only consider finite relations, namely those with a finite number of rows. If a relation has entries of only one type – that is all columns have the same type – it’s said to be homogeneous, otherwise it’s heterogeneous.

Two relations are equal if they contain the same rows. That is, two relations $p$ and $q$ are equal if whenever $p$ has some row $(a, b, c, \ldots)$, the same row of atoms appears in $q$, and vice versa. Two atoms are equal if they are the same atom – that is, not two atoms at all, but one.

2.1.3 No Sets or Scalars

In Alloy, every expression denotes a relation. So there won’t be any sets of atoms; they’ll be represented by unary relations. And there won’t be any scalars; they’ll be represented by singleton unary relations – that is, relations with one column and one row. So, where a conventional language would distinguish a (a scalar), $\{a\}$ (a singleton set containing a scalar), $(a)$ (a tuple) and $\{(a)\}$ (a relation), we’ll treat them all as the same, and represent them as $\{(a)\}$.

This is odd at first, but very handy in practice. It makes the semantics of the language simple and uniform. It side-steps the partial function problem (since without scalars, there’s no way to apply a function at all!). And it allow models to be written more succinctly. When using the language, you don’t really have to think of sets as unary relations; that’s more of a trick to give meaning to the built-in operators without having to overload them. But you will have to bear in mind the representation of scalars as sin-
gletons. From now on, when we say ‘set’ we mean a unary relation, and when we say ‘scalar’, we mean a singleton unary relation. And when used informally, the term ‘relation’ will mean a relation that isn’t a set – that is, a relation of arity 2 or more.

2.1.4 Examples

Suppose we have two basic types, PERSON and DATE, containing atoms as follows:

<table>
<thead>
<tr>
<th>type</th>
<th>PERSON</th>
</tr>
</thead>
<tbody>
<tr>
<td>atoms</td>
<td>AKIVA, BECCA, CLAUDIA, DANIEL, EMILY, ...</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>type</th>
<th>DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>atoms</td>
<td>JAN-1, JAN-2, ..., FEB-1, FEB-2, ..., DEC-31</td>
</tr>
</tbody>
</table>

Then here are examples of a 1-relation, 2-relation and 3-relation:

relation akiva
  type   (PERSON)
  value  {(AKIVA)}

relation parents
  type   (PERSON,PERSON)
  value  {(AKIVA,CLAUDIA), (AKIVA,DANIEL), (BECCA,CLAUDIA), (BECCA,DANIEL)}

relation birthdayBook
  type   (PERSON,PERSON,DATE)
  value  {(AKIVA,DANIEL,MAY-7), (AKIVA, BECCA, FEB-11), (DANIEL, CLAUDIA, SEP-28)}

If a relation has a row containing the entries $a$ and $b$, we’ll say it ‘relates’ $a$ to $b$ or ‘maps’ $a$ to $b$.

The relation akiva is a unary singleton – that is, a scalar. The relation parents is binary, and maps each person to his or her parents. The relation birthdayBook is ternary; it relates $p$, $q$ and $d$ if date $d$ is the recorded birthday of person $q$ in the birthday book of person $p$. In this case, AKIVA has entries in his birthday book for DANIEL and BECCA, and DANIEL has an entry for CLAUDIA.

Don’t confuse the name of a relation (such as akiva), which can appear in Alloy, from the name of an atom (such as AKIVA), which cannot. Of course, in practice, we’ll use the variables to refer to the atoms: since akiva will be assumed to have the value \{(AKIVA)\}, becca to have the value \{(BECCA)\}, and so on, we’ll be able to describe relation values without referring to atoms directly. With the operators defined later, we can define the particular value of the parents relation given above like this:
parents = akiva->claudia + akiva->daniel + becca->claudia + becca->daniel

or more succinctly, like this:

parents = (akiva + becca) ->(claudia + daniel)

(saying that akiva and becca's parents are claudia and daniel).

2.1.5 Properties of Relations

We’ll call the types of a binary relation its left and right types, and, correspondingly, the sets of atoms in the first and second columns the left and right sets. These terms can be applied to non-binary relations too. For a unary relation, the left and right types are the same; for a ternary relation, the left and right types are the first and third. When people talk about the domain and range of a relation they usually mean the left and right sets of a binary relation; it’s not clear what these terms mean for non-binary relations.

Note that the left set is not necessarily the set of atoms in the left type. If so – that is, the relation maps every atom in its left type – the relation is total; otherwise it’s partial. If the right set is the set of atoms in the right type, the relation is onto or surjective.

A function is just a binary relation with the property that it maps each atom in the left set to at most one atom in the right set. An injection is a binary relation whose transpose (mirror image) is a function: that is, it maps at most one atom in the left set to an atom in the right set. Conventionally, the term ‘injection’ refers to a injective function, but it’s convenient to use the term more loosely.

Finally, some properties that apply only to binary relations. A homogeneous binary relation is reflexive if it relates every atom to itself – that is, every atom that belongs to the type of the columns. It’s symmetric if whenever it relates \( a \) to \( b \), it also \( b \) to \( a \), and anti-symmetric if it can only relate \( a \) to \( b \) and \( b \) to \( a \) when \( a \) and \( b \) are the same atom. It’s transitive if whenever it relates \( a \) to \( b \), and \( b \) to \( c \), it also relates \( a \) to \( c \). It’s an equivalence if it’s reflexive, anti-symmetric and transitive.

2.1.6 Modelling Structural Features with Relations

Relations are used to model many different structural features:

- Containment. To express containment, you can define a binary relation that relates \( a \) to \( b \) when \( a \) contains \( b \). The relation would be injective for a tree structure, in which no component is shared between containers, and homogeneous for a recur-
sive hierarchy. For example, the relation folderContents of type \( \text{\langle FOLDER,MSG \rangle} \) might model the relationship between folders and the messages they contain in an email client. To express the idea that folders can themselves contain folders, we might declare a type \( \text{OBJECT} \), and give folderContents the type \( \text{\langle OBJECT, OBJECT \rangle} \).

- Labelling. To express labelling of entities with attributes, you can use a heterogeneous function from the entity to its attribute. Naming is a common form of labelling; a function of type \( \text{\langle X, name \rangle} \) attaches names of type Name to atoms of type \( X \). The function is total if every entity is named, and injective if names are unique. For example, the relation ipAddr of type \( \text{\langle MACHINE,IP \rangle} \) may map machines to their IP addresses. Problems arise because this relation is often not a function (IP addresses change over time), not total (not all machines have IP addresses), and not injective (two machines can end up with the same address).

- Grouping. To form a collection of atoms into a single group, you can use a set: that is, put the atoms into a one-column table. To form a group of groups, you can use a binary relation that relates two atoms when they are in the same group. If the grouping forms a partition – that is, each atom is in exactly one group – the relation will be an equivalence. A labelling function implicitly defines a grouping equivalence: the relation that relates two atoms when they have the same label. This suggests another way to define a grouping: introduce a type of atoms that represent the groups, and a function that maps each atom to the group it belongs to. For example, the relation conflicting of type \( \text{\langle MACHINE, MACHINE \rangle} \) may relate two machines when they share the same IP address.

- Linking. Often, we want to capture a relationship between peers in which neither plays a superior role. The relation we use is typically less constrained. Topological structure – of a communications network, train track layout, call forwarding database, for example – can be modelled as a homogeneous relation, often unconstrained, or constrained only by a global property, such as lack of cycles, or connectedness. Undirected links are expressed with symmetric relations. For example, the relation linkedTo of type \( \text{\langle URL, URL \rangle} \) may model the links present in a web site.

### 2.2 Operators for Expressions and Elementary Formulas

Expressions in Alloy are just like mathematical expressions, constructed by nested applications of operators to variables. All expressions denote relations, so every operator takes one or more relations and yields a relation. Although Alloy can easily express state changes (as we’ll see in Chapter ), this is done without side-effects: variables don’t vary.

Operators fall into two categories. For the ‘set’ operators, the tuple structure of a rela-
tion is irrelevant; a relation might as well be a set of atoms. For the ‘relational’ operators, the tuple structure is essential to the operator’s definition.

2.2.1 Set Operators

We’ll use the standard operators on sets – union, intersection and difference – but write them in ASCII form: + (union), & (intersection), and - (difference). Each of these operators expects its arguments to have the same type. You can’t take the union of relations with different arity, for example, or of relations whose columns have types that don’t match. Their interpretation is standard: a tuple is in \( p+q \) for example if and only if it is in \( p \) or in \( q \); a tuple is in \( p&q \) for example if and only if it is in \( p \) and in \( q \); a tuple is in \( p-q \) for example if and only if it is in \( p \) but not in \( q \).

For sets \( s \) and \( t \) – unary relations, remember – the expression \( s+t \) is just the combined set of atoms. For scalars \( a \) and \( b \) – unary, singleton relations – the expression \( a+b \) is the set containing \( a \) and \( b \) (which would be written \( \{a, b\} \) in standard mathematical notation). For binary relations \( p \) and \( q \), the expression \( p+q \) combines the mappings: it maps \( x \) to \( y \) when \( p \) does or when \( q \) does. So if \( p \) and \( q \) are functions, for example, \( p+q \) need not be.

Relations can be compared by testing whether the tuples of one relation also belong to another. The formula \( p \in q \) is true when every tuple of \( p \) is also a tuple of \( q \). In other words, viewed as sets of tuples, \( p \) is a subset of \( q \). Equality is just containment in both directions; \( p=q \) is true when both \( p \in q \) and \( q \in p \) are true.

Our typing rules require that \( p \) and \( q \) have the same type. But since we treat scalars as singleton sets, we can write \( a \in s \) when \( a \) is a scalar and \( s \) is a (non-scalar) set. The keyword \( \in \) was picked to capitalize on this pun: it can mean subset or membership. There’s no risk of confusion because there are no sets of sets in Alloy.

2.2.2 Relational Operators

The quintessential relational operator is composition, or join. Let’s see how to combine tuples before we combine relations. The join of two tuples

\[
(s_1, \ldots, s_n) \\
(t_1, \ldots, t_n)
\]

exists if the last atom of the first tuple (that is, \( s_n \)) matches the first atom of the second tuple (that is, \( t_1 \)) if so, it’s the tuple that starts with the atoms of the first tuple, and finishes with the atoms of the second, omitting just the matching atom:

\[
(s_1, \ldots, s_{n-1}, t_2, \ldots, t_n)
\]
The join $p \cdot q$ of relations $p$ and $q$ is the relation you get by taking every combination of a tuple in $p$ and a tuple in $q$, and including their join, if it exists. The relations $p$ and $q$ may have any arity, so long as they aren’t both unary (since that would result in a relation with no columns at all!). The right type of $p$ must match the left type of $q$.

This is a generalized definition of the standard join operator. It may look unfamiliar, but in its specific applications, it reduces to well-known operators. For a set $s$ and a binary relation $r$, the join $s \cdot r$ gives the set of atoms that $r$ maps atoms in $s$ to – the relational image of $s$ under $r$. The reverse application, $r \cdot s$, gives the set of atoms that are mapped by $r$ to atoms of $s$. For binary relations $p$ and $q$, the join $p \cdot q$ is the standard relational composition of $p$ and $q$.

The transpose $\sim r$ of a relation $r$ takes the mirror image of a relation, forming a new relation by reversing the order of each tuple. It has no effect on a relation that is unary or symmetric.

The transitive closure $^r$ of a binary relation $r$ is the smallest relation that contains $r$ and is transitive. Closure can be defined in terms of join; it’s the limit of the series

$$r + r \cdot r + r \cdot r \cdot r + \ldots$$

The reflexive transitive closure $^* r$ is the smallest relation that contains $r$ and is both transitive and reflexive, so it’s just like $^r$ but includes additionally a mapping from every atom to itself.

The product $p \Rightarrow q$ of two relations $p$ and $q$ is the relation you get by taking every combination of a tuple from $p$ and a tuple from $q$ and concatenating them. In other words, it’s like join, but without the matching and dropping of the intermediate atom. Again, this operator reduces to more familiar operators in special cases. For two sets $s$ and $t$, the product $s \Rightarrow t$ is just the standard cartesian product. For two scalars $a$ and $b$, the product $a \Rightarrow b$ is the pair whose first element is $a$ and whose second element is $b$; $a \Rightarrow b \Rightarrow c$ is a triple, and so on.

### 2.2.3 Navigation Expressions

Note that the meaning of the join the join $s \cdot r$ is unaffected by $s$ being a scalar. This gives a nice uniform syntax to ‘navigation’ expressions. Suppose we have some relations $f$ and $g$ that we want to think of as ‘fields’ of objects. Then for a given object $x$, the expression $x \cdot f \cdot g$ denotes the set of objects you get by starting at $x$ and following $f$ and then $g$. The result may be no objects, one object or more than one; the syntax is the same. In most other notations, scalars and sets are treated differently, and some even treat functions differently from relations. Then questions arise about what to do if $x \cdot f$ is ‘undefined’ because the function $f$ doesn’t map $x$, or how to ‘collect’ the results of applying $g$ if $x \cdot f$ results in a set rather than a single object. Treating sets, scalars and
functions all as special cases of relations eliminates these problems, along with the need for extra syntax to convert back and forth between sets and scalars.

### 2.2.4 Partial Functions

Many designers of modelling languages have grappled with the question of what it should mean if you apply a partial function to a value outside its domain. As the old logical conundrum goes: if France has no king, then is it true of false that the King of France is bald?

Alloy sidesteps this problem. For a scalar $x$ and a function $f$, if $x$ does not belong to the left set of $f$, the expression $x.f$ will simply denote the empty set. So if $y$ is another scalar,

$$x.f = y$$

will be false, because the expression on the left-hand side of the equation denotes an empty set, and the expression on the right-hand side denotes a singleton set.

### 2.3 Relational Constants

There are three relational constants in Alloy: the empty relation that contains no tuple, the universal relation that contains every tuple, and the identity relation that maps each atom to itself. Because these constants are used in expressions with variables, they have types. These types must be given explicitly.

The expressions

$$\text{none} [e] \quad \text{univ} [e] \quad \text{idem} [e]$$

represent the empty relation with the same type as the relation given by the expression $e$, the universal relation with the same type as $e$, and the identity relation whose left and right types are the same as the type of $e$. Note that the identity relation is binary, and type specified is ‘half’ of its type; the other relations can have any arity (except 0 of course).

#### 2.3.1 Examples

Take our sample relations from before:

```plaintext
relation akiva
```
Then here are some expressions and their values:

expr    akiva.parents
meaning akiva's parents
value   {(DANIEL),(CLAUDIA)}

expr    akiva.parents.~parents
meaning akiva's parents' children
value   {(AKIVA),(BECCA)}

expr    akiva.birthdayBook
meaning akiva's birthday book
value   {(DANIEL,MAY-7),(BECCA,FEB-11)}

expr    (akiva.birthdayBook).feb11
meaning the person who's birthday is feb-11 in akiva's birthday book
value   {(BECCA)}

And here are some formulas that are true if the variables have the values given above:

akiva.parents = claudia + daniel
(akiva->daniel) in parents
(akiva->becca->feb11) in birthdayBook

Here are some formulas that define family relationships from the parents relation, and
two sets Person and Woman denoting the sets of all persons and women respectively:

\[
\begin{align*}
\text{grandparents} &= \text{parents.parents} \\
\text{children} &= \sim\text{parents} \\
\text{ancestors} &= \wedge\text{parents} \\
\text{descendants} &= \sim\text{ancestors} \\
\text{Man} &= \text{Person} - \text{Woman} \\
\text{mother} &= \text{parents} \& (\text{Person} \rightarrow \text{Woman}) \\
\text{father} &= \text{parents} \& (\text{Person} \rightarrow \text{Man}) \\
\text{siblings} &= \text{parents} \cdot \sim\text{parents} - \text{idem }[\text{Person}] \\
\text{cousins} &= \text{grandparents} \cdot \sim\text{grandparents} - \text{siblings} - \text{idem }[\text{Person}] \\
\text{uncle} &= \text{parent} \cdot \text{child} & \text{Man}
\end{align*}
\]

2.4 Compound Formulas: Logical Operators and Quantifiers

We’ve seen how to make a formula from two expressions using \text{in} and \text{=} to compare two relations. Larger formulas are made from smaller formulas by combining them with the standard logical operators, and by quantifying formulas that contain free variables over bindings.

2.4.1 Logical Operators

\[
\begin{align*}
!F & \quad \text{negation: not } F \\
F \&\& G & \quad \text{conjunction: } F \text{ and } G \\
F \mid\mid G & \quad \text{disjunction: } F \text{ or } G
\end{align*}
\]

It’s useful to have a variety of implication operators:

\[
\begin{align*}
F \Rightarrow G & \quad \text{implication: } F \text{ implies } G; \text{ same as } !F \mid\mid G \\
F \Leftrightarrow G & \quad \text{biimplication: } F \text{ when } G; \text{ same as } F \Rightarrow G \&\& G \Rightarrow F \\
F \Rightarrow G,H & \quad \text{if } F \text{ then } G \text{ else } H; \text{ same as } F \Rightarrow G \&\& !F \Rightarrow H
\end{align*}
\]

2.4.2 Quantifiers

Intuitively, the quantified formula \text{all } x : e \mid F \text{ is true when } F \text{ holds for every binding of } x \text{ to a scalar drawn from the set denoted by the expression } e. \text{ Since, as we explained above, sets are represented by unary relations, and scalars by singleton sets, each value that } x \text{ is bound to is actually a relation – a relation like } \{(a)\} \text{ for an atom } a \text{ such that } (a) \text{ is a tuple in } e. \text{ Quantified variables are always bounded by an expression; you can’t quantify over a type.}
The various quantifiers in Alloy are:

- `all x: e | F` // universal: F is true for every x in e
- `some x: e | F` // existential: F is true for some x in e
- `no x: e | F` // F is true for no x in e
- `sole x: e | F` // F is true for at most one x in e
- `one x: e | F` // F is true for exactly one x in e

Several variables can be quantified over at once. For example,

```
one x:e, y:f | F
```

means that there is one way to pick x and y – a unique pair of values – that makes F true. The keyword `disj` before the declaration marks the variables as disjoint, so

```
all disj x,y: e | F
```
says that F holds whenever x and y are given different values drawn from e.

Quantifiers can be applied to expressions too:

- `all e` // e contains every tuple; same as e = univ[e]
- `some e` // e contains some tuple; e is non-empty
- `no e` // e contains no tuple; e is empty
- `sole e` // e contains at most one tuple
- `one e` // e contains exactly one tuple

### 2.4.3 Comprehensions

Comprehensions construct values directly from properties. The expression `{x: e | F}` denotes the set of atoms x from e for which F holds, or more precisely, it denotes the relation that includes the tuple (a) if F holds when x has the value `{(a)}`. More than one variable can be declared; `{x: e, y: f | F}`, for example, creates a binary relation.

### 2.4.4 Higher-Order Quantifiers

So far, we've assumed that the bounding expression in a quantified formula denotes a set (is unary, that is). It may actually denote any relation. But if it denotes a non-unary relation, the quantifier is interpreted as second-order, and the value of the quantified variable is not restricted to singletons. Even with a set as the bounding expression, you can make the quantifier second-order by inserting the keyword `set` in the declaration. This formula, for example,

```
all x: set e | F
```
says that \( F \) is true for every binding of \( x \) to a subset of \( e \).

### 2.4.5 Implicit Conjunction

A list of formulas given within curly braces is implicitly conjoined: \( \{F, G\} \) is equivalent to \( F \&\& G \). When a formula list follows a quantifier, the vertical bar can be omitted, so

\[
\text{all } x: e \ {F, G, H}
\]

for example, is equivalent to

\[
\text{all } x: e \ | \ F \&\& G \&\& H
\]

### 2.4.6 Examples

**Example**. For relations \( \text{spouse} \) and \( \text{parents} \) that map a person to his or her spouse and parents, and sets \( \text{Person} \) and \( \text{Woman} \) representing the set of all persons and women, the following formulas encode various properties of human relationships:

- // no polygamy
  \[
  \text{all } p: \text{Person} \ | \ \text{sole } p.\text{spouse}
  \]

- // a married person is his or her spouse's spouse
  \[
  \text{all } p: \text{Person} \ | \ \text{some } p.\text{spouse} \implies p.\text{spouse}.\text{spouse} = p
  \]

- // no incest
  \[
  \text{no } p: \text{Person} \ | \ \text{some } (p.\text{spouse}.\text{parents} \& p.\text{parents})
  \]

- // a person's siblings are those persons with the same parents
  \[
  \text{all } p: \text{Person} \ | \ p.\text{siblings} = \{q: \text{Person} \ | \ q.\text{parents} = p.\text{parents}\} - p
  \]

- // everybody has one mother
  \[
  \text{all } p: \text{Person} \ | \ \text{one } p.\text{parents} \& \text{Woman}
  \]

- // somebody is everybody's ancestor
  \[
  \text{some } x: \text{Person} \ | \ \text{all } p: \text{Person} \ | \ x \ \text{in } p.^*\text{parent}
  \]

Here's an illustration of a higher-order quantifier – a formula that states the associativity of join for binary relations:

\[
\text{all } p, q, r: T \rightarrow T \ | \ (p.q).r = p.(q.r)
\]
2.5 Discussion

Does Alloy's treatment of partial functions solve the age-old problem?

Not really; it just sidesteps it, exploiting the first-order nature of the language. If we had to admit sets of sets, we wouldn't be able to treat scalars as singleton sets.

In practice, the approach does seem to work well. There's no need for an 'undefined' or 'null' value, and no need to tamper with the logic by having formulas that are neither true nor false. The equation

\[ x.f = x.f \]

is always true, as expected, and when \( f \) is a function

\[ \text{some } x: S, y: T \mid x.f = y \]

is equivalent to

\[ \text{some } x: S, y: T \mid x \rightarrow y \text{ in } f \]

(which is not true for some other languages).

There are occasional nasty surprises. For example,

\[ \text{no } x: \text{Person} \mid x.wife \text{ in } x.sibling \]

which we might think merely rules out incest also requires every person to be married, since \( x.wife \) will be the empty set if \( x \) is not mapped by \( wife \), making the formula inside the quantifier vacuously true. (Exercise for the reader: what should be added to the formula to eliminate this problem?)

Why are relations typed?

So far, we've used types only in passing to show the shape of a relation. Improving readability is one important use of types, but there are more powerful ones.

Alloy’s type checker will rule out certain models. Some of these are meaningless. For example, you can't form the union of two relations with different arities, and you can't join two unary relations. Others have meanings, but they're ruled out because they're probably erroneous. For example, the rule for join says that \( p.q \) is well-typed if the right type of \( p \) matches the left type of \( q \). If this isn't true, the join may still be perfectly well defined: so long as both aren't unary, it will be the empty relation. But this is almost certainly an error, and little is gained by allowing it.

More controversially, the type rules won't allow the union of these relations:
To allow this kind of union would require a more elaborate type system. In practice, you’d simply declare a type that subsumes folders and messages, which would be declared as subsets. Then the two relations have the same type, and the union is permitted. The consequence of the typing rule is therefore a loss of expressiveness in the type declarations, so that some errors that might have been found by type checking will not be found.

**Why do the relational constants take expressions as arguments?**

You may wonder why the empty relation, for example, is written none[e] for some value expression, rather than something like none[PERSON] (to denote the empty set of persons), where PERSON is the name of a type. As we’ll see in Chapter 3, types are actually never named explicitly in Alloy, so the identifier PERSON isn’t available. It’s also often convenient to be able to use an arbitrary expression; to say that the relation \( r \) is reflexive, for example, you can write

\[
\text{idem}[r] \in r
\]

Of course, it would be nice if no argument were necessary at all, and the types were inferred. We decided not to do this, since the type checker already infers types of variables, and the extra complexity of inferring types of constants seemed unwarranted.
Chapter 3: Language

3.1 General Structure

Here’s a model inspired by Paul Simon’s 1973 song ‘One man’s ceiling is another man’s floor’. It includes all the gross structural elements of an Alloy model:

```alloy
-- first Alloy example
module CeilingsAndFloors
sig Platform {}
sig Man {ceiling, floor: Platform}
fact {all m: Man | some n: Man | Above (n,m)}
fun Above (m, n: Man) {m.floor = n.ceiling}
assert BelowToo {all m: Man | some n: Man | Above (m,n)}
run Above for 2
check BelowToo for 2
```

Line 1 is a comment. Line 1.1 declares the name of the module. Alloy has a simple module system that allows a model to be composed of text from different files; in this case, the module is self-contained.

Lines 1.2 declares a signature: a set, Platform, and with it, implicitly, a basic type, PLATFORM say. Line 1.3 declares another signature, a set Man, also with its basic type, MAN say. This signature declaration also introduces two relations, ceiling and floor, each of type 〈MAN,PLATFORM〉.

Line 1.4 introduces a fact: a formula that constrains the values of the sets and relations. Line 1.5 declares a function: a parameterizable formula intended to be used elsewhere. Note that the body of the fact uses the function. The assertion in line 1.6 specifies a formula that is intended to be valid: in other words, it’s a consequence that’s supposed to follow from the facts. The last two lines are commands: line 1.7 instructs the tool to find an instance of the Above function using 2 atoms in each basic type, and line 1.8 instructs it to search for a counterexample of the assertion.

The function Above relates a man m to a man n when m is above n, by virtue of m’s floor being n’s ceiling. The fact formalizes the statement ‘One man’s ceiling is another man’s floor,’ on the assumption that Paul Simon didn’t mean that there is exactly one man whose ceiling is another’s floor, but rather than every man’s ceiling is another man’s floor. The assertion claims that the converse holds: that one man’s floor is another man’s ceiling. In fact, it’s not valid, and the tool finds a counterexample.
Let’s now delve into some detail to understand the elements of a model.

3.2 Signatures and Fields

A declaration of the form

\[
sig S \{\ldots\}
\]

introduces a signature \(S\), consisting of a basic type and a set of atoms drawn from that type. The basic type can’t be referred to explicitly; types of expressions are inferred in Alloy. So the identifier \(S\) always refers to the set.

A signature may include declarations of fields. Each field declaration introduces a relation whose left type is the signature type. So the field \(f\) in the signature \(S\) here

\[
sig S \{f: T\}
sig T \{}
\]

is a relation from the type of \(S\) to the type of \(T\). The signature creates a local namespace, so that a different field with the same name could be declared in another signature and would represent a different relation.

3.2.1 Implicit Facts

There are some implicit facts in this declaration that limit the possible values of the relation. Declaring \(f\) in \(S\) not only makes the type of \(S\) the left type of \(f\), but also constrains the left set of \(f\) to be a subset of the set \(S\). The mention of \(T\) on the right-hand side of the declaration likewise constrains the right set of \(f\) to be a subset of \(T\). In other words, \(f\) maps atoms in \(S\) to atoms in \(T\) — not very surprising.

A final implicit fact is that \(f\) is constrained to be a total function: it maps each atom in \(S\) to exactly one atom in \(T\). To weaken this constraint, you can insert a keyword after the colon: \texttt{option} to say that each atom of \(S\) is mapped to at most one atom of \(T\), or \texttt{set} to eliminate the constraint entirely. These rules may seem funny, but they have an intuitive effect: with the declaration as shown, \(s.f\) will be a scalar in \(T\) when \(s\) is a scalar in \(S\), a scalar or empty when \texttt{option} is included, and a set when \texttt{set} is included.

Using analogy with object-oriented languages, we’ll say that the expression \(s.f\) dereferences \(s\) with the field \(f\).

\textbf{Example.} Given this declaration

\[
sig Person \{spouse: option Person, parents: set Person\}
\]
the expression \( p \text{.spouse} \) for a scalar \( p \) in \text{Person} will be empty or a scalar, and \( p \text{.parents} \) will be any set.

**Example.** These declarations and fact introduce 2 sets and 3 relations:

\[
\begin{align*}
\text{sig Person} & \{ \text{name: Name, pets: set Pet} \} \\
\text{sig Pet} & \{ \text{name: Name} \} \\
\text{fact} & \{ \text{all p: Person | no p.name & p.pets.name} \}
\end{align*}
\]

In the body of the fact, the first occurrence of the identifier \text{name} refers to the \text{name} relation of \text{Person}, and the second refers to the \text{name} relation of \text{Pet}. It says that persons don’t share names with their pets.

### 3.2.2 Resolving Field Overloading

Sometimes there isn’t enough context to disambiguate field names, and in that case we write \( S\$f \) to refer to the field \( f \) of signature \( S \). Suppose we want to say that the spouse relation is symmetric: that if \( p \) is the spouse of \( q \), then \( q \) is the spouse of \( p \). We can write it like this:

\[
\text{fact} \{ \text{all p, q | p = q.spouse => q = p.spouse} \}
\]

or more succinctly like this:

\[
\text{fact} \{ \text{Person\$spouse = ~Person\$spouse} \}
\]

In the second formulation, without the explicit mention of \text{Person}, it would not be possible to determine which signature the field \text{spouse} belongs to.

### 3.2.3 Ternary Fields

So far, our fields have corresponded only to binary relations. The field \( f \) in this declaration:

\[
\begin{align*}
\text{sig S} & \{ f: T \rightarrow U \} \\
\text{sig T} & \{} \\
\text{sig U} & \{}
\end{align*}
\]

is a ternary relation whose column types are the types of \( S, T \) and \( U \) respectively. Given a scalar \( s \) from the set \( S \), the expression \( s.f \) will denote a binary relation whose left set is a subset of \( T \) and whose right set is a subset of \( U \). In this case, there is no implicit fact constraining \( s.f \) to be a singleton.

Perhaps you’ve noticed that what appears on the righthand side of a field declaration is (so far at least) just an expression, albeit with the possible addition of the keywords...
option and set when the expression denotes a set. You can put just about any expres-

\[
sig S \{ f: T \rightarrow U \rightarrow V \}
\]

3.2.4 Multiplicity Markings

When a field maps an atom to a relation, it’s often convenient to impose some basic

cardinality properties on the relation in the declaration itself. We’ll use the \textit{multiplici-
ty markings} \(?\) (zero or one), \(!\) (exactly one) and \(+\) (one or more). Imagine that \(m\) and \(n\) in

declaration of \(f\) are replaced by multiplicity markings:

\[
sig S \{ f: T \ xrightarrow{m} U \}
\]

These markings say that \(s.f\) maps \(m\) atoms in \(T\) to each atom in \(U\), and maps each atom in \(T\) to \(n\) atoms in \(U\). So to make the relation \(s.f\) a function, for all scalars \(s\) in \(S\), we’d

choose \(?\) for \(m\). For a total function, we’d choose \(!\); and for an injection, we’d choose \(?\)

for \(n\).

\textbf{Example}. The multiplicity markings in this declaration:

\[
sig Person \{ birthdayBook: Person \rightarrow? Date \}
\]

constrain \(p.birthdayBook\) to be a partial function: that is, a person’s birthday book has

at most one entry for each person.

Despite the similarity in appearance between dereferences in Alloy and in Java,

remember that the join operator is defined for arbitrary sets (and indeed relations). In

particular, if \(s\) denotes a set of atoms, \(s.f\) can be thought of as the union of the sets or

relations \(x.f\) for each atom \(x\) in \(s\).

\textbf{Example}. Here are some funky uses of the dot operator:

\[
sig Person \{ birthdayBook: Person \rightarrow? Date \}
\]

\[
\text{fact} \{ \text{all } p: Person \mid \text{some } q: p.birthdayBook.Date \mid p \in q.birthdayBook.Date \}
\]

\[
\text{fact} \{ \text{all } p: Person \mid p \in p.birthdayBook.Date.birthdayBook.Date \}
\]

Both facts say that same thing: that every person appears in the birthday book of at

least one of the persons whose birthday is listed in his or her book. The first says it

more conventionally, albeit forming the join of the expression \(p.birthdayBook\) to

extract its left set. The second takes full advantage of the join operator. The expression

\(p.birthdayBook.Date\) denotes the set of persons in the birthday book of \(p\); derefer-

encing this with \texttt{birthdayBook} gives the relation that includes the mappings of all

those persons’ birthday books. Finally, dereferencing with \texttt{Date} gives the set of persons

with birthday in any of those books.
We'll see more examples of multiplicity markings in Section 3.4.

3.3 Signature Extension

A declaration like

```latex
sig T extends S {...}
```

introduces a subsignature T of the signature S. Declaring a subsignature doesn’t introduce any new types. The new subsignature (here T) is just a set, constrained to be a subset of its supersignature (here S), and its type is the same as the type of the supersignature.

Subsignatures may themselves have subsignatures:

```latex
sig S {}
sig T extends S {...}
sig U extends T {...}
```

Taking the supersignature of a signature repeatedly, you end up eventually at a signature without a supersignature – the root signature. Here, S is the root signature of T and U. The type of a signature is determined by the type of its root.

Declaring a field in a subsignature is like declaring it in the root signature, with an implicit fact constraining the left set of the field to be a subset of the subsignature. In other words, the field maps only atoms in the subsignature. This declaration of f

```latex
sig X {}
sig S {}
sig T extends S {f: X}
```

constrains f to map only atoms in the set T, exactly as if we’d recorded a fact

```latex
fact {all s: T-S | no s.f}
```

or more succinctly

```latex
fact {S$f.X in T}
```

Note that the explicit name of the field is S$f and not T$f: fields are disambiguated by type, and only root signatures have distinct types. You can’t declare a field with the same name in two subsignatures of the same signature, since there is only one namespace between them, and it would not be possible to resolve the names.

Moreover, subsignatures are not necessarily disjoint. To say that two subsignatures are
mutually disjoint, the keyword disjoint is attached to each of their declarations.

**Example.** To classify persons into disjoint sets of men and women, to give each person a mother and father, and to have a man be his wife’s husband and vice versa, we might write:

```
sig Person {mother: Woman, father: Man}
disj sig Man extends Person {wife: option Woman}
disj sig Woman extends Person {husband: option Man}
fact {Person$wife = ~Person$husband}
```

Since a field declared in a subsignature belongs, according to its type, to the root signature, we don’t have to worry about applying it only to atoms of the subsignature. The expression `p.wife`, for example, will just denote the empty set when `p` is in the set `Woman`. To say that nobody is married to him or herself, for example, we can write

```
fact {no p: Person | p in p.(wife+husband)}
```

without the kinds of downcast that would be needed were our signatures classes in an object-oriented language and the fields instance variables.

To indicate that a signature contains exactly one atom, mark it as static. You can declare several subsignatures at once if they don’t declare fields.

**Example.** To declare a set of colours, including red, green and blue, we could write:

```
sig Colour {}
static disj sig Red, Green, Blue extends Colour {}
```

3.4 Implicit Dereferencing

Expressions involving several dereferences – that is, applications of the join operator – can be hard to read. To make them easier on the eye, there are two additional operators, `[]` and `::`, which mean the same as the dot operator – `q[p]` is equal to `p.q` and `p::q` – but bind differently. Double colon binds tighter than dot, and square brackets bind looser.

**Example.** A person’s birthday book always includes his or her own birthday:

```
sig Date {}
sig Person {birthdayBook: Person ->? Date}
fact {all p: Person | some p.p::birthdayBook}
```

If `d.next` is the date that follows the date `d` in the calendar, then this says that a person’s birthday book only includes persons born later:
sig Date {next: Date}
sig Person {birthdayBook: Person ->? Date}

Without the new operators, we could write the last fact with parentheses instead:

fact {all p: Person | (p.birthdayBook) in p.(p.birthdayBook).next}

Some formulas repeatedly dereference the same expression. Taking an idea from Pascal, we can make these more succinct by factoring out the expression being dereferenced. The formula

$$\text{with e | F}$$

is like $$F$$, but treats isolated field names as dereferences of $$e$$. To determine whether a field name is isolated, we first consider only fields that might be appropriate dereferences for $$e$$ (by looking at the type of $$e$$, and obtaining the fields of its signature). Then we check that the specifier hasn't explicitly indicated that the field isn't isolated, by binding it to an expression with a double colon to its left.

**Example.** The fact above is about the birthday book of person $$p$$. In this reformulation, the identifier birthdayBook is short for $$p.birthdayBook$$:

sig Date {next: Date}
sig Person {birthdayBook: Person ->? Date}
fact {all p: Person | with p | birthdayBook[Person] in birthdayBook[p].next}

Sometimes with is handy, but like any implicit mechanism, it can make the text more, not less, inscrutable. You can bind several expressions at once using with, and you can nest with statements. But you can't bind two expressions with the same type, since there would be no way to choose one over the other. So use with judiciously. The most natural usage is when recording properties for the atoms of a signature with many fields.

**Example.** A file system whose objects are either files or directories, with a root directory and a mapping from each directory to its contents:

sig Object {}
sig FileSystem {
  disj files, dirs: set Object,
  root: dirs,
  contains: dirs -> (files + dirs)
}
This idiom is so common that we allow the fact to be attached to the signature directly, without the quantifier or the with:

```
sig Object {}
sig FileSystem {
    disj files, dirs: set Object,
    root: dirs,
    contains: dirs -> (files + dirs)
} {dirs + files in root.*contains}
```

The implicitly quantified variable is named `this`, so it can be mentioned explicitly if necessary. Here again, as an attached fact, is the constraint that a person's birthday book lists his or her own birthday:

```
sig Date {}
sig Person {
    birthdayBook: Person ->? Date
} {some this.birthdayBook}
```

Now that we have `with`, we can explain field declarations more precisely. Declaring a field `f` with an expression `E` like this

```
sig S {..., f: E, ...}
```

generates an implicit fact

```
all this: S | with this | f in E
```

To make it easy to infer the type of the field, the expression `E` can only mention the names of signatures, and fields of `S` that are declared before `f`. So the declaration of `contains` in `FileSystem` is short for the fact

```
all this: FileSystem | with this | contains in dirs -> (files + dirs)
```

which is equivalent to

```
all fs: FileSystem | fs.contains in fs.dirs -> (fs.files + fs.dirs)
```

### 3.5 Functions

A function is a parameterized formula that can be applied by instantiating the param-
eters with expressions whose types match the declared parameter types.

**Example.** Here’s a model of LISP-like lists, in which a non-empty list has a pointer to
the rest of the list (itself a list), and to an element, with a fact ruling out cyclic lists:

```alloy
sig Elt {}
sig List {}
sig NonEmptyList extends List {next: List, elt: Elt}
fact {all p: List | p !in p.^next}
```

We can define two functions that correspond to adding an element to the front of a list
(cons) and extracting the first element of a list (car):

```alloy
fun cons (before, after: List, e: Elt) {
  after.next = before
  after.elt = e
}
fun car (before: List, e: Elt) {
  e = before.elt
}
```

and now we can apply the functions in an assertion that claims that if you add an ele-
ment to a list, then extract an element, you get back the element you started with:

```alloy
assert GetBack {all p,q: List, e,f: Elt | cons (p,q,e) && car (q,f) = f = e}
```

The uses of the functions cons and car aren’t really ‘applications’ here; they’re predic-
cates relating the inputs and outputs. Alloy lets you write them more naturally as func-
tion applications, in which the inputs to a function are presented to arguments, and the
application expression as a whole is given the value of the output.

Without changing the declarations of the functions, we can apply them like this:

```alloy
assert GetBack {all p: List, e: Elt | car (cons (p,e)) = e}
```

To understand this, you need to know that there is a convention that the second argu-
ment in a function declaration is treated as the function’s result. The reason for choos-
ing the second (rather than the last, for example) is that often the type of the first argu-
ment and the result are the same (as in cons), and placing them one after the other
allows them to share a type declaration.

The application of car poses no complications, since, from the form of the body of the
function’s declaration, you can see that car (p) where p is any expression can be
replaced by p.elt.

The application of cons is much trickier. First, its definition is implicit, so an applica-
tion can’t be inlined by a simple textual replacement. Second, it’s a *non-deterministic* function: it can return any list whose next and elt fields are set appropriately.

Alloy therefore employs the following rule for handling function applications. Suppose we have a function application expression, \( E \) say, of the form

\[ f(a_1, a_2, ..., a_n) \]

whose smallest enclosing formula is some formula \( F \). Then the declaration of the function \( f \) must list \( n+1 \) arguments, of which the second will be treated as the result. The entire enclosing formula is taken to be short for

\[ \text{all result: } D | f(a_2, \text{result}, a_2, ..., a_n) \Rightarrow F [\text{result/e}] \]

where \( D \) is the right-hand side of the declaration of the (second) missing argument, and \( F[\text{result/E}] \) is \( F \) with the fresh variable \( \text{result} \) substituted for the application expression \( E \). The application of \( f \) in this elaborated formula is now a formula, and is treated simply as an inlining of the formula of \( f \).

So in this case, the smallest enclosing formula for the application of \( \text{cons} \) is

\[ \text{car (cons (p,e)) = e} \]

Desugaring, we get:

\[ \text{all result: List | cons(p,\text{result},e) => car (\text{result}) = e} \]

Note the effect of the quantification: our replacement formula says that taking the car of the result of the \( \text{cons} \) application gives \( e \) for *every* possible result of the \( \text{cons} \). In other words, a formula involving a non-deterministic function is true when it holds for every possible result the function may yield.

Now we apply the same rule to desugar the application of \( \text{car} \), and we get:

\[ \text{all result: List | cons(p,\text{result},e) =>} \]

\[ (\text{all result2: Elt | car (\text{result, result2}) => result2 = e}) \]

which, with a bit of rearranging (moving the innermost quantifier out), is the same as the formula that we wrote initially.

This approach may seem a bit complicated, but it has a nice uniformity. Whether the function is explicit (equating the result to an expression) or implicit, whether it’s deterministic or not, the treatment is the same. In the simpler cases, one can show that the treatment reduces to what you’d expect. Inlining the body of \( \text{car} \), for example, we get

\[ \text{all result: List | cons(p,\text{result},e) =>} \]

\[ (\text{all result2: Elt | result2 = result.elt => result2 = e}) \]
which, using a rule of logic (a variant of the ‘one point rule’) can be transformed to

\[
\text{all result: List } | \ cons(p, result, e) \Rightarrow result.\text{elt} = e
\]

just as if we’d replaced the application of \text{car} by the righthand side of the equality in its body.

Our scheme seems to give as intuitive a meaning as one could expect from applying a non-deterministic function, but it does have (at least) one foul outcome: the formula

\[
f(x) = f(x)
\]

is not generally true for non-deterministic functions. It says that two applications of \(f\) to \(x\) must give the same result – but they needn’t. So it’s wise to be careful when applying non-deterministic functions. Sometimes, a function can be made deterministic. Just adding the fact

\[
\text{fact \{all p, q: List } | \ p.\text{elt} = q.\text{elt} \&\& p.\text{next} = q.\text{next} \Rightarrow p = q\}
\]

to our list model, for example, has the effect of ‘canonicalizing’ lists: it says that there is only one list with a given \(\text{elt}\) and \(\text{next}\) field. The function \(\text{cons}\) is now deterministic.

Functions are often grouped around the type of the first argument, like the methods of a class in an object-oriented language. You can declare such a ‘receiver’ argument, and also a result argument, with the following shorthand:

\[
\text{fun S::f (\_):T \{\_\}}
\]

The declaration is equivalent to

\[
\text{fun f (this: S, result: T, \_):T \{\_\}}
\]

where \(\text{this}\) and \(\text{result}\) are keywords that name the arguments declared anonymously, and the ellipsis in the new argument list is the old argument list. (Actually, this expanded declaration is not legal: you can’t declare arguments with these names explicitly.) Either receiver or result can be omitted.

You can also apply a function with its first argument presented in prefix position; for example,

\[
s..f (a,b,c)
\]

is short for

\[
f (s,a,b,c)
\]

These conventions are just trivial shorthands, but they can make a model more read-
able. The declaration shorthand is independent of the application shorthand; you can write the first argument as a prefix in an application whether or not it was declared receiver-style in the function’s declaration.

**Example.** Our list functions can be declared like this:

```java
fun List::cons (e: Elt): List {
    result.next = this
    result.elt = e
}

fun List::car (): Elt {
    result = this.elt
}
```

and applied like this:

```
assert GetBack {all p: List, e: Elt | p..cons(e)..car () = e}
```

### 3.6 Polymorphism

Some models are independent of the meaning of one or more signatures. These signatures don’t have fields and their atoms aren’t constrained by facts. They’re just placeholders into which any signature could be inserted. When modelling a linked list, for example, we’re not concerned about what kind of element is stored in the list; the properties of the list only involve the signature of the atoms that form the backbone of the list. Such models are generic: their properties hold (or fail to hold!) whatever the properties of the irrelevant signatures may be.

No special language support is needed for such a model it it stands alone. Signatures are, after all, uninterpreted. But sometimes we want to build another model from such a model, in which the generic signature is replaced by a signature whose properties do matter.

To support this, Alloy allows the elements of a model to be parameterized by one or more signature placeholders. Uses of these elements can then instantiate the parameters by binding concrete signatures to the placeholders.

**Example.** Our list model can be recast generically:

```java
sig List[t] {}

sig NonEmptyList[t] extends List[t] {next: List[t], elt: t}

fact [t] {all p: List[t] | p !in p.^next}

fun cons[t] (before, after: List[t], e: t) {
    after.next = before
}
```
after.elt = e

fun car[t] (before: List[t], e: t) {
    e = before.elt
}

assert GetBack[t] {all p: List[t], e: t | car (cons (p,e)) = e}

The identifier `t` that appears throughout the model is a signature variable that can be instantiated with a signature. The generic model can be analyzed in its own right, however; when the assertion is checked, for example, the analyzer will just substitute a dummy, concrete signature for the variable.

A generic fact, function, or assertion must be explicitly parameterized by any signature variables mentioned in its body. The variables are like arguments; the name doesn't matter, so long as it's used consistently. We could have declared `car` like this, for example:

```plaintext
fun car[s] (before: List[s], e: s) {
    e = before.elt
}
```

To use a generic model as part of another model, you instantiate the signature variables with signature names. There's no need to instantiate the signature variables when applying functions; this is done automatically based on the types of the arguments.

**Example.** A diary containing a mapping from dates to lists of appointments, each of which holds its time:

```plaintext
sig Time {} sig Date {}
sig Diary {appts: Date -> List[Appt]}
sig Appt {at: Time}
fun Diary::getStart (d: Date): Time {
    result = car(this.appts[d]).at
}
```

The function `getStart` takes a diary and a date and returns the time of the first appointment on that date. Note that, in its body, the application of `car` doesn't require the signature variable to be explicitly instantiated. The variable is determined to be bound to `Appt` from the type of its first argument.

### 3.7 Modules

Alloy models are divided into modules. You can, if you like, put your entire model in a
single module. All the examples in this chapter will compile if you simply add to the top of the file a module declaration such as:

```alloy
module Main
```

Some models or model fragments are used repeatedly in other models. To make reuse convenient, and to allow structuring of large models, Alloy allows a model to incorporate the contents of other modules. For example, the generic lists described above might be declared in a module `Lists`; many other models could then use lists without having to cut-and-paste the text explicitly.

Alloy's module system is a simplified version of Java's package system. Modules can be arranged in a tree, and are given pathnames from the root. The names of the files containing the modules, and their locations in the directory hierarchy, must match the module names.

Each element in a module – that is, signature, fact, function or assertion – has a qualified name obtained by prefixing the element's name with the module name. To refer to an element in the same module, you can use the qualified name, or just the element's declared name. To refer to an element in a different module, you must use the qualified name.

To be able to make reference at all to an element from a different module, you must import the module explicitly at the top of the file. An import declaration with the keyword `use` makes the elements of the module available; an import declaration with the keyword `open` makes the elements available, and additionally, allows them to be referred to by their unqualified names.

**Example.** The generic list elements might be declared in a module `library/Lists`:

```alloy
module library/Lists
  sig List[t] {}
```

The diary model might then be in a module `Diary` that imports the module:

```alloy
module Diary
  open library/Lists
  sig Time {} ...
  fun Diary::getStart (d: Date): Time {
    result = car(this.appts[d]).at
  }
```

Note that the reference to `car` doesn't need to be qualified because the imported module was opened. With a `uses` import instead, a qualified name would be needed:

```alloy
module Diary
  uses library/Lists
  sig Time {} ...
  fun Diary::getStart (d: Date): Time {
    result = car(this.appts[d]).at
  }
```
uses library/Lists

sig Time {} ...
fun Diary::getStart (d: Date): Time {
    result = library/Lists/car(this.appts[d]).at
}

3.8 Commands

There are two forms of commands. The check command is used to check an assertion; its result is a counterexample, if found. The run command is used to find an instance of a function. Both commands take the name of the assertion or function, and an indication of the scope in which the analysis is to be performed. For example,

    check A for 3

would cause the assertion A to be checked for all configurations in which each basic type is constrained to have no more than 3 atoms. Individual types can be constrained; for example

    check A for 3 but 2 S, 5 T

says that all types should be constrained to 3 atoms, except for the types associated with signatures S and T, which are constrained to contain at most 2 and 5 atoms respectively.

3.9 To be added

- Evaluation commands.
- Multiplicity markings in context of implicit dereferencing.
- Argument decl constraints for functions.
- Let expressions. If statements and expressions.
- Explaining decls in polymorphic sigs.
- Explain det fun keyword.
- Instantiation with subsignatures.
- Explain file names for modules. Class path?
- Cardinality operators.
Chapter 4: Using the Analyzer

An outline of how to use the analyzer with the graphical user interface is given here. The analyzer is also available in a command-line version, and as a programmatic interface (API) for use as a component in other tools.

4.1 What The Analyzer Does

The analyzer’s basic function is very simple. Given a constraint, it attempts to find a solution. If it finds one, it displays the solution as a tree of values assigned to variables, and optionally as a graph of nodes and edges. It can also show the evaluation of each constituent formula and expression of the constraint. The analyzer includes a rudimentary editor.

4.2 Screen Layout

The screen is divided into 4 panes, each of which can be minimized so that only selected panes are showing. They are: the editor pane, showing the model being analyzed; the solution pane, showing an expandable tree that represents the solution to a command that has been executed; the syntax pane, which shows the abstract syntax tree of the constraint that has just been executed, annotated with the values of each node under the assignment reported in the solution pane; and the console pane, in which output from the backend SAT solver is reported.

Compilation errors and the diagrammatic visualization appear in pop-up windows. There is a status bar at the bottom that reports progress and indicates the position of the cursor in the buffer.

4.3 Basic Usage Mode

Here’s how the tool is typically used:

- Start by loading a model, either by opening an existing file (with File|Open), or by creating a new one (with File|New), writing some text, and then saving it (with File|Save).
· Compile the file (with Tools|Build). If there’s a syntax or type error, a window will pop-up with a list of errors. Click on an error to highlight its location in the source.

· Select a command (from the Command menu). By default, the last command in a file will be selected, unless you have previously chosen a command, in which case it will be the default.

· Instruct the analyzer to execute the command (with Tools|Execute). An indication that a search for a solution is in progress will appear in the status bar. If a solution is found, it will appear in the solution pane. You can then examine the solution by expanding nodes in the solution tree. You can also visualize the solution (with Tools|Visualize).

Note that the analyzer works on files and not buffers: it will refuse to analyze a new model that you haven’t yet saved, and if you make changes without saving them, it will remind you to save them if you issue an analysis command, since it will be the file contents and not the buffer that gets analyzed.

### 4.4 Starting up

To start up the tool, type

```
java -jar alloy.jar
```

Depending on your platform, you may be able instead to double-click on the jar (Java archive) file, or execute a batch file included in the distribution. You can change the look and feel of the graphical user interface by appending to the command line one of the following:

```
-style windows
-style metal
```

### 4.5 Commands

Many of the commands have keyboard shortcuts, shown in the menus to the right of the command. You can cut and paste into the editor from other applications, but be careful when pasting from an application that does not create plain ASCII text. For example, if you paste from a Word document, you might have trouble with different kinds of linebreaks, smart quotes, etc.
4.5.1 File Menu

New
Cleans the editor pane for the creation of a new model.

Open…
Cleans the editor pane, and replaces its contents by the contents of the specified file.

Reload
Replaces the contents of the editor pane with the contents of the file on disk. This is designed to make it easy to use a separate text editor. Just open the file in the analyzer, edit it in the separate editor, and to perform an analysis, just reload, build and execute.

Save
Saves the contents of the editor pane as a file on disk. If a name for the file has not been given, you will be prompted for one.

Save as…
Saves the contents of the editor pane as a file on disk with a given name. The name will be remembered, so that subsequent saves will be to the file of that name.

Save a copy as…
Saves the contents of the editor pane as a file on disk with a given name. The name will not be remembered. This allows you to save intermediate versions of your model as you work on it.

Quit
Closes down the analyzer.

4.5.2 Edit Menu

Cut
Deletes the selected text in the editor buffer and copies it into the paste-buffer.
Copy
Copies the selected text into the paste-buffer, without deleting it from the editor buffer.

Paste
Pastes the contents of the paste-buffer at the point of the cursor.

Delete
Deletes the selected text without affecting the paste-buffer.

Undo
Undoes the last editing command.

Redo
Replays the last editing command whose effect was undone.

4.5.3 Tools Menu

Build
Compiles the file that was last loaded or saved. If errors were found, a pop-up window will list the errors and their location in the source text. Click on an error to highlight its location in the editor pane.

Execute
Executes the command selected in the Command menu.

Edit instance
Displays a dialog that allows you to manually enter a candidate solution to the selected command. When you close the dialog, the tool will evaluate the selected command for the assignment of values to variables specified. You can then use the syntax pane to examine the values of formulas and expressions.

Visualize
Displays a visualization of the last solution found. The visualization will stay open
unless closed, but will not be automatically refreshed when new solutions are found. The visualization can be customized (see below).

**Next solution**
Attempts to find an additional solution for the selected command.

**Cancel**
Cancels the execution of a command that is underway.

### 4.5.4 Commands Menu

### 4.5.5 Options Menu

**Solve using Chaff**
Selects Chaff as the SAT solver to be used for executing commands.

**Solve using RelSat**
Selects RelSat as the SAT solver to be used for executing commands.

**Multiple Solutions**
If checked, includes a symmetry-breaking formula in the command so that if multiple solutions are requested, a solution is omitted if isomorphic to one previously shown.

**Detect shared subformulas**
Enables an optimization. As far as we know, it always improves performance.

**Skolemize inside universal quantifiers**
Enables a transformation in which existentially quantified variables that are within universal formulas are skolemized. Commands involving such formulas will not be analyzed if this option is not checked.

**Remember tree modality**
Remembers which nodes in the tree in the solution pane have been opened, so you can focus on some part of the solution, and examine it for repeated executions without
having to renavigate through the tree. Currently, the state of the tree is remembered by simple textual matching.

**Change Text Font**
Changes the font in the editor pane.

**Change Tree Font**
Changes the font used in the trees in the solution and syntax panes, and to label nodes and edges in the visualization.

**Change Tab Width**
Changes the width of tabs in the editor pane. Tabs are not converted to spaces, so this has only a visual effect: the saved file is unaffected.

**Save Preferences**
Saves the current preferences to a file in the user’s home directory under the name `.alloyguirc`.

### 4.5.6 Help Menu

**About…**
Displays a splash panel. Help facility under development.

### 4.6 Solution Tree

The tree in the solution pane gives the values of variables in textual form. At the top-level, the nodes correspond to the signatures, the arguments of a function if the command was a ‘run’ command, and skolem constants that give witness values to quantified variables. Because quantified variables need not have unique names, the tool generates unique names for skolem constants. Currently the names are not very helpful, but in most cases, you should be able to guess how they correspond to the variables in the formula being analyzed.

Each of these nodes has a value that is a set of atoms; click on the node to expand it, and see the atoms as a subtree. An atom that belongs to a signature with fields can then clicked on to reveal the value of the atom’s field. In general, this gives a subtree of
tuples; you can then examine the atoms in these tuples recursively. If a set is empty, no children are shown.

### 4.7 Syntax Tree

The syntax tree is under development. In its current form, it shows the formula that has been analyzed in the form in which it is represented internally. We plan to make it more readable.

### 4.8 Visualization

Selecting the visualization command (Tools|Visualize) opens the visualization window. The window has two panes. The **graph pane** shows a solution as a graph of nodes and edges. The **customization pane** consists of a collection of subpanes that allow you to customize the appearance of the graph. The customization commands are as follows.

**4.8.1 Customize/General**

**View Unconnected Nodes**

If checked, nodes that have no incoming or outgoing arrows will be shown; otherwise they will be hidden.

**Save Dot Files**

Causes the files generated during visualization to be saved in the format of AT&T’s *dot* program, which the analyzer uses for graph layout.

**4.8.2 Customize/Type**

For each signature that corresponds to a basic type, you can determine:

- whether atoms of this type are shown at all; what shape and colour are used for displaying the atoms as nodes;
- whether the solution is to be projected onto the type;
- what string is to be used as a prefix for the names of atoms of the type; and
whether when displayed as nodes, the atoms should be given the same rank by dot, so they are displayed at the same level.

Projection is used to enable the display of relations of arity greater than 2. Without projection selected, a field that corresponds to a relation of arity 3 or more will be displayed as a set of nodes corresponding to the tuples of the relation, with edges from the tuple nodes to their constituent atoms (with numbered edges to indicate the order or atoms in the tuple). With a given type projected, a separate graph is generated for each atom in the type. Tuples of higher arity relations are transformed to tuples in which the atoms of that type have been elided. For example, if a relation has the tuples

\[
\begin{align*}
\text{a1, b1, c1} \\
\text{a1, b1, c2} \\
\text{a2, b1, c3}
\end{align*}
\]

then projection of the type A with atoms a1, a2, etc, will result in two graphs. When a1 is chosen, the graph will include the edges

\[
\begin{align*}
b1, c1 \\
b1, c2
\end{align*}
\]

and when a2 is chosen, the graph will include the edge

\[
b1, c3
\]

You can project on any number of types. There will then be a graph for each combination of atoms from the projected types.

### 4.8.3 Customization/Variable

For each set and relation variable, you can determine:

- whether the set or relation is displayed at all;
- what string is used to label the set or relation;
- for a set, whether nodes corresponding to atoms in the set should be given a string label;
- for a relation, whether tuples should be shown not as edges but instead as attribute lists inside nodes;
- the colour of a node of edge belonging to the set or relation;
- for a relation, whether edges are labelled.
4.8.4 Customization Commands

At the bottom of the customization pane, there are buttons for the following commands:

**Generate Graph**
Generates a graph from the last found solution using the current customization.

**New Customization**
Clears customization settings, resetting them to their default values.

**Save Customization**
Saves the current customization settings in a file.

**Load Customization**
Loads customization settings from a file previously saved.

4.9 Files

The analyzer reads and writes the following files:

- *Source files*, in whatever directory the user selects.

- *Visualization customization files*, in whatever directory the user selects.

- *Dot files*, containing solutions in the format of AT&T's dot program, in the directory of the associated source file.

- *A preference file* holding user interface preferences, under the name .alloyguirc in the user's home directory.

- *Temporary files* generated during solving, in the system's temporary directory. A subdirectory is created for each session of the tool's use, and is deleted on exit.
Chapter 5: Syntax

5.1 Lexical Issues

Non-alphanumeric characters and whitespace acts as separators. Presence of additional whitespace is irrelevant; linebreaks in particular are equivalent to tabs and spaces. Keywords and identifiers are case sensitive.

Characters between -- or // and the end of the line, and from /* to */, are treated as comments.

Identifiers may include any of the alphabetic characters, and, except as the first character, numbers, underscores and quote marks.

5.2 Grammar

Conventions:

- $x...$ is a sequence of zero or more instances of $x$.
- $x,....$ is a sequence of one or more comma-separated instances of $x$.
- $[x]$ is zero or one instances of $x$.

```
module ::= moduleDecl import... paragraph...
moduleDecl ::= module [packageName /] moduleName
moduleName ::= id
dir ::= id /
import ::= (open | uses) packageSpecifier
packageSpecifier ::= packageName [/*]
paragraph ::= signature | fact | assertion | function | run | check | eval
signature ::= [static] qualifier,... sig signame [typeparams] [extension] { decl,... }
            [formulaseq]
```

typeparams ::= [ typeparam,... ]
extension ::= extends sig, ...

fact ::= fact [paraname] [typeparams] formulaseq
assertion ::= assert [paraname] [typeparams] formulaseq

function ::= [det] fun [thisarg] paraname [typeparams]
           ( [decl,...] ) [: multexpr] formulaseq
thisarg ::= sig . | sig ::

run ::= run paraname [scope] [excluded] [expect number]
check ::= check [paraname] [scope] [excluded] [expect number]
eval ::= eval paraname using paraname [scope] [excluded]

scope ::= for [number but] typescope, ...
typescope ::= number (sig | int)
excluded ::= without global, ...
global ::= facts | constraints | paraname

dcl ::= var,... compop multexpr | qualifier... var,... : multexpr
qualifier ::= part | disj | exh
multexpr ::= [setmult] expr | expr [mult] -> [mult] expr

setmult ::= set | option | scalar
letdecl ::= var = expr
expr ::= var | sig $ var | unop expr
          | expr binop expr | expr [ expr ]
          | { decl,... [formulabody] }
          | ( expr )
          | invocation | this | result | sig
          | with expr,... | expr
          | let letdecl,... | expr
          | (none | univ | iden) [ expr ]
          | if formula then expr else expr
          | Int intexpr

invocation ::= [expr ..] paraname ( [expr,...] )

intexpr ::= number | # expr | sum expr | int expr
          | ( intexpr )
          | if formula then intexpr else intexpr
          | intexpr intop intexpr
          | sum dcl,... | intexpr
          | with expr,... | intexpr
          | let letdecl,... | intexpr
intop ::= + | -

formulabody ::= formulaseq | formula
formulaseq ::= { formula… }
formula ::= expr compop expr
   | intexpr intcompop intexpr
   | ( formula )
   | neg formula | formula logicop formula | formula thenOp formula
   [elseOp formula]
   | formulaseq
   | quantifier decl,… formulabody
   | with expr,… formulabody
   | let letdecl,… formulabody
   | quantifier expr
   | invocation

thenOp ::= => | implies
elseOp ::= else | ,

neg ::= not | !
logicop ::= && | || | iff | <=> | and | or
quantifier ::= all | no | some | sole | one | two
binop ::= + | - | & | . | :: | ->
unop ::= ~ | * | ^
mult ::= ! | ? | +
compop ::= [neg] ( : | = | in )
intop ::= [neg] ( = | <= | >= | < | > )

signame ::= ([packageName /] id) | Int
sig ::= signame | paramsigname | typeparam
paramsigname ::= signame [ sig,… ]
paraname ::= id
var ::= id
name ::= id
typeparam ::= id
5.3 Precedence and Associativity

Precedence order for formula operators (tightest binding first):

\!, &&, =>, <=>, ||

Precedence order for expression operators (tightest binding first):

::, ~/^/, ., [], ->, &, +/-

Associativity:

-, & to the left
:: and . to the left (essential for type inference)
=> to the right
other operators are associative