This homework is concerned with the basics of assertional reasoning.

There are 3 simple problems, preceded by a sample problem and its solution.

Keep your answers brief, as in the example problem's solution.

You will get full marks: if an answer is not adequate or too long, I'll ask you to do it again.

The construct sum(f(j): j in  $\alpha$ ), where  $\alpha$  is a set, sequence or bag, equals the sum of f(j) where j ranges over  $\alpha$ 

- e.g.: sum(f(j): j in 1..7) equals f(1)+f(2)+...+f(7)
- e.g.: sum(f(j): j in 1..0) equals 0

When answering a question of the form "Does program Z satisfy Inv P", do the following:

- If you answer yes, give a predicate, say B, such that
  - B satisfies the invariance induction rule (see proofrules.pdf), and
  - $B \Rightarrow P$  holds.
- If you answer no, give a finite allowed evolution ending in a state where P does not hold.

When answering a question of the form "Does program Z satisfy P leads-to Q", do the following:

- If you answer yes, give one or more leads-to assertions (each of the form X leads-to Y) such that
  - each leads-to assertion holds via the weak-fair or strong-fair rule (see proofrules.pdf), and
  - P leads-to Q follows from the closure (see proofrules.pdf) of the leads-to assertions
- If you answer no, give an allowed evolution of Z that satisfies Z's progress assumption and does not satisfy P leads-to Q.

# **Sample Problem**

```
program Z(int N) {
    int x \leftarrow 0;
    int y \leftarrow 0;
    while (y < N)
    al: • x \leftarrow x + y + 1;
        y \leftarrow y + 1;
    return;
    atomicity assumption {as given by the '•'}
    progress assumption {weak fairness}
}
```

From the atomicity assumption, the following are atomic

- start-to-a1
- a1-to-a1
- a1-to-exit

**Part a** Does Z satisfy  $Inv A_0$ , where

 $A_0: y = N \Rightarrow x = sum(j:j in 1..N)$ 

**Part b** Does Z satisfy  $L_0$ , where  $L_0$ : y = 0 leads-to y = N

## **Sample Problem Solution**

### Part a

 $B_0$ : x = sum(j:j in 1..y)

 $B_0$  satisfies the invariance induction rule and implies  $A_0$ 

#### This part is not required for the answer.

Details on how  $B_0$  satisfies the invariance induction rule

- start-to-a1:
- zeros x and y, which establishes  $B_0$
- a1-to-a1, starting with y equal to, say, p:
- B<sub>0</sub>.lhs increases by p+1; y increases from p to p+1, adding p+1 to the sum in B<sub>0</sub>.rhs. So B<sub>0</sub> is preserved.
  a1-to-exit, starting with y equal to N-1: same as in a1-to-a1.

Details on how  $B_0 \Rightarrow A_0$  holds

-  $B_0$  with y=N yields x = sum(j: j in 1..N).

#### Part b

 $L_1$ : (thread at al) and (y = j < N) *leads-to* (thread at al) and (y = j+1)

 $L_1$  holds via weak-fair rule.  $L_0$  follows from closure of  $L_1$ .

#### This part is not required for the answer.

Details on how  $L_1$  satisfies the weak-fair rule

- $L_1$ .lhs (conjunct 1) implies that step start-to-al is enabled.
- Step al-to-al establishes  $B_0$ .rhs from  $B_0$ .lhs
- Step al-to-exit establishes  $B_0$ .rhs from  $B_0$ .lhs

## **Problem 1**

```
program Z(int N) {
    // note: N can be 0 or negative
    int x \leftarrow 0;
    Tid[N] t;
    for (j in 0..N-1)
        t[j] \leftarrow startThread(up(j));
    return;
    function up(j)
    al: • x \leftarrow x + j + 1;
    return;
    atomicity assumption {as given by the '•'s}
    progress assumption {weak fairness}
}
```

**Part a** Does Z satisfy  $Inv A_0$ , where  $A_0 : x \le sum(j: j \text{ in } 1..N)$ 

**Part b** Does Z satisfy  $L_0$ , where  $L_0$ : true *leads-to* x = sum(j:j in 1..N)

By convention, sum(empty set) is 0.

## **Problem 2**

```
program Z(int N) {
  int x \leftarrow 4;
  t1 \leftarrow startThread(fn1());
  t2 \leftarrow startThread(fn2());
  t3 \leftarrow startThread(fn3());
  return;
  function fn1()
     repeat
 al: • x \leftarrow 1;
 b1: until • (x = 3);
     return;
  function fn2()
     repeat
 a2: • if (x = 1) x \leftarrow 2;
         else x \leftarrow 4;
 b2: until • (x = 3);
     return;
  function fn3()
     repeat
 a3: • if (x = 2) x \leftarrow 3;
         else x \leftarrow 4;
 b3: until • (x = 3);
     return;
  atomicity assumption {as given by the '•'s}
  progress assumption {weak fairness}
}
```

**Part a** Does Z satisfy Inv  $A_0$ , where  $A_0$ : (not (t1.alive or t2.alive or t3.alive))  $\Rightarrow x = 3$ 

**Part b** Does Z satisfy  $Inv A_1$ , where  $A_1$ : (not tl.alive or not t2.alive or not t3.alive)  $\Rightarrow x = 3$ 

**Part c** Does Z satisfy  $L_0$ , where  $L_0$ : ((t1 at a1) and (t2 at a2) and (t3 at a3)) *leads-to* not (t1.alive or t2.alive or t3.alive)

## **Problem 3**

Consider the following distributed shortest-distance algorithm for a network of nodes and node-to-node fifo channels. There are N nodes, with ids  $1, \dots, N$ . There are channels for a given subset E of node pairs, i.e., there is a channel from i to j iff [i,j] is in E. The channel from i to j has a nonnegative cost D[i,j]. The graph of the nodes and channels may not be fully connected. For every node i that is reachable from node 1, let D[i] denote the shortest distance from 1 to i

Every node i has a variable dist[i], indicating the current estimate of the shortest distance from node 1 to node i. Node 1 starts the computation by sending on every outgoing channel [1,j] the message [D[1,j]]. When node i receives a message [d], if d is less than dist[i] then node i sets dist[i] to d and sends on every outgoing channel [i,j] the message [d+D[i,j]].

The program below models the above within a single system. Variable  $\alpha$ [i, j] has the sequence of messages in transit. Also, the activity is defined by rules, rather than explicitly threads. Also,  $\infty$  denotes "max int".

```
program Z(int N, E, D) {
  ic \{N > 0 \text{ and } \}
      (E subsetOf set([i,j]:i,j in [1..N], i \neq j))
     }
  init:
    for ([i,j] in E)
        \alpha[i,j] \leftarrow [];
    dist[1..N] \leftarrow \infty;
    dist[1] \leftarrow 0;
    for ([1,j] in E)
        append [D[1,j]] to \alpha[1,j];
  rule rcv(i,j), for [i,j] in E:
    await (\alpha[i,j] \neq [])
        remove [d] from \alpha[i,j].head;
        if (d < dist[j])</pre>
           dist[j] \leftarrow d;
           for ([j,k] in E)
              append [d+D[j,k]] to \alpha[j,k].tail;
     return;
  atomicity assumption {init, each rule}
  progress assumption {weak fairness}
}
Part a Does Z satisfy Inv A_0, where
A_0: ((i in 2..N) and dist[i] \neq \infty) \Rightarrow (there is a path from 1 to i of length dist[i])
                                                                                                       // added i in 2...N
Part b Does Z satisfy L_0, where
L_0: ((i in 2...N) and (i reachable from 1)) leads-to dist[i] = D[i]
                                                                                                       // added i in 2...N
Part c Does Z satisfy L_1, where
L_1: ((in in 2..N) and ([i,j] in E)) leads-to \alpha[i,j] = D[i]
                                                                                                       // added i in 2...N
```