

These material is from section 6.10 (Proof rules) of the text.

## Hoare-triples

Hoare-triples express properties of program statements when they execute without interference from the environment. A Hoare-triple has the form  $\{P\} S \{Q\}$ , where  $P$  and  $Q$  are predicates and  $S$  is a program statement.  $P$  and  $Q$  are referred to as the **precondition** and the **postcondition**, respectively, of the Hoare-triple.

- For  $S$  that is *non-blocking* and not preceded by an input assumption/condition:  
 $\{P\} S \{Q\}$  means that the execution of  $S$  starting from *any* state satisfying  $P$  always terminates (i.e., no infinite loop, no fault) in a state that satisfies  $Q$ , assuming that  $S$ 's environment does not affect intermediate states of  $S$ 's execution.
- For  $S$  that is *blocking* with guard  $B$  and action  $C$  (e.g., “await ( $B$ )  $C$ ” or “oc  $\{B\} C$ ”):  
 $\{P\} S \{Q\}$  means  $\{P \text{ and } B\} C \{Q\}$ .
- For  $S$  that is preceded by input assumption/condition  $B$ :  
 $\{P\} S \{Q\}$  means  $\{P \text{ and } B\} S \{Q\}$ .

Here are some examples of Hoare-triples. Next to each we indicate whether or not it is valid.

- $\{\text{true}\} \text{ if } x \neq y \text{ then } x \leftarrow y+1 \{ (x = y+1) \text{ or } (x = y) \}$  (valid)
- $\{x = n\} \text{ for } i \text{ in } 0..10 \text{ do } x \leftarrow x+i \{x = n+55\}$  (valid)
- $\{x = 3\} x \leftarrow y+1 \{x = 4\}$  (invalid; e.g., if  $y = 1$  holds at start)

We say “ $S$  unconditionally establishes  $Q$  from  $P$ ” to mean that  $\{P\} S \{Q\}$  holds.

We say “ $S$  unconditionally establishes  $Q$ ” to mean that  $\{\text{true}\} S \{Q\}$  holds.

We say “ $S$  unconditionally preserves  $P$ ” to mean that  $\{P\} S \{P\}$  holds.

## Proof rules for safety assertions

### Invariance induction rule 1

$Inv P$  holds for program  $M$  if the following hold:

- for the initial atomic step  $e$  of  $M$ :  $\{\text{true}\} e \{P\}$
- for every non-initial atomic step  $e$  of  $M$ :  $\{P\} e \{P\}$

We say “ $P$  satisfies the invariance induction rule” to mean it satisfies the above conditions.

### Invariance induction rule 2

$Inv P$  holds for program  $M$  if the following hold for some predicate  $R$ :

- $Inv R$
- for the initial atomic step  $e$  of  $M$ :  $\{\text{true}\} e \{R \Rightarrow P\}$
- for every non-initial atomic step  $e$  of  $M$ :  $\{P \text{ and } R\} e \{R \Rightarrow P\}$

We say “ $P$  satisfies the invariance induction rule assuming  $Inv R$ ” to mean it satisfies the above conditions.

## Proof rules for progress assertions

For an atomic step  $e$ , let the predicate  $e.enabled$  mean that a thread is at  $e$  and  $e$  is unblocked (if it has a guard). Formally,

$$e.enabled = \begin{cases} \text{thread at } e & \text{if } e \text{ is nonblocking} \\ (\text{thread at } e) \text{ and } B & \text{if } e \text{ has guard } B \text{ (e.g., } oc\{B\}S) \end{cases}$$

### Weak-fair rule

$P$  leads-to  $Q$  holds for program  $M$  if the following hold, where  $e$  is an atomic step of  $M$  subject to weak fairness:

- $(P \text{ and not } Q) \Rightarrow e.enabled$
- $\{P \text{ and not } Q\} e \{Q\}$
- for every non-initial atomic step  $f$  of  $M$ :  $\{P \text{ and not } Q\} f \{P \text{ or } Q\}$

We say “ $P$  leads-to  $Q$  via weak-fair rule” to mean that  $P$  and  $Q$  satisfies the above conditions.

### Strong-fair rule

$P$  leads-to  $Q$  holds for program  $M$  if the following hold, where  $e$  is an atomic step of  $M$  subject to strong fairness:

- $(P \text{ and not } Q \text{ and not } e.enabled) \text{ leads-to } (Q \text{ or } e.enabled)$
- $\{P \text{ and not } Q\} e \{Q\}$
- for every non-initial atomic step  $f$  of  $M$ :  $\{P \text{ and not } Q\} f \{P \text{ or } Q\}$

We say “ $P$  leads-to  $Q$  via strong-fair rule” to mean that  $P$  and  $Q$  satisfies the above conditions.

### Closure rules

- $P$  leads-to  $(Q1 \text{ or } Q2)$  holds if the following hold:
  - $P$  leads-to  $P1$  or  $Q2$
  - $P1$  leads-to  $Q1$
- $P$  leads-to  $Q$  holds if the following hold for some predicate  $R$ :
  - $Inv R$
  - $(P \text{ and } R) \text{ leads-to } (R \Rightarrow Q)$
- $(P1 \text{ and } P2) \text{ leads-to } Q2$  holds if the following hold for some predicate  $Q1$ :
  - $P1$  leads-to  $Q1$
  - $P2$  unless  $Q2$
  - $Inv (Q1 \Rightarrow (\text{not } P2))$
- $P$  leads-to  $Q$  holds if, for some function  $F$  on a lower-bounded partial order  $(Z, \prec)$ , the following hold:
  - $P$  leads-to  $(Q \text{ or } \text{forsome}(x \text{ in } Z: F(x)))$
  - forall  $(x \text{ in } Z:$ 
    - $F(x) \text{ leads-to } (Q \text{ or } \text{forsome}(w \text{ in } Z: w \prec x \text{ and } F(w)))$

[This is just induction over a well-founded order.]

We say  $P$  leads-to  $Q$  via closure of assertions  $L_1, \dots, L_n$ ” to mean that the former follows by applying closure rules to the latter.