

Quantum algorithms (CO 781/CS 867/QIC 823, Winter 2011)

Andrew Childs, University of Waterloo

## LECTURE 2: The abelian QFT and phase estimation

### Quantum Fourier transform

Perhaps the most important unitary transformation in quantum computing is the *quantum Fourier transform* (QFT). Later, we will discuss the QFT over arbitrary finite groups, but for now we will focus on the case of an abelian group  $G$ . Here the transformation is

$$F_G := \frac{1}{\sqrt{|G|}} \sum_{x \in G} \sum_{y \in \hat{G}} \chi_y(x) |y\rangle \langle x| \quad (1)$$

where  $\hat{G}$  is a complete set of characters of  $G$ , and  $\chi_y(x)$  denotes the  $y$ th character of  $G$  evaluated at  $x$ . (You can verify that this is a unitary operator using the orthogonality of characters.) Since  $G$  and  $\hat{G}$  are isomorphic, we can label the elements of  $\hat{G}$  using elements of  $G$ , and it is often useful to do so.

The simplest QFT over a family of groups is the QFT over  $G = \mathbb{Z}_2^n$ . The characters of this group are  $\chi_y(x) = (-1)^{x \cdot y}$ , so the QFT is simply

$$F_{\mathbb{Z}_2^n} = \frac{1}{\sqrt{2^n}} \sum_{x, y \in \mathbb{Z}_2^n} (-1)^{x \cdot y} |y\rangle \langle x| = H^{\otimes n}. \quad (2)$$

You have presumably seen how this transformation is used in the solution of Simon's problem.

### QFT over $\mathbb{Z}_{2^n}$

A more complex quantum Fourier transform is the QFT over  $G = \mathbb{Z}_{2^n}$ :

$$F_{\mathbb{Z}_{2^n}} = \frac{1}{\sqrt{2^n}} \sum_{x, y \in \mathbb{Z}_{2^n}} \omega_{2^n}^{xy} |y\rangle \langle x| \quad (3)$$

where  $\omega_m := \exp(2\pi i/m)$  is a primitive  $m$ th root of unity. To see how to realize this transformation by a quantum circuit, it is helpful to represent the input  $x$  as a string of bits,  $x = x_{n-1} \dots x_1 x_0$ , and to consider how an input basis vector is transformed:

$$|x\rangle \mapsto \frac{1}{\sqrt{2^n}} \sum_{y \in \mathbb{Z}_{2^n}} \omega_{2^n}^{xy} |y\rangle \quad (4)$$

$$= \frac{1}{\sqrt{2^n}} \sum_{y \in \mathbb{Z}_{2^n}} \omega_{2^n}^{x(\sum_{k=0}^{n-1} y_k 2^k)} |y_{n-1} \dots y_1 y_0\rangle \quad (5)$$

$$= \frac{1}{\sqrt{2^n}} \sum_{y \in \mathbb{Z}_{2^n}} \prod_{k=0}^{n-1} \omega_{2^n}^{x y_k 2^k} |y_{n-1} \dots y_1 y_0\rangle \quad (6)$$

$$= \frac{1}{\sqrt{2^n}} \bigotimes_{k=0}^{n-1} \sum_{y_k \in \mathbb{Z}_2} \omega_{2^n}^{x y_k 2^k} |y_k\rangle \quad (7)$$

$$= \bigotimes_{k=0}^{n-1} |z_k\rangle \quad (8)$$

where

$$|z_k\rangle := \frac{1}{\sqrt{2}} \sum_{y_k \in \mathbb{Z}_2} \omega_{2^n}^{xy_k 2^k} |y_k\rangle \quad (9)$$

$$= \frac{1}{\sqrt{2}} (|0\rangle + \omega_{2^n}^{x 2^k} |1\rangle) \quad (10)$$

$$= \frac{1}{\sqrt{2}} (|0\rangle + \omega_{2^n}^{\sum_{j=0}^{n-1} x_j 2^{j+k}} |1\rangle) \quad (11)$$

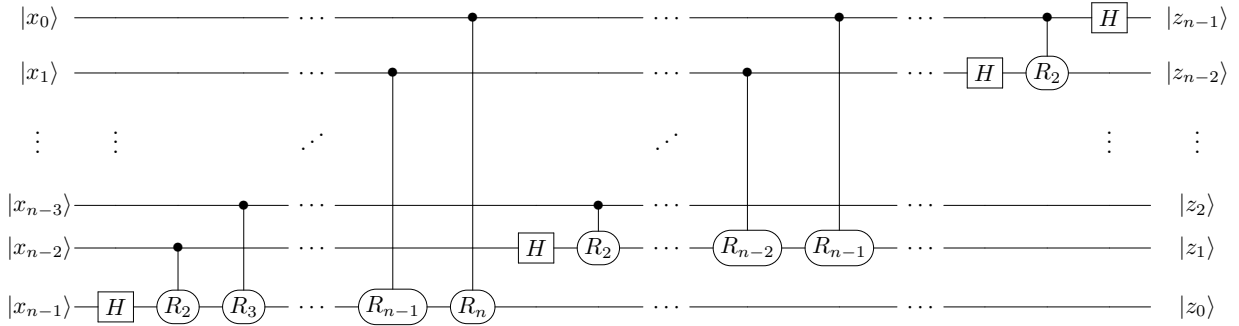
$$= \frac{1}{\sqrt{2}} (|0\rangle + e^{2\pi i(x_0 2^{k-n} + x_1 2^{k+1-n} + \dots + x_{n-1-k} 2^{-1})} |1\rangle). \quad (12)$$

(A more succinct way to write this is  $|z_k\rangle = \frac{1}{\sqrt{2}} (|0\rangle + \omega_{2^{n-k}}^x |1\rangle)$ , but the above expression is more helpful for understanding the circuit.) In other words,  $F|x\rangle$  is a tensor product of single-qubit states, where the  $k$ th qubit only depends on the  $k$  least significant bits of  $x$ .

This decomposition immediately gives a circuit for the QFT over  $\mathbb{Z}_{2^n}$ . Let  $R_k$  denote the single-qubit unitary operator

$$R_k := \begin{pmatrix} 1 & 0 \\ 0 & \omega_{2^k} \end{pmatrix}. \quad (13)$$

Then the circuit can be written as follows:



This circuit uses  $O(n^2)$  gates. However, there are many rotations by small angles that do not affect the final result very much. If we simply omit the gates  $R_k$  with  $k = \Omega(\log n)$ , then we obtain a circuit with  $O(n \log n)$  gates that implements the QFT with precision  $1/\text{poly}(n)$ .

## Phase estimation

Aside from being directly useful in quantum algorithms, such as Shor's algorithm, The QFT over  $\mathbb{Z}_{2^n}$  provides a useful quantum computing primitive called *phase estimation*. In the phase estimation problem, we are given a unitary operator  $U$  (either as an explicit circuit, or as a black box that lets us apply a controlled- $U^j$  operation for integer values of  $j$ ). We are also given a state  $|\phi\rangle$  that is promised to be an eigenvector of  $U$ , namely  $U|\phi\rangle = e^{i\phi}|\phi\rangle$  for some  $\phi \in \mathbb{R}$ . The goal is to output an estimate of  $\phi$  to some desired precision.

The procedure for phase estimation is straightforward. To get an  $n$ -bit estimate of  $\phi$ , prepare the quantum computer in the state

$$\frac{1}{\sqrt{2^n}} \sum_{x \in \mathbb{Z}_{2^n}} |x, \phi\rangle, \quad (14)$$

apply the operator

$$\sum_{x \in \mathbb{Z}_{2^n}} |x\rangle\langle x| \otimes U^x \quad (15)$$

to give the state

$$\frac{1}{\sqrt{2^n}} \sum_{x \in \mathbb{Z}_{2^n}} e^{i\phi x} |x, \phi\rangle, \quad (16)$$

apply an inverse Fourier transform on the first register, and measure. If the binary expansion of  $\phi/2\pi$  terminates after at most  $n$  bits (i.e., if  $\phi = 2\pi y/2^n$  for some  $y \in \mathbb{Z}_{2^n}$ ), then the state (16) is  $F_{2^n}|y\rangle \otimes |\phi\rangle$ , so the result is guaranteed to be the binary expansion of  $\phi/2\pi$ . In general, we obtain a good approximation with high probability. (You have probably seen this kind of calculation before, and we will see the details of a similar calculation when we discuss period finding.)

### QFT over $\mathbb{Z}_N$ and over a general finite abelian group

One useful application of phase estimation is to implement the QFT over an arbitrary cyclic group  $\mathbb{Z}_N$ :

$$F_{\mathbb{Z}_N} = \frac{1}{\sqrt{N}} \sum_{x, y \in \mathbb{Z}_N} \omega_N^{xy} |y\rangle\langle x|. \quad (17)$$

The circuit we derived using the binary representation of the input and output only works when  $N$  is a power of two (or, with a slight generalization, some other small integer). But there is a simple way to realize  $F_{\mathbb{Z}_N}$  (approximately) using phase estimation.

We would like to perform the transformation that maps  $|x\rangle \mapsto |\tilde{x}\rangle$ , where  $|\tilde{x}\rangle := F_{\mathbb{Z}_N}|x\rangle$  denotes a Fourier basis state. (By linearity, if the transformation acts correctly on a basis, it acts correctly on all states.) It is straightforward to perform the transformation  $|x, 0\rangle \mapsto |x, \tilde{x}\rangle$ ; then it remains to erase the register  $|x\rangle$  from such a state.

Consider the unitary operator that adds 1 modulo  $N$ :

$$U := \sum_{x \in \mathbb{Z}_N} |x+1\rangle\langle x|. \quad (18)$$

The eigenstates of this operator are precisely the Fourier basis states  $|\tilde{x}\rangle := F_{\mathbb{Z}_N}|x\rangle$ , since (as a simple calculation shows)

$$F_{\mathbb{Z}_N}^\dagger U F_{\mathbb{Z}_N} = \sum_{x \in \mathbb{Z}_N} \omega_N^x |x\rangle\langle x|. \quad (19)$$

Thus, using phase estimation on  $U$  (with  $n$  bits of precision where  $n = O(\log N)$ ), we can perform the transformation

$$|\tilde{x}, 0\rangle \mapsto |\tilde{x}, x\rangle \quad (20)$$

(actually, phase estimation only gives an approximation of  $x$ , so we implement this transformation only approximately). By running this operation in reverse, we can erase  $|x\rangle$ , and thereby produce the desired QFT.

Given the Fourier transform over  $\mathbb{Z}_N$ , it is straightforward to implement the QFT over an arbitrary finite abelian group: any finite abelian group can be written as a direct product of cyclic factors, and the QFT over a direct product of groups is simply the tensor product of QFTs over the individual groups.