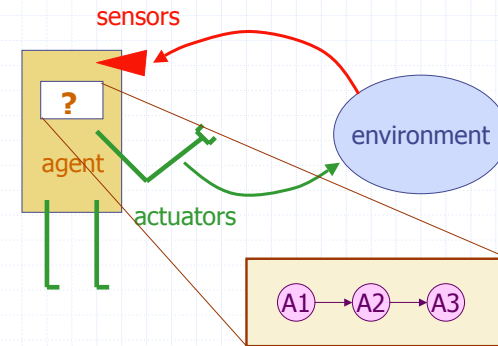


Planning

Russell and Norvig: Chapter 11
CMSC421 – Fall 2003

based on material from Jim Blythe, JC Latombe,
Marie desJardins and Daphne Koller

Planning Agent



Planning problem

- ◆ Find a **sequence of actions** that achieves a given **goal** when executed from a given **initial world state**. That is, given
 - a set of operator descriptions (defining the possible primitive actions by the agent),
 - an initial state description, and
 - a goal state description or predicate,compute a plan, which is
 - a sequence of operator instances, such that executing them in the initial state will change the world to a state satisfying the goal-state description.
- ◆ Goals are usually specified as a conjunction of subgoals to be achieved

Planning vs. problem solving

- ◆ Planning and problem solving methods can often solve the same sorts of problems
- ◆ Planning is more powerful because of the representations and methods used
- ◆ States, goals, and actions are decomposed into sets of sentences (usually in first-order logic)
- ◆ Search often proceeds through *plan space* rather than *state space* (though there are also state-space planners)
- ◆ Subgoals can be planned independently, reducing the complexity of the planning problem

Goal of Planning

- ◆ Suppose that the goal is HAVE(MILK).
- ◆ From some initial state where HAVE(MILK) is not satisfied, the successor function must be repeatedly applied to eventually generate a state where HAVE(MILK) is satisfied.
- ◆ An explicit representation of the possible actions and their effects would help the problem solver select the relevant actions

ac Otherwise, in the real world an agent would t
are be overwhelmed by irrelevant actions

Goal of Planning

- ◆ Suppose that the goal is $\text{HAVE(MILK)} \wedge \text{HAVE(BOOK)}$
- ◆ Without an explicit representation of the goal, the problem solver cannot know that a state where HAVE(MILK) is already achieved is more promising than a state where neither HAVE(MILK) nor HAVE(BOOK) is achieved
- ◆ states are domain-specific data structures, and heuristics must be supplied for each new problem

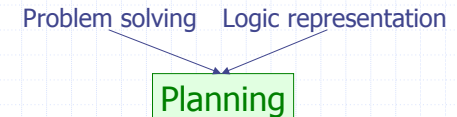
Goal of Planning

- ◆ Choose actions to achieve a certain goal
- ◆ HAVE(MILK) and HAVE(BOOK) may be achieved by two nearly independent sequences of actions
- ◆ Some difficulties with problem solving:
 - The goal may consist of several nearly independent subgoals, but there is no way for the problem solver to know it

Representations in Planning

Planning opens up the black-boxes by using logic to represent:

- Actions
- States
- Goals



Major approaches

Planning rapidly changing subfield of AI

In biannual competition at AI Planning Systems Conference:

- four years ago, best planner did plan space search using SAT solver
- three years ago, the best planner did regression search
- last year, best planner did forward state space search with an inadmissible heuristic function

◆ Hierarchical decomposition (HTN planning)

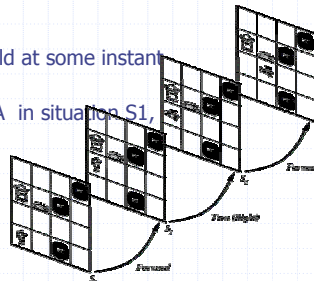
cmisc722: Planning, taught by Prof. Nau

Situation Calculus Planning

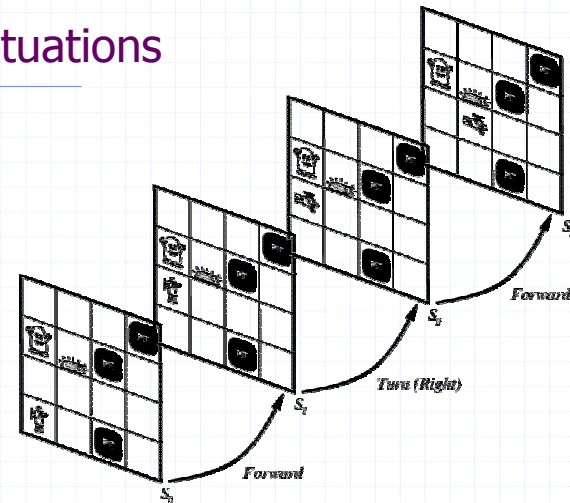
- ◆ Formulate planning problem in FOL
- ◆ Use theorem prover to find proof (aka plan)

Representing change

- ◆ Representing change in the world in logic can be tricky.
- ◆ One way is just to change the KB
 - Add and delete sentences from the KB to reflect changes
 - How do we remember the past, or reason about changes?
- ◆ **Situation calculus** is another way
- ◆ A **situation** is a snapshot of the world at some instant in time
- ◆ When the agent performs an action A in situation S_1 , the result is a new situation S_2 .



Situations



Situation calculus

- ◆ A **situation** is a snapshot of the world at an interval of time during which nothing changes
- ◆ Every true or false statement is made with respect to a particular situation.
 - Add **situation variables** to every predicate.
 - $at(hunter, 1, 1)$ becomes $at(hunter, 1, 1, s_0)$: $at(hunter, 1, 1)$ is true in situation (i.e., state) s_0 .
- ◆ Add a new function, **result(a,s)**, that maps a situation s into a new situation as a result of performing action a . For example, $result(forward, s)$ is a function that returns the successor state (situation) to s
- ◆ Example: The action agent-walks-to-location-y could be represented by
 - $(\forall x)(\forall y)(\forall s) (at(Agent, x, s) \wedge \sim onbox(s)) \rightarrow at(Agent, y, result(walk(y), s))$

Situation calculus planning

- ◆ **Initial state:** a logical sentence about (situation) S_0

$$At(Home, S_0) \wedge \sim Have(Milk, S_0) \wedge \sim Have(Bananas, S_0) \wedge \sim Have(Drill, S_0)$$
- ◆ **Goal state:**

$$(\exists s) At(Home, s) \wedge Have(Milk, s) \wedge Have(Bananas, s) \wedge Have(Drill, s)$$
- ◆ **Operators** are descriptions of actions:

$$\forall (a, s) Have(Milk, Result(a, s)) \iff ((a = Buy(Milk) \wedge At(Grocery, s)) \vee (Have(Milk, s) \wedge a = Drop(Milk)))$$
- ◆ $Result(a, s)$ names the situation resulting from executing action a in situation s .
- ◆ Action sequences are also useful: $Result(l, s)$ is the result of executing the list of actions (l) starting in s :

$$(\forall s) Result([], s) = s$$

$$(\forall a, p, s) Result([a|p], s) = Result(p, Result(a, s))$$

Situation calculus planning II

- ◆ A solution is thus a plan that when applied to the initial state yields a situation satisfying the goal query:

$$At(Home, Result(p, S_0)) \wedge Have(Milk, Result(p, S_0)) \wedge Have(Bananas, Result(p, S_0)) \wedge Have(Drill, Result(p, S_0))$$
- ◆ Thus we would expect a plan (i.e., variable assignment through unification) such as:

$$p = [Go(Grocery), Buy(Milk), Buy(Bananas), Go(HardwareStore), Buy(Drill), Go(Home)]$$

SC planning: analysis

- ◆ This is fine in theory, but remember that problem solving (search) is exponential in the worst case
- ◆ Also, resolution theorem proving only finds *a* proof (plan), not necessarily a good plan
- ◆ Another important issue: **the Frame Problem**

The Frame Problem

- ◆ In SC, need not only axioms to describe what changes in each situation, but also need axioms to describe what stays the same (can do this using successor-state axioms)
- ◆ Qualification problem: difficulty in specifying all the conditions that must hold in order for an action to work
- ◆ Ramification problem: difficulty in specifying all of the effects that will hold after an action is taken

So...

- ◆ we restrict the language and use a special-purpose algorithm (a planner) rather than general theorem prover

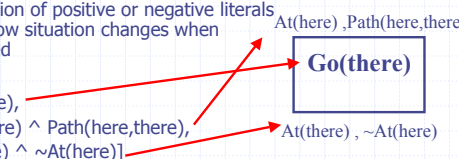
Basic representations for planning

- ◆ Classic approach first used in **STRIPS** planner circa 1970
- ◆ States represented as a conjunction of ground literals
 - $at(Home)$
- ◆ Goals are conjunctions of literals, but may have existentially quantified variables
 - $at(?x) \wedge have(Milk) \wedge have(bananas) \dots$
- ◆ Do not need to fully specify state
 - Non-specified either don't-care or assumed false
 - Represent many cases in small storage
 - Often only represent changes in state rather than entire situation
- ◆ Unlike theorem prover, not seeking whether the goal is true, but is there a sequence of actions to attain it

Operator/action representation

- ◆ Operators contain three components:
 - **Action description**
 - **Precondition** - conjunction of positive literals
 - **Effect** - conjunction of positive or negative literals which describe how situation changes when operator is applied
- ◆ Example:

Op[Action: $Go(there),$
 Precond: $At(there) \wedge Path(there,there),$
 Effect: $At(there) \wedge \sim At(here)]$


- ◆ All variables are universally quantified
- ◆ Situation variables are implicit
 - preconditions must be true in the state immediately before operator is applied; effects are true immediately after

Blocks world

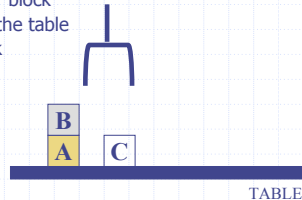
The **blocks world** is a micro-world that consists of a table, a set of blocks and a robot hand.

Some domain constraints:

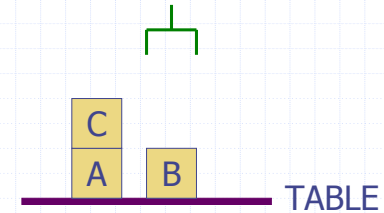
- Only one block can be on another block
- Any number of blocks can be on the table
- The hand can only hold one block

Typical representation:

ontable(a)
ontable(c)
on(b,a)
handempty
clear(b)
clear(c)

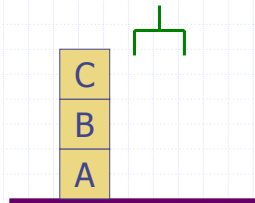


State Representation



Conjunction of propositions:
BLOCK(A), BLOCK(B), BLOCK(C),
ON(A, TABLE), ON(B, TABLE), ON(C, A),
CLEAR(B), CLEAR(C), HANDEEMPTY

Goal Representation



Conjunction of propositions:
ON(A, TABLE), ON(B, A), ON(C, B)

The goal G is achieved in a state S if all the propositions in G are also in S

Action Representation

Unstack(x,y)

P = HANDEEMPTY, BLOCK(x), BLOCK(y),
CLEAR(x), ON(x,y)
E = ~~HANDEEMPTY~~, ~~CLEAR(x)~~, HOLDING(x),
~~ON(x,y)~~, CLEAR(y)

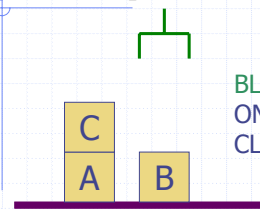
Effect: list of literals

Precondition: conjunction of propositions

Means: Remove HANDEEMPTY
from state

Means: Add
HOLDING(x) to state

Example

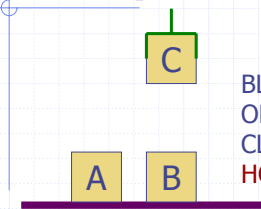


BLOCK(A), BLOCK(B), BLOCK(C),
ON(A, TABLE), ON(B, TABLE), ON(C, A),
CLEAR(B), CLEAR(C), HANDEEMPTY

Unstack(C, A)

- P = HANDEEMPTY, BLOCK(C), BLOCK(A),
CLEAR(C), ON(C, A)
- E = $\neg$ HANDEEMPTY, $\neg$ CLEAR(C), HOLDING(C),
 $\neg$ ON(C, A), CLEAR(A)

Example



BLOCK(A), BLOCK(B), BLOCK(C),
ON(A, TABLE), ON(B, TABLE), ~~ON(C, A),~~
~~CLEAR(B), CLEAR(C), HANDEEMPTY,~~
HOLDING(C), CLEAR(A)

Unstack(C, A)

- P = HANDEEMPTY, BLOCK(C), BLOCK(A),
CLEAR(C), ON(C, A)
- E = $\neg$ HANDEEMPTY, $\neg$ CLEAR(C), HOLDING(C),
 $\neg$ ON(C, A), CLEAR(A)

Action Representation

Unstack(x, y)

- P = HANDEEMPTY, BLOCK(x), BLOCK(y), CLEAR(x), ON(x, y)
- E = $\neg$ HANDEEMPTY, $\neg$ CLEAR(x), HOLDING(x), $\neg$ ON(x, y), CLEAR(y)

Stack(x, y)

- P = HOLDING(x), BLOCK(x), BLOCK(y), CLEAR(y)
- E = ON(x, y), $\neg$ CLEAR(y), $\neg$ HOLDING(x), CLEAR(x), HANDEEMPTY

Pickup(x)

- P = HANDEEMPTY, BLOCK(x), CLEAR(x), ON(x, TABLE)
- E = $\neg$ HANDEEMPTY, $\neg$ CLEAR(x), HOLDING(x), $\neg$ ON(x, TABLE)

PutDown(x)

- P = HOLDING(x)
- E = ON(x, TABLE), $\neg$ HOLDING(x), CLEAR(x), HANDEEMPTY

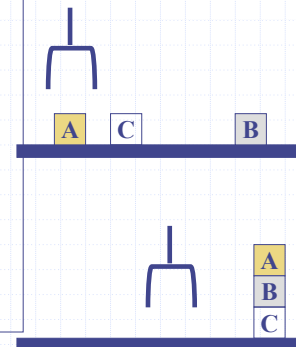
Typical BW planning problem

Initial state:

clear(a)
clear(b)
clear(c)
ontable(a)
ontable(b)
ontable(c)
handempty

Goal:

on(b, c)
on(a, b)
ontable(c)



A plan:

pickup(b)
stack(b, c)
pickup(a)
stack(a, b)

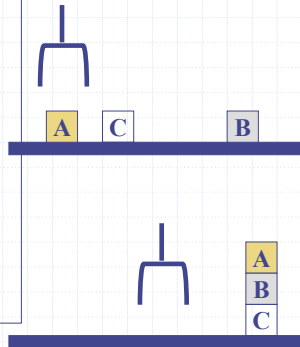
Another BW planning problem

Initial state:

clear(a)
clear(b)
clear(c)
ontable(a)
ontable(b)
ontable(c)
handempty

Goal:

on(a,b)
on(b,c)
ontable(c)

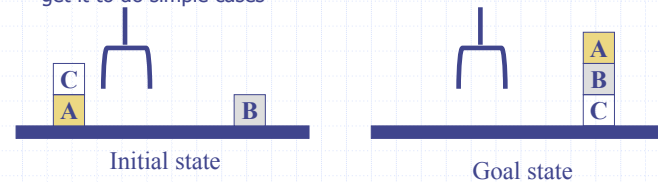


A plan:

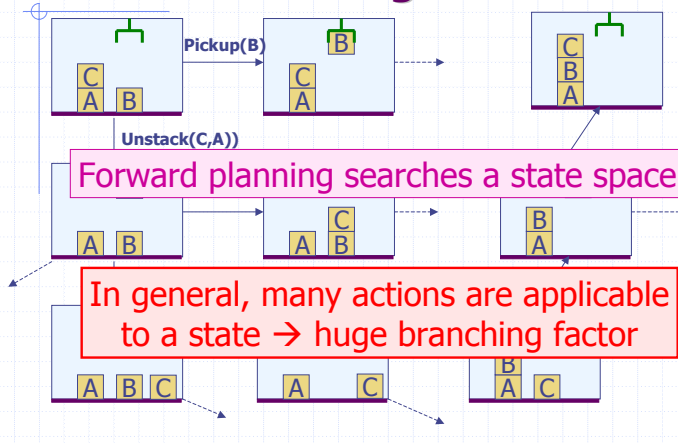
```
pickup(a)
stack(a,b)
unstack(a,b)
putdown(a)
pickup(b)
stack(b,c)
pickup(a)
stack(a,b)
```

Goal interaction

- ◆ Simple planning algorithms assume that the goals to be achieved are independent
 - Each can be solved separately and then the solutions concatenated
- ◆ This planning problem, called the "Sussman Anomaly," is the classic example of the goal interaction problem:
 - Solving on(A,B) first (by doing unstack(C,A), stack(A,B)) will be undone when solving the second goal on(B,C) (by doing unstack(A,B), stack(B,C)).
 - Solving on(B,C) first will be undone when solving on(A,B)
- ◆ Classic STRIPS could not handle this, although minor modifications can get it to do simple cases



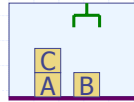
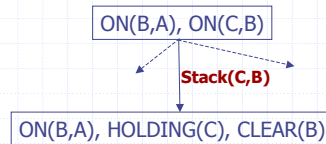
Forward Planning



Relevant Action

- ◆ An action is **relevant** to a goal if one of its effects matched a goal proposition
- ◆ Stack(B,A)
 - P = HOLDING(B), BLOCK(A), CLEAR(A)
 - E = ON(B,A), ¬CLEAR(A), ¬HOLDING(B), CLEAR(B), HANDEMPY
 is relevant to ON(B,A), ON(C,B)

Backward Chaining



In general, there are much fewer actions relevant to a goal than there are actions applicable → smaller branching factor than with forward planning

Backward Chaining



Backward planning searches a goal space

State-space planning

- ◆ We initially have a space of situations (where you are, what you have, etc.)
- ◆ The plan is a solution found by "searching" through the situations to get to the goal
- ◆ A **progression planner** searches forward from initial state to goal state
- ◆ A **regression planner** searches backward from the goal
 - Ideally this leads to reduced branching –you are only considering things that are relevant to the goal

Plan-space planning

- ◆ An alternative is to **search through the space of plans**, rather than situations.
 - ◆ Start from a **partial plan** which is expanded and refined until a complete plan that solves the problem is generated.
 - ◆ **Refinement operators** add constraints to the partial plan and modification operators for other changes.
 - ◆ We can still use STRIPS-style operators:
 - Op(ACTION: RightShoe, PRECOND: RightSockOn, EFFECT: RightShoeOn)
 - Op(ACTION: RightSock, EFFECT: RightSockOn)
 - Op(ACTION: LeftShoe, PRECOND: LeftSockOn, EFFECT: LeftShoeOn)
 - Op(ACTION: LeftSock, EFFECT: LeftSockOn)
- could result in a partial plan of
[RightShoe, LeftShoe]

Partial-order planning

- ◆ A **linear planner** builds a plan as a **totally ordered sequence** of plan steps
- ◆ A **non-linear planner (aka partial-order planner)** builds up a plan as a set of steps with some temporal constraints
 - constraints of the form $S_1 < S_2$ if step S_1 must come before S_2 .
- ◆ One **refines** a partially ordered plan (POP) by either:
 - **adding a new plan step**, or
 - **adding a new constraint** to the steps already in the plan.
- ◆ A POP can be **linearized** (converted to a totally ordered plan) by topological sorting

Least commitment

- ◆ Non-linear planners embody the principle of **least commitment**
 - only choose actions, orderings, and variable bindings that are absolutely necessary, leaving other decisions till later
 - avoids early commitment to decisions that don't really matter
- ◆ A linear planner always chooses to add a plan step in a particular place in the sequence
- ◆ A non-linear planner chooses to add a step and possibly some temporal constraints

Non-linear plan

- ◆ A non-linear plan consists of
 - (1) A set of **steps** $\{S_1, S_2, S_3, S_4, \dots\}$
Each step has an operator description, preconditions and post-conditions
 - (2) A set of **causal links** $\{ \dots (S_i, C, S_j) \dots \}$
Meaning a purpose of step S_i is to achieve precondition C of step S_j
 - (3) A set of **ordering constraints** $\{ \dots S_i < S_j \dots \}$
if step S_i must come before step S_j
- ◆ A non-linear plan is **complete** iff
 - Every step mentioned in (2) and (3) is in (1)
 - If S_i has prerequisite C , then there exists a causal link in (2) of the form (S_i, C, S_j) for some S_j
 - If (S_i, C, S_j) is in (2) and step S_k is in (1), and S_k threatens (S_i, C, S_j) (makes C false), then (3) contains either $S_k < S_i$ or $S_j > S_k$

The initial plan

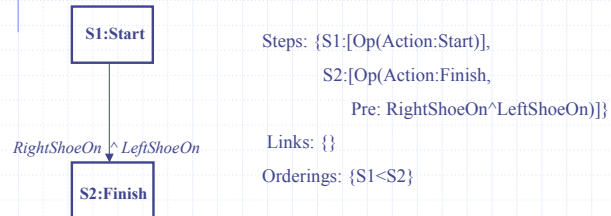
Every plan starts the same way



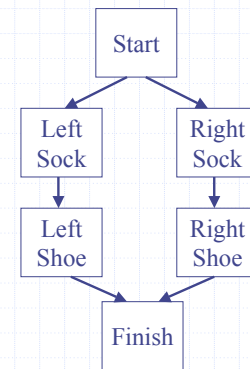
Trivial example

Operators:

Op(ACTION: RightShoe, PRECOND: RightSockOn, EFFECT: RightShoeOn)
 Op(ACTION: RightSock, EFFECT: RightSockOn)
 Op(ACTION: LeftShoe, PRECOND: LeftSockOn, EFFECT: LeftShoeOn)
 Op(ACTION: LeftSock, EFFECT: LeftSockOn)



Solution

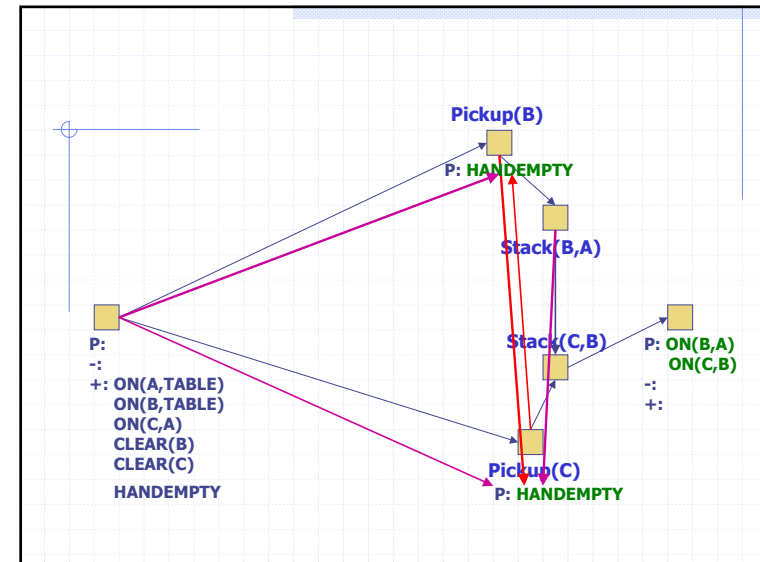
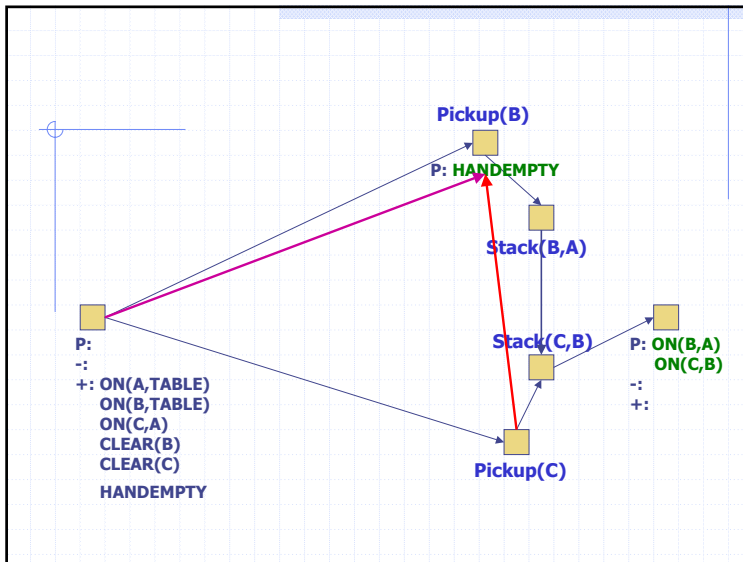
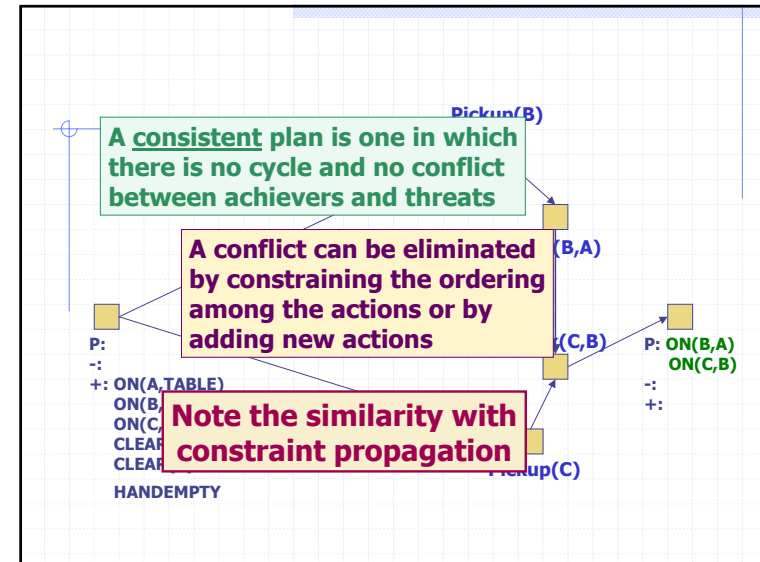
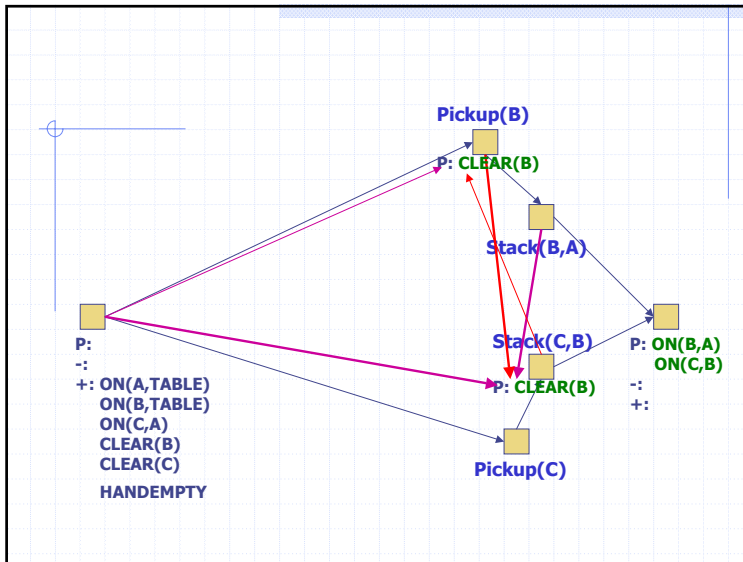


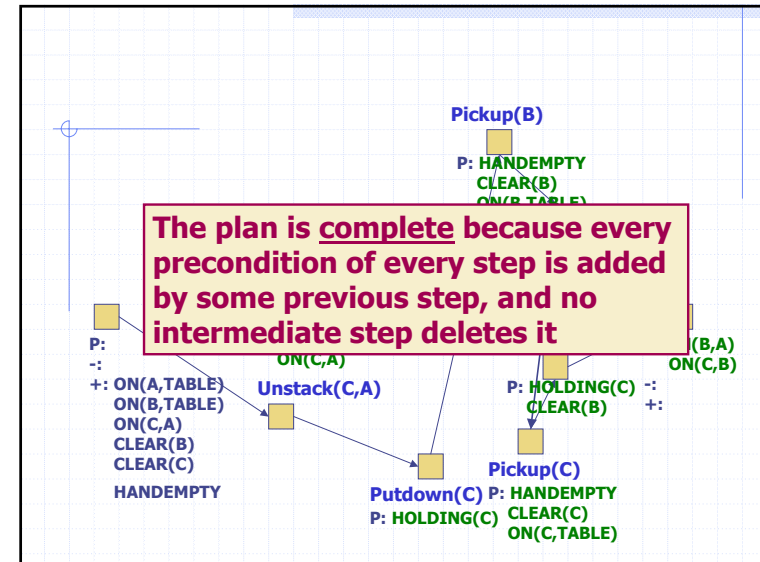
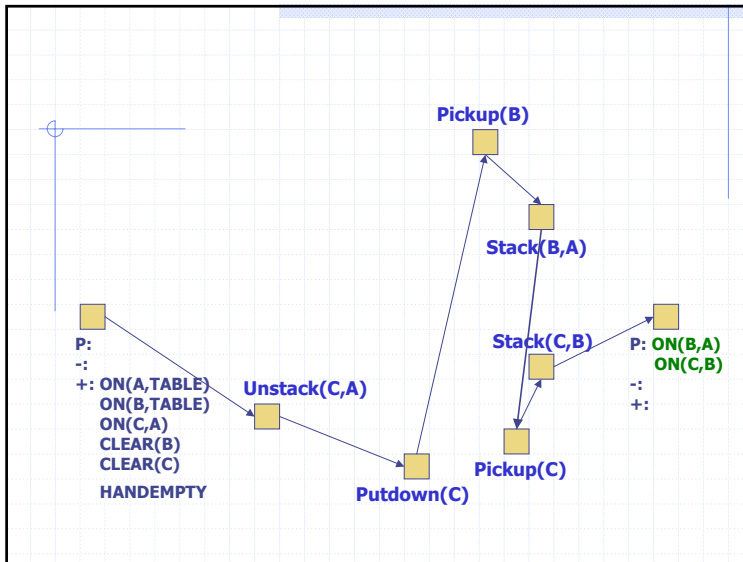
Nonlinear Planning

- Both forward and backward planning methods commit to a total ordering on the selected actions
- Drawback of positive lookahead: **Form of least commitment**
- Nonlinear planning:** No unnecessary ordering among subgoals

Nonlinear Planning







More Planning Algorithms

- ◆ GraphPlan
- ◆ Hierarchical Planning

Planning graph

- ◆ Directed, leveled graph with alternating layers of nodes
- ◆ Odd layers represent candidate propositions that might hold at time I
- ◆ Even layers represent candidate actions that we might possibly execute at time I , including maintain actions
- ◆ We can only execute one real action at any step, but the data structure keeps track of which actions are possibilities

Basic idea

- ◆ Construct a graph that encodes constraints on possible plans
- ◆ Use this “planning graph” to constrain search for a valid plan
- ◆ Planning graph can be built for each problem in relatively short time

Problem handled by GraphPlan

- ◆ STRIPS operators: conjunctive preconditions, no conditional or universal effects, no negations
- ◆ Finds “shortest” plans (by some definition)
- ◆ Sound, complete and will terminate with failure if there is no plan.

Planning graph

- ◆ Nodes divided into “levels”, arcs go from one level to the next
- ◆ For each time period, a state level and an action level
- ◆ Arcs represent preconditions, adds and deletes

What actions and what literals?

- ◆ Add an action in level A_i if all its preconditions are present in level P_i
- ◆ Add a precondition in level P_i if it is the effect of some action in level A_{i-1} (*including no-ops*)
- ◆ Level P_1 has all the literals from the initial state

Simple NASA domain, ☺

◆ Literals:

- at X Y X is at location Y
- fuel R rocket has fuel
- in X R X is in the rocket

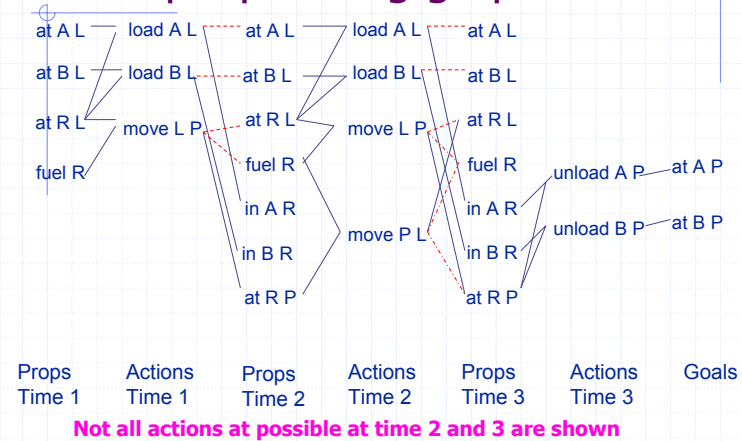
◆ Actions:

- load X L load X onto rocket at location L
(X and R must be at L)
- unload X L unload X from rocket at location L
(R must be at L, X must be in L)
- move X Y move rocket from X to Y
(R must be at L and have fuel)

◆ Graph representation:

- Solid black lines: preconditions/effects
- Dotted red lines: negated preconditions/effects

Example planning graph



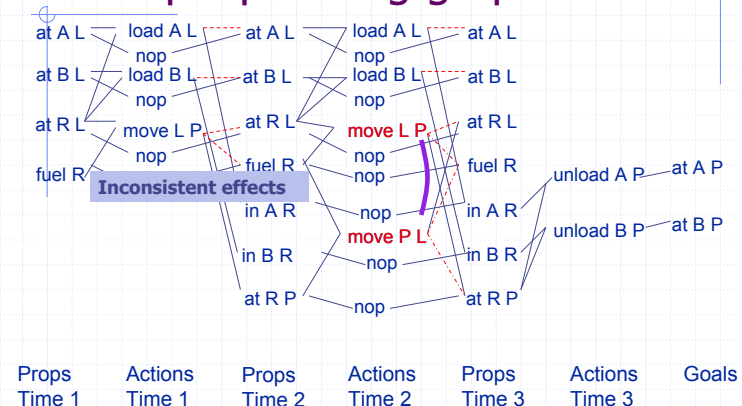
Exclusion relations (mutexes)

- ◆ Two actions (or literals) are mutually exclusive at some stage if no valid plan could contain both.

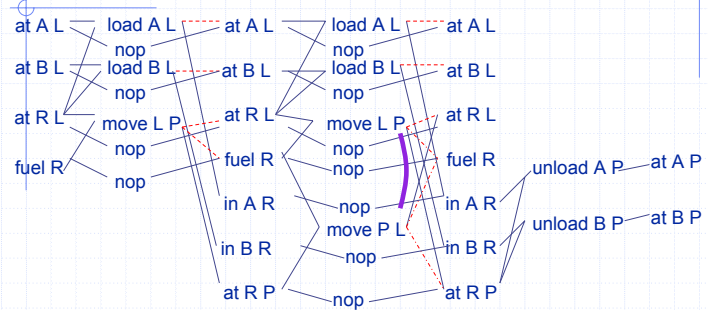
- ◆ Can quickly find and mark *some* mutexes:

- **Inconsistent Effects:** one action negates an effect of the other
- **Interference:** One of the effects of one action is the negation of the precondition of the other
- **Competing needs:** If some precond of one action is mutex with a precond of the other action
- **Inconsistent support:** Two propositions are mutex if all ways of creating them both are mutex

Example planning graph

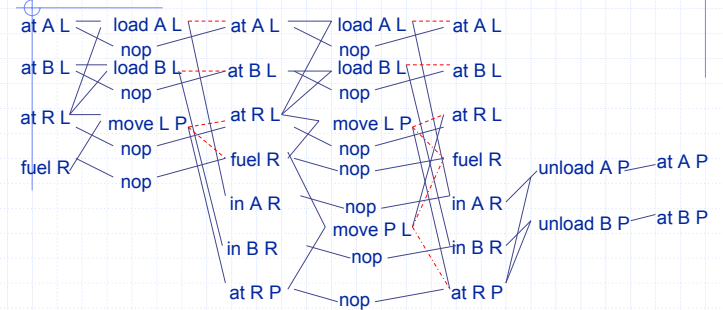


Example planning graph



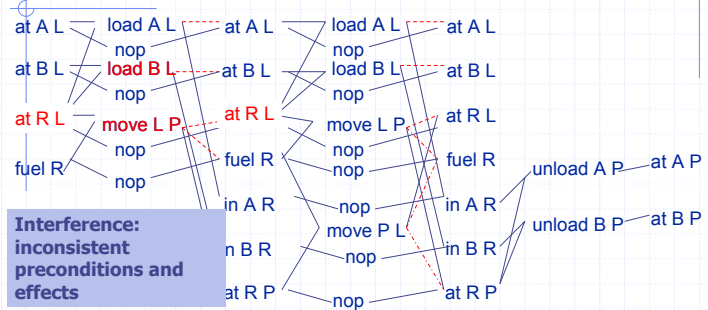
Props Time 1 Actions Time 1 Props Time 2 Actions Time 2 Props Time 3 Actions Time 3 Goals

Example planning graph



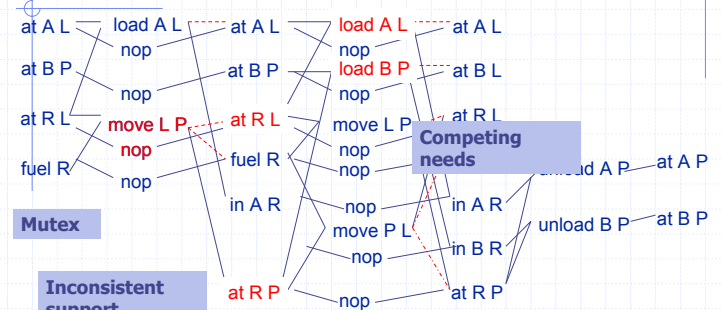
Props Time 1 Actions Time 1 Props Time 2 Actions Time 2 Props Time 3 Actions Time 3 Goals

Example planning graph



Props Time 1 Actions Time 1 Props Time 2 Actions Time 2 Props Time 3 Actions Time 3 Goals

A slightly different planning graph...



Props Time 1 Actions Time 1 Props Time 2 Actions Time 2 Props Time 3 Actions Time 3 Goals

Mutex: Summary

- ◆ Actions A,B are **mutex at level i** if no valid plan could possibly contain both at i :
 - **inconsistent effects**: A's effects negate one of B's effects
 - **interference**: A's effects delete one of B's preconditions, or vice-versa
 - **competing needs**: A & B have mutex preconditions
- ◆ Propositions P,Q are **mutex at level i** if no valid plan could possibly contain both at i
 - **inconsistent support**: If at i , all ways to achieve P exclude all ways to achieve Q

GraphPlan algorithm (without termination)

- ◆ Grow the planning graph (PG) until all goals are reachable and not mutex. (If PG levels off first, fail)
- ◆ Search the PG for a valid plan
- ◆ If none found, add a level to the PG and try again

Valid plans

- ◆ A valid plan is a planning graph where:
- ◆ Actions at the same level don't interfere (delete each other's preconditions or add effects)
- ◆ Each action's preconditions are made true by the plan
- ◆ Goals are satisfied

Extending the planning graph

- ◆ Action level: For each possible instantiation of each operator (including no-ops), add if all of its preconditions are in the previous level and no two are mutex.
- ◆ Proposition level: Add all effects of actions in previous level (including no-ops).
Mark pairs of propositions mutex if all ways to create one are mutex of all ways to create the other

Creating the planning graph is usually fast

◆ Theorem 1:

The size of the t-level PG and the time to create it are polynomial in t , n (= number of objects),
 m (= number of operators) and p (= propositions in the initial state)

Searching for a plan

- ◆ Backward chain on the planning graph
- ◆ Complete all goals at one level before going back:
- ◆ At each level, pick a subset of actions to achieve the goals that are not mutex. Their preconditions become the goals at the earlier level.
- ◆ Build subset by picking each goal and choosing an action to add. Use one already selected if possible. Do forward checking on remaining goals.

Summary: GraphPlan

- ◆ Generate all actions at level $2i+1$ that have all of their preconditions at level $2i$ and no two are mutex
- ◆ Mark as mutex all action-maintain pairs that are incompatible
- ◆ Mark as mutex all action-action pairs that have competing needs
- ◆ Generate all propositions at level $2i+2$ that are the effect of some action at level $2i+1$
- ◆ Mark as mutex all pairs of propositions that can only be generated by mutex action pairs

SATplan

- ◆ Formulate the planning problem as a CSP
- ◆ Assume that the plan has k actions
- ◆ Create a binary variable for each possible action a :
 - $\text{Action}(a,i)$ (TRUE if action a is used at step i)
- ◆ Create variables for each proposition that can hold at different points in time:
 - $\text{Proposition}(p,i)$ (TRUE if proposition p holds at step i)

Constraints

- ◆ Only one action can be executed at each time step (XOR constraints)
- ◆ Constraints describing effects of actions
- ◆ Persistence: if an action does not change a proposition p , then p 's value remains unchanged
- ◆ A proposition is true at step i only if some action (possibly a maintain action) made it true
- ◆ Constraints for initial state and goal state

Now apply our favorite CSP solver!

Applications of Planning

- ◆ Military operations

Most applied systems use extended representation languages, nonlinear planning techniques, and domain-specific heuristics

- ◆ Command sequences for satellite

Summary: classical planning

- ◆ Representations in planning
- ◆ Representation of action:
preconditions + effects
- ◆ Approaches:
 - State-space search
 - Plan-space search
 - Constraint-based search