

The average number of comparisons for quicksort is

$$S(n) = \begin{cases} 0 & \text{if } n = 0, 1 \\ \sum_{q=1}^n \frac{1}{n} [S(q-1) + S(n-q)] + n - 1 & \text{otherwise} \end{cases}$$

The average number of comparisons for quicksort is

$$S(n) = \begin{cases} 0 & \text{if } n = 0, 1 \\ \sum_{q=1}^n \frac{1}{n} [S(q-1) + S(n-q)] + n - 1 & \text{otherwise} \end{cases}$$

### Theorem

$S(n) \leq a n \lg n$  for some constant  $a$  and  $n \geq 1$ .

**Proof by Constructive Induction.**

**Base case:**  $n = 1$ :  $S(1) = 0$  and  $a \cdot 1 \cdot \lg 1 = 0$ .

**Induction Hypothesis:**

Assume it holds for all positive integers less than  $n$ .

So,  $S(k) \leq a k \lg k$  for  $1 \leq k \leq n - 1$ .

**Induction step:**

$$S(n) = \sum_{q=1}^n \frac{1}{n} [S(q-1) + S(n-q)] + n - 1$$

$$\begin{aligned} S(n) &= \sum_{q=1}^n \frac{1}{n} [S(q-1) + S(n-q)] + n - 1 \\ &= \frac{1}{n} \sum_{q=1}^n [S(q-1) + S(n-q)] + n - 1 \end{aligned}$$

$$\begin{aligned} S(n) &= \sum_{q=1}^n \frac{1}{n} [S(q-1) + S(n-q)] + n - 1 \\ &= \frac{1}{n} \sum_{q=1}^n [S(q-1) + S(n-q)] + n - 1 \\ &= \frac{1}{n} \sum_{q=1}^n S(q-1) + \frac{1}{n} \sum_{q=1}^n S(n-q) + n - 1 \end{aligned}$$

$$\begin{aligned} S(n) &= \sum_{q=1}^n \frac{1}{n} [S(q-1) + S(n-q)] + n - 1 \\ &= \frac{1}{n} \sum_{q=1}^n [S(q-1) + S(n-q)] + n - 1 \\ &= \frac{1}{n} \sum_{q=1}^n S(q-1) + \frac{1}{n} \sum_{q=1}^n S(n-q) + n - 1 \\ &= \frac{1}{n} \sum_{q=0}^{n-1} S(q) + \frac{1}{n} \sum_{q=0}^{n-1} S(q) + n - 1 \end{aligned}$$

$$\begin{aligned} S(n) &= \sum_{q=1}^n \frac{1}{n} [S(q-1) + S(n-q)] + n - 1 \\ &= \frac{1}{n} \sum_{q=1}^n [S(q-1) + S(n-q)] + n - 1 \\ &= \frac{1}{n} \sum_{q=1}^n S(q-1) + \frac{1}{n} \sum_{q=1}^n S(n-q) + n - 1 \\ &= \frac{1}{n} \sum_{q=0}^{n-1} S(q) + \frac{1}{n} \sum_{q=0}^{n-1} S(q) + n - 1 \\ &= \frac{2}{n} \sum_{q=0}^{n-1} S(q) + n - 1 = \frac{2}{n} \sum_{q=1}^{n-1} S(q) + n - 1 \quad \text{since } S(0) = 0 \end{aligned}$$

$$\begin{aligned}
S(n) &= \sum_{q=1}^n \frac{1}{n} [S(q-1) + S(n-q)] + n - 1 \\
&= \frac{1}{n} \sum_{q=1}^n [S(q-1) + S(n-q)] + n - 1 \\
&= \frac{1}{n} \sum_{q=1}^n S(q-1) + \frac{1}{n} \sum_{q=1}^n S(n-q) + n - 1 \\
&= \frac{1}{n} \sum_{q=0}^{n-1} S(q) + \frac{1}{n} \sum_{q=0}^{n-1} S(q) + n - 1 \\
&= \frac{2}{n} \sum_{q=0}^{n-1} S(q) + n - 1 = \frac{2}{n} \sum_{q=1}^{n-1} S(q) + n - 1 \quad \text{since } S(0) = 0 \\
&\leq \frac{2}{n} \sum_{q=1}^{n-1} aq \lg q + n - 1 \quad \text{by IH}
\end{aligned}$$



$$= \frac{2a}{n} \sum_{q=1}^{n-1} q \lg q + n - 1$$

$$= \frac{2a}{n} \sum_{q=1}^{n-1} q \lg q + n - 1$$

$$\leq \frac{2a}{n} \int_1^n x \lg x dx + n - 1 \quad \text{by integral bound}$$

$$\begin{aligned} &= \frac{2a}{n} \sum_{q=1}^{n-1} q \lg q + n - 1 \\ &\leq \frac{2a}{n} \int_1^n x \lg x dx + n - 1 \quad \text{by integral bound} \\ &= \frac{2a}{n} \left[ \frac{x^2 \lg x}{2} - \frac{x^2 \lg e}{4} \right] \Big|_1^n + n - 1 \end{aligned}$$

$$\begin{aligned}
&= \frac{2a}{n} \sum_{q=1}^{n-1} q \lg q + n - 1 \\
&\leq \frac{2a}{n} \int_1^n x \lg x dx + n - 1 \quad \text{by integral bound} \\
&= \frac{2a}{n} \left[ \frac{x^2 \lg x}{2} - \frac{x^2 \lg e}{4} \right] \Big|_1^n + n - 1 \\
&= \frac{2a}{n} \left[ \left( \frac{n^2 \lg n}{2} - \frac{n^2 \lg e}{4} \right) - \left( \frac{1^2 \lg 1}{2} - \frac{1^2 \lg e}{4} \right) \right] + n - 1
\end{aligned}$$

$$\begin{aligned}
&= \frac{2a}{n} \sum_{q=1}^{n-1} q \lg q + n - 1 \\
&\leq \frac{2a}{n} \int_1^n x \lg x dx + n - 1 \quad \text{by integral bound} \\
&= \frac{2a}{n} \left[ \frac{x^2 \lg x}{2} - \frac{x^2 \lg e}{4} \right] \Big|_1^n + n - 1 \\
&= \frac{2a}{n} \left[ \left( \frac{n^2 \lg n}{2} - \frac{n^2 \lg e}{4} \right) - \left( \frac{1^2 \lg 1}{2} - \frac{1^2 \lg e}{4} \right) \right] + n - 1 \\
&= an \lg n - \frac{an \lg e}{2} + \frac{a \lg e}{2n} + n - 1
\end{aligned}$$

$$\begin{aligned}
&= \frac{2a}{n} \sum_{q=1}^{n-1} q \lg q + n - 1 \\
&\leq \frac{2a}{n} \int_1^n x \lg x dx + n - 1 \quad \text{by integral bound} \\
&= \frac{2a}{n} \left[ \frac{x^2 \lg x}{2} - \frac{x^2 \lg e}{4} \right] \Big|_1^n + n - 1 \\
&= \frac{2a}{n} \left[ \left( \frac{n^2 \lg n}{2} - \frac{n^2 \lg e}{4} \right) - \left( \frac{1^2 \lg 1}{2} - \frac{1^2 \lg e}{4} \right) \right] + n - 1 \\
&= an \lg n - \frac{an \lg e}{2} + \frac{a \lg e}{2n} + n - 1 \\
&= an \lg n + \left[ 1 - \frac{a \lg e}{2} \right] n + \frac{a \lg e}{2n} - 1
\end{aligned}$$

$$\begin{aligned}
&= \frac{2a}{n} \sum_{q=1}^{n-1} q \lg q + n - 1 \\
&\leq \frac{2a}{n} \int_1^n x \lg x dx + n - 1 \quad \text{by integral bound} \\
&= \frac{2a}{n} \left[ \frac{x^2 \lg x}{2} - \frac{x^2 \lg e}{4} \right] \Big|_1^n + n - 1 \\
&= \frac{2a}{n} \left[ \left( \frac{n^2 \lg n}{2} - \frac{n^2 \lg e}{4} \right) - \left( \frac{1^2 \lg 1}{2} - \frac{1^2 \lg e}{4} \right) \right] + n - 1 \\
&= an \lg n - \frac{an \lg e}{2} + \frac{a \lg e}{2n} + n - 1 \\
&= an \lg n + \left[ 1 - \frac{a \lg e}{2} \right] n + \frac{a \lg e}{2n} - 1 \\
&\leq an \lg n \quad \text{for the induction to hold}
\end{aligned}$$

Need

$$a \lg n + \left[ 1 - \frac{a \lg e}{2} \right] n + \frac{a \lg e}{2n} - 1 \leq a \lg n$$



Need

$$an \lg n + \left[1 - \frac{a \lg e}{2}\right] n + \frac{a \lg e}{2n} - 1 \leq an \lg n$$

Need

$$1 - \frac{a \lg e}{2} \leq 0 \quad \implies \quad a \geq \frac{2}{\lg e}$$

$$\text{Set } a = \frac{2}{\lg e} \approx 1.39$$

Need

$$an \lg n + \left[1 - \frac{a \lg e}{2}\right] n + \frac{a \lg e}{2n} - 1 \leq an \lg n$$

Need

$$1 - \frac{a \lg e}{2} \leq 0 \quad \implies \quad a \geq \frac{2}{\lg e}$$

Set  $a = \frac{2}{\lg e} \approx 1.39$

Need

$$\frac{a \lg e}{2n} - 1 \leq 0 \quad \iff \quad \frac{2 \lg e}{(\lg e) 2n} - 1 \leq 0 \quad \iff \quad \frac{1}{n} - 1 \leq 0$$

which always holds since  $n \geq 1$ .

Need

$$an \lg n + \left[1 - \frac{a \lg e}{2}\right] n + \frac{a \lg e}{2n} - 1 \leq an \lg n$$

Need

$$1 - \frac{a \lg e}{2} \leq 0 \quad \implies \quad a \geq \frac{2}{\lg e}$$

Set  $a = \frac{2}{\lg e} \approx 1.39$

Need

$$\frac{a \lg e}{2n} - 1 \leq 0 \quad \iff \quad \frac{2 \lg e}{(\lg e)2n} - 1 \leq 0 \quad \iff \quad \frac{1}{n} - 1 \leq 0$$

which always holds since  $n \geq 1$ .

So,

$$S(n) \approx 1.39n \lg n$$

Now that we are finished, we realize that

$$S(n) \leq an \lg n = \frac{2n \lg n}{\lg e} = 2n \ln n$$

which is a more natural formula.

Now that we are finished, we realize that

$$S(n) \leq an \lg n = \frac{2n \lg n}{\lg e} = 2n \ln n$$

which is a more natural formula.

Could go back and do the Constructive Induction with  $S(n) \leq an \ln n$ , which would simplify the algebra.